

EXHIBIT D

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

L'OREAL USA, INC.
Petitioner

v.

UNIVERSITY OF MASSACHUSETTS
Patent Owner

IPR2018-00779
Patent No. 6,645,513

PATENT OWNER MANDATORY NOTICES UNDER 37 C.F.R. § 42.8

Pursuant to 37 C.F.R. § 42.8, Patent Owner, University of Massachusetts (“UMass”), hereby timely submits the following Mandatory Notices in response to the Petition for *Inter Partes* Review of U.S. Patent No. 6,645,513 (the “’513 Patent”), which Petitioner, L’Oréal USA, Inc. (“L’Oréal”) purports to have served on March 20, 2018.

1. Real Party-In-Interest under 37 C.F.R. § 42.8(b)(1)

UMass is the Patent Owner and the Real Party-In-Interest. The University of Massachusetts Medical School is located at UMass’ Worcester, MA campus. Carmel Laboratories, LLC is the exclusive licensee of the ’513 Patent and a subsidiary of Teresian Carmelites, Inc.¹

2. Related Matters under 37 C.F.R. § 42.8(b)(2)

The ’513 Patent is the subject of a litigation proceeding in *University of Massachusetts Medical School and Carmel Laboratories, LLC v. L’Oréal S.A. and L’Oréal USA, Inc.*, Civil Action No. 17-cv-868-JFB-SRF in the United States District Court for the District of Delaware. U.S. Patent No. 6,423,327 (the “’327 Patent”) is the parent of the ’513 Patent, and is the subject of the same litigation proceeding, as well as IPR2018-00778.

¹ A confirmatory license to National Institutes of Health (NIH), U.S. Dept. of Health and Human Services (DHHS), U.S. Government was recorded with the USPTO on April 28, 2008, pursuant to 37 C.F.R. § 401.14.

3. Lead and Back-Up Counsel, under 37 C.F.R. § 42.8(b)(3)

Patent Owner designates the following lead and back-up counsel:

Lead Counsel	Backup Counsel
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4. Service Information under 37 C.F.R. § 42.8(b)(4)(i) et seq.

Please address all correspondence and service to counsel as listed above.

Patent owner consents to service by email at mlowrie@foley.com;

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Dated: April 10, 2018

/Matthew A. Ambros/

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