IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

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) Civil Action No. 15-542-JFB-SRF
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) Civil Action No. 15-543-JFB-SRF
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) Civil Action No. 15-544-JFB-SRF
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EVOLVED WIRELESS, LLC,)
Plaintiff,))
V.) Civil Action No. 15-545-JFB-SRF
SAMSUNG ELECTRONICS CO., LTD. and SAMSUNG ELECTRONICS AMERICA, INC.)))
Defendants.)))
EVOLVED WIRELESS, LLC,))
Plaintiff,))
V.) Civil Action No. 15-546-JFB-SRF
ZTE (USA) INC.,))
Defendant.)))
EVOLVED WIRELESS, LLC,))
Plaintiff,))
V.) Civil Action No. 15-547-JFB-SRF
MICROSOFT CORPORATION, MICROSOFT MOBILE OY and NOKIA INC.,)))
Defendants.	<i>)</i>)

This matter is before the court on defendant Apple Inc.'s ("Apple") motion for a summary judgment of non-infringement, D.I. 193.¹ These are actions for patent

All docket items ("D.I.") refer to Civil Action No. 15-542-JFB-SRF unless otherwise stated. Corresponding motions in the related cases are: D.I. 207 in *Evolved Wireless LLC* ("Evolved") v. HTC Corp. and HTC Am., Inc. ("HTC"), 1:15cv543; D.I. 182 in *Evolved v. Motorola Mobility, LLC and Lenovo Corp.* ("Motorola"), 1:15cv544; D.I. 223 in *Evolved v. Samsung Elecs. Co., Samsung Elecs. Am. Inc.*,



infringement pursuant 35 U.S.C. § 101, et seq. This Court has jurisdiction under 28 U.S.C. § § 1332, 1331, 1332, 1338(a), 1367, and 2201. The above-captioned cases have been consolidated for discovery.

Plaintiff Evolved Wireless, LLC ("Evolved") alleges infringement of certain claims of United States Patent Nos. 7,809,373 ('the '373 patent") and 7,881,236 ("the '236 patent"), which involve LTE wireless communication systems.² Apple and the defendants in related cases (collectively, "the defendants") move for a summary judgment of noninfringement. They contend that the record shows they do not infringe the asserted claims of the '373 patent and the '236 patent as a matter of law.

I. FACTS

A. Background

Relevant background and facts are set out in the Court's previous orders and will be repeated only as necessary to the Court's opinion. Briefly, the '373 Patent contains independent claims covering methods for performing a "handover" of a terminal to a target base station, that is, of "transmitting" and "receiving" "access information" performed by the source base station (claim 1), the target base station (claim 8), and the mobile device (claim 15). Additionally, claims 24 and 25 are directed at "mobile terminals," or mobile devices, capable of performing the claimed handover. The inventions claimed in the '373 Patent are directed to an improved handover of a mobile device from one base station to another by using the claimed "dedicated preamble." A

² Evolved has asserted claims 15 through 21 and 23 through 25 (covering the operation of mobile devices) against all defendants, as well as claims 1 through 10, 12, and 13 (covering the operation of base stations) against the Samsung defendants.



^{(&}quot;Samsung"), 1:15cv545; D.I. 196 in Evolved v. ZTE (USA) Corp. ("ZTE"), 1:15cv546; and D.I. 197 in Evolved v. Microsoft Corp. ("Microsoft"), 1:15cv547.

preamble is an identifier used, for example, to synchronize and identify a mobile device to a base station. D.I. 245, Ex. 3, '373 Patent at 2, 6. In prior art communications systems, preambles had been randomly selected. The claims of the '373 Patent address the problem of disruption of the handover between base stations that results when more than one mobile device selects a preamble at the same time. *Id.* at 6. The '373 Patent solved this problem by communicating a "previously defined signature"—i.e., the claimed "dedicated preamble"—prior to the handover procedure. *Id.*

The '236 Patent relates to the improved random-access procedure incorporated into the LTE telecommunications standard. The random-access procedure is used to establish communications between a mobile device and a base station and consist of four message exchanges between the base station and mobile device. The asserted claims of the '236 Patent ensure that the right data is transmitted in the third of those four messages.

B. Parties' Contentions

1. Claim Construction

As a threshold matter, the defendants' motion is based in part on arguments involving the claim language "preamble," "dedicated preamble" and "preamble index" in the '373 Patent and interpretation of the word "if" in the '236 Patent. Those arguments are also addressed as an "additional matter" in the Proposed Pretrial Order (D.I. 448) in this case. In the parties' Proposed Pretrial Order, Apple contends that there are two claim construction issues that will require resolution before the jury hears the case. Apple states that there is a fundamental dispute regarding the meaning of "dedicated preamble" in the asserted claims of the '373 patent—namely, whether the scope of the



term excludes a preamble index. It also contends that there is a fundamental dispute regarding the meaning of "if" in certain limitations of the asserted claims of the '236 patent based on Evolved's representations about the scope of the claims in *inter partes* review ("IPR") proceedings. It contends that both issues arose after the Court issued its claim construction order.

Evolved asserts there is no such dispute on claim construction and further contends that Apple has waived the issue by not raising it earlier. Evolved contends that the defendants' experts understand the full scope of these terms and applied the full breadth of that understanding in their Invalidity Reports. It argues that the defendants should be precluded from arguing a more limited construction than that considered by the experts.

2. Infringement

With respect to the '373 patent, the defendants argue that Evolved amended the asserted claims of the '373 patent during prosecution to require transmitting "a dedicated preamble used only for a specific terminal" from a base station to a terminal in order to overcome the prior art. They contend that, in a related but unasserted patent, United States Patent No. 8,219,097 ("the '097 Patent"), Evolved separately claimed transmitting "an index of the dedicated preamble." They argue that construing "dedicated preamble" in the '373 patent to include a preamble index would render the limitation "an index of the dedicated preamble" in the '097 Patent redundant. They contend that because the '373 and '097 Patents share a common specification and use the same claim language in near-identical fashion, the term "dedicated preamble" must mean the same thing in both. Although the defendants concede that "dedicated



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