

## **EXHIBIT 5**

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15 **UNITED STATES DISTRICT COURT**  
16 **FOR THE CENTRAL DISTRICT OF CALIFORNIA**

18 NANTWORKS, LLC, a Delaware  
limited liability company, and  
19 NANT HOLDINGS IP, LLC, a  
Delaware limited liability company,

20 Plaintiffs,

21 vs.

22 BANK OF AMERICA  
23 CORPORATION, a Delaware  
corporation, and BANK OF  
24 AMERICA, N.A., a national banking  
association,

25 Defendants.  
26  
27  
28

**Case No. 2:20-CV-7872-GW-PVC**

**DEFENDANTS BANK OF AMERICA  
CORPORATION AND BANK OF  
AMERICA, N.A.'S FIFTH SET OF  
INTERROGATORIES TO PLAINTIFFS  
(NO. 29)**

1 Pursuant to Rules 26 and 33 of the Federal Rules of Civil Procedure and Local  
2 Rule 26, Defendants Bank of America Corporation and Bank of America, N.A.  
3 (collectively, “Defendants”), by their undersigned attorneys, request that Plaintiffs  
4 NantWorks, LLC and Nant Holdings IP, LLC (collectively, “NantWorks” or  
5 “Plaintiffs”) answer the following interrogatories within thirty (30) days of service.

6 **GENERAL DEFINITIONS AND RULES OF CONSTRUCTION**

7 Notwithstanding any definition below, each word, term, or phrase used in these  
8 Requests is intended to have the broadest meaning permitted under the Federal Rules  
9 of Civil Procedure.

10 1. “Plaintiffs,” “NantWorks,” “You,” or “Your” shall mean any or all of  
11 NantWorks, LLC; Nant Holdings IP, LLC; and/or any of its or their representatives, all  
12 past and present predecessors, successors, subsidiaries, affiliates, and parent companies,  
13 and all past and present directors, officers, partners, employees, agents, representatives,  
14 or persons acting on behalf of the forgoing entities.

15 2. “Defendant(s)” or “Bank of America” shall refer to Defendants Bank of  
16 America Corporation and/or Bank of America, N. A.

17 3. “NantWorks Patent(s)-in-Suit” shall refer, individually and collectively, to  
18 U.S. Patent No. 7,881,529, U.S. Patent No. 7,899,252, U.S. Patent No. 8,326,038, U.S.  
19 Patent No. 8,463,030, U.S. Patent No. 8,478,036, U.S. Patent No. 8,520,897, U.S.  
20 Patent No. 9,031,278, and U.S. Patent No. 9,324,004, as well as any patents asserted in  
21 this lawsuit at a later date.

22 4. Related Patent(s)” means:

- 23 a. Any patent or patent application in the genealogical chain through  
24 which a Patent-in-Suit claims priority:  
25 b. Any patent or patent application which claims priority through  
26 genealogical chain that includes a Patent-in-Suit  
27 c. Any foreign counterpart of a Patent-in-Suit or otherwise Related  
28 Patent.

1           5.     "Any" and "all" shall mean one or more.

2           6.     "Accused Instrumentality(ies)" shall mean and refer to those accused  
3 instrumentalities NantWorks identifies in accordance with C.D. Cal. S.P.R. 2.1.

4           7.     "Advanced Mobile Deposit Software" shall refer to the "source code,  
5 object code, and libraries for the mobile check deposit software" NantWorks refers in  
6 paragraph 179 of its Complaint.

7           8.     "And" and "or" shall be construed conjunctively or disjunctively as  
8 necessary to bring within the scope of the discovery request all responses that might  
9 otherwise be construed to be outside of its scope, and the use of the singular form of  
10 any word includes the plural and vice versa.

11          9.     "Asserted Claim" means and includes each and every claim of the Patents-  
12 in-Suit that NantWorks contends is infringed in accordance with C.D. Cal. S.P.R. 2.1.

13          10.    "Communication(s)" means any transmission of information, including  
14 drafts.

15          11.    "Complaint" shall refer to Plaintiffs' live complaint, which as of January  
16 25, 2021, was Plaintiffs' First Amended Complaint filed on or about November 11,  
17 2020, in the above-captioned matter.

18          12.    "Constituting" or "relating to" is used in its broadest sense to include any  
19 connection, relation, or relevance.

20          13.    "Date" means the exact day, month and year, if ascertainable; and if not  
21 ascertainable, the closest approximation that can be made by means of relationship to  
22 other events, locations or matters.

23          14.    "Document(s)" shall include all writings, recordings, photographs, or  
24 other documents within the scope of Rule 1001 of the Federal Rules of Evidence or  
25 Rule 34 of the Federal Rules of Civil Procedure, including without limitation written,  
26 printed, typed, electronically stored, magnetically stored, optically stored, and visually  
27 or aurally reproduced material of any kind. The term "document" shall include both  
28 the original of a document and all distinct copies thereof, including, without limitation,

1 copies that are distinct due to the presence of notes made on or attached to the document.

2 15. “Including” shall mean without limitation.

3 16. “Person” or “Entity” and their plural forms include, without limitation,  
4 natural persons, law firms, partnerships, corporations, associations, and other legal  
5 entities and divisions, departments, or other units thereof.

6 17. “Present Litigation,” “this lawsuit,” “this litigation,” and “this case” refers  
7 to the above-referenced action, NantWorks, LLC v. Bank of America Corp., Case No.  
8 2:20-CV-7872-GW-PVC (C.D. Cal).

9 18. “Prior Art” means anything that constitutes prior art under any subsection  
10 of 35 U.S.C. § 102 or § 103, including without limitation any publication, patent, use,  
11 sale, offer for sale, prior invention, knowledge, or other activity.

12 19. “Reflecting,” “referring,” “relating to,” “concerning” or any derivation  
13 thereof shall mean, without limitation, consisting of, constituting, containing,  
14 mentioning, describing, summarizing, evidencing, listing, indicating, analyzing,  
15 explaining, supporting, undermining, contradicting, concerning, pertaining to, prepared  
16 in connection with, used in preparation for, or being in any way legally, logically, or  
17 factually connected with the matter discussed.

18 20. “Related Patent(s)” means any patent or patent application related to the  
19 Asserted Patents genealogically and/or by common subject matter or inventorship,  
20 including but not limited to, any U.S. patent applications, such as continuation  
21 applications, continuation-in-part applications, and divisional applications, and all  
22 foreign counterpart applications, whether pending, allowed, issued, expired, or  
23 abandoned.

24 21. “Thing” shall include all tangible objects of any type, composition,  
25 construction, or nature.

26 22. “Writings,” “recordings,” and “photographs” are defined to be  
27 synonymous in meaning and equal in scope to the usage of those terms in Fed. R. Evid.  
28 1001..

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