# IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

FINJAN, INC.,	)
Plaintiff,	) )
v.	) C.A. No. 18-1519 (MN)
RAPID7, INC., et al.,	)
Defendants.	)

#### **MEMORANDUM ORDER**

At Wilmington this 5th day of February 2020:

IT IS HEREBY ORDERED that the claim terms of U.S. Patent Nos. 8,677,494 ("'494 Patent"), 8,079,086 ("'086 Patent"), 7,975,305 ("'305 Patent"), and 7,613,918 ("'918 Patent") with agreed-upon constructions are construed as follows (*see* D.I. 116 at Exhibit 1):

- 1. "Downloadable[s]" means "an executable application program, which is downloaded from a source computer and run on a destination computer" ('494 Patent, claim 10; '086 Patent, claims 1, 17, 41, & 42);
- 2. "Destination computer" means "separate computer receiving the [appended downloadable / Downloadable and a representation of the Downloadable security profile data]" ('086 Patent, claims 1, 17, 24, 41, & 42);
- 3. "Database" means "a collection of interrelated data organized according to a database schema to serve one or more applications" ('494 Patent, claim 10; '305 Patent, claims 1, 13, & 25; '086 Patent, claims 41 & 42);
- 4. "Parse tree" means "a hierarchical structure of interconnected nodes built from scanned content" ('408 Patent, claims 1, 22, & 35);
- 5. "Code-A" means "potentially malicious executable code" ('918 Patent, claims, 1, 12, 22, 28, & 33);
- 6. "Code-B" means "executable wrapper code" ('918 Patent, claims 1, 22, 28, & 33);



- 7. "Code-C" means "combined code" ('918 Patent, claims 1, 12, 22, 28, & 33); and
- 8. "Security context" means "an environment in which a software application is run, which may limit resources that the application is permitted to access or operations that the application is permitted to perform" ('918 Patent, claims 1, 12, 22, 28, & 33).

Further, as announced at the hearing on January 22, 2020, IT IS HEREBY ORDERED that the disputed claim terms of the '494 Patent, '086 Patent, '305 Patent, '918 Patent, and U.S. Patent Nos. 8,141,154 ("'154 Patent") and 8,225,408 ("'408 Patent") are construed as follows:

- 1. "Downloadable scanner" means "software that decomposes code using conventional parsing techniques to identify suspicious patterns or suspicious computer operations" ('494 Patent, claim 10; '086 Patent, claim 24);
- 2. "list of suspicious computer operations [that may be attempted by]" means "list of computer operations derived from a received Downloadable that are deemed hostile or potentially hostile" ('494 Patent, claim 10; '086 Patent, claims 1, 17, 24, 41, & 42);
- 3. "appended Downloadable" means "downloadable with a representation of the Downloadable security profile data attached" ('086 Patent, claim 1);
- 4. "first function" / "second function" shall have its plain and ordinary meaning and no construction is necessary ('154 Patent, claims 1, 4, 6, & 10);
- 5. "content processor" means "a processor that processes content" ('154 Patent, claims 1 & 6);
- 6. "a call to a first function" means "a statement or instruction in the content, the execution of which causes the function to provide a service" ('154 Patent, claims 1, 4, 6, & 10);
- 7. "a rule-based content scanner" means "a scanner that is able to adapt itself dynamically to scan a specific type of content" ('305 Patent, claim 1);
- 8. "patterns of types of tokens" means "patterns of categories of tokens" ('305 Patent, claims 1, 13, & 25);
- 9. "scanner" means "a scanner that is able to adapt itself dynamically to scan a specific type of content" ('408 Patent, claims 1 & 22);



- 10. "parser rules" means "patterns of tokens that form syntactical constructs of program code" ('408 Patent, claims 1 & 22);
- 11. "dynamically building" shall have its plain and ordinary meaning, "non-static building" ('408 Patent, claims 1, 22, 29, & 35);
- 12. "dynamically detecting" shall have its plain and ordinary meaning, "non-static detecting" ('408 Patent, claims 1, 22, 29, & 35);
- 13. "incoming stream of program code" shall have its plain and ordinary meaning ('408 Patent, claims 1, 22, 29, & 35);
- 14. "instantiating, by the computer, a scanner for the specific programming language / instantiating a scanner for the specific programming language" means "generating or requesting a scanner that can scan the specific programming language" ('408 Patent, claims 1 & 22);
- 15. "executable wrapper code / wrapper executable code" means "executable code that wraps another executable" ('918 Patent, claims 1, 12, 22, 28, & 33);
- 16. "computer account(s)" shall have its plain and ordinary meaning, "computer account(s)" ('918 Patent, claims, 1, 12, 22, 28, & 33);
- 17. "combining" / "combine" shall have its plain and ordinary meaning ('918 Patent, claim 1).

The parties briefed the issues (*see* D.I. 76) and submitted an appendix that included briefing and orders from numerous related cases and proceedings (*see* D.I. 77). The parties also submitted a Joint Claim Construction Chart containing intrinsic evidence (*see* D.I. 55-58). The Court carefully reviewed all submissions in connection with the parties' contentions regarding the disputed claim terms, heard oral argument (*see* D.I. 118, 121), and applied the following legal standards in reaching its decision.

### **LEGAL STANDARDS**

"[T]he ultimate question of the proper construction of the patent [is] a question of law," although subsidiary fact-finding is sometimes necessary. *Teva Pharms. USA, Inc. v. Sandoz, Inc.*, 574 U.S. 318, 325-27 (2015). "[T]he words of a claim are generally given their ordinary and



customary meaning [which is] the meaning that the term would have to a person of ordinary skill in the art in question at the time of the invention, i.e., as of the effective filing date of the patent application." *Phillips v. AWH Corp.*, 415 F.3d 1303, 1312-13 (Fed. Cir. 2005) (en banc) (internal citations and quotation marks omitted). Although "the claims themselves provide substantial guidance as to the meaning of particular claim terms," the context of the surrounding words of the claim also must be considered. *Id.* at 1314. "[T]he ordinary meaning of a claim term is its meaning to the ordinary artisan after reading the entire patent." *Id.* at 1321 (internal quotation marks omitted).

The patent specification "is always highly relevant to the claim construction analysis . . . [as] it is the single best guide to the meaning of a disputed term." *Vitronics Corp. v. Conceptronic, Inc.*, 90 F.3d 1576, 1582 (Fed. Cir. 1996). It is also possible that "the specification may reveal a special definition given to a claim term by the patentee that differs from the meaning it would otherwise possess. In such cases, the inventor's lexicography governs." *Phillips*, 415 F.3d at 1316. "Even when the specification describes only a single embodiment, [however,] the claims of the patent will not be read restrictively unless the patentee has demonstrated a clear intention to limit the claim scope using words or expressions of manifest exclusion or restriction." *Hill-Rom Servs., Inc. v. Stryker Corp.*, 755 F.3d 1367, 1372 (Fed. Cir. 2014) (internal quotation marks omitted) (quoting *Liebel-Flarsheim Co. v. Medrad, Inc.*, 358 F.3d 898, 906 (Fed. Cir. 2004)).

In addition to the specification, a court "should also consider the patent's prosecution history, if it is in evidence." *Markman v. Westview Instruments, Inc.*, 52 F.3d 967, 980 (Fed. Cir. 1995) (en banc), *aff'd*, 517 U.S. 370 (1996). The prosecution history, which is "intrinsic evidence, . . . consists of the complete record of the proceedings before the [Patent and Trademark Office] and includes the prior art cited during the examination of the patent." *Phillips*, 415 F.3d at 1317.



"[T]he prosecution history can often inform the meaning of the claim language by demonstrating how the inventor understood the invention and whether the inventor limited the invention in the course of prosecution, making the claim scope narrower than it would otherwise be." *Id*.

In some cases, courts "will need to look beyond the patent's intrinsic evidence and to consult extrinsic evidence in order to understand, for example, the background science or the meaning of a term in the relevant art during the relevant time period." Teva, 574 U.S. at 331. Extrinsic evidence "consists of all evidence external to the patent and prosecution history, including expert and inventor testimony, dictionaries, and learned treatises." Markman, 52 F.3d at 980. Expert testimony can be useful "to ensure that the court's understanding of the technical aspects of the patent is consistent with that of a person of skill in the art, or to establish that a particular term in the patent or the prior art has a particular meaning in the pertinent field." Phillips, 415 F.3d at 1318. Nonetheless, courts must not lose sight of the fact that "expert reports and testimony [are] generated at the time of and for the purpose of litigation and thus can suffer from bias that is not present in intrinsic evidence." Id. Overall, although extrinsic evidence "may be useful to the court," it is "less reliable" than intrinsic evidence, and its consideration "is unlikely to result in a reliable interpretation of patent claim scope unless considered in the context of the intrinsic evidence." *Id.* at 1318-19. Where the intrinsic record unambiguously describes the scope of the patented invention, reliance on any extrinsic evidence is improper. See Pitney Bowes, Inc. v. Hewlett-Packard Co., 182 F.3d 1298, 1308 (Fed. Cir. 1999) (citing Vitronics, 90 F.3d at 1583).

#### I. THE COURT'S RULING

The Court's rulings regarding the disputed claim terms of the '494, '086, '305, '918, '154, and '408 Patents were announced from the bench at the hearing as follows:

Before we start[], I wanted to give you my rulings on terms that we argued last week. So in this case, we have six patents and nineteen



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