# Exhibit 2

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# (12) United States Patent

## **Touboul**

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### (54) SYSTEM AND METHOD FOR PROTECTING A COMPUTER AND A NETWORK FROM HOSTILE DOWNLOADABLES

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- (\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35

U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

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#### Related U.S. Application Data

- (63) Continuation of application No. 08/964,388, filed on Nov. 6, 1997, now Pat. No. 6,092,194.
- (60) Provisional application No. 60/030,639, filed on Nov. 8,
- (51) **Int. Cl.**<sup>7</sup> ...... **H04L 9/00**; G06F 11/30
- (52) **U.S. Cl.** ...... **713/181**; 713/201; 713/176; 717/178

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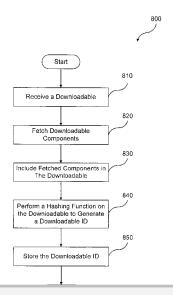
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#### (57) ABSTRACT

A computer-based method for generating a Downloadable ID to identify a Downloadable, including obtaining a Downloadable that includes one or more references to software components required by the Downloadable, fetching at least one software component identified by the one or more references, and performing a function on the Downloadable and the fetched software components to generate a Downloadable ID. A system and a computer-readable storage medium are also described and claimed.

## 18 Claims, 10 Drawing Sheets





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FIG. 6B is a flowchart illustrating details of step 606 of FIG. 6A (referred to herein as method 606). Method 606 begins with the policy finder 317 in step 650 determining whether security policies 305 include a specific security policy corresponding to the userID and the Downloadable. If so, then the policy finder 317 in step 654 fetches the corresponding specific policy 305. If not, then the policy finder 317 in step 652 fetches the default or generic security policy 305 corresponding to the userID. Method 606 then

FIG. 6C is a flowchart illustrating details of a method 655 for determining whether to allow or to block the incoming Downloadable. Method 655 begins with the logical engine 333 in step 660 receiving the results from the first comparator 320, from the ACL comparator 330, from the certificate comparator 345 and from the URL comparator 350. The logical engine 333 in step 662 compares the results with the policy selector 405 embodied in the security policy 305, and in step 664 determines whether the policy selector 405 confirms the pass. For example, the policy selector 405 may indicate that the logical engine 333 pass the Downloadable if it passes one of the tests of Path 1, Path 2, Path 3 and Path 4. If the policy selector 405 indicates that the Downloadable should pass, then the logical engine 333 in step 666 passes the Downloadable to the intended recipient. In step 668, the logical engine 333 sends the results to the record-keeping engine 335, which in turn stores the results in the event log 245 for future review. Method 655 then ends. Otherwise, if the policy selector 405 in step 664 indicates that the Downloadable should not pass, then the logical engine 333 in step 30 670 stops the Downloadable and in step 672 sends a nonhostile substitute Downloadable to inform the user that the incoming Downloadable has been blocked. Method 655 then jumps to step 668.

FIG. 7 is a flowchart illustrating details of step 628 of FIG. 6A (referred to herein as method 628) for decomposing a Downloadable into DSP data 310. Method 628 begins in step 705 with the code scanner 325 disassembling the machine code of the Downloadable. The code scanner 325 in step 710 resolves a respective command in the machine code, and in step 715 determines whether the resolved command is suspicious (e.g., whether the command is one of the operations identified in the list described above with reference to FIG. 3). If not, then the code scanner 325 in step 725 determines whether it has completed decomposition of the Downloadable, i.e., whether all operations in the Downloadable code have been resolved. If so, then method 628 ends. Otherwise, method 628 returns to step 710.

Otherwise, if the code scanner 325 in step 71 determines that the resolved command is suspect, then the code scanner 50 325 in step 720 decodes and registers the suspicious command and its command parameters as DSP data 310. The code scanner 325 in step 720 registers the commands and command parameters into a format based on command class (e.g., file operations, network operations, registry 55 operations, operating system operations, resource usage thresholds). Method 628 then jumps to step 725.

FIG. 8 is a flowchart illustrating a method 800 for generating a Downloadable ID for identifying a Downloadable. Method 800 begins with the ID generator 315 in step 810 receiving a Downloadable from the external computer network 105. The ID generator 315 in step 820 may fetch some or all components referenced in the Downloadable code, and in step 830 includes the fetched components in the Downloadable code. The ID generator 315 in step 840 65 includes a plugin.

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generator 315 in step 850 stores the generated Downloadable ID in the security database 240 as a reference to the DSP data 310. Accordingly, the Downloadable ID will be the same for the identical Downloadable each time it is encountered

The foregoing description of the preferred embodiments of the invention is by way of example only, and other variations of the above-described embodiments and methods are provided by the present invention. For example, although the invention has been described in a system for protecting an internal computer network, the invention can be embodied in a system for protecting an individual computer. Components of this invention may be implemented using a programmed general purpose digital computer, using application specific integrated circuits, or using a network of interconnected conventional components and circuits. The embodiments described herein have been presented for purposes of illustration and are not intended to be exhaustive or limiting. Many variations and modifications are possible in light of the foregoing teaching. The system is limited only by the following claims.

What is claimed is:

- 1. A computer-based method for generating a Downloadable ID to identify a Downloadable, comprising:
  - obtaining a Downloadable that includes one or more references to software components required to be executed by the Downloadable;
  - fetching at least one software component identified by the one or more references; and
  - performing a hashing function on the Downloadable and the fetched software components to generate a Downloadable ID.
- 2. The method of claim 1, wherein the Downloadable includes an applet.
- 3. The method of claim 1, wherein the Downloadable includes an active software control.
- 4. The method of claim 1, wherein the Downloadable includes a plugin.
- 5. The method of claim 1, wherein the Downloadable includes HTML code
- **6**. The method of claim **1**, wherein the Downloadable includes an application program.
- 7. The method of claim 1, wherein said fetching includes fetching a first software component referenced by the Downloadable
- 8. The method of claim 1, wherein said fetching includes fetching all software components referenced by the Downloadable.
- **9**. A system for generating a Downloadable ID to identify a Downloadable, comprising:
  - a communications engine for obtaining a Downloadable that includes one or more references to software components required to be executed by the Downloadable; and
  - an ID generator coupled to the communications engine that fetches at least one software component identified by the one or more references, and for performing a hashing function on the Downloadable and the fetched software components to generate a Downloadable ID.
- 10. The system of claim 9, wherein the Downloadable includes an applet.
- 11. The system of claim 9, wherein the Downloadable includes an active software control.
- 12. The system of claim 9, wherein the Downloadable includes a plugin.

