UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF INDIANA INDIANAPOLIS DIVISION

ELI LILLY AND COMPANY,)
Plaintiff,)
v.) No. 1:16-cv-00308-TWP-MPB
DR. REDDY'S LABORATORIES, LTD., DR. REDDY'S LABORATORIES, INC.,)))
Defendants.)))
DR. REDDY'S LABORATORIES, INC., DR. REDDY'S LABORATORIES, LTD.,)))
Counter Claimants,)))
v.)
ELI LILLY AND COMPANY,)
Counter Defendant)

ENTRY ON DEFENDANTS' MOTION TO AMEND PARAGRAPH 12 OF THE COURT'S ENTRY FOLLOWING PRETRIAL CONFERENCE

For the reasons stated below, the Court **grants in part**, Defendants Dr. Reddy's Laboratories, LTD. and Dr. Reddy's Laboratories, Inc. (collectively, "Dr. Reddy's") Motion to Amend Paragraph 12 of the Court's Entry Following Pretrial Conference (ECF. No. 212) (Filing No. 212). Paragraph 12 reads:

12. In its summary judgment ruling, the Court determined as a matter of law that prosecution history estoppel does not bar Lilly from asserting doctrine of equivalents. The parties agreed that evidence and the record submitted at summary judgment is identical to the record and evidence that would be presented at trial on this issue. Accordingly, no evidentiary presentation is required at trial on this issue.



Id. Dr. Reddy's argues that while Lilly agreed that its opposition to the summary judgment motion contained all the evidence Lilly would have elicited at trial on prosecution history estoppel, Dr. Reddy's made no concession that its evidence would be so limited. Dr. Reddy's proposes Paragraph 12 to read as follows:

12. In its summary judgment ruling, the Court determined as a matter of law that prosecution history estoppel does not bar Lilly from asserting doctrine of equivalents. Lilly stated that the evidence it would have elicited at trial on this issue is the same as that which it submitted on summary judgment.

Plaintiff, Eli Lilly and Company ("Lilly") opposes the motion to amend Paragraph 12 and does not agree with Dr. Reddy's position. (Filing No. 214).

The Court is not persuaded by Dr. Reddy's argument that it made no concession. At the pretrial conference, Lilly raised the question of clarification regarding the Court's summary judgment ruling on the prosecution history estoppel issue. (Filing No. 210 at 21). Dr. Reddy's offered the context in which this question arose, which largely deals with Dr. Reddy's concern that Lilly might argue on appeal that it did not get the chance to present evidence to carry Lilly's burden of rebutting the presumption of prosecution history estoppel because the Court decided this issue on summary judgment. *Id.* at 22. Therefore, Lilly would not be required to present any evidence on this issue during the trial, and could later strategically use this for a second bite at the apple. *Id.* at 23.

While the Court acknowledges that a significant portion of the dialogue focused on what Lilly might argue in the event of an appeal, the Court specifically asked Dr. Reddy's for its position

¹ In terms of your Honor's summary judgment ruling, Mr. Weiss and I both read you to have decided the prosecution history estoppel issue, that prosecution history estoppel does not bar Lilly from asserting doctrine of equivalents. And that is with the proviso that I agreed to with Mr. Weiss, that the evidence we would submit at trial is the same evidence we've already submitted at summary judgment and that there's no new evidence *anyone* intends to put in on the issue, and it's ultimately a question of law, not a question of fact. (Filing No. 210 at 21). (emphasis added).



on Lilly's repeated assertions that both parties had put in the evidence they wanted to put in on this issue.

MR. PERLMAN: -- I don't -- on that issue. So I don't -- I don't think either of us think there's more to do on that.

THE COURT: And you agree, that there's nothing more

that the parties would present?

MR. WEISS: Yeah. I think Mr. Perlman has addressed

my -- the real concern that I have --

THE COURT: Okay.

MR. WEISS: -- is that there would not be a second trial because Lilly says, "We didn't put our evidence in."

THE COURT: All right. So Lilly has stated for the

record that you have put your evidence in.

MR. PERLMAN: We have placed in the record the

evidence upon which we would rely.

THE COURT: All right. And both sides have done that and the Court has issued a ruling on this question of

law.

Filing No. 210 at 24.

Dr. Reddy's now asserts that it was not making a concession that it had put in all of their evidence on this issue. Although the time to have made that patently clear would have been when asked by the Court at the pretrial conference, the Court will accept Dr. Reddy's suggestion stated in its Reply, (Filing No. 215 at 5) and Paragraph 12 will be amended to state the following:

In its summary judgment ruling, the Court determined as a matter of law that prosecution history estoppel does not bar Lilly from asserting doctrine of equivalents. The parties agreed that evidence and the record submitted at summary judgment is identical to the record and evidence that would be presented at trial on this issue. However, the Court clarifies that (i) the record on the issue of prosecution history estoppel will include both the summary judgment record and the trial record, and (ii) both parties are permitted, but not required, to supplement the summary judgment record on the issue of prosecution history estoppel with appropriate trial evidence.

SO ORDERED.

Date: 1/12/2018

TANYA WALTON PRATT, JUDGE United States District Court Southern District of Indiana



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