

MINUTES OF THE UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

Nuvasive, Inc.

VS

Alphatec Holdings, Inc.

Case Number: 18cv-347-CAB-MDD

EXHIBIT LIST

Jury Trial
 Plaintiff     Defendant     Court

No.	Date I.D.	Date Rec'vd	Description
DDX1	3/1/2022		Demonstratives used with Alphatec's Opening Statement
DDX2	3/8/2022		Demonstratives used with the Direct Examination of Dr. Barton Sachs
DDX3	3/9/2022		Demonstratives used with the Direct Examination of Dr. Charles Branch, Jr.
DDX4	3/8/2022		Demonstratives used with the Direct Examination of Dr. Keith Ugone
DDX5	3/10/2022		Demonstrative used with Alphatec's Closing Argument
DTX-111	3/9/2022	3/9/2022	IPR2013-00206, Declaration of Dr. Paul McAfee, M.D., (P.T.A.B. Mar. 21, 2013), dated 9/11/2012
DTX-112	3/9/2022	3/9/2022	IPR2013-00206, Corrected Petition, dated 4/3/2013
DTX-115	3/8/2022	3/9/2022	IPR2013-00206, Paper No. NuVasive 1030. Declaration of Dr. Robert E. Jacobson, M.D. (P.T.A.B. Mar.10, 2014), dated 3/10/2014
DTX-127	3/9/2022	3/9/2022	IPR2013-00206, Petitioner's Reply to Patent Owner's Response (U.S. Patent No. 8,251,997), dated 3/11/2014
DTX-142	3/10/2022	3/10/2022	Inter Partes Reexam for U.S. Patent No. 7,819,801, Declaration of Dr. Jim Youssef, dated 6/25/2012
DTX-231	3/7/2022	3/7/2022	eXtreme Lateral Interbody Fusion (XLIF®) 4 (J. Allan Goodrich and Ildemaro J. Volcan, eds., 1 <sup>st</sup> ed. 2008) ("XLIF® 1 <sup>st</sup> ed.") (colored version)

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DTX-237	3/9/2022	3/9/2022	eXtreme Lateral Interbody Fusion (XLIF®) 3 (J. Allan Goodrich and Ildemaro J. Volcan, eds., 2d ed. 2013) (colored version)
DTX-369	3/7/2022	3/7/2022	Engineering CAD Drawings, Battalion, LLIF Retractor Body, Rev. B, dated 6/29/2016
DTX-389	3/7/2022	3/7/2022	Engineering CAD Drawing
DTX-390	3/7/2022	3/7/2022	Engineering CAD Drawing
DTX-410	3/7/2022	3/7/2022	Press Release – Alphatec Spine Launches Battalion Lateral System with Squadron Retractor to Support Minimally Invasive Lateral Access Procedures, dated 4/7/2017
DTX-454	3/7/2022	Pgs. 1, 7, 14, 15, 21	Corporate Presentation re Alphatec Story, 2021
DTX-505	3/9/2022	3/9/2022	7,074,226 to Roehm (July 11, 2006) (“Roehm”)
DTX-506	3/9/2022	3/9/2022	U.S. Patent 5,797,909 to Michelson (Aug. 25, 1998) (“Michelson ‘909”)
DTX-515	3/9/2022	3/9/2022	W.A. Friedman and S.L. Kanter, The Lateral Percutaneous Approach to Discectomy, Int’l Radiology in Bond and Joint 149, 153-54 (1988) (“Friedman”)
DTX-516	3/9/2022	3/9/2022	Thomas Kossmann, et al., The Use of a Retractor System (SynFrame) for Open, Minimal Invasive Reconstruction of the Anterior Column of the Thoracic and Lumbar Spine, Eur. Spine J., 10:396-402 (2001) (Kossmann), dated 9/5/2001
DTX-518	3/9/2022	3/9/2022	U.S. Patent No. 5,171,279 (Mathews), dated 12/15/1992

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DTX-519	3/9/2022	3/9/2022	U.S. Patent No. 5,313,962 (Obenchain), dated 5/24/1994
DTX-520	3/9/2022	3/9/2022	U.S. Patent No. 5,681,265 (Maeda et al.), dated 10/28/1997
DTX-521	3/9/2022	3/9/2022	U.S. Patent No. 5,772,661 to Michelson (June 30, 1998) ("Michelson '661")
DTX-522	3/9/2022	3/9/2022	U.S. Patent No. 5,860,973 ("Michelson '973"), dated 1/19/1999
DTX-523	3/9/2022	3/9/2022	U.S. Patent No. 5,928,139 to Koros (July 27, 1999) (Koros '139")
DTX-524	3/9/2022	3/9/2022	U.S. Patent No. 6,945,933 (Sept. 20, 2005) (Branch)
DTX-526	3/9/2022	3/9/2022	WO 03/005887 to Blewett et al. (Jan. 23, 2003) (Blewett)
DTX-527	3/9/2022	3/9/2022	WO 01/37728 to Kelleher et al. (May 31, 2001) (Kelleher)
DTX-528	3/9/2022	3/9/2022	W.A. Friedman, Percutaneous Discectomy: An Alternative to Chemonucleolysis?, Neurosurgery, 13:542-547 (1983) ("Friedman (1983)")
DTX-529	3/9/2022	3/9/2022	Kanter and William A. Friedman, Percutaneous Discectomy: An Anatomical Study, Neurosurgery, 16(2):141—47 (1985) ("Kanter (1985)")
DTX-533	3/9/2022	3/9/2022	U.S. Patent No. 4,545,374 (Oct. 8, 1985) (Jacobson)
DTX-538	3/9/2022	3/9/2022	U.S. Patent No. 5,931,777 (Sava), dated 8/3/1999
DTX-543	3/9/2022	3/9/2022	European Patent No. 0951868 to Buttner-Janz and Keller (Oct. 27, 1099) ("Buttner-Janz")
DTX-544	3/9/2022	3/9/2022	European Patent No. 0951868 to Buttner-Janz and Keller (Oct. 27, 1099) ("Buttner-Janz")
DTX-548	3/9/2022	3/9/2022	U.S. Patent No. 5,792,044 to Foley et al. (Aug. 11, 1998) ("Foley '044")

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DTX-550	3/9/2022	3/9/2022	U.S. Patent No. 6,074,343 to Nathanson (Jun. 13, 2000) ("Nathanson")
DTX-555	3/9/2022	3/9/2022	U.S. Patent No. 6,174,311 ("Branch '311 patent"), dated 1/16/2001
DTX-557	3/9/2022	3/9/2022	U.S. Patent No. 6,575,899 to Foley (Jun. 10, 2003) ("Foley '899")
DTX-558	3/9/2022	3/9/2022	U.S. Patent No. 6,892,087 to Osypka (May 10, 2005) ("Osypka")
DTX-579	3/9/2022	3/9/2022	U.S. Patent Application Pub. No. 2003/0032966 ("Foley '996"), dated 2/12/2003
DTX-589	3/7/2022	Pgs. 1 - 3	25-27, 2003, dated 9/27/2003
DTX-591	3/9/2022	3/9/2022	U.S. Patent No. 8,251,997 entitled "Method for Inserting an Artificial Implant Between Two Adjacent Vertebrae Along a Coronal Plane," by Michelson, dated 8/28/2012
DTX-648	3/7/2022	3/7/2022	SEC Form 8-K of Alphatec Holdings, Inc., dated 9/1/2016
DTX-652	3/9/2022	3/9/2022	Alphatec Spine, A Leading Provider of Advanced Spinal Fusion Platforms and Systems Management Presentation
DTX-657	3/8/2022	3/8/2022	MaXcess, Is Minimal Access Surgery Minimizing Your Success? (2006)
DTX-684	3/4/2022		MaXcess Launch Guide (2011)

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DTX-688.B	3/2/2022	Pgs. 1 & 46	U.S. Commercial Matt Link May 16, 2017, Presentation, 5/16/2017
DTX-698	3/8/2022	3/8/2022	Email from Matt Link to Jim Grotting re XLIF Lost Adopter Analysis, dated 6/13/2017
DTX-720	3/2/2022	3/2/2022	Email from Matt Link re MaXcess V Update, dated 4/2/2019
DTX-722	3/2/2022	Pgs. 1, 13-16	NuVasive Lateral Single Position Surgery Presentation
DTX-736	3/8/2022	3/8/2022	Declaration of Matthew Link in Support of Motion for Preliminary Injunction Link (with Exhibits), dated 3/30/2018
DTX-741	3/8/2022	3/8/2022	Reply Declaration of Matthew Link in Support of Motion for Preliminary Injunction (with exhibits), dated 6/14/2018
DTX-884	3/2/2022	3/2/2022	2018 Performance Review Template for P. McClintock
DTX-893	3/2/2022	3/2/2022	Jim A. Youssef – Open Payment All Years (NuVasive)
DTX-914	3/8/2022	3/8/2022	Medtronic, Direct Lateral Interbody Fusion DLIF Surgical Guide
DTX-915	3/2/2022	3/2/2022	DePuySynthes – Insight Lateral Access System, 2016
DTX-969	3/9/2022	3/9/2022	Attachment 2 – Project Titan Analysis – Corporate Development, dated 2/13/2016

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