

MINUTES OF THE UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

Nuvasive, Inc.

VS

Alphatec Holdings, Inc.

Case Number: 18cv0347-CAB-MDD

EXHIBIT LIST

Jury Trial
 Plaintiff     Defendant     Court

No.	Date I.D.	Date Rec'vd	Description
PDX-1.1- PDX-1.87	3/1/2022		Plaintiff NuVasive's Opening Statement Demonstratives
PDX-2.1- PDX-2.5	3/1/2022		Plaintiff witness, Kyle Malone Direct Examination Demonstratives
PDX-3.1- PDX-3.4	3/2/2022		Plaintiff witness, Paul McClintock Direct Examination Demonstratives
PDX-4.1- PDX-4.99	3/2/2022		Plaintiff Expert, Dr. Jim Youssef Direct Examination Demonstratives
PDX-5.1- PDX-5.55	3/4/2022		Plaintiff Expert, Blake English Direct Examination Demonstratives
PDX-10	3/3/2022		Physical Demonstrative: Small Spine
PDX-11	3/3/2022		Physical Demonstrative: Vertebrae
PDX-12	3/3/2022		Physical Demonstrative: Implant Inserter
PDX-13	3/1/2022		Physical Demonstrative: "eXtreme Lateral Interbody Fusion (XLIF)" (2008), bound book
PDX-14	3/1/2022		Physical Demonstrative: "eXtreme Lateral Interbody Fusion (XLIF)" 2 <sup>nd</sup> Ed. (2013), bound book
PDX-15	3/3/2022		Physical Demonstrative: Second Small Spine
PDX-16	3/2/2022		Demonstrative – Enlarged Cover Page of PTX-2763 with Annotations by Kyle Malone
PDX-17	3/7/2022		Demonstrative – Video Slide of Alphatec Retractor Pivoting
PDX-18	3/7/2022		Demonstrative – Handheld Board Depicting NuVasive's XLIF System
PDX-19	3/7/2022		Demonstrative – Handheld Board Depicting Alphatec's Retractor System
PTX-3000	3/2/2022		Annotated Image of PTX-2763 (Demonstrative)

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PTX-1	3/3/2022	3/3/2022	U.S. Patent No. 8,439,832, entitled "Surgical Access System and Related Methods" by Patrick Miles et al., dated 5/14/2013
PTX-2	3/3/2022	3/3/2022	U.S. Patent No. 7,819,801, entitled "Surgical Access System and Related Methods" by Patrick Miles et al., dated 10/26/2010
PTX-3	3/3/2022	3/3/2022	U.S. Patent No. 9,974,531, entitled "Surgical Access System and Related Methods" by Patrick Miles et al., dated 5/22/2018
PTX-4	3/9/2022	3/9/2022	File History for U.S. Patent No. 8,439,832 (12/984,368) entitled "Surgical Access System and Related Methods" by Miles et al., dated 01/04/2011
PTX-29	3/7/2022	3/7/2022	Alphatec Spine's Traditional 510(k) Application – Expanded Indications for Use, Alphatec Battalion Universal Spacer System to U.S. Food and Drug Administration, dated 4/4/2016
PTX-36	3/8/2022	3/8/2022	Alphatec Press Release: Alphatec Holdings, Inc. Reports Third Quarter 2017 Financial Results, dated 11/9/2017
PTX-59	3/2/2022	3/2/2022	NuVasive's Brochure: XLIF Surgical Technique Guide, dated 2007
PTX-77	3/3/2022	3/3/2022	Alphatec Spine Arc Portal Access System GLIF (Guided Lumbar Interbody Fusion) Surgical Technique Guide, dated 2010
PTX-136	3/3/2022	3/3/2022	NuVasive Consulting Agreement Memo to Jason Hannon re SSAB Consulting Agreement for Dr. Jim Youssef/Durango Spine, LLLP, dated 3/27/2017
PTX-137	3/3/2022	3/3/2022	NuVasive Consulting Agreement Memo to Matt Link re SPS (Global) Consulting Agreement for Jim Youssef, M.D. (contracting as Durango Spine, LLLP), dated 10/26/2017

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PTX-167	3/9/2022	3/9/2022	Declaration of Patrick Miles in <i>Medtronic, Inc. v. NuVasive, Inc.</i> , IPR2014-00081 re '535 Patent, dated 7/8/2014
PTX-170	3/3/2022	3/3/2022	Ivan Cheng et al., <i>Outcomes of Two Different Techniques Using the Lateral Approach for Lumbar Interbody Arthrodesis</i> , <i>Global Spine Journal</i> , 5:308-314 (2015)
PTX-179	3/10/2022	3/10/2022	Non-final Action Closing Prosecution in Re-examination Control No. 95/001,889 re '801 Patent, dated 8/27/2012
PTX-184	3/3/2022	3/3/2022	Takatomo Moro et al., <i>An Anatomic Study of the Lumbar Plexus with Respect to Retroperitoneal Endoscopic Surgery</i> , <i>SPINE</i> , 28(5):423-428 (2003)
PTX-199	3/2/2022	3/2/2022	Gregory M. Malham et al., <i>Clinical Outcome and Fusion Rates After the First 30 Extreme Lateral Interbody Fusions</i> , <i>The Scientific World Journal</i> , Article ID 246989 (L. Pimenta et al., eds. 2012)
PTX-288	3/9/2022		Video of Alphatec Retractor
PTX-298	3/3/2022	3/3/2022	Juan Uribe et al., <i>Can Triggered Electromyography Monitoring throughout Retraction Predict Postoperative Symptomatic Neuroproxia After XLIF? Results from a Prospective Multicenter Trial</i> , <i>European Spine Journal</i> , 24 (Suppl. 3):5378-5385 (2015)
PTX-299	3/9/2022	3/9/2022	Declaration of Dr. Frank Phillips, M.D. in <i>Medtronic, Inc. v. NuVasive, Inc.</i> , IPR2014-00075 re '767 Patent, dated 7/8/2014
PTX-300	3/8/2022		Declaration of Barton L. Sachs in <i>NuVasive, Inc. v. Warsaw Orthopedic, Inc.</i> , IPR2013-00206 re '997 Patent, dated 12/20/2013

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PTX-325	3/4/2022	3/4/2022	Declaration of Kelli Howell in Support of Defendants' Opposition to Plaintiff's Motion for Preliminary Injunction, dated 5/17/2018
PTX-342	3/7/2022	3/7/2022	Alphatec's Design Review re Product Battalion LLIF (Lateral Lumbar Interbody Fusion) Retractor System by T. Hackathorn, dated 8/21/2017
PTX-344	3/7/2022	3/7/2022	Alphatec Slides: Direct Lateral, Lateral Retractor Systems Concepts & Key Features by J. Costabile, dated 2/12/2013
PTX-423	3/7/2022	3/7/2022	Alphatec Slides: Direct Lateral, NPD, Phase 1 (Project Initiation), by Derek Kuyper and Jon Costabile, dated 4/18/2013
PTX-428	3/3/2022	3/3/2022	Physical Exhibit: MaXcess Products – MaXcess Light Cable
PTX-430	3/2/2022	3/2/2022	Physical Exhibit: MaXcess Products – MaXcess IV Retractor (articulating version)
PTX-431	3/3/2022	3/3/2022	Physical Exhibit: MaXcess Products – MaXcess 4 Fourth Blade
PTX-432	3/2/2022	3/2/2022	Physical Exhibit: MaXcess Products – MaXcess 4 Shim
PTX-433	3/3/2022	3/3/2022	Physical Exhibit: MaXcess Products – MaXcess 4 Screw Driver for removal/replacement of right and left blades
PTX-434	3/2/2022	3/2/2022	Physical Exhibit: MaXcess Products – K-Wire
PTX-435	3/2/2022	3/2/2022	Physical Exhibit: MaXcess Products – Sequential Dilator (6 mm, 9 mm and 12 mm)
PTX-436	3/3/2022	3/3/2022	Physical Exhibit: MaXcess Products – MaXcess III
PTX-437	3/3/2022	3/3/2022	Physical Exhibit: MaXcess Products – MaXcess III, Solid
PTX-438	3/3/2022	3/3/2022	Physical Exhibit: MaXcess Products – MaXcess II Retractor
PTX-439	3/3/2022	3/3/2022	Physical Exhibit: MaXcess Products – MaXcess I Retractor

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PTX-441	3/2/2022	3/2/2022	Physical Exhibit: Zero-Profile Shim Inserter
PTX-454	3/2/2022	3/2/2022	6/1/2018 Howell Depo Ex. 5: eXtreme Lateral Interbody Fusion (XLIF) – Second Edition
PTX-497	3/3/2022	3/3/2022	10/29/2019 Robinson Depo Ex. 8: Alphatec's Battalion Lateral Lumbar Spacer System – Thoracolumbar Surgical Technique Guide, dated 1/5/2017
PTX-499	3/4/2022	3/4/2022	10/29/2019 Robinson Depo Ex. 10: 4/17/2013 Alphatec Market Need/Assessment Request (Direct Lateral) by Derek Kuyper
PTX-501	3/2/2022	3/2/2022	10/29/2019 Robinson Depo Ex. 12: 6/16/2014 Slides: Alphatec Lateral Lumbar Interbody Fusion System – Market Need/Business Case PH:1
PTX-513	3/4/2022	3/4/2022	11/5/2019 Judd Depo Ex. 11:01/22/2016 Nonexclusive Patent License Agreement by and between Warsaw Orthopedic and Alphatec Spine
PTX-531	3/4/2022	3/4/2022	12/19/2019 Aleali Depo Ex. 6: Alphatec Integrated Project Charter – Lateral Lumbar Interbody Fusion (LLIF), dated 7/14/2014
PTX-534	3/2/2022	3/2/2022	11/15/2019 Finley Depo Ex. 8: NuVasive's MaXcess XLIF 90 Degree Surgical Technique
PTX-559	3/9/2022		12/19/2019 Miles Depo Ex. 9: 7/8/2014 Declaration of Patrick Miles in Medtronic v. NuVasive (IPR2014-00075, U.S. Patent 8,016,767)

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