

**EXHIBIT 4**  
**REDACTED VERSION OF**  
**DOCUMENT SOUGHT TO**  
**BE SEALED**

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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

IN RE PERSONAL WEB TECHNOLOGIES LLC, ET AL., PATENT LITIGATION	)	CASE NO. 5:18-MD-02834-BLF
	)	
AMAZON.COM, INC., et al.,	)	CASE NO.
	)	5:18-cv-00767-BLF
Plaintiffs,	)	
V.	)	
PERSONAL WEB TECHNOLOGIES, LLC, et al.,	)	
	)	
Defendants.	)	
	)	
PERSONAL WEB TECHNOLOGIES, LLC and LEVEL 3 COMMUNICATIONS, LLC,	)	
	)	
Counterclaimants,	)	
	)	
V.	)	
	)	
AMAZON.COM, INC. And AMAZON WEB SERVICES, INC.,	)	
	)	
Counterdefendants.	)	
	)	

CONFIDENTIAL - FOR ATTORNEYS' EYES ONLY  
VIDEOTAPED 30(B)(6) DEPOSITION UPON ORAL EXAMINATION  
OF SETH WILLIAM MARKLE

9:23 A.M.  
DECEMBER 5, 2018  
FENWICK AND WEST LLP  
1191 SECOND AVENUE  
10TH FLOOR  
SEATTLE, WASHINGTON

REPORTED BY: JUDY BONICELLI, CCR 2322

1 A. C-o-t-t-o-n.

2 Q. Okay. And to your knowledge, is there a  
3 head of product management at AWS for CloudFront?

4 MR. HAACK: Same objection, sorry.

5 Go ahead.

6 THE WITNESS: I don't know.

7 BY MR. SHERMAN:

8 Q. Is Craig Cotton, to your knowledge, a  
9 director of product management?

10 MR. HAACK: Objection. Scope.

11 THE WITNESS: I believe so. He's a  
12 director of product management. Yeah.

13 THE REPORTER: Product?

14 THE WITNESS: Management. I don't know  
15 what his formal job title is.

16 BY MR. SHERMAN:

17 Q. So as far as CloudFront is concerned, a  
18 potential user of CloudFront could sign up with  
19 Amazon for CloudFront and not for S3; is that  
20 correct?

21 MR. HAACK: Objection. Scope and --

22 Go ahead.

23 THE WITNESS: Can you define "sign up"?

24 BY MR. SHERMAN:

25 Q. Become a customer.

1 A. Yes, I believe so.

2 Q. So members of the public are offered the  
3 opportunity to become customers of S3; fair?

4 A. Yes.

5 Q. Members of the public are offered the  
6 opportunity to become customers of CloudFront?

7 A. Yes.

8 Q. And the way that the S3 technology is  
9 presented and organized, you do not have to become a  
10 customer of S3 in order to become a customer and  
11 utilize CloudFront, true?

12 MR. HAACK: Objection. Sorry. Objection.  
13 Scope and compound.

14 THE WITNESS: I believe that is true, you  
15 do not have to be an S3 customer to be a CloudFront  
16 customer.

17 BY MR. SHERMAN:

18 Q. Now, multi-part upload, you're familiar  
19 with those?

20 A. Yes.

21 Q. A multi-part upload involves the uploading  
22 of data in S3, correct?

23 A. It involves the uploading of data to S3.

24 Q. To S3, thank you. And it's limited to  
25 uploading data to S3?

1 A. What do you mean by "limited to"?

2 Q. No other features other than it's used to  
3 upload data to S3?

4 A. Yes.

5 Q. It's not used for any other functionality  
6 or feature to your knowledge?

7 A. The "it" here is the multi-load upload  
8 API?

9 Q. Yes.

10 A. Okay, yes.

11 Q. And so let me see if I can get a cleaner Q  
12 and A on this. Multi-part upload functionality,  
13 multi-part upload features, are not used for any  
14 purpose other than the uploading of data to S3,  
15 correct?

16 MR. HAACK: Objection. Compound. Vague.  
17 Go ahead.

18 THE WITNESS: You can download the data  
19 you've uploaded via multi-part.

20 BY MR. SHERMAN:

21 Q. And when you say "you," can download, the  
22 "you" would be the customer that is doing or has  
23 done the upload?

24 A. That's right.

25 Q. And those are the only persons or entities

1 authorized to download the data on the multi-part  
2 upload?

3 A. Customers can allow other customers to  
4 download data. You don't have to be the uploader to  
5 download data necessarily.

6 Q. Now when you say "necessarily," why do you  
7 choose that qualifier?

8 A. S3 has a permissions model, and I can  
9 designate my objects as publicly readable, for  
10 example, which would give the opportunity for  
11 anybody to download my data if I want it as a  
12 customer. I have that choice as a customer.

13 Q. And to your knowledge, in what  
14 circumstances is that feature utilized? In what  
15 circumstances do customers make that choice?

16 MR. HAACK: Objection. Vague. Compound.  
17 Go ahead.

18 THE WITNESS: The choice specifically for  
19 public? That was a for instance.

20 THE REPORTER: I'm sorry, I didn't get  
21 your answer.

22 BY MR. SHERMAN:

23 Q. Public readability. I'm sorry. Let's  
24 back up. Clarify Judy's question.

25 A. So I was asking a clarifying question

1 about whether he was asking specifically about  
2 customer use cases for publicly readable data. If  
3 customers, for instance, want to broadly share the  
4 data that they have.

5 Q. In circumstances where customers want to  
6 broadly share the data -- strike that.

7 When you say "to broadly share the data  
8 they have," you mean the data they uploaded using  
9 the multi-part upload process?

10 A. Yes.

11 Q. Do Amazon customers use S3 interfaces to  
12 upload data?

13 MR. HAACK: Objection. Vague.

14 THE WITNESS: What do you mean by  
15 "interface"?

16 BY MR. SHERMAN:

17 Q. Customized applications that Amazon has  
18 created.

19 A. Yeah, yes.

20 Q. And these interfaces, these customized  
21 interfaces, those use command messages that are  
22 customized to S3, correct?

23 MR. HAACK: Objection. Vague.

24 THE WITNESS: What is a "command message"?

25

1 BY MR. SHERMAN:

2 Q. Okay.

3 A. I think I would have to speculate how  
4 successful Amazon would be without the existence  
5 every web browsers.

6 Q. Okay, fair enough. So when you indicated  
7 there are customers of S3 and there are web  
8 browsers, Amazon -- in order to become a customer of  
9 S3, a person or a business entity would need to get  
10 onboarded in some fashion, sign a contract and terms  
11 of use and things of that nature, right?

12 MR. HAACK: Objection. Scope.

13 THE WITNESS: I believe there is a terms  
14 of service that you agree to --

15 BY MR. SHERMAN:

16 Q. Okay.

17 A. -- when you decide to use Amazon as a  
18 product.

19 Q. Okay. That is one thing that a customer  
20 would need to get onboarded on, correct?

21 A. Yes.

22 Q. Now, to go back to that big picture  
23 division I was talking about earlier, when you said,  
24 sure, there are customers of S3 and there are web  
25 browsers, to your knowledge, there is no



1 precondition that in order to operate as a web  
2 browser one has to be an S3 customer, correct?

3 A. Correct. There is no precondition.

4 Q. There is no precondition?

5 A. That's right.

6 Q. Okay. Web browsers -- Amazon  
7 presumptively knows or has the ability to know each  
8 of its customers as part of the on boarding process,  
9 terms of service, and the like, correct?

10 MR. HAACK: Objection. Scope.

11 THE WITNESS: What do you mean by "know"?

12 BY MR. SHERMAN:

13 Q. Has a terms of service executed, have a  
14 credit card on file, have a payment history, have  
15 software developers work with REST interfaces and  
16 API applications, things of that nature.

17 A. I don't know if every customer has a  
18 credit card on file.

19 Q. Okay. But in order to be a web browser  
20 that is receiving the service of downloads from S3,  
21 one does not need to have a terms of use with  
22 Amazon, correct?

23 A. That's right, you don't need to.

24 Q. Okay. Can I refer to those -- will you  
25 understand if from time to time today I refer to

1 those web browsers as anonymous browsers?

2 A. Okay.

3 Q. Okay?

4 A. Uh-huh.

5 Q. I realize there's probably a bunch of  
6 different ways of doing it, but that's one fair way.

7 A. Okay.

8 Q. So going back to the REST API, do  
9 anonymous browsers accessing S3 objects use the REST  
10 API?

11 A. Use S3 as REST API, is that the question?

12 Q. Yes.

13 A. Sometimes.

14 Q. Okay. In what circumstances?

15 A. If there is an object that is publicly  
16 available and then it can be presented as a URL that  
17 can be accessed by a web browser, by an anonymous  
18 web browser.

19 Q. Are anonymous web browsers capable of  
20 accessing S3 parts using the REST API?

21 MR. HAACK: Objection. Vague.

22 THE WITNESS: What do you mean by "apart,"  
23 in this case?

24 BY MR. SHERMAN:

25 Q. As its defined in the multi-part upload

1 API.

2 A. Anonymous browsers can access the bytes  
3 contained in a multi-part part, yes.

4 Q. How?

5 A. Via a range request on the object that the  
6 part belongs to. Actually, let me ask a clarifying  
7 question. You're talking about after the upload has  
8 completed in the --

9 Q. No, no.

10 A. During the upload?

11 Q. Yes.

12 A. So the question is, for an in-flight  
13 upload can an anonymous browser access the contents  
14 of a part that has previously been uploaded?

15 Q. Yes. May I consult the API here?

16 A. Sure. I don't think there is a way to  
17 download a part in full while the multi-part upload  
18 is in progress.

19 Can I dog-ear this?

20 MR. HAACK: Yes, that's fine.

21 THE WITNESS: Okay.

22 BY MR. SHERMAN:

23 Q. So you used the phrase "in-flight upload"  
24 a few moments --

25 A. In flight multi-part upload.

1 Q. Yeah.

2 A. Yeah.

3 Q. Okay. So for an in-flight multi-part  
4 upload, can Amazon's customer access the contents of  
5 a part that has previously been uploaded?

6 A. No, I don't think so.

7 Q. Does a part have a URL associated with it?

8 MR. HAACK: Objection. Vague.

9 THE WITNESS: A URL like in terms of a  
10 standard of URL?

11 BY MR. SHERMAN:

12 Q. Yes.

13 A. I don't think so. Let me check. I don't  
14 think there is any URL associated with a part after  
15 it's been uploaded.

16 Q. Now, are you familiar with the term  
17 "namespace"?

18 A. Yeah.

19 Q. What is namespace?

20 A. Namespace is typically a label assigned to  
21 a collection of entities, resources, et cetera,  
22 often to disambiguate other resources with the same  
23 name but that are unrelated.

24 Q. And this collection of entities and  
25 resources would be kept in what Amazon refers to as

1 between objects and parts?

■ [REDACTED]

■ [REDACTED]

■ [REDACTED]

■ [REDACTED]

6 Q. And so is it fair to say that as far as S3  
7 is concerned, the significance of parts is their  
8 usefulness in multi-part upload?

9 MR. HAACK: Objection. Vague.

10 MR. SHERMAN: I'll rephrase.

11 BY MR. SHERMAN:

12 Q. What else, if anything, within S3 are  
13 parts used for?

14 A. Parts are used for multi-part uploads.

15 Q. Right. Anything else?

16 A. No.

17 Q. So in terms of -- excuse me.

18 A. Sorry, I'm getting some glare off the  
19 table. Can I put a piece of blank paper right  
20 there.

21 Q. Sure. Are you talking about right there?

22 A. Right by your laptop is that right  
23 triangle. That would be great.

24 Q. Is that good?

25 A. Yes, that might have to move as the sun

1 moves.

2 Q. Sure. So what needs to happen to  
3 configure a bucket to serve files as portions of web  
4 pages?

5 MR. HAACK: Objection. Vague.

6 THE WITNESS: Sorry, directly? So the  
7 anonymous browser talks to S3 directly?

8 BY MR. SHERMAN:

9 Q. Yes.

10 A. When you say "serve files as portions of  
11 web pages" --

12 Q. Let me back up. What needs to happen from  
13 the standpoint of the customer to configure a bucket  
14 to serve files as portions of web pages?

15 MR. HAACK: Same objection. Vague.

16 THE WITNESS: So just to be clear what you  
17 mean by a file as a portion of a web page, you're  
18 referring to things like images that might be  
19 embedded in the web page?

20 BY MR. SHERMAN:

21 Q. Yeah, I'm talking about any type of data,  
22 video, audio, text, databases.

23 A. Okay. The data would need to -- these are  
24 anonymous browsers that you're referring to?

25 Q. No, I'm talking about from the standpoint

1 of the customer.

2 A. To be served as web pages to anonymous  
3 browsers?

4 Q. Correct.

5 A. The -- if we're talking about embedded  
6 content like you described, then the object would  
7 have to be readable by anonymous browsers, which  
8 would involve setting up permissions on the object  
9 that permitted access without authentication and  
10 authorization.

11 Q. S3 customers don't have to use S3 to serve  
12 their web page assets, correct?

13 MR. HAACK: Objection. Vague.

14 THE WITNESS: Is there an assumption there  
15 that S3 customers have web pages?

16 BY MR. SHERMAN:

17 Q. Yes.

18 A. So you're saying if someone is an S3  
19 customer and they have a web page, must they use S3  
20 for that?

21 Q. Yes. Correct.

22 A. No.

23 Q. They can use Cloudflare, they could use  
24 Akamai, they could use the customer's own server?

25 A. They --

1 THE REPORTER: I'm sorry. "They can use  
2 Cloudflare, they can use" --

3 BY MR. SHERMAN:

4 Q. Akamai, they could use the customer's own  
5 server, correct?

6 A. Yes.

7 Q. And what was Raspberry Pi?

8 A. A computer in their house. It's a type of  
9 computer.

10 Q. Oh, okay. In order for an S3 customer  
11 with a web page that wishes to serve -- to use S3 to  
12 serve its web page assets, that customer would need  
13 to take certain affirmative steps, correct?

14 A. Yes.

15 Q. They'd need to configure their buckets in  
16 a particular way, right?

17 A. Yes.

18 Q. How?

19 A. There is, I think, two types of  
20 configurations that customers would want to hear.  
21 If we're talking about these sorts of embedded  
22 assets, images, videos, et cetera, then those  
23 objects need to be accessible publicly. S3 also  
24 offers a feature that they call Websites which  
25 allows you to run your website off of S3. There is



1 Q. In what instances, to your knowledge?

2 A. I'm one. I'm one.

3 Q. Oh, okay. And those customers that are S3  
4 customers that do not have Websites, what is your  
5 understanding of those customers' business purposes,  
6 generally, in being an S3 customer but yet not  
7 possessing, utilizing, serving a website?

8 MR. HAACK: Objection. Scope. Compound.  
9 Vague.

10 THE WITNESS: I do not know of customers  
11 other than myself. I use it for backups.

12 BY MR. SHERMAN:

13 Q. Is it your understanding that using S3 for  
14 backups is a prominent feature of S3?

15 MR. HAACK: Objection. Scope and vague.

16 THE WITNESS: I wouldn't call it a feature  
17 as much as a use case.

18 BY MR. SHERMAN:

19 Q. As much as -- what do you mean when you  
20 say, "as a use case"?

21 A. It's like saying is going to Starbucks a  
22 feature of your car.

23 THE REPORTER: I'm sorry. You'll have to  
24 go back. "It's like saying going to Starbucks is a  
25 feature of your car."

1 THE WITNESS: Right. It's something you  
2 use your car for, and your car is probably really  
3 well-suited to that, but it's not a feature of the  
4 car.

5 BY MR. SHERMAN:

6 Q. Okay, well --

7 A. So it's something that a lot of customers  
8 use S3 for. And S3 is well-suited for that case.

9 Q. For backup?

10 A. For backup.

11 Q. What other use cases is S3 suited for?

12 MR. HAACK: Objection. Scope, but go  
13 ahead.

14 THE WITNESS: S3 is well-suited for  
15 backup, archival, big data use cases, so  
16 something -- this is another buzzword -- data lake  
17 we call it internally.

18 THE REPORTER: Lake?

19 BY MR. SHERMAN:

20 Q. Data lake? L-a-k-e?

21 A. L-a-k-e. To allow customers to analyze  
22 their datasets. Some customers use it for media  
23 distribution. Some customers use S3 as a queue.  
24 Some customers use S3 as a file system, some -- with  
25 varying success.

1 Q. And serving assets that are part of a web  
2 page is also a use case?

3 A. Yes. Yes.

4 Q. What are the steps in configuring a bucket  
5 to serve a web page file on S3?

6 MR. HAACK: Objection. Vague.

7 THE WITNESS: Are you asking about our  
8 Website feature that we discussed or an embedded  
9 piece of content like a JPEG that can appear in a  
10 web page?

11 BY MR. SHERMAN:

12 Q. The former.

13 A. I'm going to consult the developer guide.  
14 So there are a couple ways to do it. You can do it  
15 via the SDK or you can do it via the console. Would  
16 you like me to describe the steps through the  
17 console?

18 Q. Yes. Yes.

19 A. Okay, so through the console -- so, I  
20 mean, the first thing you would do is create a  
21 website configuration for your bucket which  
22 specifies a number of resources that are useful when  
23 serving websites. So oftentimes when you visit a  
24 website, www.example.com, what you're actually  
25 viewing is the contents of a file called index.html.

1 THE REPORTER: Called?

2 THE WITNESS: Index.html, but that  
3 wouldn't appear in your URL, right? The web server  
4 knows what the index page is. And so you would  
5 specify the name of your object that represents the  
6 index page. When you try to access a page that  
7 doesn't exist, you would have a page that shows up  
8 that indicates that the page you tried to access  
9 isn't present. So that could be called like an  
10 error page or it's sometimes called the 404 page,  
11 which is an issue 3 response code. It looks like  
12 you can specify subdomains that you want to allow to  
13 route to your web page. So www.example.com and  
14 example.com can both be treated similarly.

15 And it looks like there is a configuration  
16 for some redirects. So if one file is accessed,  
17 they can serve another one in its place. And so  
18 it's that sort of configuration to configure like  
19 how you want S3 to behave as a kind of web server in  
20 that case for a browser.

21 BY MR. SHERMAN:

22 Q. Are you familiar with the phrase "web page  
23 base file"?

24 A. No, no. "Base file"?

25 Q. Yes.

█ [REDACTED]

█ [REDACTED]

█ [REDACTED]

█ [REDACTED]

█ [REDACTED]

6 Q. Does this API have any material that is  
7 not expressly contained in the HTTP spec?

8 A. What do you mean by "material"?

9 Q. Any functionality, any performance.

10 MR. HAACK: Objection. Compound.

11 THE WITNESS: I think you're asking if  
12 someone read the HTTP spec if they would be  
13 surprised with some of the behavior with S3. The  
14 answer is I don't know.

15 BY MR. SHERMAN:

16 Q. I'm not talking about surprise. I'm  
17 talking about whether the HTTP spec contains all the  
18 information that's resident in this API.

19 MR. HAACK: Objection. Vague.

20 Go ahead.

21 THE WITNESS: No.

22 BY MR. SHERMAN:

23 Q. Okay. What's not? What's not in it?

24 A. There is a lot of things that aren't  
25 necessarily specified in the HTTP spec that S3 has

1 added when communicating with S3. Do you want me to  
2 give a concrete example?

3 Q. Yes.

4 A. I'm going to go pull up the documentation  
5 for PUT. So, for example, there is headers that you  
6 can put on certain requests to elicit certain  
7 behavior with your storage. The example I've turned  
8 to here is on an object upload. You can specify via  
9 a header which storage class you wish that object to  
10 reside in.

11 That storage class indicates certain  
12 properties of the storage that are beneficial for  
13 various use cases, might have different price  
14 points, and so that particular upload will be  
15 resident in that particular storage class. So the  
16 fact that there is a header is within the HTTP spec  
17 but the particulars of the header are an extension.

18 Q. Well, is this a customization of the HTTP  
19 protocol?

20 MR. HAACK: Objection. Vague.

21 THE WITNESS: What do you mean by  
22 "customization"?

23 BY MR. SHERMAN:

24 Q. In addition to, more than.

25 A. It's an extension.

1 Amazon's position on the difference between an  
2 extension versus custom protocol that I've been  
3 asking you about, where Amazon's representative said  
4 "like a mega header where know web browser would  
5 know even how to parse what is coming across the  
6 wire." Amazon's representative's words, right?

7 A. Yes.

8 Q. So then would an anonymous browser know  
9 how to parse what is coming across the wire on these  
10 multi-part response elements? Same way Amazon's  
11 representatives used that phrase.

12 A. So first, this is a mutation operation  
13 that we're talking about, initiating a multi-part  
14 upload versus a read-only operation, right? That is  
15 not a common operation to have an anonymous actor  
16 doing. And it is not a common operation to be doing  
17 anonymously from a web browser. The web browser, in  
18 this particular case, I believe, would parse the  
19 response and render the XML that you see in the  
20 response. It might not be the intended behavior  
21 that is expected but the browser would parse this  
22 response and show this XML to the user, most  
23 browsers.

24 Q. What's the very next topic in the manual  
25 you have in front of you?

1 A. After the "Initiate"?

2 Q. Yes.

3 A. Says upload part is the header.

4 Q. And we're still in the multi-part upload,  
5 correct?

6 A. Yeah.

7 Q. Okay. And then the section after that,  
8 upload part-copy, correct?

9 A. Yes.

10 Q. Now there are requests, syntax. Yeah,  
11 there are a number of headers. If I could just sort  
12 of point to where I'm going with this, I suspect  
13 it's the same.

14 A. Okay.

15 Q. Yeah. So request, syntax, we're on  
16 page -- of this document, page 310, page 1540 of the  
17 Bates page, so it requests syntax PUT/object name,  
18 and it goes down with X-AMZ-copy, et cetera. You  
19 see that box there, correct?

20 A. I do.

21 Q. So as to the commands in this box -- as to  
22 the headers in this box, are any of these headers  
23 defined in HTTP?

24 A. No -- well, sorry. Host, date, I think  
25 authorization.



1 Q. Okay.

2 A. Right.

3 Q. I actually figured that one out too.

4 A. Okay.

5 Q. Okay. So other than host, date, and  
6 authorization?

7 A. The ones prefixed with X-AMZ- are not in  
8 the HTTP specification.

9 Q. Okay. And on the next page, there is a  
10 chart showing conditional headers with a header  
11 beginning with X-AMZ-copy-source-it-match. Do you  
12 see that?

13 A. Yes.

14 Q. Okay. And that box begins with, "the  
15 following conditional headers are based on the  
16 object that the X-AMZ-copy-source header specifies";  
17 correct?

18 A. That precedes the box, yes.

19 Q. Okay. Now, there is a column captioned  
20 "Description." Do you see that?

21 A. Yes.

22 Q. And that column captioned Description  
23 describes what, the functionality of these commands?

24 A. Yes. Yes. Sorry, of these headers.

25 Q. Of these headers, excuse me.

1 This functionality has nothing to do with  
2 HTTP, correct?

3 MR. HAACK: Objection. Vague.

4 BY MR. SHERMAN:

5 Q. I'll rephrase. This functionality is not  
6 found in or defined in HTTP, correct?

7 MR. HAACK: Objection, vague.

8 THE WITNESS: The reason I'm hesitating  
9 here, so HTTP does define if match/if none match,  
10 but in the context of copy source, I believe the  
11 answer would be yeah, it's not in the HTTP.

12 BY MR. SHERMAN:

13 Q. And the headers that we were just talking  
14 about, the X-AMZ-copy-source-it-match and the ones  
15 that follow --

16 A. Uh-huh.

17 Q. -- those commands, those headers, excuse  
18 me, are not used in downloading, correct?

19 A. I think so. I mean.

20 Q. You agree with me?

21 A. Yeah, I would -- well, do you want me to  
22 go through and look at every GET command in here to  
23 see if --

24 Q. Let me make it easier. These commands are  
25 used in uploading not in downloading, right?

1           A.    Yes.  Sorry, let me caveat that.  They are  
2           used when creating a multi-part object, in these  
3           cases, copy source, the object is already uploaded  
4           so the user is not -- depending on your definition  
5           of upload -- is not actively transferring bytes to  
6           S3 but rather copying data that is already present.

7           Q.    As part of the uploading process?

8           A.    As part of the uploading process, but I'm  
9           not transferring those bytes again because they're  
10          already in S3.

11          Q.    It has nothing to do with the downloading  
12          process, correct?

13          A.    Correct.

14          Q.    The multi-part upload process is not  
15          defined in HTTP, is it?

16          A.    I don't believe so.

17          Q.    Do you agree?

18          A.    Yes.

19          Q.    Now, what are the ways in which a customer  
20          communicates with S3 to do a multi-part upload,  
21          other than potentially using the multi-part upload  
22          API?

23          A.    So the question is whether you can  
24          interact with a multi-part upload in some fashion  
25          other than what is documented in these set of

1 sections that we're looking at?

2 Q. Let me try it differently. Multi-part  
3 upload, the use of a multi-part upload API is one  
4 method for an Amazon customer to perform a  
5 multi-part upload, correct?

6 A. Yes.

7 Q. Use of the S3 console is one way for an  
8 Amazon customer to perform a multi-part upload,  
9 correct?

10 A. So the first thing you said was the API,  
11 the second thing you said was the console, right?

12 Q. Yes.

13 A. So the console uses the API so it's just  
14 layers on top of the same thing. The SDK uses the  
15 API, the CLI uses the API. So these are all  
16 things -- like the API is the entry point for S3.  
17 These other access mechanisms are layers on top of  
18 that that customers use to simplify their lives.

19 Q. I think you've saved us both a few more  
20 questions on it when you say that these other access  
21 mechanisms are layers on top of that that customers  
22 use to simplify their lives.

23 A. Most customers, some customers.

24 Q. Sure, but this has nothing to do with  
25 anonymous browser usage, correct?

1 MR. HAACK: Objection, vague.

2 THE WITNESS: Correct.

3 BY MR. SHERMAN:

4 Q. I want to ask you some questions as part  
5 of the multi-part upload process on assembling  
6 parts.

7 A. Okay.

8 Q. Okay? The effect of the assembly of parts  
9 as part of the multi-part upload is to -- results in  
10 an uploaded object, correct?

11 MR. HAACK: Objection, vague.

12 THE WITNESS: Should we talk about the  
13 definition of an unloaded object?

14 BY MR. SHERMAN:

15 Q. Go ahead, please.

16 A. I would call an uploaded object an object  
17 that a customer can later perform a GET on and see  
18 as in a list result.

19 Q. And see --

20 A. In a list result, the list -- I think in  
21 the API it would be called GET bucket but it's a  
22 list objects call.

23 So the question was -- sorry, can you  
24 repeat the actual question?

25 Q. Right. The effect of the assembly of

1 fashion they were uploaded.

2 Q. Are the ETags of the parts that are used  
3 in connection with the hoped-for successful  
4 multi-part upload object used from conditional GETS?

5 MR. HAACK: Objection. Vague.

6 THE WITNESS: No. The ETags of each  
7 individual part you're referring to?

8 BY MR. SHERMAN:

9 Q. Yes.

10 A. No. The answer is no.

11 MR. SHERMAN: Can we go off the record?

12 MR. HAACK: Yeah. Do you want to break  
13 for lunch?

14 THE VIDEOGRAPHER: The time is 12:07, and  
15 we're going off the record.

16 (Recess taken 12:07 p.m. to 1:14 p.m.)

17 THE VIDEOGRAPHER: The time is 1314.

18 We're back on the record. Please continue.

19 BY MR. SHERMAN:

20 Q. Mr. Markle, today is Wednesday,  
21 December 5.

22 A. Yes.

23 Q. I want you to go back a few days. I want  
24 you to go back to Friday, November 30th.

25 A. Okay.

1 fashion they were uploaded.

2 Q. Are the ETags of the parts that are used  
3 in connection with the hoped-for successful  
4 multi-part upload object used from conditional GETS?

5 MR. HAACK: Objection. Vague.

6 THE WITNESS: No. The ETags of each  
7 individual part you're referring to?

8 BY MR. SHERMAN:

9 Q. Yes.

10 A. No. The answer is no.

11 MR. SHERMAN: Can we go off the record?

12 MR. HAACK: Yeah. Do you want to break  
13 for lunch?

14 THE VIDEOGRAPHER: The time is 12:07, and  
15 we're going off the record.

16 (Recess taken 12:07 p.m. to 1:14 p.m.)

17 THE VIDEOGRAPHER: The time is 1314.

18 We're back on the record. Please continue.

19 BY MR. SHERMAN:

20 Q. Mr. Markle, today is Wednesday,  
21 December 5.

22 A. Yes.

23 Q. I want you to go back a few days. I want  
24 you to go back to Friday, November 30th.

25 A. Okay.

1 MR. HAACK: Objection. Scope.

2 THE WITNESS: -- no idea who that is.

3 Sorry.

4 MR. HAACK: It's okay.

5 BY MR. SHERMAN:

6 Q. What are the names of the other principal  
7 software development engineers for S3?

8 MR. HAACK: Objection. Scope.

9 THE WITNESS: I don't know if I know how  
10 to spell all of their names. There is myself, there  
11 is Tim Harris.

12 BY MR. SHERMAN:

13 Q. H-a-r-r-i-s?

14 A. Yes. And I don't know if it's Tim or  
15 Timothy. There is Tim Deegan, D-e-e-g-a-n. There  
16 is Shawn Davis, there is Chris Stevens, there's  
17 Drew Schlite, I think is how you pronounce it, and  
18 Leon Thrane, I believe is how you pronounce it.  
19 Might be "TRA-NAY".

20 Q. Is that it?

21 A. Those are the seven.

22 Q. And again, apologies if this specific  
23 question was asked. It's not my intention.

24 A. Uh-huh.

25 Q. Is there some area -- without regard to



1 any particular project that any one of you is doing  
2 at any one particular time, is there some  
3 delineation or division of responsibilities where,  
4 say, you have greater experience in a particular  
5 aspect of S3?

6 A. Yes.

7 Q. And others have greater experience in  
8 different --

9 A. Yes.

10 Q. How does that work?

11 MR. HAACK: Objection. Scope.

12 Go ahead.

13 THE WITNESS: How does that work, like how  
14 does it come to be?

15 BY MR. SHERMAN:

16 Q. How does it manifest itself?

17 A. It manifests itself in terms of the  
18 projects that we tend to focus on or the sub  
19 components of S3 that we tend to focus on.

20 Q. Which projects? Keep it on a broad level.

21 MR. HAACK: Objection. Scope.

22 THE WITNESS: For me or in general?

23 BY MR. SHERMAN:

24 Q. In general amongst the seven of you.

25 A. So there are projects related to S3's

1 feature set, S3's scale, S3's operations, S3's cost,  
2 S3's availability, S3's durability, S3's security.

3 Q. Amongst the seven of you, is there a  
4 specialist or a person who tends to be more involved  
5 in multi-part upload?

6 A. It's a feature that hasn't changed a lot  
7 recently so -- there is one today that would  
8 probably be closest to it.

9 Q. And who is that?

10 A. Chris Stevens.

11 Q. Are you familiar with the software  
12 developer kit for Ruby?

13 MR. HAACK: Objection. Vague and scope.

14 BY MR. SHERMAN:

15 Q. Yeah, let me rephrase it.

16 Are you familiar with the Amazon software  
17 developer kit for Ruby on Rails?

18 MR. HAACK: Same objection.

19 THE WITNESS: No.

20 BY MR. SHERMAN:

21 Q. Amongst the seven of you, do you know who  
22 does, if anyone?

23 A. I don't know.

24 Q. Is Ruby considered in any way part of or  
25 related to S3?

1 upload, you would have certain dimensions on your  
2 bill related to that usage.

3 Q. Well, is there a charge for using  
4 multi-part upload?

5 A. There is a charge for uploading parts.  
6 There is a charge for downloading any object. There  
7 is a charge per month for retaining storage in S3.

8 Q. Okay, I'm not talking about storage.

9 A. Okay.

10 Q. And I'm not talking about service or  
11 downloading.

12 A. You said, "service or downloading." What  
13 is service?

14 Q. As in serving a website.

15 A. In the context of a download?

16 Q. Yes.

17 A. So you're talking about upload?

18 Q. Yeah.

19 A. Okay.

20 Q. Yeah. Is there any charge that Amazon  
21 charges customers for uploading multi-part upload?

22 MR. HAACK: Objection. Vague.

23 THE WITNESS: Yes.

24 BY MR. SHERMAN:

25 Q. What are the charges? How are they

1 assessed?

2 A. I don't know the dollar amounts off the  
3 top of my head or the penny amounts, as it were.  
4 There is a transactional charge for every PUT part.  
5 There is a transactional charge for complete. I  
6 don't know if there is a transactional charge for  
7 abort.

8 THE REPORTER: Abort, a-b-o-r-t?

9 THE WITNESS: A-b-o-r-t. There could be  
10 bandwidth charges if you are accessing S3 outside of  
11 EC2.

12 BY MR. SHERMAN:

13 Q. Well, if I am an S3 customer, and I choose  
14 to put a large amount of data into my S3 storage,  
15 utilizing the multi-part upload procedures,  
16 forgetting about the storage once I get it up  
17 there --

18 A. Okay.

19 Q. -- forgetting about the service or  
20 downloading or streaming or whatever I may do with  
21 it once I have it up there --

22 A. Okay.

23 Q. -- will I, as an Amazon customer, be  
24 charged, just for that process of uploading?

25 MR. HAACK: Objection. Vague.

1 THE WITNESS: Yes.

2 BY MR. SHERMAN:

3 Q. And how are those charges assessed?

4 A. Can you rephrase the question?

5 Q. What formula or formula -- I forgot what  
6 the plural of formula is. Let's call it formulas.

7 A. Okay.

8 Q. What formula or formulas exist in the  
9 calculation of charges for uploading?

10 A. Again, it's a per-request charge for the  
11 PUT parts. There is a per-request charge, I  
12 believe, for the completion of the upload because  
13 that is a separate request, and I believe there is  
14 bandwidth charge if you are not putting from EC2 for  
15 the actual transmission of the bytes.

16 Q. And are the -- is the formula or are the  
17 formulas for storing data that's been assembled into  
18 multi-part upload objects different than the formula  
19 or formulas for uploading?

20 A. Yes.

21 Q. Are the -- do you know how they differ?

22 A. Yes.

23 Q. How?

24 A. After the upload has occurred, you are  
25 then billed per what we call gigabyte --

1 Q. Yes.

2 A. -- if your monthly gigabyte fee --

3 Q. Sure, per gigabyte would be higher.

4 A. Not necessarily.

5 Q. Okay. Are those different formula present  
6 on the public websites, to your knowledge.

7 A. Yes.

8 Q. Now, in terms of serving or downloading  
9 multi-part uploads, are the -- are the formula  
10 different than uploading?

11 A. Yes.

12 Q. Are they different than storage?

13 A. Yes.

14 Q. Does multi-part upload, internal at  
15 Amazon, of course, have its own profit and loss  
16 generated?

17 MR. HAACK: Objection. Scope.

18 THE WITNESS: You're asking me if we track  
19 it individually?

20 BY MR. SHERMAN:

21 Q. Well, let's make sure our nomenclature is  
22 being used consistently. Sometimes businesses will  
23 refer to an operation or a series of operations as a  
24 P&L center. Have you heard that?

25 A. Yes.

1 A. I've not heard those words in that order.

2 Q. Do you know what that means?

3 A. What do you mean by it?

4 Q. I'm asking a question. Do you know -- do  
5 you have some understanding --

6 A. I could interpret it that particular way.

7 Q. How would you interpret or construe that?

8 A. The request sent by the customer to  
9 complete a multi-part upload.

10 Q. Signifying that it's been completed?

11 A. Signifying that they wish for it to be  
12 completed.

13 Q. Okay. And then after that post has been  
14 sent, what is the next sequence?

15 MR. HAACK: Objection. Vague.

16 BY MR. SHERMAN:

17 Q. What's the next step?

18 A. Our web server would receive the request.  
19 We would authenticate the request. If that customer  
20 has permission to perform that operation -- should I  
21 continue?

22 Q. Yeah, of course.

23 A. Then, we would validate the contents of  
24 the request. The customer expresses the part  
25 numbers and the ETags of those parts that they wish

1 to be assembled into the final object. [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

7 Q. So in your notebook for the API version --

8 A. Yeah.

9 Q. -- I believe you have a chapter or section  
10 table of contents, complete multi-part upload?

11 A. I do.

12 Q. And again, you're looking at the more  
13 recent version than we have in front of us.

14 MR. HAACK: That's more recent.

15 THE WITNESS: I don't know whose is more  
16 recent.

17 MR. SHERMAN: Oh, we now have the same?

18 Okay. Good. Over lunch we got it.

19 BY MR. SHERMAN:

20 Q. So why don't you turn, then, to page 318.  
21 It's lower right-hand corner, it's Bates range 1548.

22 A. Okay.

23 Q. Is that the directions and the sequencing  
24 for the complete multi-part upload?

25 A. Sequencing?



1 Q. Directions?

2 A. This is a description of the API, yes.

3 Q. And the syntax with the box "Post/Object  
4 Name", do you see that?

5 A. Yes.

6 Q. Okay. So this reference to "post  
7 backslash object name question mark upload," do you  
8 see that first line there?

9 A. Yes.

10 Q. What do you call that right there, that  
11 line?

12 A. I don't know.

13 Q. What do you call the box? Make it easier.

14 A. The whole box?

15 Q. Yeah.

16 A. I would call that the request, the  
17 complete multi-part upload request.

18 Q. And after that complete multi-part upload  
19 request had been processed --

20 A. Uh-huh.

21 Q. -- at that point, would an anonymous  
22 browser be able to retrieve that completed post of  
23 that assembled object without anything more  
24 occurring?

25 A. Usually.

1 A. You're talking about the assembled object?

2 Q. Yes.

3 A. And an asset would be an image or an HTML  
4 file or anything like that?

5 Q. Yes.

6 A. Yes.

7 Q. Is that a common use case for a multi-part  
8 upload?

9 MR. HAACK: Objection. Scope.

10 THE WITNESS: Can you define "common"?

11 BY MR. SHERMAN:

12 Q. Common Merriam Webster, regular dictionary  
13 definition.

14 A. Can you read to me the dictionary  
15 definition of the word "common"?

16 Q. Sure. Just go off of Google search here.  
17 Occurring, found, or done often, prevalent.

18 A. So the question is, is it the prevalent  
19 use case of multi-part upload for the resultant  
20 object to be served to anonymous browsers?

21 Q. Yes.

22 A. No, I don't think so.

23 Q. Do you know why not?

24 MR. HAACK: Objection. Scope.

25 THE WITNESS: I think there are other use

1 cases that are more prevalent.

2 BY MR. SHERMAN:

3 Q. Such as?

4 MR. HAACK: Objection. Scope.

5 THE WITNESS: Customers uploading their  
6 data without the intent of exposing it publicly for  
7 use either as their own backups or data analysis  
8 where they don't intend on having data publicly  
9 accessible.

10 BY MR. SHERMAN:

11 Q. Are completed multi-part upload files  
12 commonly -- we've just gone over that -- cached at  
13 browsers?

14 MR. HAACK: Objection. Scope.

15 THE WITNESS: Do you mean of the ones that  
16 are accessed by browsers or of the whole corpus of  
17 multi-part objects?

18 BY MR. SHERMAN:

19 Q. The ones accessed.

20 A. I don't know.

21 Q. Isn't a completed multi-part upload  
22 typically too big for a browser cache?

23 MR. HAACK: Objection. Scope.

24 THE WITNESS: I'm not familiar with the  
25 size distribution of multi-part uploads nor am I

1 familiar with the size limitations in browser  
2 caches.

3 BY MR. SHERMAN:

4 Q. The multi-part upload's use of an ETag is  
5 different than its use in a conditional GET, isn't  
6 it?

7 MR. HAACK: Objection. Vague.

8 THE WITNESS: Sorry, when you say "the  
9 multi-part upload's use of an ETag," what are you  
10 referring to?

11 BY MR. SHERMAN:

12 Q. The party tag.

13 A. During the completion operation.

14 Q. Yes, yes -- you know what, let's back up.

15 A. Okay.

16 Q. Isn't it accurate to say that a multi-part  
17 upload's use of ETags in a completed multi-part  
18 upload is different than the use of an ETag as a  
19 conditional GET?

20 A. I'm sorry. You said the use of an ETag in  
21 a completed multi-part upload. Is that the  
22 question, like the upload is complete?

23 Q. I'm talking about the complete multi-part  
24 upload process?

25 A. Okay.

1 Q. And in that context and in that  
2 circumstance, is the use of ETags different than the  
3 use of ETags in a conditional GET?

4 A. The operations have different intent, one  
5 retrieves the data and one is assembling an object  
6 together.

7 Q. And when you say "the operations have  
8 different intent," I mean, operations don't have  
9 intent. Software developers have intent, right?

10 A. No, I don't agree that operations don't  
11 have intent.

12 Q. They think?

13 A. An operation is constructed with intent.

14 Q. By?

15 A. By a software developer.

16 Q. Okay. So going back to the -- you're  
17 talking about the retrieval of data and then you  
18 also talk about assembling an object together.  
19 Assembling an object together is related to the  
20 multi-part upload process that I've been asking you  
21 questions about, correct?

22 A. Yes.

23 Q. And there the ETag use is for the  
24 effective assistance in properly assembling the  
25 object, correct?

1 there is a reference to key differences between the  
2 Amazon website and the REST API endpoint.

3 Do you see that?

4 A. I do.

5 Q. And there is a chart, and that chart has a  
6 column -- actually three columns, key difference,  
7 REST API endpoint, and then website endpoint.

8 Do you see that?

9 A. Yes.

10 Q. Okay. So what does this mean?

11 A. Can I flip back one page and read?

12 Q. Sure, of course.

13 A. Okay. So sorry, what was the question?

14 Q. So we've been going through these various  
15 reference guides that you have in front of you. Do  
16 you know what website endpoints are?

17 A. Yes, now I do.

18 Q. What are website endpoints?

19 A. Website endpoints are another S3 end  
20 point, like a sibling to the REST endpoint, where it  
21 requests through the endpoint behave differently in  
22 some cases than if they have gone through the REST  
23 API endpoint.

24 Q. Now, when you say "differently in some  
25 cases than if they had gone through the REST API

1 endpoint," in what way do behaviors potentially  
2 differ?

3 A. As I described earlier, S3 is capable of  
4 recognizing what you might call the index document  
5 or root document like index HTML for your website.  
6 And so, for example, requests that don't specify  
7 this would be redirected to that. You could have  
8 custom error documents that are served in the cases  
9 of certain error conditions. These would work if  
10 you accessed S3 through the website endpoint versus  
11 the REST endpoint.

12 MR. SHERMAN: Why don't we -- you  
13 indicated you wanted to go off the record.

14 MR. HAACK: Yes.

15 THE VIDEOGRAPHER: The time is 1421, and  
16 we're going off the record.

17 (Recess taken 2:21 p.m. to 2:46 p.m.)

18 THE VIDEOGRAPHER: The time is 1446.

19 We're back on the record. Please continue.

20 (Exhibit No. 1 marked for identification.)

21 BY MR. SHERMAN:

22 Q. Okay. Now, I've had marked as Exhibit 1  
23 Amazon Simple Storage Device Developer Guide API,  
24 version 2006-03-01 as Exhibit 1, beginning with  
25 Bates-number 000278 through 703.

1 MR. HAACK: Objection. Vague.

2 THE WITNESS: I mean, are we assuming that  
3 an anonymous browser isn't the uploader here?

4 QUESTION: Yes.

5 ANSWER: Then, yes.

6 QUESTION: I mean, on the multi-part  
7 upload process, can an anonymous browser that's not  
8 an Amazon customer upload?

9 ANSWER: Yes.

10 QUESTION: How?

11 ANSWER: Through post requests, as long as  
12 they can be authorized.")

13 MR. SHERMAN: Thank you.

14 BY MR. SHERMAN:

15 Q. Do you have that Q and A in mind right  
16 now?

17 A. Yes, yes, I do.

18 Q. Is there anything you want to correct?

19 A. No, I still think it's correct. I just  
20 had misremembered the specific context.

21 Q. Now, in an instance where an anonymous  
22 browser is capable of uploading, they would be able  
23 to do that through post requests as long as they can  
24 be authorized correct?

25 A. As long as the request passes



1 authorization, yes.

2 Q. Okay, and in order for the request to pass  
3 authorization, what needs to occur?

4 A. Either the bucket has to be configured to  
5 allow anonymous uploads, or, through the credentials  
6 maintained by the bucket's account owner, the upload  
7 would need to be signed and that signature would  
8 need to be submitted by the browser.

9 Q. So the credentials maintained by the  
10 bucket's account owner, that's typically the  
11 customer, the Amazon customer, right?

12 A. That is typically the customer.

13 Q. So, put another way, aside from instances  
14 where buckets are configured to allow anonymous  
15 uploads, the only other way that an anonymous  
16 browser could perform that function is if the  
17 anonymous browser received specific code,  
18 credentials, from the customer, right?

19 A. Either received the signing credentials or  
20 somehow had that customer sign for them.

21 Q. Okay. There would be some element of  
22 customer participation/consent?

23 A. Yes.

24 Q. Okay. Now back to REST endpoints and  
25 website end points, sir.

1 A. Okay.

2 Q. Are REST endpoints different than website  
3 endpoint?

4 A. Yes.

5 Q. And how do they differ?

6 A. Can I refer to that chart? There is a  
7 comparison chart in the developer guide.

8 Q. Sure, go ahead.

9 A. I don't know what page it is going to be  
10 on in this one.

11 MR. HAACK: You can use whichever one you  
12 want.

13 MR. SHERMAN: Yes, I'd ask that you use  
14 the one that was in effect in the period for which  
15 we're alleging infringement.

16 MR. HAACK: I think these are as well.  
17 These are from the same period. They're not the  
18 same version, but they're from that same period,  
19 aren't they?

20 MR. THOMPSON: In the back, the release  
21 date is 2016 on those.

22 MR. SHERMAN: The ones that we've handed  
23 the witness?

24 MR. THOMPSON: No, no. The ones in the  
25 notebook.

1 MR. SHERMAN: Oh, the ones in the  
2 notebook, 2016.

3 MR. THOMPSON: Were expired already.

4 MR. HAACK: You guys have alleged that the  
5 infringement period includes 2016.

6 MR. THOMPSON: Yeah.

7 MR. HAACK: That's fine.

8 MR. SHERMAN: Let's use Exhibits 1 and 2.

9 MR. HAACK: I just want to be clear about  
10 the ones we gave you in case there is confusion  
11 about that.

12 THE WITNESS: I'm just looking at this one  
13 to get a sense of what section it was in.

14 BY MR. SHERMAN:

15 Q. I'm going to try to give you a hint on the  
16 earlier one that we've handed you. I think it's  
17 around page 335.

18 A. 335. I think that's right. Okay, I'm  
19 looking at Page 339.

20 Q. Okay. And this chart reflects some of the  
21 key differences between REST endpoints and website  
22 endpoints?

23 A. Yes.

24 Q. And if you want to store web page data, do  
25 you have to go through a different process than if

1 you were storing data that you had as a backup of  
2 your data on your computer?

3 A. To store the data, no.

4 Q. What about serve web page data, do you  
5 have to go through a different process?

6 A. Yes.

7 Q. Describe the different process involving  
8 REST endpoints versus website endpoints.

9 A. The website endpoints require the customer  
10 to have specified a configuration to allow the  
11 website endpoint to operate as a website endpoint.

12 Q. And, in fact, there is at least one  
13 chapter in this developer guide, I think it's  
14 page 336, for setting up a website so you can  
15 configure a bucket to serve website endpoints from  
16 S3; isn't that right?

17 A. Yes.

18 Q. How does a customer configure an Amazon S3  
19 bucket for website hosting?

20 A. Either through the console or through the  
21 SDK -- sorry, through the console or -- yeah,  
22 through the SDK. I don't know which is more common.

23 Q. So what specific steps?

24 A. They would go to the console and specify  
25 various pieces of configuration if they were using

1 the console for this, for example, the index  
2 document, which we discussed earlier, is the kind of  
3 primary HTML file that gets served, if the customer  
4 is -- if the customer's website is accessed via just  
5 the raw dominion.

6 There are error documents that get  
7 specified so that custom 404 pages can show up.  
8 There is work to make the content of the buckets  
9 publicly readable so that browsers can access it.  
10 And then you would actually want content to access.

11 Q. Is there an endpoint associated with  
12 uploading objects to S3 using the multi-part upload  
13 functionality?

14 A. Is there an endpoint distinct to that  
15 functionalistic or is there an endpoint you would  
16 use that may be used for other reasons?

17 Q. Let's start with distinct.

18 A. No.

19 Q. Okay, then let's go to associated.

20 A. Yeah, the REST endpoint.

21 Q. In configuring an Amazon S3 bucket for  
22 website hosting, must a customer authorize public  
23 read access to that bucket?

24 A. I don't think the entire bucket has to be  
25 marked as such.

1 Q. Most portions?

2 A. The portions that you would like to serve  
3 to customers.

4 Q. Okay, so with no public read access  
5 authorized, then there would not be any public  
6 service?

7 A. Right.

8 Q. And would a -- would the service of that  
9 S3 bucket be specifically from a region-specific  
10 website endpoint?

11 A. Not entirely sure.

12 Q. In an instance where a GET request to a  
13 website endpoint bucket only includes the URL and a  
14 slash, what would S3 return?

15 A. This is for an website endpoint access?

16 Q. Yes.

17 A. We would return the contents of the file  
18 that has been marked as the index document, I think  
19 is the terminology. The index document.

20 Q. Are Amazon customers given the freedom to  
21 choose their own cash control parameters?

22 A. Yes.

23 Q. And what are those parameters?

24 A. The cash control parameters would be a  
25 time to live and an absolute date after which the

1 content is not to be cached.

2 Q. And what about a max age value, is that  
3 also within the optionality of an Amazon end  
4 customer?

5 A. Max age. I would need to reference the  
6 guide.

7 Q. Okay.

8 A. Shall I?

9 Q. Yes.

10 A. Is it okay if I use the binded one? I  
11 don't think it's changed. I just want to find the  
12 page number.

13 Q. Okay.

14 A. This one is easier. I would need to look  
15 up the syntax of the cache control header, which is  
16 referred to here in the RNC, but from the AWS S3  
17 API, you can specify either a cache control header  
18 or an expired header which would indicate a date  
19 after which the object is not cacheable.

20 Q. I want to turn back now to CloudFront. I  
21 know I had asked you some questions about that  
22 earlier today.

23 A. Yes.

24 Q. It's a different product than S3?

25 A. Correct.

1 Q. Does that mean in certain circumstances  
2 that the retrievers may or may not be S3 customers?

3 A. Yes.

4 Q. Do you know what CloudFront customers  
5 primarily use CloudFront for?

6 MR. HAACK: Objection. Scope.

7 THE WITNESS: No.

8 BY MR. SHERMAN:

9 Q. Do you know whether CloudFront is used as  
10 a CDN?

11 A. Yes; and yes, it is.

12 Q. And what is CDN?

13 A. CDN is a content distribution network  
14 which typically caches content that tends to be  
15 accessed and reaccessed frequently where latency and  
16 potentially throughput might be a concern, and the  
17 customers would benefit from having the data  
18 physically close to where they are residing.

19 Q. Geographically?

20 A. Geographically.

21 Q. And so one can be a CloudFront customer  
22 and not be an S3 customer?

23 A. Correct.

24 Q. When and under what circumstances does  
25 data stored on S3 get transferred to CloudFront?



1 as I can for now.

2 A. Okay.

3 Q. Okay? Now, focusing on data stored on  
4 servers outside of -- outside of S3 when in  
5 instances where CloudFront has made GET requests to  
6 S3; does that occur from time to time?

7 A. Sorry, the question is effectively, does  
8 CloudFront store the data they retrieve?

9 Q. No, no, I don't believe that is my  
10 question at all.

11 Does -- CloudFront makes requests of S3  
12 for data?

13 A. Yes.

14 Q. CloudFront -- and this is data that S3  
15 stores?

16 A. Yes.

17 Q. But does CloudFront also make requests of  
18 S3 for data that S3 then needs to get from other  
19 locations outside of S3 storage?

20 A. No.

21 Q. Does CloudFront serve GET requests where  
22 the origin is not S3?

23 A. Yes.

24 Q. In what circumstance or circumstances?

25 MR. HAACK: Objection. Scope.

1 priced separately than the service -- third time  
2 will be the charm.

3 Is the service of data to CloudFront from  
4 S3 priced differently, to your knowledge, than the  
5 service of data to CloudFront from origins other  
6 than S3?

7 MR. HAACK: Objection. Scope.

8 THE WITNESS: Sorry the question is, does  
9 CloudFront charge differently?

10 BY MR. SHERMAN:

11 Q. Yes.

12 A. I don't know.

13 Q. Okay. And now focusing on service of data  
14 from CloudFront --

15 A. Okay.

16 Q. -- is data service from CloudFront priced  
17 separately than when the data has been served from  
18 S3?

19 MR. HAACK: Objection. Scope.

20 THE WITNESS: Is the question whether if a  
21 customer uses CloudFront or S3 directly if they're  
22 going to experience two different prices?

23 BY MR. SHERMAN:

24 Q. We'll start there.

25 A. I believe so. I believe CloudFront has a

1 different fee structure than S3 does.

2 Q. Let's take an example where CloudFront  
3 served certain data to an anonymous browser --

4 A. Okay.

5 Q. -- and that data was obtained by  
6 CloudFront from S3.

7 A. Okay.

8 Q. In that instance, would the Amazon  
9 customer be charged for both S3's service of data to  
10 CloudFront and CloudFront's service of the anonymous  
11 browser's request?

12 A. Yes.

13 Q. How does a CloudFront customer place  
14 content on CloudFront?

15 A. So I don't know if this is exclusive, but  
16 through GET requests to CloudFront, CloudFront will  
17 retrieve content from the origin and then the result  
18 is that the data lives in CloudFront or is cached in  
19 CloudFront.

20 Q. Well, but what about -- does -- are  
21 CloudFront customers able to upload data into  
22 CloudFront?

23 A. I don't know.

24 Q. If you wanted to find out the answer to  
25 that question, who would you ask?

1 BY MR. SHERMAN:

2 Q. So, Mr. Markle, you were about to say  
3 something, and I said, "Hold on, wait until we get  
4 back on the record."

[REDACTED]

25 Q. What is an inode?

1 BY MR. SHERMAN:

2 Q. So what different -- strike that.

3 And so I take it that you have no  
4 knowledge about anything related to the  
5 circumstance -- circumstances under which Amazon has  
6 purportedly provided indemnification to any of its  
7 customers as it may relate to this litigation?

8 MR. HAACK: Objection. Scope.

9 THE WITNESS: I have no knowledge.

10 MR. SHERMAN: And we're not going to have  
11 any witness on that, right?

12 MR. HAACK: I believe that's been the  
13 position that was conveyed to counsel, yeah.

14 BY MR. SHERMAN:

15 Q. Okay. Okay. What different services does  
16 S3 provide?

17 A. So earlier you used the word "service" to  
18 describe downloads specifically, but are you  
19 referring to -- what do you mean by "service"?

20 Q. Okay. What different products, features,  
21 does S3 provide?

22 A. There are a number of them. I probably  
23 won't articulate all of them just because there are  
24 so many, but the core S3 offering is to hold data on  
25 behalf of customers in a way that is both secure,

1 durable, and available. Do you want me to list  
2 more?

3 Q. List as many products and features that S3  
4 provides.

5 A. S3 allows you to retrieve that data, S3  
6 allows you to have it encrypted server side, S3  
7 allows you to enumerate the keys you've assigned to  
8 your data. S3 allows you to set policies on certain  
9 actions against your data. For example, you can  
10 specify that data older than 30 days gets deleted.  
11 You can tag your data. You can set policies on  
12 those tags. You can delete your data, you can  
13 upload your data and have S3 try to emulate a web  
14 server, as we've discussed earlier via the website  
15 feature. What else can you do? You can set access  
16 permissions on your data. You can have your data  
17 replicated to other regions. You can have your data  
18 moved into Glacier.

19 Q. What is Glacier?

20 A. Glacier is a product sibling to S3 that  
21 stores data more cheaply but has a far longer  
22 latency for access.

23 Q. What is a "product sibling"? I don't  
24 understand?

25 A. It's an object store like S3. It has an

1 API and objects you've uploaded into Glacier are  
2 stored similar to S3 for far cheaper than S3. And  
3 when you download the object, you have to wait  
4 sometimes hours for the object to be retrieved.

5 Q. And the products and features that you've  
6 identified in that prior more lengthy answer that  
7 you just gave a moment ago, fair to say that those  
8 services and features are in many instances priced  
9 differently according to different formula?

10 MR. HAACK: Objection. Vague.

11 THE WITNESS: Priced differently from each  
12 other?

13 BY MR. SHERMAN:

14 Q. Yeah.

15 A. Yeah, there is different pricing  
16 dimensions that would vary across some of those.

17 Q. And among the products and features, S3  
18 allows you to upload data in a variety of different  
19 transactions, variety of different ways?

20 MR. HAACK: Objection. Vague.

21 THE WITNESS: There are -- there is more  
22 than one mechanism for uploading data.

23 BY MR. SHERMAN:

24 Q. And those different mechanisms for  
25 uploading data, the different mechanisms involve

1 different commands?

2 A. Yes.

3 Q. And to your knowledge, is anything that is  
4 uploaded to S3 automatically configured for service  
5 as a web page file?

6 MR. HAACK: Objection. Vague.

7 THE WITNESS: No, anything uploaded to S3  
8 is not automatically configured to serve as a web  
9 page file.

10 BY MR. SHERMAN:

11 Q. Within Amazon Web Services, are there  
12 different individuals who have different technical  
13 leadership responsibility for these different  
14 services and features that you've been identifying?

15 MR. HAACK: Objection. Vague. Misstates  
16 testimony. Scope.

17 THE WITNESS: Can you rephrase?

18 BY MR. SHERMAN:

19 Q. Well, you're part of a team of seven  
20 principal engineers?

21 A. Sorry, S3 has seven principal engineers.

22 Q. Okay. Do the seven of you have technical  
23 responsibilities over each of these features that  
24 you've identified?

25 MR. HAACK: Objection to scope.



1 THE WITNESS: Yes.

2 BY MR. SHERMAN:

3 Q. Are you familiar with what a root request  
4 is?

5 MR. HAACK: Objection. Scope and vague.

6 THE WITNESS: Root is spelled r-o-o-t?

7 BY MR. SHERMAN:

8 Q. Yes.

9 A. No.

10 Q. Let me try it differently. Are you  
11 familiar with what a root GET request is?

12 A. I may be by different terms. If you want  
13 to explain what you mean by an --

14 Q. Well, a request ending with a slash not  
15 seeking a specific object?

16 A. A request here is what --

17 Q. GET request?

18 A. So a GET request ending with a slash?

19 Q. Yes.

20 A. So I believe you're are referring to not a  
21 GET request ending with a slash but rather a GET  
22 request that has no resources specified but rather  
23 just a domain name.

24 Q. Yes.

25 A. Yes.

1 Q. Okay, and what would you call that?

2 A. I don't know what I would have, but I'll  
3 probably start calling it a root request now.

4 Q. So in an instance when a root request is  
5 made to a REST API endpoint, how would that differ  
6 from requesting an index file?

7 A. So when you say "a REST API endpoint,"  
8 you're referring to S3's REST API endpoint?

9 Q. Yes.

10 A. And you said the REST API and not the  
11 website API endpoint?

12 Q. Yes.

13 A. So the root request to the REST API  
14 endpoint would, I believe, act like a list request.  
15 You're effectively asking it to list your bucket at  
16 that point versus a request directly to the index  
17 that HTML file, if that's what you've named your  
18 object which, assuming authentication passes and all  
19 that, would retrieve the contents of that file.

20 Q. What is, at a very general level, EC2?

21 A. EC2 is --

22 MR. HAACK: Objection. Scope.

23 THE WITNESS: EC2 is the -- what does it  
24 stand for? Elastic compute cluster, I think. It is  
25 a collection of servers, including Linux and Windows

1           A.    Customers are charged an amount based on  
2           the number of requests and the request types that  
3           they make.

4           Q.    When you say a request in this instance,  
5           what are you referring to?

6           A.    A GET, a PUT, a POST, a DELETE, a HEAD.

7           Q.    Those are HTTP requests?

8           A.    Those are HTTP requests.

9           Q.    And does the price charged for one of  
10          those HTTP requests vary based on what the GET, PUT,  
11          POST, et cetera, what resource it is accessing?

12          A.    Yes.

13          Q.    In what sense?

14          A.    A GET request for an object is charged  
15          differently than a PUT request for an object and a  
16          GET request for a bucket, which is a listing,  
17          effectively, is charged differently than either of  
18          those two.

19          Q.    Okay. And is that the per-request charge  
20          that is different for those?

21          A.    That's right.

22          Q.    And was that the case in 2016?

23          A.    Yes.

24          Q.    And you mentioned -- we mentioned the SHR  
25          is for on a per-request basis. What else does S3

1 those to be customized for S3?

2 A. The semantics are customized for S3. The  
3 syntax is not.

4 Q. And is a conditional GET request used in  
5 making a multi-part upload?

6 A. Is a conditional GET request used by the  
7 customer?

8 Q. Yes.

9 A. No.

10 Q. And I was asking you some questions and  
11 then your counsel was asking some questions about  
12 root requests.

13 A. Uh-huh.

14 Q. Can a GET forward slash, like a root  
15 request for a REST endpoint, return an HTML file  
16 composed by an Amazon web service customer?

17 A. For the REST endpoint, you said?

18 Q. Yes.

19 A. No.

20 MR. SHERMAN: No further questions.

21 MR. HAACK: If we're all done, we'll  
22 reserve signature.

23 MR. SHERMAN: That's fine, except for as I  
24 indicated earlier, I have no further questions right  
25 now, and we will be taking up these issues with the