Exhibit B

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1.3.1. Protocol Hierarchies

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To reduce their design complexity, most networks are organized as a series of **layers** or **levels**, each one built upon the one below it. The number of layers, the name of each layer, the contents of each layer, and the function of each layer differ from network to network. However, in all networks, the purpose of each layer is to offer certain services to the higher layers, shielding those layers from the details of how the offered services are actually implemented.

NETWORK SOFTWARE

Layer n on one machine carries on a conversation with layer n on another machine. The rules and conventions used in this conversation are collectively known as the layer n protocol. Basically, a protocol is an agreement between the communicating parties on how communication is to proceed. As an analogy, when a woman is introduced to a man, she may choose to stick out her hand. He, in turn, may decide either to shake it or kiss it, depending, for example, on whether she is an American lawyer at a business meeting or a European princess at a formal ball. Violating the protocol will make communication more difficult, if not impossible.

A five-layer network is illustrated in Fig. 1-9. The entities comprising the corresponding layers on different machines are called **peers**. In other words, it is the peers that communicate using the protocol.



Fig. 1-9. Layers, protocols, and interfaces.

In reality, no data are directly transferred from layer n on one machine to layer n on another machine. Instead, each layer passes data and control

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INTRODUCTION

are free to change their protocols at will, provided they do not change the service visible to their users. In this way, the service and the protocol are completely decoupled.

An analogy with programming languages is worth making. A service is like an abstract data type or an object in an object-oriented language. It defines operations that can be performed on an object but does not specify how these operations are implemented. A protocol relates to the *implementation* of the service and as such is not visible to the user of the service.

Many older protocols did not distinguish the service from the protocol. In effect, a typical layer might have had a service primitive SEND PACKET with the user providing a pointer to a fully assembled packet. This arrangement meant that all changes to the protocol were immediately visible to the users. Most network designers now regard such a design as a serious blunder.

1.4. REFERENCE MODELS

Now that we have discussed layered networks in the abstract, it is time to look at some examples. In the next two sections we will discuss two important network architectures, the OSI reference model and the TCP/IP reference model.

1,4.1. The OSI Reference Model

The OSI model is shown in Fig. 1-16 (minus the physical medium). This model is based on a proposal developed by the International Standards Organization (ISO) as a first step toward international standardization of the protocols used in the various layers (Day and Zimmermann, 1983). The model is called the ISO OSI (Open Systems Interconnection) Reference Model because it deals with connecting open systems—that is, systems that are open for communication with other systems. We will usually just call it the OSI model for short.

The OSI model has seven layers. The principles that were applied to arrive at the seven layers are as follows:

- 1. A layer should be created where a different level of abstraction is needed.
- 2. Each layer should perform a well defined function.
- 3. The function of each layer should be chosen with an eye toward defining internationally standardized protocols.
- 4. The layer boundaries should be chosen to minimize the information flow across the interfaces.
- 5. The number of layers should be large enough that distinct functions need not be thrown together in the same layer out of necessity, and small enough that the architecture does not become unwieldy.

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REFERENCE MODELS

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Below we will discuss each layer of the model in turn, starting at the bottom layer. Note that the OSI model itself is not a network architecture because it does not specify the exact services and protocols to be used in each layer. It just tells what each layer should do. However, ISO has also produced standards for all the layers, although these are not part of the reference model itself. Each one has been published as a separate international standard.





The Physical Layer

The **physical layer** is concerned with transmitting raw bits over a communication channel. The design issues have to do with making sure that when one side sends a 1 bit, it is received by the other side as a 1 bit, not as a 0 bit. Typical

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