AO 120 (Rev. 08/10)

TO:

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance filed in the U.S. Distr		U.S.C. § 1116 you are hereby advised that a country for the District of Delaware	rt action has been on the following
	Patents. (the patent action		on the following
DOCKET NO. 19-638-CFC	DATE FILED 4/5/2019	U.S. DISTRICT COURT for the District of De	elaware
PLAINTIFF GENENTECH, INC. and	CITY OF HOPE	DEFENDANT PFIZER INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR	TRADEMARK
1 9,441,035	9/13/2016	Genentech, Inc.	
2 9,714,293	7/25/2017	Genentech, Inc.	
3 9,795,672	10/24/2017	Genentech, Inc.	
4 9,884,904	2/6/2018	Hoffmann-La Roche Inc.	
5 10,010,611	7/3/2018	Genentech, Inc.	
	In the above—entitled case, the f	following patent(s)/ trademark(s) have been include	ded:
DATE INCLUDED	INCLUDED BY		
DATE INCLUDED	INCLUDED BY	dment	☐ Other Pleading
DATE INCLUDED PATENT OR TRADEMARK NO.		dment	
PATENT OR	☐ Amen DATE OF PATENT		
PATENT OR TRADEMARK NO.	☐ Amen DATE OF PATENT		<u> </u>
PATENT OR TRADEMARK NO.	☐ Amen DATE OF PATENT		<u> </u>
PATENT OR TRADEMARK NO.	☐ Amen DATE OF PATENT		<u> </u>
PATENT OR TRADEMARK NO. 1 2 3	☐ Amen DATE OF PATENT		
PATENT OR TRADEMARK NO. 1 2 3 4 5	☐ Amen DATE OF PATENT OR TRADEMARK		
PATENT OR TRADEMARK NO. 1 2 3 4 5	☐ Amen DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR	
PATENT OR TRADEMARK NO. 1 2 3 4 5	☐ Amen DATE OF PATENT OR TRADEMARK e—entitled case, the following de	HOLDER OF PATENT OR	

