

United States District Court
For the Northern District of California

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IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

FINJAN, INC.,

No. C 17-05659 WHA

Plaintiff,

v.

**ORDER RE SHOW CAUSE
ORDER**

JUNIPER NETWORKS, INC.,

Defendant.

An order denying plaintiff Finjan, Inc.’s motion for summary judgment of infringement of Claim 1 of United States Patent No. 8,141,154 (“the ’154 patent”) further ordered the parties to show cause as to why the Court should not enter judgment of noninfringement in favor of defendant Juniper Networks, Inc. (Dkt. No. 459 at 12).

As background, the ’154 patent is directed toward a system and method “for protecting a client computer from dynamically generated malicious content” and statically generated conventional viruses (’154 patent, Abstract). The basic set up of the ’154 patent’s purported invention involves “[t]hree major components”: (1) gateway computer, (2) client computer, and (3) security computer (*id.* at 8:45–46). A preferred embodiment describes a gateway computer that intercepts content being sent to the client computer for processing (*id.* at 8:48–51). The gateway computer modifies the content by replacing the call to the original function with a corresponding call to a substitute function, which operates to send the input of the original function to a security computer for inspection (*id.* at 5:10–12). The gateway computer then transmits “the modified content” to the client computer, which processes the

1 modified content (*id.* at 5:14–16). When the substitute function is invoked, the client computer
 2 transmits the input to the security computer for inspection (*id.* at 5:16–18). The security
 3 computer then inspects the input and transmits “an indicator of whether it is safe for the client
 4 computer to invoke the original function with the input” (*id.* at 5:20–22). The client computer
 5 invokes the original function “only if the indicator . . . indicates that such invocation is safe”
 6 (*id.* at 5:22–25).

7 Claim 1 of the ’154 patent reads as follows (’154 patent at 17:32–44 (emphasis added)):

8 1. A system for protecting a computer from dynamically generated
 9 malicious content, comprising:

10 a *content processor* (i) for processing content received
 11 over a network, the content including a call to a first
 12 function, and the call including an input, and (ii) for
 13 invoking a second function with the input, only if a
 14 security computer indicates that such invocation is safe;

15 a transmitter for transmitting the input to the security
 16 computer for inspection, when the first function is
 17 invoked; and

18 receiver for receiving an indicator from the security
 19 computer whether it is safe to invoke the second function
 20 with the input.

21 In construing the term “content processor,” the prior order denying Finjan’s summary
 22 judgment motion found that a person of ordinary skill in the art would have understood the
 23 “content processor” in Claim 1 to process *modified* content (Dkt. No. 459 at 7). This was
 24 based in part on the fact that the specification itself described the “present invention” as
 25 “operat[ing] by replacing original function calls with *substitute function* calls within the
 26 content, at a gateway computer, prior to the content being received at the client computer” (*id.*
 27 at 7 (citing ’154 patent at 4:55–60) (emphasis added)). In light of the foregoing construction,
 28 that order found that on the then-current record, the accused products did not infringe and
 accordingly denied Finjan’s motion (*id.* at 11).

In response to the order to show cause, Finjan marshals additional evidence and asserts
 that the three accused products — SRX Series Gateways, Sky Advanced Threat Prevention,
 and Advanced Threat Prevention Appliance — infringe under the foregoing construction.
 Specifically, Finjan argues that each of those three products process “modified content,”

1 including: (1) content modified for malicious URL redirection; (2) content modified by
2 encryption; and (3) content modified by buffering and building.

3 Juniper counters that Finjan improperly attempts to “redefin[e] the meaning of
4 ‘modified content’ to include ‘original content’ ” (Dkt. No. 479 at 1). This order agrees. As
5 explained in the order denying Finjan’s motion for summary judgment, the “content processor”
6 processes content that includes (Dkt. No. 459 at 7):

7 “first function” [that] clearly involves the “substitute function,” which
8 sends the content’s input to the security computer for inspection once
9 invoked. According to the specification, the substitute function exists
10 only after the original content is modified at the gateway computer (*see*,
11 *e.g.*, ’154 patent at 9:13–28). Accordingly, the claimed “content”
12 necessarily refers to modified content.

13 Here, Finjan offers theories of infringement that purportedly involve a “content
14 processor” that processes “modified content.” But Finjan’s proffered forms of “modified
15 content” do not fit within the scope of the claim language. True, the aforementioned forms of
16 content may have been modified in some way at some time. But as Juniper points out, none of
17 Finjan’s new theories involves original content modified with a *substitute function* (which
18 function sends the content to the security computer when invoked) at the gateway computer, as
19 required by the ’154 patent.

20 *First*, Finjan argues that the three accused products process modified content because
21 “[h]ackers often compromise a web site and manipulate the content hosted on the web server
22 before it is delivered to the user” and that the accused products “process this modified content
23 in order to protect the user from hackers” (Dkt. No. 474 at 1). But this ultimately amounts to
24 the *original* content initially received by the claimed system. The “modified content” Finjan
25 proposes under this theory does not involve a substitute function as required by Claim 1.

26 *Second*, Finjan contends that the accused products process content modified through an
27 encryption algorithm (*id.* at 3, 5, 7). It asserts that the SRX “receives and processes content
28 that has been modified by a remote server through cryptographic protocols, such as ‘Transport
Layer Security’ (“TLS”) and ‘Secure Socket Layer’ (“SSL”) (*id.* at 3). And, Sky ATP and
ATP Appliance process content modified through encryption such as SSL, with ATP
Appliance including a “specific functionality for the processing of encrypted content,”

1 according to Finjan (*id.* at 5, 7–8). The accused products also process compressed data (*e.g.*,
2 zip file), which Finjan asserts constitutes modified content (*id.* at 4, 6, 8). But again, these
3 “modifications” occur *before* the content is sent to the gateway computer. Moreover, the
4 “modifications” do not fall within the meaning of Claim 1, as they do not involve a “substitute
5 function” as disclosed in the specification.

6 *Third*, Finjan argues that the accused products process modified content because said
7 content has been “buffered” or “chunked.” As to the SRX, Finjan argues that “the
8 AAMW_transport_module (which is what sends the sample to the Sky ATP) is a ‘content
9 processor’ that receives the buffered and chunked, and therefore ‘modified’, content while the
10 content is being processed by the component of the SRX Gateway that will transfer the content
11 to the security computer” (*id.* at 4). Sky ATP processes modified content, according to Finjan,
12 because “the data that the SRX Gateway receives is buffered and built into the modified
13 content that is sent to the content processor in Sky ATP” (Dkt. No. 474 at 6). Finjan also
14 contends that ATP Appliance processes modified content because “it receives content together
15 with metadata” (*id.* at 8). It further points to evidence that the SRX “modifies the content
16 because it intercepts the request, buffers it, replaces the get request with its own, and its own ip
17 address, and processes the response before sending it to” Sky ATP and ATP Appliance for
18 further processing (*id.* at 6, 9).

19 As Juniper points out, however, under this theory, the content is simply broken into
20 pieces for processing, not “modified” within the meaning of Claim 1. Nor has Finjan shown
21 that the “get request” and “ip address” replacements constitute the “substitute function”
22 involved in the claimed “modified content.” Finjan’s metadata theory similarly fails, as it
23 offers no evidence that modification with metadata constitutes a “substitute function” within
24 the meaning of Claim 1.

25 Moreover, Finjan’s doctrine of equivalents arguments fail to sufficiently raise an issue
26 of fact. It first argues that the accused products “perform the same function performed as a
27 ‘content processor’ because they will process content that was received from the internet that
28 has been modified to be encrypted, harmful or malicious, and allow the use of a security

1 computer to determine if it is safe to invoke a function with an input to a function in the
2 content” (*id.* at 9). Again, this simply amounts to the “original content” under the ’154 patent
3 and thus cannot reasonably be equivalent to the claimed “modified content.”

4 Finjan next asserts that the accused products “use modified content, as the content is
5 modified via the accused products so that the original ‘first function,’ which was to directly
6 contact the given location on the Internet (the input), instead sends the input to a security
7 processor for further processing” (*id.* at 10). As Juniper points out, however, Finjan fails to
8 point to any real evidence supporting this notion. It merely cites to paragraph 111 of the
9 Mitzenmacher declaration, which in turn simply regurgitates the same conclusory language as
10 in the briefing. Such self-serving evidence fails to raise a triable issue.

11 Finally, Finjan contends that Juniper “sandbagged” Finjan in raising a new claim
12 construction in its opposition brief (Dkt. No. 474 at 11–14). But that does not explain why
13 Finjan failed to offer *any* support in its reply brief under the adopted construction. Nor is
14 Finjan’s complaint that “it would be procedurally unfair to exclude Finjan from presenting a
15 full infringement case for Claim 1” of the ’154 patent persuasive — the order to show cause
16 was issued precisely to give Finjan the fair opportunity to present evidence of infringement
17 under the adopted construction. And, Finjan took full advantage of this opportunity by filing
18 pages upon pages of briefing, declarations, and exhibits.

19 At bottom, this order finds that Finjan failed to raise a triable issue of fact, even when
20 construing the evidence in the light most favorable to Finjan. Accordingly, summary judgment
21 of noninfringement of Claim 1 of the ’154 patent in favor of Juniper is **GRANTED**.

22
23 **IT IS SO ORDERED.**

24 Dated: July 23, 2019.

25 
26 WILLIAM ALSUP
27 UNITED STATES DISTRICT JUDGE
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