

# **EXHIBIT 4**

Serial No.: 12/211,033

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Roger J. Quy

Serial No.: 12/211,033

Filed: 09/15/2008

Title: METHOD AND APPARATUS FOR HEALTH AND DISEASE  
MANAGEMENT COMBINING PATIENT DATA MONITORING WITH  
WIRELESS INTERNET CONNECTIVITY

Art Unit: 3769

Examiner: Michael C. Astorino

Confirmation No.: 7693

Docket No.: 00125/002005

**Via EFS Web**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Sir:

In response to the Office Action mailed May 4, 2009, kindly amend the above-identified application as follows:

**Certificate of Electronic Filing Under**  
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Nancy Joyce simmons  
(Printed Name of Person Mailing Correspondence)  
/nancy joyce simmons/  
(Signature)

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**Amendments to the Claims:**

1. (Currently Amended) A method for interactive exercise monitoring, the method comprising the steps of:
  - a. coupling a web-enabled wireless phone to a device which provides ~~health~~exercise-related information;
  - b. rendering a user interface on the web-enabled wireless phone;
  - c. receiving ~~health~~exercise-related information in the web-enabled wireless phone, wherein the ~~health~~exercise-related information includes physiological data and data indicating an amount of exercise performed data, and wherein at least one of the physiological data and the data indicating an amount of exercise performed data is received from the device which provides ~~health~~exercise-related information;
  - d. sending the ~~health~~exercise-related information to an internet server via a wireless network;
  - e. receiving a calculated response from a the server, the response associated with a calculation performed by the server based on the ~~health~~exercise-related information; and
  - f. running an application in the web-enabled wireless phone for receiving the exercise-related information and displaying the response.
  
2. (Currently Amended) The method of claim 1, where the receiving exercise-related information including physiological data ~~is~~ includes receiving data received from a physiological monitoring device or from an exercise machine.
  
3. (Currently Amended) The method of claim 1, where the receiving exercise-related information including data indicating an amount of exercise performed data ~~is received~~ includes receiving data from an exercise machine or from a physiological monitoring device.
  
4. (Currently Amended) The method of claim 1, wherein the web-enabled wireless phone receives ~~health~~exercise-related information over a transmission medium, the transmission medium including: a wired connection, ~~an RS-232 connection, an infrared connection,~~ or a radio frequency wireless connection.

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5. (Currently Amended) The method of claim 1, wherein the receiving ~~health~~exercise-related information includes receiving data input by a patient.
6. (Original) The method of claim 1, wherein the web-enabled wireless phone receives data via an adapter to convert a signal from the device to a suitable input for the wireless phone.
7. (Currently Amended) The method of claim 1, where the device which provides ~~health~~exercise-related information is selected from the group consisting of: an electronic body weight scale, a body fat gauge, a pedometer, a biofeedback device, a treadmill, a stepper, an exercise cycle, an accelerometer, a rowing machine, physiotherapy equipment, an aerobic or anaerobic exercise device, a temperature monitor, a heart rate monitor, a blood pressure monitor, a respiratory monitor, and a device that monitors an amount of work or rate of work performed ~~any type of physiological monitoring device, and any type of exercise machine.~~
8. (Currently Amended) A computer-readable medium, containing instructions for performing an interactive method of exercise monitoring, the method comprising the steps of:
  - a. ~~displaying a user interface;~~
  - b. receiving ~~health~~exercise-related information from a web-enabled wireless phone, wherein the ~~health~~exercise-related information includes physiological data and data indicating an amount of exercise performed data;
  - c. sending ~~calculating a response based on the ~~health~~exercise-related information to an internet server;~~
  - d. ~~receiving a transmitting the calculated response to the web-enabled wireless phone from a server, the response associated with a calculation performed by the server based on the health-related information; and~~
  - e. displaying an indication of the response .
9. (Currently Amended) The medium of claim 8, wherein the method further comprises:
  - a. enabling the web-enabled wireless phone to receive exercise-related information from a device; and

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b. transmitting to the web-enabled wireless phone an application including a user interface on which the calculated response may be rendered

~~instructions further cause the web-enabled wireless phone to receive data over a transmission medium, the transmission medium including: a wired connection, an RS-232 connection, an infrared connection, or a radio frequency connection.~~

10. (Currently Amended) The medium of claim 8, wherein the calculating a response includes calculating a response to assist a person in monitoring calorie expenditure, losing weight, or maintaining a healthy lifestyle ~~instructions further cause the web-enabled wireless phone to receive data from a keyboard.~~

11. (Currently Amended) The medium of claim 8, wherein the instructions further cause the web-enabled wireless phone to receive the ~~health~~exercise-related information via an adapter, the adapter to convert a received data signal to a suitable input for the web-enabled wireless phone.

12. (Currently Amended) The medium of claim 8, wherein the ~~health~~exercise-related information is received from a physiological monitoring device which is selected from the group consisting of: an electronic body weight scale, a body fat gauge, a pedometer, a biofeedback device, a treadmill, a stepper, an exercise cycle, an accelerometer, a rowing machine, physiotherapy equipment, an aerobic or anaerobic exercise device, a temperature monitor, a heart rate monitor, a blood pressure monitor, a respiratory monitor, and a device that monitors an amount of work or rate of work performed ~~any physiological monitoring device, and any exercise machine.~~

13. (New) The medium of claim 8, wherein the receiving exercise-related information includes receiving exercise-related information over a wireless or a wired connection.

14. (New) A web-enabled wireless phone, containing a computer-readable medium, the computer-readable medium comprising instructions for causing a processor in the web-enabled wireless phone to perform the method of claim 1.

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15. (New) A computer-readable medium , containing instructions for causing a processor in a web-enabled wireless phone to perform the method of claim 1.

16. (New) The method of claim 1, further comprising downloading the application to the web-enabled wireless phone from a server.

17. (New) The method of claim 4, wherein the wireless connection includes an infrared connection or a radio frequency communication protocol including Bluetooth® or 802.11.

18. (New) The method of claim 4, wherein the wired connection includes a USB connection, a cable, or a docking station.

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**Amendments to the Abstract:**

Please amend the abstract as follows:

~~Embodiments of the invention provide a~~ A method and apparatus are provided for a wireless health monitoring of exercise, fitness, or nutrition. ~~system for interactively monitoring a disease or health condition of a patient by connecting an internet web-enabled wireless phone web device (“WWD”) to a health monitoring device which may be a medical device or other health related device such as an~~ provides exercise-related information, including physiological data and data indicating an amount of exercise performed machine. ~~The WWD may be connected to the health monitoring device directly by a wired connection to a generic input/output port of the WWD using an optional adaptor if necessary. Alternatively, the connection WWD may be by way of a wirelessly connected to the health monitoring device, such as via an infrared or radio frequency connection, including connection using protocols such as Bluetooth® or 802.11, or by way of a wired connection. The wireless connection may also employ an~~ An optional adaptor may be included if necessary. An application for receiving the exercise-related information and providing a user interface may be downloaded to the web-enabled wireless phone from an internet server. The user may also input data to the WWD manually, such as by a keypad, keyboard, stylus, or optionally by voice command.

~~The health exercise-related data information may be~~ is transmitted from the WWD to an internet server using standard internet protocols. The , and the server may calculates and return a response using a software program which may include an algorithm or artificial intelligence system, and may further provide for review by a physician or health specialist. The user may interact with the server. For example, the server transmits a response to the WWD, and the user may answer the response or provide other information.

Attached to this Amendment is a replacement Abstract sheet.

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**Replacement Abstract:**

A method and apparatus are provided for wireless monitoring of exercise, fitness, or nutrition by connecting a web-enabled wireless phone to a device which provides exercise-related information, including physiological data and data indicating an amount of exercise performed. The connection may be by way of a wireless connection using protocols such as Bluetooth® or 802.11, or by way of a wired connection. An optional adaptor may be included if necessary. An application for receiving the exercise-related information and providing a user interface may be downloaded to the web-enabled wireless phone from an internet server. The exercise-related information may be transmitted to an internet server, and the server may calculate and return a response.



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In the Title:

Please amend the title as follows:

METHOD AND APPARATUS FOR ~~HEALTH AND DISEASE MANAGEMENT~~  
~~COMBINING PATIENT DATA MONITORING~~ EXERCISE WITH WIRELESS INTERNET  
CONNECTIVITY

Replacement Title:

METHOD AND APPARATUS FOR MONITORING EXERCISE WITH WIRELESS  
INTERNET CONNECTIVITY

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### **REMARKS**

Claims 1-12 were pending in this application. Claims 13-18 have been added and claims 1-5 and 7-12 have been amended. Claims 1-18 are pending. Reconsideration and allowance of all pending claims are respectfully requested.

Applicant has modified the Abstract and Title to more succinctly describe the subject matter of the invention.

### **Rejections Under 35 U.S.C. §112**

The Examiner has rejected claims 1-12 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which Applicant regards as the invention. Specifically, the Examiner has indicated that one cannot discern based on the specification the distinction between “physiological data” and “exercise data” and as such the term is indefinite. Applicant has obviated the rejection by way of amendment. In particular, the claims now recite receiving exercise-related information including physiological data and data indicating an amount of exercise performed. Physiological information is clear from the specification at, e.g., paragraph [0018] as describing devices that “monitor the physiologic status of a healthy subject” and data indicating an amount of exercise performed is described in the specification at, e.g., paragraph [0044]. It is respectfully submitted that the rejections have been obviated and should be withdrawn.

### **Rejections Under 35 U.S.C. §102**

Claims 1-12 stand rejected under 35 U.S.C. 102(e) as being allegedly anticipated by Brown (US Patent 5,997,476). These rejections are traversed with respect to the amended independent claims 1 and 8 (and corresponding CRM claims 14 and 15) as follows.

Brown discloses a networked system for communication of information to an individual and for remotely monitoring the individual, including a server and a workstation connected to the server through a communication system, where the workstation functions as a remote interface for entering server messages and queries to be communicated to the patients, or script information (column 4, lines 46-48), as well as displaying patient reports generated by the server (column 8, lines 46-51). The server includes a script generator designed to generate script programs from the script information entered through the workstation (column 6, lines 56-58)

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and a database for storing the script programs (column 5, lines 15-16). The system also includes a programmable apparatus (corresponding to elements 26 or 32) which executes the script program to communicate queries and messages to a patient, receive responses to the queries, collect monitoring device measurements, and transmit responses and measurements to the server (column 5, lines 16-20). In some embodiments, the system of Brown also includes a monitoring device for measuring and recording a physiological condition of the patient, and transmitting the measurements to the patient's remotely programmable apparatus (column 4, line 64 to column 5, line 3). Brown notably fails to disclose any substantial teaching of how to take patient monitoring "into the field", i.e. to make it work wherever the patient is as long as there is a standard wireless connection.

Applicant submits that Brown does not disclose each and every element of the presently claimed invention, and thus is deficient as an anticipatory reference.

First, the present invention and current claims require a web-enabled wireless phone. Brown does not disclose this. Reviewing the devices Brown does disclose, one can see a remotely programmable apparatus and a workstation. The remotely programmable apparatus is a proprietary piece of hardware as described in Figs. 3 and 4. Nowhere does Brown teach that its functionality could be implemented on a web-enabled wireless phone. For example, the Brown apparatus does not run a typical web browser or mobile phone application; rather the displays and input buttons operate according to a simple scripting language that provides a set of commands assigned to each patient. If the workstation is submitted to meet the limitation of a web-enabled wireless phone, yet another element of claim 1 is lacking, since the workstation of Brown is not disclosed to have a wireless connection.

Moreover, although Brown mentions that the apparatus may be placed in communication with the server via wireless or cellular networks, this is done with a modem (86/66) and telephone jack (22) for the means of transmission. The apparatus is clearly not a web-enabled wireless phone. This aspect is made even more clear in Brown by the embodiment for communicating script commands audibly to the patient in Figs. 13-15, where the scripts are made audible through speech synthesis and recognition functionality.

Besides the lack of a web-enabled wireless phone, Brown is further deficient as an anticipatory reference as the same fails to disclose receiving exercise-related information, as required by the independent claims.

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Applicant has noted advantages of the use of a web-enabled wireless phone at paragraphs [0015]-[0022], including specific advantages with respect to exercise-related information. As a simple illustration, a person could not do with Brown's device that which is intended by the claimed invention: for example, a cyclist could not take Brown's device on a bike ride to monitor heart rate and miles traveled.

Even more differences are apparent with respect to the dependent claims. For example, with respect to claim 17, the physiological data being monitored in Brown is transmitted to the apparatus through a standard connection cable (30); Brown does not disclose any wireless connection to a health monitoring or exercise device.

Numerous other distinctions will be apparent. For example, Brown does not disclose coupling a web-enabled wireless phone to a device which provides exercise-related information including physiological data and data indicating an amount of exercise performed, nor receiving such information. This aspect of including multiple types of data is mentioned in various locations in the specification, e.g., paragraphs [0015], [0017], and [0055]. Paragraph [0015] notes that "Various health parameters, such as those relating to nutrition or exercise, may be entered into a health monitoring device." Paragraph [0017] notes that "[what may be sent includes] data output from various exercise machines over the Internet..." And paragraph [0055] notes that, following a discussion of a blood glucose sensor, "Other health monitors may also be employed..."

[0015] In the second embodiment, a health or lifestyle management plan may be implemented. Various health parameters, such as those relating to nutrition or exercise, may be entered into a health monitoring device, in this instance termed an "exercise machine", and the same may be wireless communicated to a server. An application may process and store the health parameters, and a health specialist may optionally review the same.

[0017] Alternatively, in the second embodiment, a person interested in tracking an exercise program may take the WWD to the local health club and attach the same to an exercise machine, send data output from various exercise machines over the Internet, and receive a personalized response from the server of a company specializing in Health & Lifestyle Management. The individual may input caloric content of foods eaten, and may further input caloric content of exercise performed. In this way, e.g., a person in a weight-loss program may see in great detail whether they are expending more calories in the form of exercise than the same individual is consuming in the form of food.

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[0055] As examples of sensor types, to measure blood glucose levels, sensor 24 may be a sensor that accepts a drop of blood, e.g., via a finger-prick. To measure heart rate, sensor 24 may be placed via an adhesive sensor disposed on the chest. Other health monitors may also be employed so long as the measured data may either be transferred to WWD 12, e.g., via optional adaptor 42, described in further detail below, or by being read by a user, e.g., from a display, and manually input to WWD 12. Alternatively, the measured data may be transferred to WWD 12 via wireless communication schemes, such as RF includes Bluetooth® or 802.11, infrared, optical, microwaves, etc., directly from sensor 24 or from EMD 11 as described in greater detail below.

The specific locations where sensors are described as connected to exercise machines include paragraphs [0018], [0044], and [0078]. As Brown provides no such teaching or disclosure of exercise management, nor teaching or disclosure of two types of data as noted above, Applicant respectfully submits that the rejection based on Brown should be withdrawn.

Even if Brown were to disclose the above, Applicant also notes that the reference is deficient as Brown discloses virtually no functions of the server application, beyond script and report generation and storage of script programs and response queries. Even if one identifies the programmable apparatus as the web-enabled wireless phone, then Brown cannot anticipate the claimed invention as the server application of Brown does not provide the report to this web-enabled wireless phone, but rather to the workstation. Conversely, if one identifies the workstation as the web-enabled wireless phone, then Brown cannot anticipate the claimed invention as the workstation does not have a way to couple to a device that provides exercise-related information. In summary, nowhere does Brown disclose that the server application performs a calculation using exercise-related information, and transmit a response corresponding to the calculation from the server back to the web-enabled wireless phone, as required by the claims noted above. Thus, the server application of the current invention differs widely from any software identified with Brown.

For at least the above reasons, Applicant submits that the anticipation rejection of the claims based on Brown should be withdrawn. The discussion above has focused on the independent claims; however, Applicant submits that the dependent claims are allowable for at least these reasons.

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**Nonstatutory Double Patenting Rejection**

With respect to the amended claims, Applicant traverses the nonstatutory double patenting rejection as follows. The current independent claims 1 and 8 (and corresponding CRM claims 14 and 15) are limited to methods requiring receiving exercise-related information in a web-enabled wireless phone, where the exercise-related information includes physiological data and data indicating an amount of exercise performed, and where at least one of the physiological data and the data indicating an amount of exercise performed is received from the device which provides exercise-related information. The claims of US Patent 6,602,191 do not so require these two types of data to be input. As noted above, various sections of the application point to these several types of data, and the claimed two types of data require monitoring of physiologic and exercise data during exercise. For example, the same would apply to tracking the amount of exercise performed during a bike ride as well as heart rate during the ride. For these reasons, it is respectfully submitted that the nonstatutory double patenting rejection should thus be withdrawn.

Should the Examiner be of the view that an interview would expedite consideration of the application, request is made that the Examiner telephone the Applicants' attorney at (619) 818-4615 in order that any outstanding issues be resolved.

Respectfully submitted,

Date: August 4, 2009

Attorney for Applicant  
Mayer & Williams PC  
251 North Avenue West, 2<sup>nd</sup> Floor  
Westfield, NJ 07090  
Tel: 619-818-4615  
Fax: 908-518-7795

/Mark Wieczorek/

Mark D. Wieczorek  
Registration No. 37,966

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Applicants: Roger J. Quy  
Application No. 12/211,033  
Filed: September 15, 2008  
Title: METHOD AND APPARATUS FOR MONITORING EXERCISE  
WITH WIRELESS INTERNET CONNECTIVITY  
Art Unit: 3769  
Examiner: Shirley Jian  
Confirm. No.: 7693  
Docket No.: 00125/002005  
Via EFS Web  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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September 20, 2010  
Nancy Joyce Simmons  
(Printed Name of Person Sending Correspondence)  
/nancy joyce simmons/  
(Signature)

Sir:

**AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION**

This is responsive to the Office Action mailed August 13, 2010 in the above matter, in which the rejections of the claims was made final. A response is due November 13, 2010, and thus this Amendment and Response is timely filed.

No fees are believed to be due. Any fees deemed to be due or credit for any overpayment for this application should be directed to Deposit Account Number 50-1047 and authorization is hereby given to charge such account.

Please enter the following remarks:

**Amendments** begin on page 2.

**Remarks/Arguments** begin on page 6.

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IN THE CLAIMS:

1. (Previously Presented) A method for interactive exercise monitoring, the method comprising the steps of:
  - a. coupling a web-enabled wireless phone to a device which provides exercise-related information;
  - b. rendering a user interface on the web-enabled wireless phone;
  - c. receiving data indicating a physiologic status of a subject;
  - d. receiving data indicating an amount of exercise performed by the subject;
  - e. wherein at least one of the data indicating a physiologic status of a subject or the data indicating an amount of exercise performed by the subject is received from the device which provides exercise-related information, and wherein the data indicating a physiologic status of a subject is received at least partially while the subject is exercising;
  - f. sending the exercise-related information to an internet server via a wireless network;
  - g. receiving a calculated response from the server, the response associated with a calculation performed by the server based on the exercise-related information; and
  - h. running an application in the web-enabled wireless phone for receiving the exercise-related information and displaying the response.
  
2. (Previously Presented) The method of claim 1, wherein the receiving data indicating a physiologic status of a subject includes receiving data from a physiological sensor coupled to an exercise machine.
  
3. (Previously Presented) The method of claim 1, where the receiving data indicating an amount of exercise performed by the subject includes receiving data from an exercise machine.



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4. (Previously Presented) The method of claim 1, wherein the web-enabled wireless phone receives exercise-related information over a transmission medium, the transmission medium including a wired connection or a wireless connection.
5. (Canceled)
6. (Original) The method of claim 1, wherein the web-enabled wireless phone receives data via an adapter to convert a signal from the device to a suitable input for the wireless phone.
7. (Previously Presented) The method of claim 1, wherein the data indicating an amount of exercise performed is received from a device selected from the group consisting of: a treadmill, a stepper, an exercise cycle, an accelerometer, a rowing machine, physiotherapy equipment, an aerobic or anaerobic exercise device, and a device that monitors an amount of work or rate of work performed.
8. (Previously Presented) A non-transitory computer-readable medium, containing an application for performing an interactive method of exercise monitoring, the application physically residing on a server, the method comprising the steps of:
  - a. receiving exercise-related information from a web-enabled wireless phone, wherein the exercise-related information includes data indicating a physiologic status of a subject and data indicating an amount of exercise performed by the subject, and wherein the data indicating a physiologic status of a subject is received at least partially while the subject is exercising;
  - b. calculating a response based on the exercise-related information;
  - c. transmitting the calculated response to the web-enabled wireless phone.
9. (Previously Presented) The medium of claim 8, wherein the method further comprises:
  - a. enabling the web-enabled wireless phone to receive exercise-related information from a device; and

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b. transmitting to the web-enabled wireless phone a device application including a user interface on which the calculated response may be rendered.

10. (Previously Presented) The medium of claim 8, wherein the calculating a response includes calculating a response to assist a person in monitoring calorie expenditure, losing weight, or maintaining a healthy lifestyle.

11. (Previously Presented) The medium of claim 8, wherein the instructions further cause the web-enabled wireless phone to receive the exercise-related information via an adapter, the adapter to convert a received data signal to a suitable input for the web-enabled wireless phone.

12. (Previously Presented) The medium of claim 8, wherein the data indicating an amount of exercise performed by the subject is received from a device which is selected from the group consisting of: a pedometer, a treadmill, a stepper, an exercise cycle, an accelerometer, a rowing machine, physiotherapy equipment, an aerobic or anaerobic exercise device, and a device that monitors an amount of work or rate of work performed.

13. (Previously Presented) The medium of claim 8, wherein the receiving exercise-related information includes receiving exercise-related information over a wireless or a wired connection.

14. (Previously Presented) A web-enabled wireless phone, containing a computer-readable medium, the computer-readable medium comprising memory within a web-enabled wireless phone, the computer-readable medium comprising instructions for causing a processor in the web-enabled wireless phone to perform the method of claim 1.

15. (Previously Presented) A computer-readable medium, the computer-readable medium comprising memory within a web-enabled wireless phone, the computer-

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readable medium containing instructions for causing a processor in a web-enabled wireless phone to perform the method of claim 1.

16. (Previously Presented) The method of claim 1, further comprising downloading the application to the web-enabled wireless phone from a server.

17. (Previously Presented) The method of claim 4, wherein the wireless connection includes an infrared connection or a radio frequency communication protocol including a short-range wireless transmission scheme.

18. (Previously Presented) The method of claim 4, wherein the wired connection includes a USB connection, a cable, or a docking station.

19. (Currently Amended) The method of claim 17, wherein the short-range wireless transmission scheme includes 802.11 or ~~802.15~~ Bluetooth®.

20. (Previously Presented) The method of claim 1, wherein the data indicating a physiologic status of a subject is received from a device selected from the group consisting of: a heart rate monitor, a blood pressure monitor, a body temperature monitor, a respiratory monitor, a biofeedback device, an electronic body weight scale, and a body fat gauge.

21. (Previously Presented) The medium of claim 8, wherein the data indicating a physiologic status of a subject is received from a device which is selected from the group consisting of: a heart rate monitor, a blood pressure monitor, a body temperature monitor, a respiratory monitor, a biofeedback device, an electronic body weight scale, and a body fat gauge.

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## REMARKS

### Status of the Claims

Claims 1-4 and 6-21 are pending in the application. Claim 5 has been previously cancelled. Claims 1, 8, 14, and 15 are the independent claims. Claim 19 has been amended.

### General Remarks

Applicant notes the removal of certain objections and rejections due to Applicant's prior response. Applicant requests reconsideration of the remaining claims in light of the arguments provided below.

### Finality of Rejections

Applicant notes that the Examiner has made the current Office Action final as follows:

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

For the record, Applicant has reproduced a portion of this section of the MPEP below:

#### **706.07(a) Final Rejection, When Proper on Second Action [R-6]**

Due to the change in practice as affecting final rejections, older decisions on questions of prematurity of final rejection or admission of subsequent amendments do not necessarily reflect present practice.

Under present practice, second or any subsequent actions on the merits shall be final, except where the examiner introduces a new ground of rejection that is neither necessitated by applicant's amendment of the claims, nor based on information submitted in an information disclosure statement filed during the period set forth in 37 CFR 1.97(c) with the fee set forth in 37 CFR 1.17(p).

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In the present case, Applicant submits the finality of the Office Action is premature for at least two reasons. First, Applicant amended the claims in a way suggested by the Examiner as discussed in the telephonic interview held between the Applicant's representative and the prior Examiner (Michael C. Astorino) on March 8, 2010:

Substance of interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Participants discussed the previous office action including the IDS, 35 U.S.C. § 101, 35 U.S.C. § 112, 35 U.S.C. § 102, and double patenting rejections. The examiner provided suggestions to obviate the rejections and overcome the applied prior art.

Applicant submits that his attempt to meet the requirements of the Examiner should not be met with a Final Office Action, and that issuance of the same is in clear contravention to the Patent Office policy of compact prosecution. The amendments were of the type suggested by the Examiner in the telephonic interview, and merely clarified in a definitional way the two types of data previously claimed, as well as when such data are monitored. For this reason alone, the finality of the rejections should be withdrawn.

Even assuming, *arguendo*, that the above were not the case, Applicant submits that the rejections ought not be rendered final for other reasons. In particular, Office Actions are improperly final where the Examiner introduces a new ground of rejection that is not necessitated by applicant's amendment of the claims. In the current case, the Examiner introduced a new prior art reference, Root, that was not necessitated by Applicant's amendments of the claims.

For these reasons, Applicants respectfully submit that the finality of the rejections should be withdrawn.

#### Double Patenting Rejection

Claims 1-12 stand rejected under the judicially created doctrine of obviousness-type double patenting as being allegedly unpatentable over claims 3-6 and 33-43 of U.S. Patent No. 6,602,191. This rejection is traversed with respect to the claims as follows.

Applicant initially notes that he assumes the Examiner is referring to at least the current independent claims 1 and 8 in the current case. The recitation of claims 1-12 is confusing as there is no currently-pending claim 5. However, in the interest of compact

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prosecution, Applicant will assume the Examiner is at least referring to the above-noted independent claims (and claims 14 and 15 require the limitations of claim 1).

Independent claim 1 requires, among other limitations, receiving data indicating a physiologic status of a subject and receiving data indicating an amount of exercise performed by the subject, wherein at least one of the data indicating a physiologic status of a subject or the data indicating an amount of exercise performed by the subject is received from the device which provides exercise-related information, and wherein the data indicating a physiologic status of a subject is received at least partially while the subject is exercising. Independent claim 8 includes similar limitations, although on the server side.

Claims 3-6 and 33-43 of the '191 patent contain no such limitations about two types of data being received, nor that a physiologic status is received at least partially while a subject is exercising. Nor can the same be an obvious variant. Because of limitations in processing power, memory, and display capability with mobile phones at the time of the '191 patent, one of ordinary skill in the art would not have expected that two such types of data could be received and transmitted by a mobile phone. While voice communications were abundant and some data transmission was possible, the transmission of two data types in the way claimed would not have been obvious to one of ordinary skill in the art.

With regard to dependent claims, the same include even more distinguishing limitations.

For at least these reasons, Applicant submits that the double patenting rejections of the claims should be withdrawn.

**Claim Rejection Under 35 U.S.C. 112, first paragraph**

Claim 19 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. In particular:

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Claim 19 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The claim limitation "802.15" is new matter, not supported by the disclosure.

Applicant has amended the claim to recite Bluetooth® instead of 802.15. Support for Bluetooth® is provided in various locations in the specification, including, e.g., [0044], [0052], and [0092]. Bluetooth®, a term well-understood to one skilled in the relevant art, was used in the claims of all previous family patents included by reference. Consequently, the rejection of claim 19 on this basis should be withdrawn.

**Claim Rejections Under 35 U.S.C. 102 - Root**

Claims 1-4, 6-18 and 20-21 are rejected under 35 U.S.C. 102(e) as being anticipated by Root et al., U.S. Patent No. 6,013,007 ("Root"). Applicant respectfully traverses this rejection as follows.

Applicant first notes that Root is much like the devices disclosed by the Applicant in the Background section of the current application. See [0007] and [0010]. These generally relate to systems that employ ordinary phone lines, and which lack full back-end server functionality.

Applicant next notes that Root is not a wireless device. Root connects to a PC for downloading via a "standard telephone line" (see Figs. 7-9, 4:54-67, 5:66 – 6:41). The only communications disclosed in Root that have any wireless character at all are as follows: an AM/FM/TV radio which is only disclosed for entertainment purposes (see Fig. 1A and 4:40-48), not for wireless communications; a GPS receiver (see Figs. 2-6 and 4:4-26, 5:36-65, 7:29-50) to determine the position of the GPS antenna 301 and its current speed and direction; and, in one embodiment, an IR port 124 (Fig. 1B, 6, 7 and 6:1-2) allowing data communication between the device 101 and a local PC 701.

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Consequently, and with respect to all the claims, Root is deficient as an anticipatory reference because the same lacks teaching or disclosure of, at least, coupling a web-enabled wireless phone to a device which provides exercise-related information. That is, there is no wireless character nor is there web-enablement. As noted, the "radio" mentioned by the Examiner is AM/FM/TV radio module 607 which cannot send exercise-related information as claimed. The GPS system can only receive data, time signals, allowing geolocation. That is, the wireless GPS network 204 is not pertinent to such communications since the same is only employable to transmit radio signals to device 101 to determine location (7:29-40). The IR port cannot send exercise-related information to an internet server via a wireless network either.

Regarding web-enablement, the only such aspect even potentially inferable is using an external computer via the serial or infrared port or using a remote computer via modem. But this inference would defeat the purpose of having a mobile device. Accordingly, Applicant submits that to equate these with a web-enabled wireless phone is simply not supportable, especially as the clear purpose of the claimed device is to be carried and used while exercising without being limited to the location of a PC or telephone jack [0017]. In fact, Figs. 2-3 of Root show the user exercising with no connections indicated, and Figs. 7-9 show the monitor alone being connected to a PC or to a remote computer for data storage and analysis (4:54-64).

Applicant concurs that Root discloses that an "internet web site" may be employed to present performance data (8:64 – 9:9). But again Root only discloses PC-based browsers, not web-enabled wireless phones, and thus this disclosure deficient as an anticipatory reference against the claims.

Applicant submits that the equating of the claimed web-enabled wireless device with the device 101 in combination with the PC 701/801 same runs afoul of the MPEP 2131, which states that:

TO ANTICIPATE A CLAIM, THE REFERENCE MUST TEACH EVERY ELEMENT OF THE CLAIM

"A claim is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference." *Verdegaal Bros. v. Union Oil Co. of California*, 814



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F.2d 628, 631, 2 USPQ2d 1051, 1053 (Fed. Cir. 1987). "The identical invention must be shown in as complete detail as is contained in the ... claim." *Richardson v. Suzuki Motor Co.*, 868 F.2d 1226, 1236, 9 USPQ2d 1913, 1920 (Fed. Cir. 1989).

In this case, Root fails to teach use of a web-enabled wireless phone or anything like it, and thus Applicants respectfully submit that the novelty rejection based on this reference should be withdrawn.

Even assuming, *arguendo*, Root taught a web-enabled wireless phone, the reference is deficient as an anticipatory reference for even more reasons.

For example, with respect to claims 1, 14, and 15, Root fails to teach or disclose sending exercise-related information to an internet server via a wireless network (in the case of claim 8, receiving such information). Many of the ways in which this limitation is lacking in Root are analogous to the arguments above. In particular, Root discloses two ways of having their device 101 communicate with a PC 701/801. In one way, the reference employs an RJ-11 type telephone port 113 which connects an internal modem 613 via a standard telephone line to a remote computer 801, which is connected to the internet 803 by a modem bank 802 (Fig. 8 and 4:60-63 and 8:58-65). In the other way, the device 101 is connected to a PC 701 directly via a serial-type port 118 or an IR-type port 124 (Fig. 7 and 5:66 - 6:2). The PC 701 is then connected to the internet 803 via a modem 902 and standard telephone lines (Fig. 9 and 6:29-34). Notably, Root discloses other forms of communication too - but even where Root discloses these other forms, none of them are disclosed to be wireless (6:32-34).

Applicant submits that even if the PC were able to connect wirelessly, the reference would still be deficient as anticipatory because the device 101 is incapable of wireless communications to the internet in the absence of a PC (and such communications are clearly not the purpose of the IR port 124). The purpose of the invention would be completely defeated in this case, and thus such a reading would be impermissible.

The Root reference clearly is for storing data about exercise and then uploading the same at a later time via a standard telephone line, which is far different from real-time

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monitoring of exercise and physiological data and real-time uploading of the same via a web-enabled wireless phone, thus enabling the user to be free from being limited only to the location of a PC or telephone jack. So for this reason as well, Applicant submits the rejections of the independent claims should be withdrawn.

Even assuming, *arguendo*, Root was not deficient on this basis, the reference is deficient as an anticipatory reference for even more reasons.

For example, with respect to claim 1, 14, and 15, Root fails to teach receiving a calculated response from a server (with respect to claim 8, calculating a response and transmitting such a calculated response). Rather, what is termed a calculated response by the Examiner is actually a compiling of multiple users' data for purposes of marketing or the like. Information is sent to an Internet web site (8:64 – 9:9). The same is nowhere disclosed to be received by the web-enabled wireless phone since the Root device is not a proper forum for this information. The promotional or other such information appears to be sent to a user's computer, not the device carried or worn by a user. Applicant concurs that a subject may listen to such promotional items such as audio targeted advertising on the device 101, but only after the same has been downloaded to a computer 701/801 and transferred (9:21-30).

The reference is further deficient because no calculated response could conceivably be received, at least not one associated with a calculation based on the exercise-related information, since exercise-related information is not sent to an internet server during the time of exercise. In other words, data indicating an amount of exercise performed by the subject may be received in Root because of the GPS connection, but the same could not be sent to an internet server and a response received based on the sent data. The same is true of data indicating a physiologic status of a subject. In both cases, the same is only disclosed to be uploaded later via a standard telephone line, and consequently is not disclosed to be used in a calculated response to a web-enabled wireless device.

Even where Root discloses communicating other sorts of information to a subject, the same are not calculated responses from a server, but rather locally-derived information such as pre-set targets input by the subject.

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For all of these reasons as well, Applicant submits the rejections of the independent claims should be withdrawn.

The dependent claims are allowable for even more reasons. For example, with regard to claims 9 and 16, Root fails to disclose downloading applications to a web-enabled wireless phone from a server. Root fails to disclose adaptors, as required by claims 6 and 11. As Root fails to disclose web-enabled wireless phones, the reference is deficient in anticipating claims 14 and 15, which are directed to these.

**Claim Rejection Under 35 U.S.C. 103 - Root**

Claim 19 is rejected under 35 U.S.C. 103(a), as being unpatentable over Root as applied to claim 1 above. Applicant respectfully traverses this rejection.

Claim 19 refers to types of short-range wireless transmission schemes by which the web-enabled wireless phone receives exercise-related information, notably 802.11 or Bluetooth®. Root receives this information in device 101 in only one way that is wireless: GPS, which is in no way a short-range wireless transmission scheme. Root receives this information in device 101 in other ways, but they are all wired communications. It is true that Root discloses an IR port, but the same is for communications between the device 101 and the PC 701/801, not for transmitting data to the device 101. Since any structure or disclosure necessary to meet the claim limitation is lacking in Root, it cannot be obvious to include a Bluetooth® or 802.11 port as a means of receiving such exercise data, e.g., from physiological sensors or from exercise machines.

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**Conclusion**

Should the Examiner be of the view that an interview would expedite consideration of the application, request is made that the Examiner telephone the Applicants' attorney at (703) 433-0510 in order that any outstanding issues be resolved.

Respectfully submitted,

Dated: \_September 20, 2010  
Attorney for Applicant  
Mayer & Williams, PC  
251 North Avenue West, 2<sup>nd</sup> Floor  
Westfield, NJ 07090  
Tel.: 703-433-0510  
Fax: 703-433-2362

/Mark Wiczorek/  
Mark D. Wiczorek  
Registration No. 37,966