

UNITED STATES DISTRICT COURT

for the

Northern District of California

FINJAN, INC., a Delaware Corporation,

Plaintiff

v.

JUNIPER NETWORKS, INC., a Delaware
Corporation*Defendant*

Civil Action No. 3:17-cv-05659-WHA

SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS
OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTION

To: Dawn-Marie Bey c/o, Kramer Levin Naftalis & Frankel LLP, 990 Marsh Road, Menlo Park, CA 94025

(Name of person to whom this subpoena is directed)

☒ **Production:** **YOU ARE COMMANDED** to produce at the time, date, and place set forth below the following documents, electronically stored information, or objects, and to permit inspection, copying, testing, or sampling of the material: See Exhibit A.

Place: Joshua Glucoft, Irell & Manella LLP, 1800 Avenue of
the Stars, Suite 900, Los Angeles, CA 90067

Date and Time:

05/10/2019 by 9:00 am

☐ **Inspection of Premises:** **YOU ARE COMMANDED** to permit entry onto the designated premises, land, or other property possessed or controlled by you at the time, date, and location set forth below, so that the requesting party may inspect, measure, survey, photograph, test, or sample the property or any designated object or operation on it.

Place:

Date and Time:

The following provisions of Fed. R. Civ. P. 45 are attached – Rule 45(c), relating to the place of compliance; Rule 45(d), relating to your protection as a person subject to a subpoena; and Rule 45(e) and (g), relating to your duty to respond to this subpoena and the potential consequences of not doing so.

Date: 04/25/2019

CLERK OF COURT

OR

Signature of Clerk or Deputy Clerk

/s/ Joshua Glucoft

Attorney's signature

The name, address, e-mail address, and telephone number of the attorney representing (name of party) Defendant Juniper Networks, Inc., who issues or requests this subpoena, are:

Joshua Glucoft, Irell & Manella LLP, 1800 Avenue of the Stars, Ste 900, Los Angeles, CA 90067, jglucoft@irell.com, (310) 277-1010

Notice to the person who issues or requests this subpoena

If this subpoena commands the production of documents, electronically stored information, or tangible things or the

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PROOF OF SERVICE*(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)*

I received this subpoena for *(name of individual and title, if any)* _____
 on *(date)* _____.

☐ I served the subpoena by delivering a copy to the named person as follows: _____

_____ on *(date)* _____; or

☐ I returned the subpoena unexecuted because: _____

Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also
 tendered to the witness the fees for one day's attendance, and the mileage allowed by law, in the amount of
 \$ _____.

My fees are \$ _____ for travel and \$ _____ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: _____

Server's signature

Printed name and title

Server's address

Additional information regarding attempted service, etc.:

Federal Rule of Civil Procedure 45 (c), (d), (e), and (g) (Effective 12/1/13)**(c) Place of Compliance.**

(1) For a Trial, Hearing, or Deposition. A subpoena may command a person to attend a trial, hearing, or deposition only as follows:

- (A) within 100 miles of where the person resides, is employed, or regularly transacts business in person; or
- (B) within the state where the person resides, is employed, or regularly transacts business in person, if the person
 - (i) is a party or a party's officer; or
 - (ii) is commanded to attend a trial and would not incur substantial expense.

(2) For Other Discovery. A subpoena may command:

- (A) production of documents, electronically stored information, or tangible things at a place within 100 miles of where the person resides, is employed, or regularly transacts business in person; and
- (B) inspection of premises at the premises to be inspected.

(d) Protecting a Person Subject to a Subpoena; Enforcement.

(1) Avoiding Undue Burden or Expense; Sanctions. A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The court for the district where compliance is required must enforce this duty and impose an appropriate sanction—which may include lost earnings and reasonable attorney's fees—on a party or attorney who fails to comply.

(2) Command to Produce Materials or Permit Inspection.

(A) *Appearance Not Required.* A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.

(B) *Objections.* A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing, or sampling any or all of the materials or to inspecting the premises—or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:

- (i) At any time, on notice to the commanded person, the serving party may move the court for the district where compliance is required for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

(3) Quashing or Modifying a Subpoena.

(A) *When Required.* On timely motion, the court for the district where compliance is required must quash or modify a subpoena that:

- (i) fails to allow a reasonable time to comply;
- (ii) requires a person to comply beyond the geographical limits specified in Rule 45(c);
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
- (iv) subjects a person to undue burden.

(B) *When Permitted.* To protect a person subject to or affected by a subpoena, the court for the district where compliance is required may, on motion, quash or modify the subpoena if it requires:

- (i) disclosing a trade secret or other confidential research, development, or commercial information; or

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party.

(C) *Specifying Conditions as an Alternative.* In the circumstances described in Rule 45(d)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:

- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
- (ii) ensures that the subpoenaed person will be reasonably compensated.

(e) Duties in Responding to a Subpoena.

(1) Producing Documents or Electronically Stored Information. These procedures apply to producing documents or electronically stored information:

(A) *Documents.* A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.

(B) *Form for Producing Electronically Stored Information Not Specified.* If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.

(C) *Electronically Stored Information Produced in Only One Form.* The person responding need not produce the same electronically stored information in more than one form.

(D) *Inaccessible Electronically Stored Information.* The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) Claiming Privilege or Protection.

(A) *Information Withheld.* A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:

- (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.

(B) *Information Produced.* If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information under seal to the court for the district where compliance is required for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(g) Contempt.

The court for the district where compliance is required—and also, after a motion is transferred, the issuing court—may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena or an order related to it.

For access to subpoena materials, see Fed. R. Civ. P. 45(a) Committee Note (2013).

EXHIBIT A

DEFINITIONS

Unless the context indicates otherwise, for purposes of these discovery requests the following words and phrases shall have the meanings given:

1. “Communication” shall mean any contact between two or more persons and shall include without limitation: written contact by such means as any document or any form of electronic or mechanical method of correspondence including without limitation e-mail, internet posting, text messaging, instant messaging, chat messaging, or voicemail message.
2. “Concerning,” “relating,” or “related” shall be construed broadly, and shall mean mentioning, discussing, referring to, pertaining to, reflecting, or consulting.
3. “Document” shall be construed to the broadest possible meaning pursuant to the Federal Rules of Civil Procedure, and refers to all writings, tangible things, and property, of any kind, which is in your possession, custody, or control or to which you have had, or can obtain access. Where a copy of a document contains comments, markings or notations not found on prior or subsequent versions, such copy and prior or subsequent versions are considered separate documents.
4. “Finjan” refers to Plaintiff Finjan, Inc., including all past or present divisions, departments, parents, subsidiaries, affiliates, partnerships, joint ventures, predecessors or successors thereof, and all past and present officers, directors, employees, agents, representatives, consultants, managers, partners, or attorneys thereof.
5. “Juniper” refers to Defendant Juniper Networks, Inc.
6. “Patent” refers to any United States, international, or foreign classes or types of patents, utility models, design patents, applications (including provisional applications),

certificates of invention, reissues, divisionals, continuations, continuations-in-part, extensions, renewals, reexaminations and foreign counterparts thereof. The defined term Patent includes all stated categories of intellectual property regardless of whether those rights are presently expired or were ever adjudged invalid.

7. “Patents-in-Suit” refers to all of the patents at issue in the present litigation, which are U.S. Patent No. 8,677,494 (“the ‘494 Patent”), U.S. Patent No. 6,154,844 (“the ‘844 Patent”), U.S. Patent No. 6,804,780 (“the ‘780 Patent”), U.S. Patent No. 7,418,731 (“the ‘731 Patent”), U.S. Patent No. 7,647,633 (“the ‘633 Patent”), and U.S. Patent No. 8,141,154 (“the ‘154 Patent”).

8. “You” or “Your” refers to Dawn-Marie Bey.

INSTRUCTIONS

1. You are required to produce all Documents responsive to these requests that are in your possession, custody, or control, including all those within the possession, custody, or control of your agents, representatives, and licensees and all those that can be obtained through reasonably diligent efforts.

2. If any specific request cannot be complied with in full, you shall comply to the extent possible and explain why full compliance is not possible.

3. Documents shall be produced in text-searchable image files (i.e., either PDF or TIFF format). The only Documents that may be produced in native format are files not easily converted to image format, such as Excel or media files. When scanning hard-copy Documents, you shall undertake reasonable efforts to ensure that distinct Documents are not merged into a single record and single Documents are not split into multiple records.

4. The Documents produced should be organized and labeled to correspond to the categories in these requests. Alternatively, if Documents are produced as they are kept in

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