

EXHIBIT 3

PART 1 OF 2

TO THE DECLARATION OF BRIAN J.
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Jonathan D. Spangler

**NON-PROVISIONAL APPLICATION
FOR UNITED STATES LETTERS PATENT**

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SYSTEMS AND METHODS FOR SPINAL FUSION

By Inventors:

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MATTHEW CURRAN, a citizen of the United States
Residing in San Diego, California

MARK PETERSON, a citizen of the United States
Residing in Medford, Oregon

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SYSTEMS AND METHODS FOR SPINAL FUSION

CROSS-REFERENCE TO RELATED APPLICATION

This application claims the benefit of the filing date under 35 USC 119(e) of United States Provisional Application entitled “Systems and Methods for Spinal Fusion,” serial No. 60/557,536 filed March 29, 2004, the entire contents of which are incorporated herein by reference.

BACKGROUND OF THE INVENTION

I. Field of the Invention

The present invention relates generally to spinal surgery and, more particularly, to a system and method for spinal fusion comprising a spinal fusion implant of non-bone construction releasably coupled to an insertion instrument dimensioned to introduce the spinal fusion implant into any of a variety of spinal target sites.

II. Discussion of the Prior Art

Currently there are nearly 500,000 spine lumbar and cervical fusion procedures performed each year in the United States. Such procedures are commonly performed to correct problems, such as chronic back or neck pain, which result from degenerated intervertebral discs or trauma. Generally, spinal fusion procedures involve removing some or all of the diseased or damaged disc, and inserting one or more intervertebral implants into the resulting disc space. Introducing the intervertebral implant serves to restore the height between adjacent vertebrae

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10 (“disc height”), which reduces if not eliminates neural impingement commonly associated with a damaged or diseased disc.

Autologous bone grafts are widely used intervertebral implant for lumbar fusion.

5 Autologous bone grafts are obtained by harvesting a section of bone from the iliac crest of the patient and thereafter implanting the article of autologous bone graft to effect fusion. While generally effective, the use of autologous bone grafts suffers certain drawbacks. A primary drawback is the morbidity associated with harvesting the autologous graft from the patient’s iliac crest. Another related drawback is the added surgical time required to perform the bone-
10 harvesting.

Allograft bone grafts have been employed with increased regularity in an effort to overcome the drawbacks of autologous bone grafts. Allograft bone grafts are harvested from cadaveric specimens, machined, and sterilized for implantation. While allograft bone grafts
15 eliminate the morbidity associated with iliac crest bone harvesting, as well as decrease the overall surgical time, they still suffer certain drawbacks. A primary drawback is supply constraint, in that the tissue banks that process and produce allograft bone implants find it difficult to forecast allograft given the inherent challenges in forecasting the receipt of cadavers. Another related drawback is that it is difficult to manufacture the allograft with consistent shape and strength
20 characteristics given the variation from cadaver to cadaver.

The present invention is directed at overcoming, or at least improving upon, the disadvantages of the prior art.

SUMMARY OF THE INVENTION

The present invention overcomes the drawbacks of the prior art by providing a spinal
5 fusion system and related methods involving the use of a spinal fusion implant of non-bone
construction. The non-bone construction of the spinal fusion implant of the present invention
overcomes the drawbacks of the prior art in that it is not supply limited (as with allograft) and
does not require harvesting bone from the patient (as with autograft). The spinal fusion implant
of the present invention may be comprised of any suitable non-bone composition, including but
10 not limited to polymer compositions (e.g. poly-ether-ether-ketone (PEEK) and/or poly-ether-
ketone-ketone (PEKK)), ceramic, metal or any combination of these materials.

The spinal fusion implant of the present invention may be provided in any number of
suitable shapes and sizes depending upon the particular surgical procedure or need. The spinal
15 fusion implant of the present invention may be dimensioned for use in the cervical and/or lumbar
spine without departing from the scope of the present invention. For lumbar fusion, the spinal
fusion implant of the present invention may be dimensioned, by way of example only, having a
width ranging between 9 and 18 mm, a height ranging between 8 and 16 mm, and a length
ranging between 25 and 45 mm. For cervical fusion, the spinal fusion implant of the present
20 invention may be dimensioned, by way of example only, having a width about 11 mm, a height
ranging between 5 and 12 mm, and a length about 14 mm.

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