# EXHIBIT 2 [FILED UNDER SEAL]

#### Case 5:18-md-02834-BLF Document 550-3 Filed 10/25/19 Page 2 of 12 1 MICHAEL A. SHERMAN (SBN 94783) masherman@stubbsalderton.com JEFFREY F. GERSH (SBN 87124) igersh@stubbsalderton.com SANDEEP SETH (SBN 195914) sseth@stubbsalderton.com WESLEY W. MONROE (SBN 149211) wmonroe@stubbsalderton.com 5 STANLEY H. THOMPSON, JR. (SBN 198825) sthompson@stubbsalderton.com VIVIÂNA BOERO HEDRICK (SBN 239359) vhedrick@stubbsalderton.com STUBBS, ALDERTON & MARKILES, LLP 15260 Ventura Blvd., 20th Floor Sherman Oaks, CA 91403 Telephone: (818) 444-4500 Facsimile: (818) 444-4520 9 10 Attorneys for PersonalWeb Technologies, LLC 11 UNITED STATES DISTRICT COURT 12 NORTHERN DISTRICT OF CALIFORNIA SAN JOSE DIVISION 13 14 IN RE PERSONAL WEB TECHNOLOGIES, CASE NO.: 5:18-md-02834-BLF LLC, ET AL., PATENT LITIGATION 15 16 AMAZON.COM, INC. and AMAZON WEB Case No.: 5:18-cv-00767-BLF SERVICES. INC.. 17 **DECLARATION OF ERIK LA IGLESIA** Plaintiffs, 18 IN SUPPORT OF PERSONALWEB TECHNOLOGIES, LLC'S NONv. 19 OPPOSITION TO AMAZON.COM, INC. AND AMAZON WEB SERVICES, INC.'S PERSONALWEB TECHNOLOGIES, LLC, 20 MOTION FOR SUMMARY JUDGMENT and LEVEL 3 COMMUNICATIONS, LLC, OF NONINFRINGEMENT AND 21 Defendants. OPPOSITION TO MOTION **REGARDING STANDING** 22 Trial Date: March 16, 2020 23 PERSONALWEB TECHNOLOGIES, LLC and LEVEL 3 COMMUNICATIONS, LLC, 24 Counterclaimants, 25 v. 26 AMAZON.COM, INC. and AMAZON WEB SERVICES, INC., 27 Counterdefendants.



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- 1. I am over the age of eighteen (18) and make this declaration of my own personal knowledge, under penalty of perjury.
- 2. I have been retained as an independent expert witness by the law firm of Stubbs Alderton & Markiles, LLP on behalf of PersonalWeb Technologies, LLC ("PersonalWeb") to testify as a technical expert in lawsuits concerning U.S. Patent No. 6,928,442 ("442 Patent"), U.S. Patent No. 7,802,310 ("310 Patent"), and U.S. Patent No. 8,099,420 ("420 Patent") (collectively, "the Asserted Patents"), the lawsuits including In re Personal Web Technologies, LLC, et al., Patent Litigation, Case No.: 5:18-md-02834-BLF (Northern District of California) and Amazon.com, Inc. and Amazon Web Services, Inc. v. PersonalWeb Technologies, LLC and Level 3 Communications, LLC, Case No. 5:18-cv-00767-BLF (Northern District of California). I refer to Amazon.com, Inc. and Amazon Web Services, Inc. collectively as "Amazon" in this declaration.
- 3. The asserted patent claims relate to controlling the distribution of files in a network of computers. Requests for content or access to content are permitted or not permitted by the system using specific methods that include the use of content-based identifiers. This subject matter includes the protocols used to transfer those files, technology such as caching to accelerate distribution and the configuration of such caching to optimize efficiency using content-based identifiers.
- 4. I address in this declaration certain specific points raised by Amazon in its summary judgment motion that relates to:
  - a. How Amazon CloudFront servers used MD5 ETags that were generated by applying the MD5 hash algorithm to the content and only the content of a webpage file to determine whether or not to send a message that permitted browsers to keep using cached version of that webpage file after the original permitted time to use that cached version has expired;
  - b. How Amazon CloudFront servers used MD5 ETags to determine whether or a file at a browser was a copy of the current version of a webpage file in making the decision of (a); and
  - c. How Amazon CloudFront servers compared an MD5 ETag sent in a conditional GET



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request from a browser to see if it matched one of a plurality of stored ETags in making the determinations of (a) and (b).

- 5. More particularly, as I explain below, CloudFront servers sent webpage file content in HTTP 200 messages with MD5 ETags and max-age values. By doing so, the CloudFront server instructed browsers operating under the HTTP 1.1 protocol how long they were permitted to use the file content without having to first check back with Amazon whether they may still continue to use the content after their permitted use of the content has expired. After the permitted time to use the content has expired, the browser sent a conditional GET request to which it must receive an HTTP 304 response to continue to access and use the cached file content.
- 6. If the browser instead received from a CloudFront server an HTTP 200 response to the conditional GET request, it used the content provided in the 200 response instead of the previously cached content. Moreover, the CloudFront servers used MD5 ETags (i.e., ETag values generated by applying the MD5 hash algorithm to the file content and only the file content) in making the decision whether or not to continue to permit the browsers' access to the previously cached file content or to provide new file content for the browser to access and use instead of the previously cached file content.
- 7. The MD5 ETags informed the Amazon server whether a copy of the current version of the webpage file was already cached (present) at the browser or whether a copy of the current version needed to be provided. If a copy of the current version was determined to be already present at the browser, Amazon sent the HTTP 304 message permitting the browser to continue accessing the cached copy. If the file at the browser was determined to be a copy of the current file version, the CloudFront server sent the HTTP 200 message for the browser to access instead of the previously cached version. By using this system of HTTP 304 and 200 messages, Amazon enforced how long browsers accessed customer webpage file content and what webpage file content they accessed.
- 8. I will now address Amazon's three summary judgment arguments that are not based upon the Court's construction of "unauthorized or unlicensed."

9. Claim 20 of the '310 patent recites, in relevant part:

based at least in part on said content-dependent name of said particular data item, the first device (A) permitting the content to be provided to or accessed by the at least one other computer if it is not determined that the content is unauthorized or unlicensed, otherwise, (B) if it is determined that the content is unauthorized or unlicensed, not permitting the content to be provided to or accessed by the at least one other computer.

- 10. The evidence that I have reviewed shows that CloudFront Point of Presence ("PoP") servers each make a determination to permit or not permit content to be provided to or accessed by a client, such as a browser, based at least on part on an MD5 ETag value, which is a content-dependent name of said particular data item. The CloudFront PoP servers operated during the relevant infringement time period in accordance with the HTTP 1.1 protocol, RFC 2616. Specifically, the servers communicated with connected computers communicate via messages, including but not limited to those specified in RFC 2616 sections regarding GET requests ("HTTP GET requests") (e.g., Sec. 9.3), conditional GET requests ("HTTP conditional GET requests") with If-None-Match Headers (e.g., Sec. 14.9.4), ETags (e.g., Sec. 14.19), 304 messages ("HTTP 304 messages") (e.g., Sec. 10.3.5), 200 messages ("HTTP 200 messages") (e.g., Sec. 10.2.1), and cache control directives (e.g., Secs. 13.1, 13.2, 13.3.2-4, 14.9, 14.21, 14.26) to implement cache control including in instructing browsers when they were allowed to re-use previously cached content or had to use instead use newly provided content.
- 11. HTTP 1.1 provides a mechanism for using ETags to instruct clients (such as browsers) whether or not file content stored in their caches may continue to be used to fulfill requests for content after their original permitted time to use the content has expired. More particularly, HTTP 1.1 allowed website operators to use CloudFront servers to send the file content in an HTTP 200 message with an "ETag" value for that content and a "max-age" value (*i.e.*, a permitted time to use the content) and force a browser to check back with the server before using that content after the permitted time had expired. If a requested file is served along with a max-



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