

**CENTER FOR DRUG EVALUATION AND  
RESEARCH**

*APPLICATION NUMBER:*

**203284Orig1s000**

**PHARMACOLOGY REVIEW(S)**

Comments on N203284 Ravicti glycerol phenyl butyrate

From A. Jacobs, AD

Date: Dec 10, 2012

1. I concur that there are no pharm/tox approval issues and that the pregnancy category should be C. I concur with the Team leader that the nonstatistically significant tail effects in rat fetuses could be eliminated from the labeling
2. I have conveyed other comments to the Team leader and they will be addressed as appropriate

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/s/  
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ABIGAIL C JACOBS  
12/10/2012

**ADDENDUM TO PHARMACOLOGY TEAM LEADER MEMORANDUM FOR  
NDA 203,284 DATED DECEMBER 10, 2012**

In the Pharmacology/Toxicology review by Dr. Ke Zhang (dated November 28, 2012), the following recommendation appears in the evaluation of the proposed labeling:

**13.2 Animal Toxicology and/or Pharmacology**

**Evaluation:** The sponsor omitted this section from the proposed labeling. However, in the label for Buphenyl (sodium phenylbutyrate), the following paragraph is included under "PRECAUTIONS":

**"Neurotoxicity of Phenylacetate in Animals"**

"When given subcutaneously to rat pups, 190–474 mg/kg phenylacetate caused decreased proliferation and increased loss of neurons, and it reduced CNS myelin. Cerebral synapse maturation was retarded, and the number of functioning nerve terminals in the cerebrum was reduced, which resulted in impaired brain growth. Prenatal exposure of rat pups to phenylacetate produced lesions in layer 5 of the cortical pyramidal cells; dendritic spines were longer and thinner than normal and reduced in number."

Since phenylacetate is a major metabolite of glycerol phenylbutyrate, the same information should be included in the label for Ravicti.

**Recommended Version:**

**13.2 Animal Toxicology and/or Pharmacology**

**Neurotoxicity of Phenylacetate in Animals**

When given subcutaneously to rat pups, 190–474 mg/kg phenylacetate caused decreased proliferation and increased loss of neurons, and it reduced CNS myelin. Cerebral synapse maturation was retarded, and the number of functioning nerve terminals in the cerebrum was reduced, which resulted in impaired brain growth. Prenatal exposure of rat pups to phenylacetate produced lesions in layer 5 of the cortical pyramidal cells; dendritic spines were longer and thinner than normal and reduced in number.

**Comments:**

Dr. Zhang provided a reasonable argument for including this animal data in the Ravicti label, and I concurred with all of Dr. Zhang's labeling recommendations in my Team Leader memorandum. However, I have reconsidered my view on this issue. First, it should be noted that the animal data summary, which originates from the Buphenyl® label, was based on data from an unidentified publication (see Pharmacology/

Toxicology review of NDA 20,572 and 20,573 dated April 23, 1996). The study methods were not described in this review. However, the data summary in the Buphenyl® label does indicate that the active metabolite, phenylacetate (PAA), which is known to be neurotoxic, was injected subcutaneously in rat pups and presumably in pregnant rats for the prenatal exposure evaluation.

The subcutaneous dosing of PAA in this study may have produced plasma levels higher than that achievable through oral administration of glycerol phenylbutyrate at an equivalent dose. Therefore, the relevance of the study results to the risk of neurotoxicity with orally administered glycerol phenylbutyrate is unknown. Furthermore, given that no detailed information about the study methods is available, the suitability of this data for inclusion in the Ravicti label is uncertain. Based on these considerations, it is appropriate to omit this nonclinical information in the Ravicti label.

**Recommendations:**

The nonclinical information from the Buphenyl® label, as shown above, should not be included in the Ravicti label.

---

|   |      |
|---|------|
| David B. Joseph, Ph.D.<br>Pharmacology Team Leader<br>Division of Gastroenterology and Inborn Errors Products | Date |
|---|------|

cc:  
NDA 203,284  
DGIEP  
DGIEP/PM  
DGIEP/Dr. Joseph  
DGIEP/Dr. Zhang  
DGIEP/Dr. Blank  
DGIEP/Dr. Griebel

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/s/  
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DAVID B JOSEPH  
01/31/2013

**MEMORANDUM****DEPARTMENT OF HEALTH AND HUMAN SERVICES  
PUBLIC HEALTH SERVICE  
FOOD AND DRUG ADMINISTRATION  
CENTER FOR DRUG EVALUATION AND RESEARCH**

**FROM:** David B. Joseph  
Pharmacology Team Leader

**DATE:** December 10, 2012

**SUBJECT:** NDA 203,284 (SD # 1 dated December 23, 2011)

**Sponsor:** Hyperion Therapeutics Inc.

**Drug Product:** Ravicti™ (glycerol phenylbutyrate)

**Comments:**

1. Ravicti™ (glycerol phenylbutyrate) is a (b) (4) for oral administration, and is indicated for adjunctive therapy for chronic management of adult and pediatric patients with UCDS (urea cycle disorders). Glycerol phenylbutyrate is a triglyceride containing three molecules of 4-phenylbutyric acid (PBA) linked to a glycerol backbone. The drug is metabolized to PBA and then PAA (phenylacetic acid), which is conjugated with glutamine to form PAGN (phenylacetylglutamine). PAGN is excreted in urine, thereby acting as a substitute for urea by mediating nitrogen excretion. Glycerol phenylbutyrate and sodium phenylbutyrate (Buphenyl®) are metabolized to the same active metabolite (PAA), therefore both drugs share the same mechanism of action. Buphenyl® is approved for adjunctive therapy in the chronic management of adult and pediatric patients with UCDS.
2. In the 2-year rat carcinogenicity study, glycerol phenylbutyrate produced an increased incidence of pancreatic acinar cell adenoma, carcinoma and combined adenoma or carcinoma, and Zymbal's gland carcinoma in both male and female rats, and thyroid follicular cell adenoma, carcinoma and combined adenoma or carcinoma, adrenal cortical combined adenoma or carcinoma, uterine endometrial stromal polyp and combined polyp or sarcoma in female rats.
3. Glycerol phenylbutyrate and its major metabolites are not genotoxic. Therefore, the drug-induced tumors in rats appear to be mediated by a non-genotoxic mechanism(s). A common mechanism for induction of thyroid follicular cell tumors in rodents is through hepatic microsomal enzyme induction (Capen, Toxicologic Pathology, 25(1), pg. 39-48, 1997). The metabolites PBA and PAA were shown to be P450 enzyme inducers in cultured human hepatocytes, and hepatocellular hypertrophy (indicative of enzyme induction) was observed in mice and monkeys in

repeat-dose toxicity studies with glycerol phenylbutyrate. Although thyroid tumors occurred in rats in the 2-year carcinogenicity study, the available data from all rat studies does not clearly indicate whether glycerol phenylbutyrate or its metabolites produce enzyme induction. The Sponsor did not provide any study that evaluated enzyme induction in rats. However, a dose-dependent increase in liver weight occurred in the 3-month oral toxicity study in rats (up to 31% in females), but this effect was not associated with histological findings. Although hepatocellular hypertrophy was not observed in rats, the increased liver weight is consistent with enzyme induction. Therefore, the weight of evidence from rats and other species suggests that the thyroid tumors in rats were secondary to hepatic enzyme induction, a mechanism that does not appear to be relevant to the risk of thyroid tumor development in humans (Capen, Toxicologic Pathology, 25(1), pg. 39-48, 1997). However, in the absence of additional studies (e.g. hepatic enzyme induction in rats, effects on TSH levels in rats), a final conclusion cannot be made regarding the mechanism of the thyroid follicular cell tumors produced by glycerol phenylbutyrate.

**Recommendations:**

There are no nonclinical issues which preclude the approval of Ravicti™. I concur with Dr. Zhang's recommendation for approval, and his recommendations for labeling revisions.

---

|   |      |
|---|------|
| David B. Joseph, Ph.D.<br>Pharmacology Team Leader<br>Division of Gastroenterology and Inborn Errors Products | Date |
|---|------|

cc:  
NDA 203,284  
DGIEP  
DGIEP/PM  
DGIEP/Dr. Joseph  
DGIEP/Dr. Zhang  
DGIEP/Dr. Blank  
OND IO/Dr. Jacobs



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/s/  
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DAVID B JOSEPH  
12/10/2012

**DEPARTMENT OF HEALTH AND HUMAN SERVICES  
PUBLIC HEALTH SERVICE  
FOOD AND DRUG ADMINISTRATION  
CENTER FOR DRUG EVALUATION AND RESEARCH**

**PHARMACOLOGY/TOXICOLOGY NDA REVIEW AND EVALUATION**

Application number: 203,284  
Supporting document/s: 000  
Applicant's letter date: December 23, 2011  
CDER stamp date: December 23, 2011  
Product: Ravicti™ / glycerol phenylbutyrate  
Indication: Urea cycle disorders  
Applicant: Hyperion Therapeutics  
South San Francisco, CA  
Review Division: Division of Gastroenterology and Inborn Errors  
Products (DGIEP)  
Reviewer: Ke Zhang, Ph.D.  
Supervisor/Team Leader: David Joseph, Ph.D.  
Division Director: Donna Griebel, M.D.  
Project Manager: Jessica Benjamin

*Template Version: September 1, 2010*

**Disclaimer**

Except as specifically identified, all data and information discussed below and necessary for approval of NDA 203,284 are owned by Hyperion Therapeutics or are data for which Hyperion Therapeutics has obtained a written right of reference. Any information or data necessary for approval of NDA 203,384 that Hyperion Therapeutics does not own or have a written right to reference constitutes one of the following: (1) published literature, or (2) a prior FDA finding of safety or effectiveness for a listed drug, as reflected in the drug's approved labeling. Any data or information described or referenced below from reviews or publicly available summaries of a previously approved application is for descriptive purposes only and is not relied upon for approval of NDA 203,284.

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## 1. Executive Summary

### 1.1 Introduction

Glycerol phenylbutyrate is a triglyceride containing three molecules of 4-phenylbutyric acid (PBA) linked to a glycerol backbone. Glycerol phenylbutyrate is hydrolyzed by lipases in the GI tract to glycerol and PBA following oral administration. PBA is then absorbed and metabolized to phenylacetic acid (PAA), which is subsequently conjugated with glutamine in the liver and kidneys to form phenylacetylglutamine (PAGN). PAGN is excreted in urine, thereby eliminating two moles of nitrogen on molar basis. Thus, PAGN is utilized as an alternate means for metabolic disposal of nitrogen waste in patients with genetic defects in their urea cycle. Buphenyl (sodium phenylbutyrate) is approved for treatment of urea cycle disorders. The current sponsor seeks market approval for glycerol phenylbutyrate as adjunctive therapy for chronic management of adult and pediatric patients  $\geq 6$  years of age with urea cycle disorders.

### 1.2 Brief Discussion of Nonclinical Findings

Ravicti™ (glycerol phenylbutyrate) is a (b) (4) for oral administration. Glycerol phenylbutyrate was tested as a neat liquid in the nonclinical studies. The results of the repeated-dose oral toxicity studies revealed that the central nervous system was the target organ of toxicity based on clinical signs including hypoactivity, impaired equilibrium, ptosis, and shallow or labored respiration in mice, hypoactivity, impaired equilibrium, and rigid muscle tone in rats, and hypoactivity, impaired equilibrium, hunched posture, recumbency, labored respiration, and tremor in monkeys. Histopathologic examination revealed hepatocellular hypertrophy in 13-week oral toxicity studies in mice and monkeys and in the 52-week oral toxicity study in monkeys. Minimal to mild periductal mixed cellular infiltrates in liver were observed in a neonatal rat toxicity study after 7 weeks of treatment.

Glycerol phenylbutyrate was not genotoxic in the Ames test, the *in vitro* chromosomal aberration test, or the *in vivo* rat micronucleus test. The metabolites PBA, PAA, PAGN, and phenylacetylglutamine (PAG) were not genotoxic in the Ames test or the *in vitro* chromosomal aberration test.

Glycerol phenylbutyrate was not tumorigenic in the 26-week carcinogenicity study in Tg.rasH2 mice at oral doses of 600 and 1000 mg/kg/day. In the 2-year carcinogenicity study in rats, glycerol phenylbutyrate increased the incidence of

pancreatic acinar cell adenoma, carcinoma and combined adenoma or carcinoma, and Zymbal's gland carcinoma in both male and female rats, and thyroid follicular cell adenoma, carcinoma and combined adenoma or carcinoma, adrenal cortical combined adenoma or carcinoma, uterine endometrial stromal polyp and combined polyp or sarcoma in female rats. The exposure multiples which produced tumors were 4.7 in male rats and 8.4 in female rats relative to adult patients, and 3 in male rats and 5.5 in female rats relative to pediatric patients.

Glycerol phenylbutyrate did not have adverse effects on fertility or reproductive function in rats at oral doses up to 0.9 g/kg/day, but did produce an increase in the number of non-viable embryos at 1.2 g/kg/day in the fertility and general reproduction toxicity study in rats.

Glycerol phenylbutyrate had no adverse effects on embryo-fetal development in the oral developmental Segment II toxicity study in rabbits. In the oral developmental Segment II toxicity study in rats, the most common fetal effect was the presence of a cervical rib at the 7<sup>th</sup> cervical vertebra in the drug-treated rats. This effect was dose-dependent. Increased resorptions and reduced litter size were observed in the neonatal rat toxicity study.

### **1.3 Recommendations**

#### **1.3.1 Approvability**

From a nonclinical standpoint, the NDA application is approvable for the proposed indication.

#### **1.3.2 Additional Non Clinical Recommendations**

None

#### **1.3.3 Labeling**

#### **Sponsor's Version:**

#### **8.1. Pregnancy**

Pregnancy Category C

(b) (4)

(b) (4)

**Evaluation:** The animal to human exposure ratios should be calculated using the combined AUCs for PBA and PAA, given that the human AUCs for these metabolites are similar. The incidence of cervical ribs at the 7<sup>th</sup> cervical vertebra in rat fetuses should be stated since this effect was dose-dependent and statistically significant. The description of [REDACTED] (b) (4) should be deleted, since these findings were not statistically significant and occurred with low incidence. Adverse embryo-fetal effects (i.e. increased resorptions and reduced litter size) that were observed only in the reproduction phase of the neonatal rat toxicity study should also be stated.

### **Recommended Version:**

#### **8.1. Pregnancy**

##### Pregnancy Category C

The potential for glycerol phenylbutyrate to cause teratogenic effects was studied in rats and rabbits. Oral administration of glycerol phenylbutyrate up to 350 mg/kg/day in rabbits produced maternal toxicity, but no effects on embryo-fetal development. In rats, oral doses of 650 mg/kg/day and higher produced maternal toxicity and adverse effects on embryo-fetal development including reduced fetal weights, and cervical ribs at the 7<sup>th</sup> cervical vertebra. The dose of 650 mg/kg/day in rats is approximately 5.7 times the dose of 6.87 ml/m<sup>2</sup>/day in adult patients, based on combined AUCs for PBA and PAA. No adverse effects were observed in rat fetuses at 300 mg/kg/day (1.9 times the dose of 6.87 ml/m<sup>2</sup>/day in adult patients, based on combined AUCs for PBA and PAA). In a neonatal rat study with daily dosing performed on post partum day 2 through mating and pregnancy after maturation, embryotoxicity (increased resorptions) occurred at 650 mg/kg/day and litter size was reduced at 900 mg/kg/day. There are no adequate and well-controlled studies in pregnant women. Ravicti should be used during pregnancy only if the potential benefit justifies the potential risk to the fetus.

### **Sponsor's Version:**

(b) (4)

**Evaluation:** The description of tumor incidences in rats should be changed to conform to the conclusions of the FDA review of the rat carcinogenicity study. The animal to human exposure ratios should be calculated using the combined AUCs for PBA and PAA, given that the human AUCs for these metabolites are similar. The exposure ratios for both adult and pediatric patients should be stated.

**Recommended Version:**

**13.1 Carcinogenesis, Mutagenesis, Impairment of Fertility**

**Carcinogenesis**

In a 26-week study in transgenic (Tg.rasH2) mice, glycerol phenylbutyrate was not tumorigenic at doses up to 1000 mg/kg/day. In a two-year study in Sprague-Dawley rats, glycerol phenylbutyrate caused a statistically significant increase in the incidence of pancreatic acinar cell adenoma, carcinoma and combined adenoma or carcinoma at 650 mg/kg/day in males (4.7 times the dose of 6.87 ml/m<sup>2</sup>/day in adult patients, based on combined AUCs for PBA and PAA) and 900 mg/kg/day in females (8.4 times the dose of 6.87 ml/m<sup>2</sup>/day in adult patients, based on combined AUCs for PBA and PAA). The incidence of the following tumors was also increased in female rats at 900 mg/kg/day: thyroid follicular cell adenoma, carcinoma and combined adenoma or carcinoma, adrenal cortical combined adenoma or carcinoma, uterine endometrial stromal polyp and combined polyp or sarcoma. The dose of 650 mg/kg/day in male rats is 3 times the dose of 7.45 ml/m<sup>2</sup>/day in pediatric patients, based on combined AUCs for PBA and PAA. The dose of 900 mg/kg/day in female rats is 5.5 times the dose of 7.45 ml/m<sup>2</sup>/day in pediatric patients, based on combined AUCs for PBA and PAA.

## Mutagenesis

Glycerol phenylbutyrate was not genotoxic in the Ames test, the *in vitro* chromosomal aberration test in human peripheral blood lymphocytes, or the *in vivo* rat micronucleus test. The metabolites PBA, PAA, PAGN, and phenylacetyl glycine were not genotoxic in the Ames test or *in vitro* chromosome aberration test in Chinese hamster ovary cells.

## Impairment of Fertility

Glycerol phenylbutyrate had no effect on fertility or reproductive function in male and female rats at oral doses up to 900 mg/kg/day. However, the number of non-viable embryos was increased at 1200 mg/kg/day (approximately 7 times the dose of 6.87 ml/m<sup>2</sup>/day in adult patients, based on combined AUCs for PBA and PAA).

## 13.2 Animal Toxicology and/or Pharmacology

**Evaluation:** The sponsor omitted this section from the proposed labeling. However, in the label for Buphenyl (sodium phenylbutyrate), the following paragraph is included under “PRECAUTIONS”:

### “Neurotoxicity of Phenylacetate in Animals”

“When given subcutaneously to rat pups, 190–474 mg/kg phenylacetate caused decreased proliferation and increased loss of neurons, and it reduced CNS myelin. Cerebral synapse maturation was retarded, and the number of functioning nerve terminals in the cerebrum was reduced, which resulted in impaired brain growth. Prenatal exposure of rat pups to phenylacetate produced lesions in layer 5 of the cortical pyramidal cells; dendritic spines were longer and thinner than normal and reduced in number.”

Since phenylacetate is a major metabolite of glycerol phenylbutyrate, the same information should be included in the label for Ravicti.

### Recommended Version:

## 13.2 Animal Toxicology and/or Pharmacology

### Neurotoxicity of Phenylacetate in Animals

When given subcutaneously to rat pups, 190–474 mg/kg phenylacetate caused decreased proliferation and increased loss of neurons, and it reduced CNS myelin. Cerebral synapse maturation was retarded, and the number of functioning nerve terminals in the cerebrum was reduced, which resulted in impaired brain growth. Prenatal exposure of rat pups to phenylacetate produced lesions in layer 5 of the cortical pyramidal cells; dendritic spines were longer and thinner than normal and reduced in number.



## 2 Drug Information

### 2.1 Drug

Trade Name: Ravicti™

Code Name: HPN-100

Chemical Name: Glycerol phenylbutyrate (GPB) / Glyceryl Tri-(4-phenylbutyrate) (GT4P)

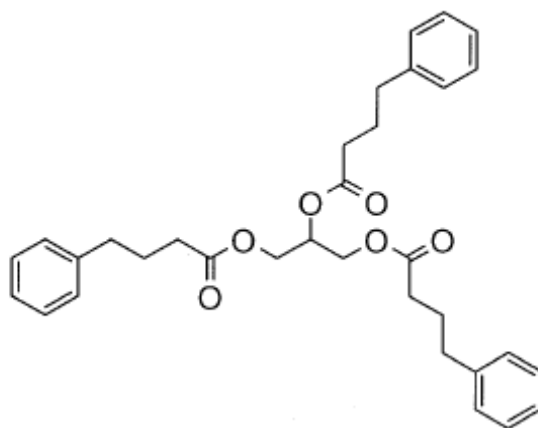
Note: The code name, HPN-100, and abbreviations for the drug name, GPB and GT4P, are used in this review interchangeably.

Molecular Formula/Molecular Weight:

**Molecular formula:**  $C_{33}H_{38}O_6$

**Relative Molecular Mass:** 530.67

Structure or Biochemical Description:



Pharmacologic Class: Nitrogen scavenging agent for hyperammonemia

**2.2 Relevant INDs, NDAs, and DMFs:** IND 73,480

**2.3 Drug Formulation**

Ravicti™ is a (b) (4) for oral administration. It is colorless to pale yellow, and odorless. There are (b) (4)

#### 2.4 Comments on Novel Excipients: None

#### 2.5 Comments on Impurities/Degradants of Concern: None

#### 2.6 Proposed Clinical Population and Dosing Regimen

The proposed indication for Ravicti is adjunctive therapy for chronic management of adult and pediatric patients  $\geq 6$  years of age with urea cycle disorders involving deficiencies of the following enzymes: carbamyl phosphate synthetase (CPS), ornithine transcarbamylase (OTC), argininosuccinate synthetase (ASS), argininosuccinate lyase (ASL) or arginase (ARG) as well as the mitochondrial transporter ornithine translocase (hyperornithinemia–hyperammonemia–homocitrullinuria [HHH] syndrome, also referred to as ornithine translocase deficiency).

The recommended starting dose for an adult is (b) (4) divided into 3 doses. The recommended starting dose for pediatric patients is summarized in a table below taken from the sponsor's label.

Table 1: Recommended Starting Dose for Pediatric Patient (6-17 years of age)

| BSA     | Recommended Starting Dose |
|---------|---------------------------|
| (b) (4) | (b) (4)                   |

The recommended dosing range for both adults and patients 6-17 years of age is 4.5 to 11.2 mL/m<sup>2</sup>/day (5.0 to 12.4 g/m<sup>2</sup>/day). Total daily dose is not to exceed 17.5 mL (19.3 g).

#### Regulatory Background

Glycerol phenylbutyrate (Ravicti™) was developed under IND 73,480. In the pre-NDA meeting on December 7, 2010, the sponsor agreed to submit final study reports of all required nonclinical studies, including the statistical analysis of the tumor datasets from the rat and mouse carcinogenicity studies, in the NDA submission. All needed study reports are submitted to this NDA (see below).

### 3 Studies Submitted

#### 3.1 Studies Reviewed

Pharmacology

Safety pharmacology

| Overview  |   |                          | Test Article: Phenylacetic Acid (PAA), 4-phenylbutyric acid (PBA), Glycerol Phenylbutyrate (GPB) <sup>1</sup> |                             |               |      |
|---|---|--------------------------|---|-----------------------------|---------------|------|
| Type of Study   | Test System                             | Method of Administration | Testing Facility  | Study Number                | Location Vol. | Page |
| <b>Safety Pharmacology</b>                                      |   |                          |   |                             |               |      |
| Cardiovascular: hERG assay                                      | HEK293 Transfected cells <sup>a,c</sup> | <i>In vitro</i>          | (b) (4)   | 501209-1 <sup>b</sup>       |               |      |
| Cardiovascular: Myocardial clamp                                | Rabbit myocytes <sup>a,c</sup>          | <i>In vitro</i>          |   | 700109-1 <sup>b</sup>       |               |      |
| Cardiovascular: Arrhythmogenic potential via the Carlsson Model | Rabbit <sup>d</sup>                     | Oral                     |   | 283-0801 <sup>b</sup>       |               |      |
| Cardiovascular  | Cynomolgus Monkey <sup>d</sup>          | Oral                     |   | UCY 004/053064 <sup>b</sup> |               |      |
| Central Nervous System & Respiration                            | Cynomolgus Monkey <sup>d</sup>          | Oral                     |   | UCY 003/052669 <sup>b</sup> |               |      |

hERG= human ether-a-go-go related gene, HEK293= human embryonic kidney cells, line 293

<sup>a</sup> Test article=phenylacetic acid (PAA)

<sup>b</sup> Study report contains a GLP Compliance statement

<sup>c</sup> Test article=4-phenylbutyric acid (PBA)

<sup>d</sup> Test article=glycerol phenylbutyrate (GPB), formerly referred to as glyceryl tri-(4-phenylbutyrate) (GT4P)

Pharmacokinetics

**Table 2.4-2: Pharmacokinetic and Metabolism Studies Conducted with GPB**

| Type of Study                          | Species   | Route           | Dose/Form  | Study Number   |
|--|---|-----------------|--|----------------|
| Absorption                             | Monkey  | Oral            | 0.6 g/kg of GPB (neat)   | UCY 002/043564 |
| Absorption                             | Monkey  | Oral            | 0.6 g/kg of <sup>14</sup> C-GPB  | UCY 0008       |
| Absorption                             | Monkey  | Oral            | 0.6 g PBA equivalents/kg   | CFU0007        |
| Distribution                           | Monkey  | Oral            | 0.6 g/kg of <sup>14</sup> C-GPB  | UCY0008        |
| Protein binding                        | Rat, mouse, dog, rabbit, monkey, human                              | <i>In vitro</i> | 1–1000 µg/mL <sup>14</sup> C-PBA, 5–1000 µg/mL <sup>14</sup> C-PAA or 1–250 µg/mL <sup>14</sup> C-PAGN | CFU0003        |
| Metabolism                             | Rat, mouse, dog, rabbit, monkey, human                              | <i>In vitro</i> | 1 µM–10 mM of <sup>14</sup> C-PBA  | PAJ 005        |
| Pancreatic lipase activity against GPB | Recombinant human PTL, PLRP2, colipase, and CEL purified from yeast | <i>In vitro</i> | 0.5 mL of GPB  | Lowe2009       |
| Metabolism                             | Monkey  | Oral            | 0.6 g/kg of <sup>14</sup> C-GPB  | UCY0008        |
| Metabolism–induction                   | Human hepatocytes   | <i>In vitro</i> | 0.0287–8.6 mM PBA, or 0.069–20.7 mM PAA  | CFU0004        |
| Metabolism–inhibition                  | Human liver microsomes  | <i>In vitro</i> | 5 mM GPB or PBA  | CFU0005        |

**Table 2.4-2: Pharmacokinetic and Metabolism Studies Conducted with GPB (Continued)**

| Type of Study  | Species  | Route           | Dose/Form                       | Study Number   |
|--|--|-----------------|---------------------------------|----------------|
| Drug–drug interaction: <i>in vitro</i> enzymatic hydrolysis of GPB | Human plasma<br>Human liver microsomes<br>Human intestinal microsomes<br>Purified porcine lipase in simulated intestinal fluid | <i>In vitro</i> | 9.4 or 25 µM of GPB             | A3091-11       |
| Excretion  | Monkey   | Oral            | 0.6 g/kg of GPB (neat)          | UCY 002/043564 |
| Excretion  | Monkey   | Oral            | 0.6 g/kg of <sup>14</sup> C-GPB | UCY0008        |
| Other: hydrolysis of GPB and (b) (4)                               | Simulated intestinal fluid   | <i>In vitro</i> | GPB: 100–100000 µM (b) (4)      | A5195          |

GPB = glycerol phenylbutyrate; PBA = phenylbutyrate; PAA = phenylacetic acid; <sup>14</sup>C = carbon radiolabel; PTL = pancreatic triglyceride lipase; PLRP2 = pancreatic lipase related protein 2; CEL = carboxyl ester lipase.

## Toxicology

**Table 2.4-3: Toxicology Studies Conducted with GPB**

| Type of Study                | Species               | Route           | GPB Doses (g/kg/day)                       | Dosing Duration  | Study Number   |
|------------------------------|-----------------------|-----------------|--|------------------|----------------|
| Single dose                  | Rats                  | Oral            | 0.45–4.5                                   | 1 day            | (b) (4) 510001 |
|                              | Monkeys               | Oral            | 0.45–6.5                                   | 1 day            | (b) (4) 510003 |
| Repeated dose                | Mice                  | Oral            | 0.60, 0.90, 1.20, 1.50, 2.00               | 5 days           | (b) (4)        |
|                              |                       |                 | 0.65, 0.90, 1.2, 2.0                       | 14 days          | (b) (4) 510007 |
|                              |                       |                 | M: 0.60, 0.90, 1.20<br>F: 0.90, 1.50, 2.00 | 28 days          | (b) (4)        |
|                              |                       |                 | 0.65, 0.90, 1.2                            | 13 weeks         | (b) (4) 510008 |
|                              | Rats                  | Oral            | 0.65, 0.9, 1.2                             | 14 days          | 510002         |
|                              |                       |                 | 0.65, 0.9, 1.2                             | 13 weeks         | 510009         |
|                              |                       |                 | 0.65, 0.9, 1.2                             | 26 weeks         | 671001         |
|                              | Monkeys               | Oral            | 1.0, 2.5, 3.5, 5.0, 10                     | 14 days          | 7602-105       |
|                              |                       |                 | 0.75, 1.25, 1.75                           | 13 weeks         | (b) (4) 510010 |
|                              |                       |                 | 0.7, 1.1, 1.5                              | 52 weeks         | (b) (4) 671002 |
| Genotoxicity GPB: Ames assay | <i>S. typhimurium</i> | <i>In vitro</i> | 10–5000 µg/plate                           | NA               | 7602-100       |
| Chromosomal aberration       | Human lymphocytes     | <i>In vitro</i> | 82.4–500 µg/mL                             | NA               | 7602-101       |
| Micronucleus                 | Rats                  | Oral            | 0.5, 1.0, 2.0                              | 1 day            | 7602-102       |
| Carcinogenicity              | Tg.rasH2 mice         | Oral            | 0.6, 1.0                                   | 26 weeks         | (b) (4)        |
|                              | CD(SD) rats           | Oral            | M: 0.07, 0.21, 0.65<br>F: 0.1, 0.3, 0.9    | 24 months        | (b) (4) 671007 |
| Reproduction and fertility   | Rats                  | Oral            | 0.65, 0.9, 1.2                             | See <sup>a</sup> | MQY00011       |
| Developmental                | Rats                  | Oral            | 0.65, 0.9, 1.2, 1.5 <sup>b</sup>           | GD 7–17          | MQY00007       |
|                              |                       |                 | 0.3, 0.65, 0.9                             | GD 7–17          | MQY00008       |
|                              | Rabbits               | Oral            | 0.2, 0.4, 0.6 <sup>b</sup>                 | GD 7–19          | MQY00009       |
|                              |                       |                 | 0.15, 0.25, 0.35                           | GD 7–19          | MQY00010       |
| Peri-/Postnatal              | Rats                  | Oral            | 0.3, 0.6, 0.9                              | GD 7–LD 20       | MQY00012       |

**Table 2.4-3: Toxicology Studies Conducted with GPB (Continued)**

| Type of Study     | Species | Route | GPB Doses (g/kg/day)           | Dosing Duration                                     | Study Number |
|-------------------|---------|-------|--------------------------------|---|--------------|
| Neonatal/Juvenile | Rats    | Oral  | 0.65, 0.9, 1.2, and 1, 2, 4, 6 | PND 2–15; and PND 2–34                              | QBU00006     |
|                   |         |       | 0.65, 0.9, 1.2                 | PND 2–50; and PND 2–127/129 (M); or PND 2–GD 20 (F) | QBU00007     |

GPB = glycerol phenylbutyrate; GD = gestation day; LD = lactation day; NA = not applicable; PND = postnatal day.

<sup>See a</sup> Male: 28 days prior to mating through sacrifice; Female: 15 days prior to mating through gestation day 7

<sup>b</sup> Dose range-finding study

**Table 2.4-4: Toxicology Studies Conducted with PBA, PAA, and PAGN**

| Test Article | Type of Study          | Species                                  | Route           | Test Article Concentration                          | Study Number |
|--------------|------------------------|--|-----------------|---|--------------|
| PBA          | Ames assay             | <i>S. typhimurium</i> and <i>E. coli</i> | <i>In vitro</i> | 1.5, 5.0, 15, 50, 150, 500, 1500, and 5000 µg/plate | (b) (4)      |
| PAA          |                        |  |                 | 1.5, 5.0, 15, 50, 150, 500, 1500, and 5000 µg/plate |              |
| PAGN         |                        |  |                 | 1.5, 5.0, 15, 50, 150, 500, 1500, and 5000 µg/plate |              |
| PAG          |                        |  |                 | 1.5, 5.0, 15, 50, 150, 500, 1500, and 5000 µg/plate |              |
| PBA          | Chromosomal aberration | Human peripheral blood lymphocytes       | <i>In vitro</i> | 210 to 1640 µg/mL                                   | (b) (4)      |
| PBA          |                        | Chinese hamster ovary cells              | <i>In vitro</i> | 450 to 1640 µg/mL                                   |              |
| PAA          |                        |  |                 | 116 to 1360 µg/mL                                   |              |
| PAGN         |                        |  |                 | 520 to 2643 µg/mL                                   |              |

PAA = phenylacetic acid; PAG = phenylacetyl glycine; PAGN = phenylacetyl glutamine; PBA = phenylbutyrate

### 3.2 Studies Not Reviewed

None

### 3.3 Previous Reviews Referenced

The following pharmacology reviews under IND 73,480 and this NDA were referenced. Full reviews are included in this review verbatim:

1. Pharmacology Review (#001) by Ke Zhang, Ph.D. dated 6/6/2006
2. Pharmacology Review (#000) by Ke Zhang, Ph.D. dated 10/25/2006
3. Pharmacology Review (#004) by Ke Zhang, Ph.D. dated 10/26/2006
4. Pharmacology Review (#005) by Ke Zhang, Ph.D. dated 12/13/2006
5. Pharmacology Reviews (#005 and #006) by Ke Zhang, Ph.D. dated 12/18/2007
6. Pharmacology Reviews (SX #037 and SX#038, #040 and #041) by Ke Zhang, Ph.D. dated 8/13/2008

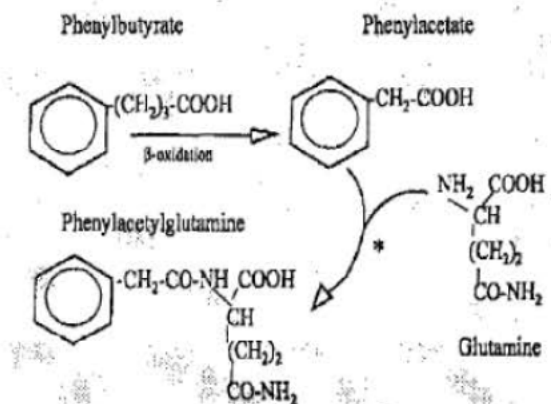
7. Pharmacology Review (#047) by Ke Zhang, Ph.D. dated 02/02/2009
8. Pharmacology Review (#076) by Ke Zhang, Ph.D. dated 05/13/2010
9. Pharmacology Review (#021) by Ke Zhang, Ph.D. dated 02/17/2011
10. Pharmacology Review (#061) by Ke Zhang, Ph.D. dated 09/28/2011
11. Pharmacology Review (#099) by Ke Zhang, Ph.D. dated 07/31/2012
12. Executive CAC meeting minutes dated 8/14/2008 and 2/18/2010 under IND 73,480 and 7/23/2012 under NDA 203,284

#### **4 Pharmacology**

##### **4.1 Primary Pharmacology**

Mechanism of action:

GT4P consists of three molecules of 4-phenylbutyric acid (PBA) on a triglyceride backbone. The results of the pharmacokinetic studies have demonstrated that following oral dosing of GT4P in rats or primates substantial levels of PBA were detected in plasma. However, neither GT4P nor its (b) (4) degradants were detected in the plasma. The results suggest that PBA is released from the molecule following absorption of GT4P. There are also substantial levels of phenylacetic acid (PAA) detected in the plasma and urine of both rats and monkeys, indicating that PBA released from GT4P is further converted through  $\beta$ -oxidation to PAA. The latter is the substrate for metabolic processes that conjugate glutamine in the liver and kidney through the enzyme phenylacetyl-CoA: L-glutamine-N-acetyltransferase to form phenylacetylglutamine (PAGN). The biochemical formation of phenylacetylglutamine is depicted in the following table.

**Biochemical Formation of Phenylacetylglutamine**

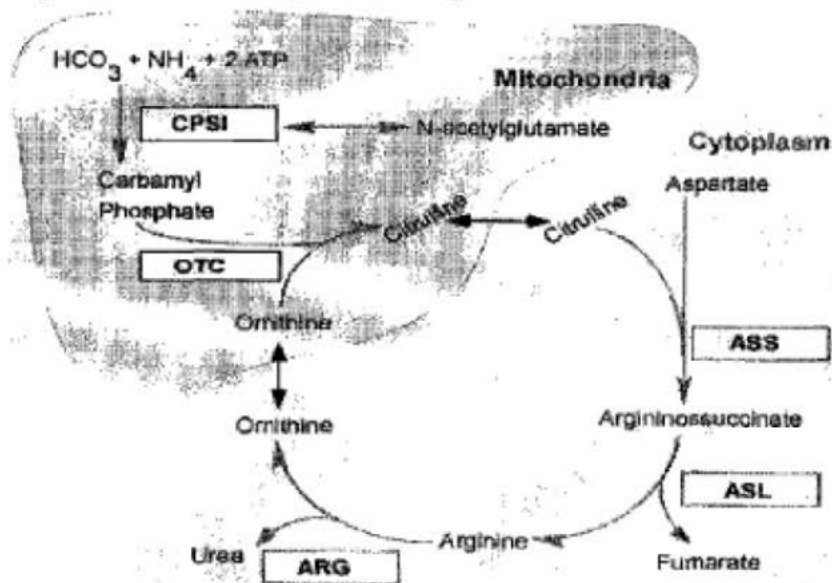
PAA may conjugate with glycine instead of glutamine to form phenylacetylglycine (PAG) instead of PAGN. PAGN and PAG are eliminated in the urine.

The urea cycle is a major route for metabolism of waste nitrogen in the body. The urea cycle normally is very efficient in removal of waste nitrogen in the form of ammonia and other nitrogenous products. Ammonia is a



normal metabolic product of amino acid catabolism and is converted to urea by a number of enzymes including enzymes: carbamyl phosphate synthetase (CPS), ornithine transcarbamylase (OTC), argininosuccinate synthetase (ASS), argininosuccinate lyase (ASL), or arginase (ARG) in the liver. Urea is then eliminated via urinary excretion which removes 2 moles of waste nitrogen from ammonia per mole of urea generated. The urea cycle pathway is depicted in the following figure.

**Figure 1. Urea Cycle Pathway**



CPS = carbamyl phosphate synthetase  
 OTC = ornithine transcarbamylase  
 ASS = argininosuccinate synthetase  
 ASL = argininosuccinate lyase  
 ARG = arginase

Urea cycle disorders (UCDs) are inborn errors of metabolism which result from decreased or absent activity of any of the following enzymes: carbamyl phosphate synthetase (CPS), ornithine transcarbamylase (OTC), argininosuccinate synthetase (ASS), argininosuccinate lyase (ASL), or arginase (ARG). In the patients with UCDs, the waste nitrogen cannot be converted into urea and toxic levels of ammonia were accumulated in the blood and brain. The therapeutic or pharmacological action of PBA in urea cycle disorders is to bind waste nitrogen in the form of PAGN or PAG and to eliminate it in the urine.

## 4.2 Secondary Pharmacology

## 4.3 Safety Pharmacology

Neurological effects: The results of a modified Irwin's test indicated that a single oral (gavage) dose of GT4P had no effects on neurobehavioral measures or core body temperature at 1 g/kg in cynomolgus monkeys (n=4). However, a higher oral dose of 4 g/kg reduced locomotor activity, impaired balance and co-ordination, and produced abnormal posture in 3 of the 4 monkeys.

Cardiovascular effects:

4-Phenylbutyric acid (PBA) and phenylacetic acid (PAA) are two active metabolites of GT4P. PBA at 894 µg/ml and PAA at 988 µg/ml inhibited hERG current by ~36% or 54%, respectively, as compared to the vehicle control in the HEK 293 cell transfected with hERG channels. PBA had no effects on the delayed rectifier (IKr) potassium current in the isolated rabbit cardiac myocytes at concentrations up to 1591.8 µg/ml.

A single oral dose of GT4P at 1 g/kg or 4 g/kg had no effects on blood pressure and heart rate in conscious cynomolgus monkeys. The results were presented in the following tables (taken from the sponsor).

**Table 8.3-3: Blood Pressure Changes in Cynomolgus Monkeys after Oral Dosing of GT4P (0 – 6 hours after administration)**

| Treatment and dose | Maximum Increase (+ mmHg) and Decrease (- mmHg) in Blood Pressure (0 – 6 h post dose) |                                      |                     |                         |                  |                         |
|--------------------|---|--------------------------------------|---------------------|-------------------------|------------------|-------------------------|
|                    | Systolic BP (mmHg)  |                                      | Diastolic BP (mmHg) |                         | Mean BP (mmHg)   |                         |
|                    | Pre <sup>1</sup>  | Change                               | Pre <sup>1</sup>    | Change                  | Pre <sup>1</sup> | Change                  |
| Vehicle            | 97  | +24 (5.75) <sup>2</sup><br>-5 (0.83) | 61                  | +19 (5.75)<br>-5 (0.83) | 73               | +20 (5.75)<br>-5 (0.83) |
| GT4P 1 g/kg        | 102   | +36 (5.75)<br>-3 (0.67)              | 61                  | +28 (5.75)<br>-2 (1.33) | 75               | +30 (5.75)<br>-2 (0.67) |
| GT4P 4 g/kg        | 106   | +28 (5.50)<br>-2 (4.75)              | 62                  | +18 (5.50)<br>0         | 77               | +21 (5.50)<br>-1 (4.75) |

<sup>1</sup> Pre-dose = mean values -30 to -10 minutes prior to dosing

<sup>2</sup> Number in parenthesis is the time (hours after dosing) of the maximum increase or decrease

**Table 8.3-4: Heart Rate Changes in Cynomolgus Monkeys after Oral Dosing of GT4P (0 – 24 hours after administration)**

| Observation Period    | Mean Change in HR (relative to pre-dose) b/min |             |             |
|-----------------------|--|-------------|-------------|
|                       | Vehicle  | GT4P 1 g/kg | GT4P 4 g/kg |
| 0 – 6 h post-dosing   | -3   | -33         | -29         |
| 6 – 18 h post-dosing  | -19  | -38         | -30         |
| 18 – 24 h post-dosing | -17  | -33         | -35         |

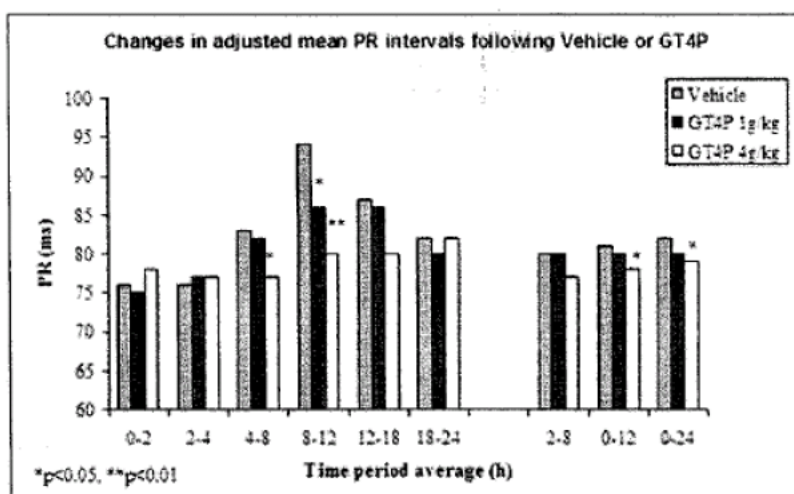
A single oral dose of GT4P significantly prolonged QTc interval by up to 25 ms at dose of 4 g/kg but not at 1 g/kg in the conscious, unrestrained cynomolgus monkeys by telemetry monitoring. The results were summarized in the following table.

**Table 8.3-5: QTc Changes in Male and Female Cynomolgus Monkeys after Oral Administration of 4 g/kg GT4P**

| Time period (h) | Mean increase in QTc with 4 g/kg GT4P relative to the vehicle value | Prolongation (%) | P-value |
|-----------------|---|------------------|---------|
| 0 – 2           | +25 ms  | 10.5%            | <0.01   |
| 4 – 8           | +23 ms  | 9.1%             | <0.05   |
| 2 – 8           | +22 ms  | 8.8%             | <0.05   |
| 0 – 12          | +21 ms  | 8.4%             | <0.05   |

Slightly shortening of the PR interval (8-14 ms) was also observed at 4 g/kg of GT4P in this study. The results were summarized in the following figure (taken from the sponsor).

**Figure 3: PR Intervals in Cynomolgus Monkeys following a Single Oral Dose of Vehicle or GT4P**



Best Available Copy

GT4P at 4 g/kg prolonged QRS interval by 6 ms at 2-4 hours after dosing as compared to the vehicle groups. However, the effect of GT4P on the QRS interval was not observed at any other times. Hypoactivity, hunched posture, unsteady gait, piloerection, vomiting and loose feces were also observed at 4 g/kg in this study.

Single oral doses of HPN-100 at 100 or 300 mg/kg/day had no clear treatment effects on ECGs including PR, QT<sub>c</sub>, JT<sub>c</sub> intervals (JT<sub>c</sub> = QT<sub>c</sub>-QRS), and QRS duration in anesthetized rabbits. HPN-100 did not induce any arrhythmia in this study. However, HPN-100 potentiated the pressor effect of methoxamine. For example, in the treatment groups with 100 or 300 mg/kg/day HPN-100, methoxamine increased the mean arterial pressure (MAP) by 72% and 104%, respectively, as compared to the baseline values. The systolic arterial pressure (SAP) and diastolic arterial pressure (DAP) were increased similarly. However, the pressor effects of methoxamine were not seen in the control group. Slightly decreased heart rates were observed following the pressor effects. The clinical significance of these effects is not clear.

Pulmonary effects: A single oral dose of GT4P at 1 or 4 g/kg did not affect respiratory function, blood pH, pO<sub>2</sub>, pCO<sub>2</sub>, oxygen saturation, bicarbonate, or actual base excess values in conscious adult cynomolgus monkeys.

## **5 Pharmacokinetics/ADME/Toxicokinetics**

### **5.1 PK/ADME**

A comparative pharmacokinetic study in monkeys  
(UCY-002)

**Methods:** To assess the relative systemic exposure and excretion of GT4P and PBA, adult male monkeys (n=3) were given a single oral dose of 0.6 g PBA mole equivalents/kg (7.2 g/m<sup>2</sup>) as GT4P 80%, GT4P 95%, GT4P API, and PBA. There was a washout period of at least 14 days between each dose. Following each dose, blood samples were collected before dosing and at 0.25, 0.5, 1, 1.5, 2, 4, 8, 12, and 24 hours after dosing. Urine samples were collected from 0-12 hours and 12-24 hours after dosing. Concentrations of GT4P and its degradants (b)(4), and GT4P Phase I (PBA and PAA) and Phase II ((PAG, PAGN, PBG, and PBGN) metabolites were measured in plasma and urine using validated LC-MS/MS methods (see table below).

**Table 8.4-1: GT4P and Degradants, and Phase I and Phase II GT4P Metabolites Analyzed in Biological Fluids**

|                             |  |
|-----------------------------|--|
| <b>GT4P and degradants</b>  | GT4P, (b)(4), (b)(4)   |
| <b>Phase I Metabolites</b>  | 4-phenylbutyric acid (4-PBA), phenylacetic acid (PAA)  |
| <b>Phase II Metabolites</b> | Phenylacetylglutamine (PAG), phenylacetylglutamine (PAGN), phenylbutyrylglutamine (PBG), phenylbutyrylglutamine (PBGN) |

**Results:** GT4P (LOQ = 10 ng/mL) was quantifiable (13 µg/ml) only in one plasma sample from a monkey at 1 h after dosing the GT4P 80% formulation.

The plasma concentrations of the GT4P degradants (b) (4) and the (b) (4) were below the limits of quantification in all samples analyzed.

The plasma concentrations of PAG (LOQ = 1 µg/ml) and PBG (LOQ = 0.998 µg/ml) were also below the limits of quantification at all time points.

Total exposure (AUC<sub>24</sub>) and maximum plasma concentrations (C<sub>max</sub>) of PBA (LOQ = 1 µg/ml) and PAA (LOQ = 0.993 µg/ml) were lower with either oral administration of the GT4P formulation or the GT4P API than after oral administration of PBA. However, AUC<sub>24</sub> for PAGN (LOQ = 0.961 µg/ml) was similar or greater after administration of GT4P (except for the 95% formulation) as compared with PBA.

The results were summarized in Table 8.4-2 and this table is attached below.

**Table 8.4-2: Pharmacokinetic Metrics PBA, PAA and PAGN following a Single Oral Dose of GT4P or PB in Cynomolgus Monkeys**

| Treatment | Analyte | C <sub>max</sub> (µg/mL) |        | T <sub>max</sub> (hr) | AUC <sub>24</sub> (µg-h/mL) |        |
|-----------|---------|--------------------------|--------|-----------------------|-----------------------------|--------|
|           |         | Mean                     | (SD)   |                       | Mean                        | (SD)   |
| GT4P 80%  | PBA     | 27.5                     | (3.9)  | 1                     | 118                         | (29)   |
|           | PAA     | 15.2                     | (4.5)  | 2                     | 55.4                        | (12.4) |
|           | PAGN    | 10.5                     | (0.8)  | 4                     | 72.7                        | (17.5) |
| GT4P 95%  | PBA     | 1.04                     | -      | 2                     | -                           | -      |
|           | PAA     | 8.94                     | (2.45) | 2                     | 70.2                        | (35.4) |
|           | PAGN    | 3.78                     | (1.35) | 1.5                   | 35.6                        | (19.2) |
| GT4P API  | PBA     | 23.3                     | (7.4)  | 2                     | 210                         | (54)   |
|           | PAA     | 38.5                     | (8.5)  | 8                     | 483                         | (51)   |
|           | PAGN    | 10.6                     | (3.8)  | 12                    | 161                         | (70)   |
| PBA       | PBA     | 269                      | (18)   | 0.5                   | 259                         | (135)  |
|           | PAA     | 60                       | (24.9) | 8                     | 629                         | (460)  |
|           | PAGN    | 7.63                     | (1.17) | 8                     | 78.7                        | (35.6) |
|           | PBG     | 3.67                     | (1.83) | 0.5                   | 3.84                        | (3.39) |

The major metabolite excreted into urine 0-12 hours after oral dosing of PBA and GT4P (all formulations) was phenylacetylglutamine (PAGN). The mean cumulative amount of this metabolite in urine collected over 24 hours after dosing were: >130,000 µg, >114,000 µg, >69,000 µg, and 54,500 µg from animals dosed with GT4P 80%, PBA, GT4P API, and GT4P 95%, respectively.

Substantial amounts of PBA were excreted in the urine following PBA administration but very little of this metabolite was excreted after any of the GT4P administrations. PAA and PBG were also identified in the urine following PBA administration.

GT4P was not detected in the plasma following a single oral dose of GT4P in rats and in primates in the toxicity studies. However, measurable levels of PBA and PAA were found in both the plasma and urine of these species.

GT4P is hydrolyzed to glycerol and PBA. The later is then hydrolyzed to PAA by  $\beta$ -oxidation. The known metabolites of PBA are PAA, PAG, PAGN, PBGN and PBG.

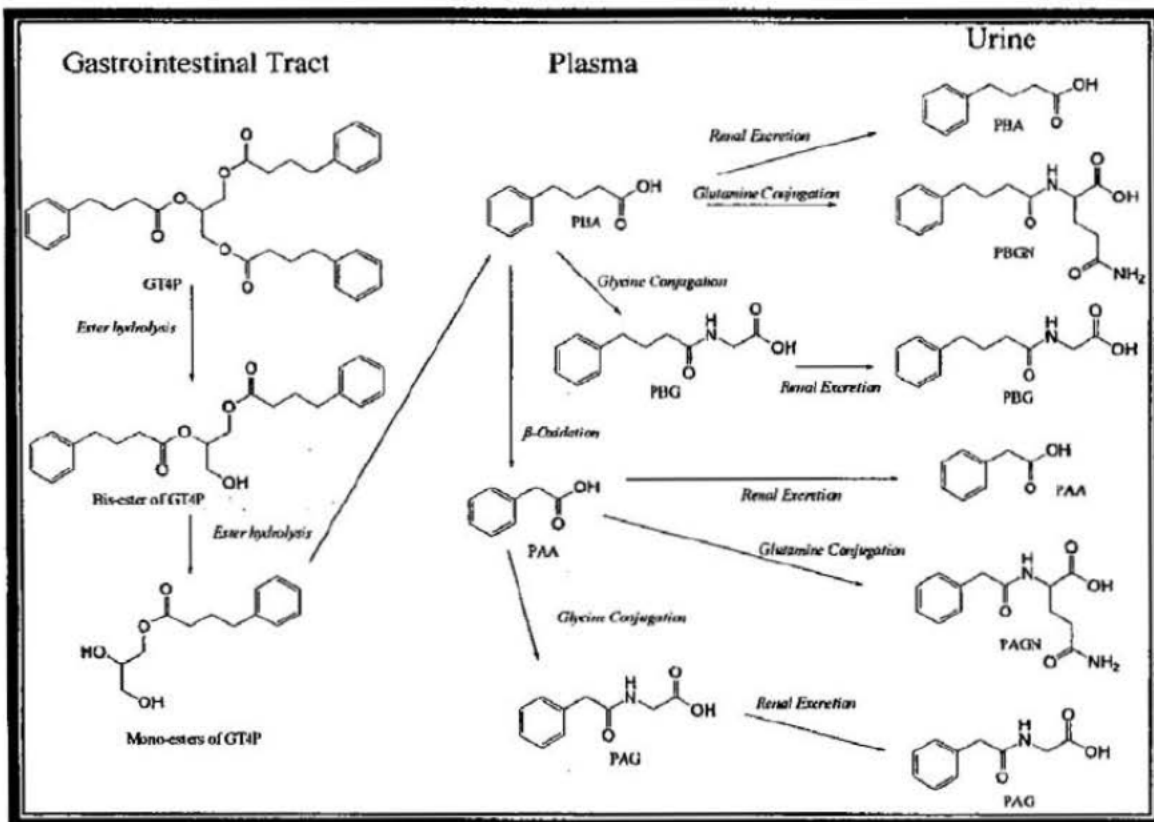
PAA is conjugated with glutamine to form PAGN. PAA may conjugate with glycine instead of glutamine to form phenylacetyl glycine (PAG). PAGN and PAG are eliminated in the urine. The results were presented in the following table.

**Table 8.4-4: Metabolites of PBA following In Vitro Incubation with Hepatocytes from Various Species**

| Species | Metabolites Produced     |              |
|---------|--------------------------|--------------|
|         | 0.1 mM PBA               | 10 mM PBA    |
| Human   | PAGN > PAA, GU conjugate | PBGN ≈ MF-10 |
| Monkey  | PAA, GU conjugate        | PAGN, PBGN   |
| Dog     | PAG > PAA                | -            |
| Rabbit  | PAA                      | -            |
| Mouse   | PAA                      | PBGN         |
| Rat     | PAA                      | PAGN         |

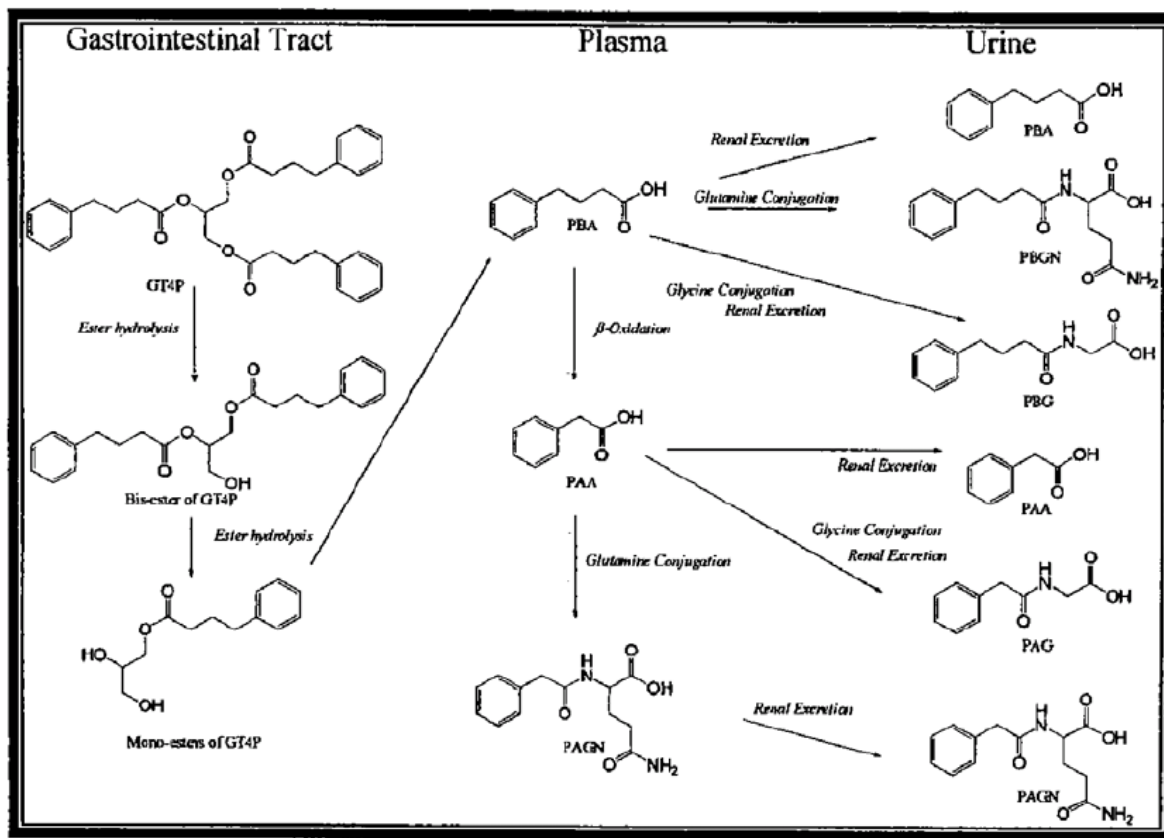
-: Not Detected

The metabolic pathway in rats is depicted in the following figure.





The metabolic pathway in monkeys is depicted in the following figure.



A pharmacokinetic study was conducted in three male cynomolgus monkeys following a single oral dose (600 mg PBA equivalents/kg) of  $^{14}\text{C}$ -HPN-100 ( $^{14}\text{C}$ -GT4P) and an intravenous dose (150 mg/kg) of  $^{14}\text{C}$ -PBA (Study UCY0008). The oral bioavailability of PBA following oral administration of HPN-100 was 51–80% in monkeys. The results were presented in the sponsor's tables below.

Mean pharmacokinetic parameters for PBA, PAA, PAGN and total radioactivity following oral administration of HPN-100 are summarised below:

| Administration | Dose route | Analyte       | $C_{\max}$<br>( $\mu\text{g}/\text{mL}$ ) <sup>a</sup> | $T_{\max}$<br>(h) | $\text{AUC}_t$<br>( $\mu\text{g}\cdot\text{h}/\text{mL}$ ) | $\text{AUC}_{168}$<br>( $\mu\text{g}\cdot\text{h}/\text{mL}$ ) |
|----------------|------------|---------------|--|-------------------|--|--|
| HPN-100        | oral       | PBA           | 52.2   | 1.5               | 588  | 733  |
| HPN-100        | oral       | PAA           | 114  | 8.0               | 1360   | 1520   |
| HPN-100        | oral       | PAGN          | 31.6   | 8.0               | 930  | 940  |
| HPN-100        | oral       | Radioactivity | 883  | 8.0               | 11700  | 11800  |

<sup>a</sup> Results expressed as  $\mu\text{g}/\text{mL}$  or  $\mu\text{g}$  equivalents PBA/mL

Mean pharmacokinetic parameters for PBA, PAA, PAGN, PBG and total radioactivity following intravenous administration of PBA are summarised below:

| Administration | Dose route | Analyte       | C <sub>max</sub><br>(µg/mL) <sup>a</sup> | T <sub>max</sub><br>(h) | AUC <sub>t</sub><br>(µg.h/mL) | AUC <sub>168</sub><br>(µg.h/mL) |
|----------------|------------|---------------|--|-------------------------|-------------------------------|---------------------------------|
| PBA            | iv         | PBA           | 706 <sup>b</sup>                         | 0                       | 295                           | 297                             |
| PBA            | iv         | PAA           | 175                                      | 2.0                     | 1020                          | 1090                            |
| PBA            | iv         | PAGN          | 34.3                                     | 2.0                     | 553                           | 564                             |
| PBA            | iv         | PBG           | 4.23                                     | 0.5                     | 2.11                          | 2.87                            |
| PBA            | iv         | Radioactivity | 787 <sup>b</sup>                         | 0                       | 2870                          | 2870                            |

<sup>a</sup> Results expressed as µg/mL or µg equivalents PBA/mL

<sup>b</sup> Extrapolated concentration at time zero

The radioactivity was widely distributed throughout the body with highest concentration in the large intestine followed by bile, plasma, kidney, liver, urinary bladder, and whole blood at 8 hours after a single oral dose of <sup>14</sup>C-HPN-100 in male monkeys.

The majority of the radioactivity was recovered in the urine and feces at 168 hours after dosing and the results were summarized in the sponsor's table below.

|                | PBA (intravenous)<br>(% dose) | PBA (oral)<br>(% dose) | HPN-100 (oral)<br>(% dose) |
|----------------|-------------------------------|------------------------|----------------------------|
| Urine          | 79.41% ± 4.05%                | 79.38% ± 7.12%         | 44.57% ± 4.29%             |
| Faeces         | 2.58% ± 0.39%                 | 0.87% ± 0.41%          | 24.61% ± 4.94%             |
| Overall total* | 94.62% ± 0.89%                | 88.11% ± 5.16%         | 91.73% ± 2.56%             |

\* Including cage debris and cage washes

#### Protein Binding:

Plasma protein binding of <sup>14</sup>C-PBA and <sup>14</sup>C-PAA was studied using *in vitro* ultra-filtration methods. The protein binding of PBA was 57-88% in mice, 34-94% in rats, 96-98% in rabbits, 75-98% in monkeys, and 81-98% in humans. The protein binding was decreased as PBA concentration increased in mice, rats, monkeys, and humans. Plasma protein binding of PAA was 6.6–15% in mice, 11-27% in rats, 27-76% in rabbits, 18-46% in monkeys, and 37-66% in humans, and was also concentration dependent.

#### Enzyme Induction/Inhibition:

Both PBA and PAA produced moderate *in vitro* induction of the human hepatic P450 enzymes CYP1A2 and CYP3A4/5 (up to ~31%, relative potency).

PBA inhibited human hepatic P450 enzymes CYP2C9, CYP2D6, and CYP3A4/5 activities by > 60% at 5 mM (0.82 mg/mL). The inhibition constants (K<sub>i</sub>) for PBA were

1.29 mM or 0.212 mg/mL for CYP2C9, and 1.48 mM or 0.243 mg/mL for CYP2D6. The PBA IC50 for CYP3A4/5 was calculated and was 1.79–3.26 mM or 0.294–0.535 mg/mL.

PAA inhibited the human hepatic P450 enzymes CYP1A2, CYP2C8, and CYP2C9 ( $K_i$  = 15.1 mM or 2.056 mg/mL). PAA also inhibited CYP2C19, CYP2D6, and CYP3A4/5 by  $\geq$  37% at 20.7 mM.

## 5.2 Toxicokinetics

TK data were included in the reviews of the toxicity studies.

# 6 General Toxicology

## 6.1 Single-Dose Toxicity

Methods: Acute oral dose toxicity studies were conducted with GT4P in rats ((b)(4) 510001) and monkeys ((b)(4) 510003). The dosing information was summarized in a table along with the results in the result section. Animals were observed for mortality and clinical signs of toxicity daily for 14 days. Body weights and food consumption were recorded. Necropsy was performed and gross pathological examinations were conducted. Plasma and urine samples were analyzed for determination of PBA, PAA, PAGN, PBGN, GT4P, and (b)(4) and (b)(4) of GT4P using LC-MS/MS methods.

Results: The results are summarized in the following table.

| Study #/Animal  | Dosage (g/kg)  | Mortality  | Clinical Signs of Toxicity   |
|---|--|--|--|
| (b) (4) 510001<br>Rats<br>3/sex/group                                 | Oral gavage<br>0, 0.45,<br>0.65, 0.9,<br>1.2, 1.5,<br>2.3, 4.5 in<br>corn oil                  | 1.2 g/kg: 1<br>male<br>1.5 g/kg:<br>1 male and 1<br>female<br>2.3 g/kg:<br>3 males and<br>3 females<br>4.5 g/kg:<br>3 Males and<br>3 Females | Clinical signs were noted at 0.9 g/kg or higher and included hypoactivity, prostration, rigid muscle tone, impaired equilibrium and muscle coordination and/or signs of respiratory distress (gasping and labored and shallow respiration) |
| (b) (4) 510003<br>monkeys<br>1 male 1<br>female<br>Dose<br>escalating | Oral gavage<br>0, 0.45,<br>0.65, 0.9,<br>1.2, 1.8,<br>2.4, 3.5,<br>4.5, and 6.5<br>in corn oil | No deaths.   | Abnormal excreta (mucoid feces, soft feces or diarrhea) after each dose.<br><br>Emesis, hypoactivity and sleeping at 6.5 g/kg GT4P.<br><br>A single incidence of tremors in 1 female at 6.5 g/kg.  |

GT4P and its (b) (4) were not detected in the plasma from rats and monkeys.

Plasma levels of PBA, PAA, and PAG in rats were summarized in the following table.

**Table 8.5-4: Mean Peak (C<sub>max</sub>) and Total (AUC<sub>0-t</sub>) following a Single Oral Dose of 0.9, 1.2 or 2.3 g/kg GT4P**

| Gender | Dose (g/kg) | PBA                      |                               | PAA                      |                               | PAG                      |                               |
|--------|-------------|--------------------------|-------------------------------|--------------------------|-------------------------------|--------------------------|-------------------------------|
|        |             | C <sub>max</sub> (µg/mL) | AUC <sub>last</sub> (µg·h/mL) | C <sub>max</sub> (µg/mL) | AUC <sub>last</sub> (µg·h/mL) | C <sub>max</sub> (µg/mL) | AUC <sub>last</sub> (µg·h/mL) |
| Female | 0.9         | 616.0                    | 1333.0                        | 718.0                    | 4150.7                        | 16.8                     | 179                           |
|        | 1.2         | 400.0                    | 1419.3                        | 684.0                    | 8211.9                        | 30.3                     | 288                           |
|        | 2.3         | 932.7                    | 6887.5                        | 1530.0                   | 20560.0                       | 305.0                    | 3054                          |
| Male   | 0.9         | 470.7                    | 1490.5                        | 300.6                    | 3214.6                        | 18.0                     | 324                           |
|        | 1.2         | 295.7                    | 1429.9                        | 454.1                    | 6842.0                        | 24.9                     | 382                           |
|        | 2.3         | 1387                     | 17352.0                       | 688.5                    | 9666.9                        | 185.2                    | 2298                          |

Plasma levels of PBA, PAA, and PAG in monkeys were summarized in the following table.

**Table 8.5-6: Mean Peak (C<sub>max</sub>) and Total (AUC<sub>0-τ</sub>) following a Single Oral Dose of 4.5 or 6.5 g/kg GT4P**

| Gender | Dose (g/kg) | PBA                      |                               | PAA                      |                               | PAGN                     |                               |
|--------|-------------|--------------------------|-------------------------------|--------------------------|-------------------------------|--------------------------|-------------------------------|
|        |             | C <sub>max</sub> (µg/mL) | AUC <sub>last</sub> (µg·h/mL) | C <sub>max</sub> (µg/mL) | AUC <sub>last</sub> (µg·h/mL) | C <sub>max</sub> (µg/mL) | AUC <sub>last</sub> (µg·h/mL) |
| Female | 4.5         | 57.1                     | 884.5                         | 292.0                    | 3810.4                        | 89.5                     | 949.4                         |
| Female | 6.5         | 31.2                     | 533.5                         | 409.0                    | 3171.7                        | 161.0                    | 1379.0                        |
| Male   | 4.5         | 96.8                     | 638.4                         | 527.0                    | 6245.2                        | 82.5                     | 750.6                         |
| Male   | 6.5         | 108.0                    | 1133.7                        | 538.0                    | 1723.0                        | 69.6                     | 1390.4                        |

In summary, the major clinical signs of toxicity were hypoactivity, prostration, rigid muscle tone, impaired equilibrium and muscle coordination and/or signs of respiratory distress (gasping and labored and shallow respiration) observed at 0.9 g/kg or higher in rats. Emesis, hypoactivity, sleeping and tremors were observed at 6.5 g/kg in monkeys. The minimal lethal oral dose of GT4P was 1.2 g/kg for males and 1.5 g/kg for females in rats. The minimal lethal oral dose of GT4P was not identified in monkeys.

### Repeat-Dose Toxicity

#### MOUSE

**Study title:** 14-day oral gavage dose ranging toxicity study with GT4P in mice

**Key study findings:** In the 14-day dose ranging toxicity study in mice, GT4P was given to mice (5/sex/group) by oral gavage at 0, 0.65, 0.9, 1.2, and 2.0 g/kg/day for 14 days. High dose was lethal (4 males and 4 females died). The central nervous system was the target organ of toxicity based on the clinical signs of toxicity including hypoactivity, impaired equilibrium, ptosis, and shallow or labored respiration. No effect dose was not identified. The dose of 0.65 g/kg/day was tolerated.

**Study no.:** (b) (4) 510007

**Volume #, and page #:** 4.8 and 382

**Conducting laboratory and location:**

(b) (4)

**Date of study initiation:** June 15, 2005  
**GLP compliance:** Yes  
**QA report:** yes (x ) no ( )  
**Drug, lot #, and % purity:** MPR-UXW-M0003.00.01

**Species/strain:** Cr1:CD1(ICR) mice  
**Males:** 10-12 weeks old, 29.1-27.8 g  
**Females:** 10-12 week old, 23.4-29.8 g

**Methods:** To determine dose levels for a 90-day oral (gavage) toxicity study with GT4P in mice, GT4P was given by oral gavage to mice (10/sex/group) for 14 days at 0, 0.65, 0.9, 1.2 and 2.0 g/kg/day. Following parameters were monitored: mortality, clinical signs of toxicity, body weight, food consumption, and macroscopic examination. Blood samples were collected on Days 1 and 14 prior to dosing and at 1, 2, and 6 hours postdose for toxicokinetic evaluations. The toxicokinetic results were not submitted in this report.

#### **Results:**

**Mortality:** Four males and 4 females were found dead in the high dose group. One male in the 0.65 g/kg/day group, 2 males and 1 female in the 0.90 g/kg/day group and 1 female in the 1.2 g/kg/day group died due to intubation errors.

**Clinical signs:** Clinical signs of toxicity prior to death in the high dose animals were hypothermia (body and extremities cool to touch), decreased defecation, dermal atonia, hypoactivity, impaired equilibrium, ptosis (partial closure of the left or right eye), shallow or labored respiration, and yellow material on various body surfaces including the urogenital and anogenital areas, ventral trunk and hindlimbs. These clinical signs of toxicity were also observed in the surviving animals sporadically.

**Body weights:** The initial and final body weights in the control group were 33.6 g and 33.2 g for males and 25 g and 25.9 g for females. The high dose males had body weight loss (3.1 g). The females in the 1.2 g/kg (0.0 g) and 2.0 g/kg (0.4 g) had less terminal body weight gain as compared to the control (0.9 g).

**Food Consumption:** The mean food consumption was 5.2-5.4 g/animal/day in males and 5.3-5.7 g/animal/day in females.

The animals in the 2.0 g/kg/day consumed less food during the first week of the treatment (2.9-3.5 g/animal/day).

Gross pathology: There were no treatment related changes.

### 28-day oral dose ranging toxicity study in CByB6F1 mice

Study No: [REDACTED] (b)(4)

Conducting Laboratory and Location:

[REDACTED] (b)(4) [REDACTED]

Date of study initiation: September 16, 2009

Report date: January 6, 2010

GLP compliance: This study was conducted in accordance with Good Laboratory Practice Regulations of the United States Food and Drug Administration (21 CFR Part 58).

QA-Report Yes (x) No ( ).

Animals: CByB6F1 mice

Weight: male: 23.9-31.8 g, 8 weeks old

female: 18.3-22.4 g, 8 weeks old

Drug lot#: XA210B

Methods: GT4P was given to mice (10/sex/group) by oral gavage at 0 (corn oil), 600, 900, and 1200 mg/kg/day in males or 900, 1500, and 2000 mg/kg/day in females for 28 days. The test article is undiluted colorless oil (neat). There were two 5-day dose ranging studies in CByB6F1 mice and the dose of 2000 mg/kg/day or higher was lethal for both males and females.

Animals in the 28-day study were observed for mortality, clinical signs of toxicity, body weights, food consumption, hematology, clinical chemistry, organs weights, gross pathology, and histopathology. The following tissues or organs were examined microscopically in the control and high-dose males and females, as well as mid-dose females.



|   |  |
|---|--|
| Adrenal glands                                | Ovaries  |
| Aorta   | Pancreas   |
| Bone (femur and sternum)                      | Parathyroid glands                                   |
| Bone marrow (femur and sternum)               | Pituitary gland                                      |
| Brain   | Prostate gland                                       |
| Epididymides                                  | Salivary gland                                       |
| Esophagus                                     | Sciatic nerve  |
| Eyes  | Seminal vesicles                                     |
| Gall bladder                                  | Skeletal muscle (thigh)                              |
| Gross lesions                                 | Small intestine<br>(duodenum, jejunum,<br>and ileum) |
| Harderian glands                              | Spinal cord (cervical,<br>thoracic, and lumbar)      |
| Heart   | Spleen   |
| Kidneys                                       | Stomach  |
| Large intestine (cecum,<br>colon, and rectum) | Testes   |
| Liver   | Thymus   |
| Lungs and bronchi                             | Thyroid glands                                       |
| Lymph nodes (mesenteric<br>and mandibular)    | Trachea  |
| Mammary gland with<br>adjacent skin           | Urinary bladder                                      |
| Nasal cavity                                  | Uterus   |
|   | Vagina   |

Toxicokinetic parameters were determined on day 25.

**Results:**

**Mortality:** High dose females (2000 mg/kg/day) were sacrificed on treatment day 2 due to clinical signs of toxicity.

**Clinical Signs:** Decreased motor activity (high dose males and females), prostration and labored breathing (high dose females) were observed.

**Body Weights:** Final body weight gain was decreased by 4.5% in the mid-dose females (1500 mg/kg/day). High dose females were sacrificed on treatment day 2. Final body weight gain was increased in the high dose males as compared to the control.

**Food Consumption:** The food consumption was decreased in treated females as compared to the control.

**Hematology:** There were no clear treatment-related changes.

**Clinical Chemistry:** There were no clear treatment-related changes.

**Organ Weights:** There were no treatment-related changes.

**Gross Pathology:** There were no treatment related changes.

**Histopathology:** Minimal vacuolation of epithelial cells in glandular portion of the stomach was noted in 5 of the 10 terminated high-dose females.

**Toxicokinetics:** The results indicated that phenylbutyric acid (PBA), phenylacetic acid (PAA), and N-phenylacetyl glycine (PAG) were detected in plasma, suggesting that GT4 was rapidly degraded to PBA. The plasma levels of PBA, PAA, and PAG were increased with the dose in females. The data from males suggest that saturation of absorption occurred at 900 mg/kg/day. The results were presented on Text Tables 1, 2, 3, 4, 5, and 6 on pages 270, 271, and 272. These tables are attached below.

Text Table 1: Average  $C_{max}$  and  $AUC_{last}$  for PBA in Male Mice on Study Day 27

| Dose<br>mg/kg | $C_{max}$<br>$\mu\text{g/mL}$ | $AUC_{last}$<br>$\text{hr} \cdot \mu\text{g/mL}$ |
|---------------|-------------------------------|--|
|               | Male                          | Male   |
| 600           | 14.34                         | 58.10  |
| 900           | 21.97                         | 113.47   |
| 1200          | 12.49                         | 110.23   |

Text Table 2: Average  $C_{max}$  and  $AUC_{last}$  for PBA in Female Mice on Study Day 27

| Dose<br>mg/kg | $C_{max}$<br>$\mu\text{g/mL}$ | $AUC_{last}$<br>$\text{hr} \cdot \mu\text{g/mL}$ |
|---------------|-------------------------------|--|
|               | Female                        | Female   |
| 900           | 17.34                         | 122.60   |
| 1500          | 33.40                         | 236.04   |

Best Available Copy

Text Table 3: Average  $C_{max}$  and  $AUC_{last}$  for PAA in Male Mice on Study Day 27

| Dose<br>mg/kg | $C_{max}$<br>$\mu\text{g/mL}$ | $AUC_{last}$<br>$\text{hr} \cdot \mu\text{g/mL}$ |
|---------------|-------------------------------|--|
|               | Male                          | Male   |
| 600           | 65.10                         | 120.70   |
| 900           | 339.64                        | 1354.10  |
| 1200          | 261.92                        | 1317.32  |

Text Table 4: Average  $C_{max}$  and  $AUC_{last}$  for PAA in Female Mice on Study Day 27

| Dose<br>mg/kg | $C_{max}$<br>$\mu\text{g/mL}$ | $AUC_{last}$<br>$\text{hr} \cdot \mu\text{g/mL}$ |
|---------------|-------------------------------|--|
|               | Female                        | Female   |
| 900           | 341.82                        | 1923.07  |
| 1500          | 385.90                        | 2727.27  |

Text Table 5: Average  $C_{max}$  and  $AUC_{last}$  for PAG in Male Mice on Study Day 27

| Dose<br>mg/kg | $C_{max}$<br>$\mu\text{g/mL}$ | $AUC_{last}$<br>$\text{hr} \cdot \mu\text{g/mL}$ |
|---------------|-------------------------------|--|
|               | Male                          | Male   |
| 600           | 12.56                         | 92.96  |
| 900           | 25.13                         | 197.02   |
| 1200          | 20.15                         | 188.60   |

Best Available Copy

Text Table 6: Average  $C_{max}$  and  $AUC_{last}$  for PAG in Female Mice on Study Day 27

| Dose<br>mg/kg | $C_{max}$<br>$\mu\text{g/mL}$ | $AUC_{last}$<br>$\text{hr} \cdot \mu\text{g/mL}$ |
|---------------|-------------------------------|--|
|               | Female                        | Female   |
| 900           | 19.64                         | 188.33   |
| 1500          | 23.15                         | 222.25   |

In summary, GT4P was given by oral gavage to CByB6F1 mice at 0, 600, 900, and 1200 mg/kg/day in males or 900, 1500, and 2000 mg/kg/day in females for 28 days. Decreased motor activity was observed in high dose males (1200 mg/kg/day) and high dose females (2000 mg/kg/day). In addition, prostration and labored breathing were observed in high dose females. Based on the results of the dose ranging studies, which included two 5-day studies and the 28-day study, the dose of 2000 mg/kg/day was lethal in both males and females. Therefore, the maximum tolerated dose is estimated to be 1000 mg/kg/day, since it is half of the lethal dose of 2000 mg/kg/day.

13-week oral toxicity study in mice  
(b) (4) 510008)

Study No: (b) (4) 510009

Conducting Laboratory and Location:  
(b) (4)

Date of study initiation: September 1, 2005

Report date: September 22, 2006

GLP compliance: This study was conducted in accordance with Good Laboratory Practice Regulations of the United States Food and Drug Administration (21 CFR Part 58).

QA-Report Yes (x) No ( ).

Animals: Cr1:CD®(ICR) mice

weight: male: 27.5-35.4 g, 8 week old

female: 21.4-25.4 g, 8 weeks old

Drug lot#: 6561C

**Observations and times:**

- **Clinical signs:** Clinical signs of toxicity were observed daily.
- **Body weights:** Body weights were determined weekly.
- **Food consumption:** Food consumption was determined weekly.
- **Hematology:** During week 13.
- **Clinical chemistry:** at termination.
- **Ophthalmologic Examination:** Before treatment and during week 11.
- **Functional observational battery:** The functional observation battery test was conducted before dosing and during weeks 0, 3, and 12. Following parameters were tested:

|                                 |                                 |
|---------------------------------|---------------------------------|
| Arousal                         | Mobility                        |
| Backing                         | Mucous membranes/eye/skin color |
| Bizarre/stereotypic behavior    | Muscle tone                     |
| Body temperature                | Palpebral (eyelid) closure      |
| Convulsions/tremors             | Piloerection                    |
| Ease of handling animal in hand | Red/crusty deposits             |
| Ease of removal from cage       | Respiratory rate/character      |
| Eye prominence                  | Rearing                         |
| Forelimb grip strength          | Righting reflex                 |
| Fur appearance                  | Salivation                      |
| Gait                            | Startle response                |
| Grooming                        | Tail pinch response             |
| Lacrimation/chromodacryorrhea   | Urination/defecation            |

- 
- **Gross pathology:** Animals were necropsied at termination.
- **Organ weighed:** Organs were weighed at termination.
- **Histopathology:** Following organs or tissues were examined histopathologically from all animals from control and high groups:

|   |   |
|---|---|
| Adrenal glands (2)                          | Liver   |
| Aorta                                       | Lungs (including bronchi, fixed by inflation with fixative) |
| Bone with marrow                            | Lymph nodes   |
| Femur with articular surface                | Mandibular  |
| Sternum                                     | Mesenteric  |
| Bone marrow smear (from femur) <sup>a</sup> | Mammary gland (females only)                                |
| Brain                                       | Ovaries with oviducts (2)                                   |
| Cerebrum level 1                            | Pancreas  |
| Cerebrum level 2                            | Peripheral nerve (sciatic)                                  |
| Cerebellum with medulla/pons                | Pituitary   |
| Epididymides (2) <sup>b</sup>               | Prostate  |
| Eyes with optic nerve (2) <sup>c</sup>      | Salivary glands [mandibular (2)]                            |
| Gallbladder                                 | Seminal vesicles (2)  |
| Gastrointestinal tract                      | Skeletal muscle (rectus femoris)                            |
| Esophagus                                   | Skin  |
| Stomach                                     | Spinal cord (cervical, midthoracic, lumbar)                 |
| Duodenum                                    | Spleen  |
| Jejunum                                     | Testes (2) <sup>b</sup>                                     |
| Ileum                                       | Thymus  |
| Cecum                                       | Thyroid [with parathyroids (2)]                             |
| Colon                                       | Trachea   |
| Rectum                                      | Urinary bladder   |
| Harderian glands (2)                        | Uterus with vagina  |
| Heart                                       | Zymbal's glands   |
| Kidneys (2)                                 | Gross lesions (when possible)                               |
| Lacrimal gland [exorbital (2)]              |   |

<sup>a</sup> - Bone marrow smears were obtained at necropsy but not placed in formalin; slides were examined only if scientifically warranted.

<sup>b</sup> - Fixed in Bouin's solution

<sup>c</sup> - Fixed in Davidson's solution

The liver, kidney, and gross lesions were examined from all animals in the low and mid dose groups.

- **Toxicokinetics:** Toxicokinetic parameters were determined on days 0 and 90 before dosing and at 1, 2, 4, 6, 8, and 24 hours after dosing.

Methods: GT4P was given to mice (10/sex/group) by oral gavage at 0, 0.65, 0.90 and 1.20 g/kg/day for 90 consecutive days. The dose selection was based on the results of the 14-day oral dose ranging study in mice. In this study, the dose of 2 g/kg/day was lethal. The dose of 1.2 g/kg/day was selected as high dose.

For toxicology assessment, all animals were observed twice daily for mortality and clinical signs of toxicity. Body weights and food consumption were recorded weekly. A modified functional observational battery was conducted for all animals prior to the initiation of dose administration and for 5 animals/sex during study weeks 0, 3 and 12. Ophthalmic examinations were performed during study weeks -1 and 11. Hematology and clinical chemistry were performed on all animals at the scheduled necropsy (study week 13). Complete necropsies were conducted on all animals, and selected organs were weighed at the scheduled necropsy. Histopathological examination was conducted from the animal found dead and from all animals in the control and the high dose groups. The liver, kidney, and gross lesions were examined from all animals in the low and mid dose groups.

Results:

Clinical Signs: There were no treatment related clinical signs of toxicity.

Mortality: There were no test article-related deaths. One female and one male in the 0.65 g/kg/day group were found dead or sacrificed on study days 1 and 89. The death of the male was due to gavage error and the cause of death of the female was not known. One female in the 0.9 g/kg/day group died due to a mechanical injury. Hypoactivity, impaired equilibrium, cool to touch, labored respiration, dermal atonia, and decreased defecation were noted prior to death. These clinical signs of toxicity are not considered treatment related.

Body Weights: The initial and final body weights in the control group were 31.1 and 37 g for males and 23.8 and 29 g for females. There were no clear treatment related changes.

Food Consumption: Average food consumption in the control group was 5.1-6.5 g/animal/day for males and 5.8-7 g/animal/day for females. The food consumption was not clearly affected by the treatment.

Hematology: There were no clear treatment related changes.

Clinical Chemistry: There were no clear treatment related changes.

Ophthalmologic Examination: There were no treatment related changes.

Organ Weights: Higher liver weights (relative to final body weight) were noted in the treatment groups as compared to the control group. The results were summarized in Text Table 1 on page 34 of this report. This table is attached below.

| <b>Text Table 1</b>  |  |             |             |                |             |             |
|--|--|-------------|-------------|----------------|-------------|-------------|
| <b>Test Article-Related Liver Weight Alterations (% ↑)</b> |  |             |             |                |             |             |
| <b>Dosage (g/kg/day)</b>                                   | <b>Males</b>                                     |             |             | <b>Females</b> |             |             |
|  | <b>0.65</b>                                      | <b>0.90</b> | <b>1.20</b> | <b>0.65</b>    | <b>0.90</b> | <b>1.20</b> |
| Absolute   | 3  | 16*         | 15*         | 2              | 3           | 15          |
| Relative to final body weight                              | 5  | 10*         | 13*         | 4              | 6           | 12*         |
| Relative to brain weight                                   | <1   | 17*         | 15*         | 1              | 7           | 18*         |
| Histologic correlation                                     | Centrilobular hepatocellular hypertrophy (males) |             |             |                |             |             |

\* = statistically significantly ( $p < 0.05$  or  $0.01$  using Dunnett's test) different from control group

Functional Observational Battery: There were no treatment related changes.

Gross Pathology: There were no treatment related changes.

Histopathology:

Minimal centrilobular hepatocellular hypertrophy was observed in one, six, and four males in the groups of 0.65, 0.90 and 1.20 g/kg/day, respectively (none in the control males and none in females). The lesions were concentrated in the central region of the classical hepatic lobule and consisted of slightly swollen hepatocytes.

Toxicokinetics: The results indicated that phenylbutyric acid (PBA), phenylacetic acid (PAA), and N-phenylacetyl-glycine (PAG) were detected in the plasma, suggesting that GT4P was rapidly degraded to PBA. The plasma levels of PBA, PAA, and PAG were increased with the dose. The results were presented on Text Tables 2, 3, 4, 5, 6, and 7. These tables are attached below.



| <b>Text Table 2</b><br><b><math>C_{max}</math> and <math>AUC_{\infty}</math> for PBA on Study Day 0</b> |                                   |  |
|---|-----------------------------------|--|
| Dose<br>g/kg  | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>hr $\cdot\mu\text{g/mL}$ |
| 0.65  | 102.2 - 142.6                     | 120.8 - 301.0                              |
| 0.9   | 66.0 - 124.5                      | 211.0 - 233.7                              |
| 1.2   | 103.9 - 189.2                     | 457.7 - 519.0                              |

| <b>Text Table 3</b><br><b><math>C_{max}</math> and <math>AUC_{\infty}</math> for PBA on Study Day 90</b> |                                   |  |
|--|-----------------------------------|--|
| Dose<br>g/kg   | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>hr $\cdot\mu\text{g/mL}$ |
| 0.65   | 31.2 - 60.1                       | 112.0 - 141.2                              |
| 0.9  | 61.0 - 123.1                      | 164.5 - 186.4                              |
| 1.2  | 48.1 - 135.5                      | 137.0 - 212.5                              |

| <b>Text Table 4</b><br><b><math>C_{max}</math> and <math>AUC_{\infty}</math> for PAA on Study Day 0</b> |                                   |  |
|---|-----------------------------------|--|
| Dose<br>g/kg  | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>hr $\cdot\mu\text{g/mL}$ |
| 0.65  | 180.3 - 417.5                     | 430.7 - 1836.9                             |
| 0.9   | 275.3 - 552.1                     | 1595.2 - 2006.0                            |
| 1.2   | 503.9 - 789.6                     | 9998.6 - 11292.6                           |

| <b>Text Table 5</b><br><b><math>C_{max}</math> and <math>AUC_{\infty}</math> for PAA on Study Day 90</b> |                                   |  |
|--|-----------------------------------|--|
| Dose<br>g/kg   | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>hr $\cdot\mu\text{g/mL}$ |
| 0.65   | 211.6 - 327.2                     | 828.2 - 1086.2                             |
| 0.9  | 338.9 - 388.1                     | 1186.9 - 1756.1                            |
| 1.2  | 546.3 - 640.9                     | 2339.5 - 2473.2                            |

| Text Table 6<br>$C_{max}$ and $AUC_{\infty}$ for PAG on Study Day 0 |                                   |  |
|---|-----------------------------------|--|
| Dose<br>g/kg  | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>hr $\cdot\mu\text{g/mL}$ |
| 0.65  | 36.0 - 162.6                      | 255.5 - 606.3                              |
| 0.9   | 30.7 - 239.2                      | 235.4                                      |
| 1.2   | 50.4 - 208.6                      | 549.0                                      |

| Text Table 7<br>$C_{max}$ and $AUC_{\infty}$ for PAG on Study Day 90 |                                   |  |
|--|-----------------------------------|--|
| Dose<br>g/kg   | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>hr $\cdot\mu\text{g/mL}$ |
| 0.65   | 29.3 - 63.0                       | 238.0 - 352.2                              |
| 0.9  | 33.2 - 60.5                       | 279.6 - 460.9                              |
| 1.2  | 41.8 - 82.6                       | 337.4 - 789.1                              |

Key study findings:

In summary, GT4P was given by oral gavage to mice at 0, 0.65, 0.90 and 1.20 g/kg/day for 90 days. The results indicated that there were no treatment-related deaths and clinical signs of toxicity. Treatment increased the liver weight and produced hepatocellular hypertrophy. The high dose of 1.20 g/kg/day is no-observed-adverse-effect level (NOAEL).

RAT

**Study title:** 14-day oral gavage toxicity study with GT4P in rats

**Key study findings:** GT4P was administered by oral gavage for 14 days to rats (10/sex/group) at 0, 0.65, 0.9 and 1.2 g/kg/day. There were no deaths. The central nervous system was the target organ of toxicity based on the clinical signs of toxicity. No effect dose was not identified. The dose of 0.65 g/kg/day was tolerated.

**Study no.:** (b)(4)-510002

**Volume #, and page #:** 4.7 and 001

**Conducting laboratory and location:**

(b)(4)

**Date of study initiation:** June 7, 2004

**GLP compliance:** Yes

**QA report:** yes (x ) no ( )

**Drug, lot #, and % purity:** MPR-UXW-M0003.00.01

**Species/strain:** Crl:CD(SD)IGS BR rat

**Males:** 7 weeks old, 213-268 g

**Females:** 7 week old, 156-203 g

**Methods:** To determine the toxic effects of GT4P, GT4P was given by oral gavage to Crl:CDCB(SD)IGS BR rats (10/sex/group) for 14 days at 0, 0.65, 0.9 and 1.2 g/kg/day. Following parameters were monitored: mortality, clinical signs of toxicity, body weight, food consumption, ophthalmic examinations, clinical pathology, and gross and histopathology. For toxicokinetic study, rats (9/sex/group) were also treated with GT4P for 14 days. Blood samples were collected on Days 1 and 14 prior to dosing and at 1, 2, 4, 8, 12, and 24 hours postdose for toxicokinetic evaluations. The results of toxicokinetics were not provided in this report.

**Results:**

Mortality: There were no deaths.

Clinical signs: Clinical signs of toxicity were noted in 0.9 and 1.2 g/kg/day groups and these included hypoactivity, impaired equilibrium, impaired muscle coordination and rigid muscle tone.

Body weights: The initial and final body weights in the control group were 236 g and 310 g for males and 178 g and 204 g for females. The high dose males had less terminal body weight gain (26%) as compared to the control. No treatment related body weight changes in females were seen.

Food Consumption: There were no treatment related changes.

Ophthalmoscopy: There were no treatment related changes.

Hematology: Lower platelet counts were observed in the 0.9 and 1.2 g/kg/day group males and 0.65, 0.9 and 1.2 g/kg/day group females. Lower red blood cell counts and hematocrit levels were observed in the 1.2 g/kg/day group females. The results were summarized in the following table.

| Parameter                        | Males (g/kg/day) |      |      |      | Females (g/kg/day) |       |      |        |
|----------------------------------|------------------|------|------|------|--------------------|-------|------|--------|
|                                  | 0                | 0.65 | 0.90 | 1.2  | 0                  | 0.65  | 0.90 | 1.2    |
| Platelets ( $10^3/\mu\text{L}$ ) | 1197             | 1105 | 910* | 948* | 1233               | 933** | 1047 | 907**  |
| RBC ( $10^6/\mu\text{L}$ )       | 6.91             | 6.83 | 6.94 | 6.92 | 7.07               | 6.84  | 6.80 | 6.43** |
| Hematocrit (%)                   | 40.3             | 39.5 | 40.7 | 40.7 | 40.5               | 39.6  | 39.1 | 36.9*  |

\* $p < 0.05$ , \*\* $p < 0.01$ , compared to the control group (Dunnett's test)

Clinical chemistry: There were no treatment related changes.

Urine analysis: Dose-related higher urine volume and lower pH levels were observed in all treatment groups. The results were summarized in the following table.

| Urine Parameter | Males (g/kg/day) |       |       |       | Females (g/kg/day) |      |      |       |
|-----------------|------------------|-------|-------|-------|--------------------|------|------|-------|
|                 | 0                | 0.65  | 0.90  | 1.2   | 0                  | 0.65 | 0.90 | 1.2   |
| pH              | 6.1              | 5.3** | 5.3** | 5.5** | 5.4                | 5.1  | 5.2  | 5.1   |
| Volume (mL)     | 5.3              | 10.4  | 10.5  | 10.0  | 2.1                | 2.5  | 5.7  | 7.8** |

\*\* $p < 0.01$ , compared to the control group (Dunnett's test)

Organ weights: Slight higher liver weights and lower thymus weights were observed in the 0.9 and 1.2 g/kg/day

group males and 1.2 g/kg/day group females. The results were summarized in the following table.

| Organ Weight |   | Males (g/kg/day) |       |        |         | Females (g/kg/day) |       |       |        |
|--------------|---|------------------|-------|--------|---------|--------------------|-------|-------|--------|
|              |   | 0                | 0.65  | 0.9    | 1.2     | 0                  | 0.65  | 0.9   | 1.2    |
| Liver        | g | 9.35             | 9.97  | 10.64  | 10.10   | 6.35               | 6.45  | 7.0   | 6.98   |
|              | % | 3.23             | 3.42  | 3.71** | 3.82**  | 3.37               | 3.41  | 3.61  | 3.70** |
| Thymus       | g | 0.50             | 0.50  | 0.46   | 0.37**  | 0.42               | 0.44  | 0.44  | 0.34   |
|              | % | 0.174            | 0.175 | 0.158  | 0.142   | 0.224              | 0.236 | 0.224 | 0.180  |
| Adrenal      | g | 0.056            | 0.053 | 0.050  | 0.046** | 0.069              | 0.067 | 0.063 | 0.064  |
|              | % | 0.020            | 0.018 | 0.017  | 0.017   | 0.037              | 0.036 | 0.032 | 0.034  |

\*\*p<0.01, compared to the control group (Dunnett's test)

% = relative organ weight to body weight

Gross pathology: There were no treatment related changes.

Histopathology: There were no treatment related changes.

Following information was provide in the final report of this study submitted in Amendments #021 on December 3, 2007.

**Table 3. Summary of Toxicokinetic Parameters of 4-Phenylbutyric Acid in Male and Female Rats Following Oral Administration of Glycerol Tri-(4-Phenylbutyrate) (GT4P) at Dose Levels of 650, 900 and 1200 mg/kg/day for 14 Days**

| 4-Phenylbutyric Acid |     |       |              |                          |                      |                           |                       |                      |                                |                                |                            |                            |
|----------------------|-----|-------|--------------|--------------------------|----------------------|---------------------------|-----------------------|----------------------|--------------------------------|--------------------------------|----------------------------|----------------------------|
| Day                  | Sex | Group | Dose (mg/kg) | C <sub>max</sub> (µg/mL) | T <sub>max</sub> (h) | C <sub>last</sub> (µg/mL) | T <sub>last</sub> (h) | t <sub>1/2</sub> (h) | AUC <sub>(0-4)</sub> (µg·h/mL) | AUC <sub>(0-∞)</sub> (µg·h/mL) | AUC <sub>(0-4)</sub> /Dose | AUC <sub>(0-∞)</sub> /Dose |
| 0                    | M   | 2A    | 650          | 245                      | 1.0                  | 7.89                      | 8.0                   | 1.6                  | 507                            | 526                            | 0.8                        | 0.8                        |
|                      |     | 3A    | 900          | 289                      | 1.0                  | 16.0                      | 8.0                   | 1.7                  | 794                            | 832                            | 0.9                        | 0.9                        |
|                      |     | 4A    | 1200         | 351                      | 2.0                  | 3.59                      | 24.0                  | 3.9                  | 1719                           | 1739                           | 1.4                        | 1.4                        |
|                      | F   | 2A    | 650          | 254                      | 1.0                  | 32.5                      | 8.0                   | ND                   | 567                            | ND                             | 0.9                        | NA                         |
|                      |     | 3A    | 900          | 360                      | 1.0                  | 0.599                     | 24.0                  | 3.5                  | 784                            | 787                            | 0.9                        | 0.9                        |
|                      |     | 4A    | 1200         | 353                      | 2.0                  | 3.53                      | 24.0                  | ND                   | 1607                           | ND                             | 1.3                        | NA                         |
| 13                   | M   | 2A    | 650          | 74.2                     | 1.0                  | 8.94                      | 8.0                   | ND                   | 124                            | ND                             | 0.2                        | NA                         |
|                      |     | 3A    | 900          | 42.1                     | 1.0                  | 10.4                      | 8.0                   | ND                   | 143                            | ND                             | 0.2                        | NA                         |
|                      |     | 4A    | 1200         | 48.3                     | 2.0                  | 16.2                      | 8.0                   | ND                   | 178                            | ND                             | 0.1                        | NA                         |
|                      | F   | 2A    | 650          | 30.7                     | 1.0                  | 20.2                      | 8.0                   | ND                   | 141                            | ND                             | 0.2                        | NA                         |
|                      |     | 3A    | 900          | 70.8                     | 4.0                  | 24.1                      | 8.0                   | ND                   | 329                            | ND                             | 0.4                        | NA                         |
|                      |     | 4A    | 1200         | 64.6                     | 1.0                  | 2.26                      | 8.0                   | 1.6                  | 175                            | 180                            | 0.1                        | 0.2                        |

NA: Not Applicable

ND: Not Determined, Insufficient data to determine TK parameters.

Note: Toxicokinetics for GT4P, phenylbutyrylglycine and phenylbutyrylglutamine were not analyzed or reported, since most of the plasma concentrations for GT4P, phenylbutyrylglycine and phenylbutyrylglutamine were BQL.

**Table 4. Summary of Toxicokinetic Parameters of Phenylacetic Acid, N-Phenylacetylglutamine and Phenylacetylglutamine in Male and Female Rats Following Oral Administration of Glyceryl Tri-(4-Phenylbutyrate) (GT4P) at Dose Levels of 650, 900 and 1200 mg/kg/day for 14 Days**

| <b>Phenylacetic Acid</b>       |     |       |              |                          |                      |                           |                       |                      |                                |                                |                            |                            |
|--------------------------------|-----|-------|--------------|--------------------------|----------------------|---------------------------|-----------------------|----------------------|--------------------------------|--------------------------------|----------------------------|----------------------------|
| Day                            | Sex | Group | Dose (mg/kg) | C <sub>max</sub> (µg/mL) | T <sub>max</sub> (h) | C <sub>last</sub> (µg/mL) | T <sub>last</sub> (h) | t <sub>1/2</sub> (h) | AUC <sub>(0-4)</sub> (µg·h/mL) | AUC <sub>(0-∞)</sub> (µg·h/mL) | AUC <sub>(0-4)</sub> /Dose | AUC <sub>(0-∞)</sub> /Dose |
| 0                              | M   | 2A    | 650          | 147                      | 8.0                  | 147                       | 8.0                   | ND                   | 966                            | ND                             | 1.5                        | NA                         |
|                                |     | 3A    | 900          | 450                      | 8.0                  | 450                       | 8.0                   | ND                   | 2036                           | ND                             | 2.3                        | NA                         |
|                                |     | 4A    | 1200         | 406                      | 4.0                  | 397                       | 8.0                   | ND                   | 2530                           | ND                             | 2.1                        | NA                         |
|                                | F   | 2A    | 650          | 209                      | 2.0                  | 30.2                      | 8.0                   | 2.1                  | 891                            | 982                            | 1.4                        | 1.5                        |
|                                |     | 3A    | 900          | 217                      | 4.0                  | 189                       | 8.0                   | ND                   | 1355                           | ND                             | 1.5                        | NA                         |
|                                |     | 4A    | 1200         | 382                      | 4.0                  | 104                       | 24.0                  | 10.6                 | 5346                           | 6942                           | 4.5                        | 5.8                        |
| 13                             | M   | 2A    | 650          | 116                      | 1.0                  | 114                       | 8.0                   | ND                   | 751                            | ND                             | 1.2                        | NA                         |
|                                |     | 3A    | 900          | 419                      | 8.0                  | 419                       | 8.0                   | ND                   | 1987                           | ND                             | 2.2                        | NA                         |
|                                |     | 4A    | 1200         | 458                      | 8.0                  | 458                       | 8.0                   | ND                   | 2668                           | ND                             | 2.2                        | NA                         |
|                                | F   | 2A    | 650          | 83.8                     | 1.0                  | 67.9                      | 8.0                   | ND                   | 507                            | ND                             | 0.8                        | NA                         |
|                                |     | 3A    | 900          | 273                      | 2.0                  | 178                       | 8.0                   | ND                   | 1355                           | ND                             | 1.5                        | NA                         |
|                                |     | 4A    | 1200         | 541                      | 8.0                  | 541                       | 8.0                   | ND                   | 2734                           | ND                             | 2.3                        | NA                         |
| <b>N-Phenylacetylglutamine</b> |     |       |              |                          |                      |                           |                       |                      |                                |                                |                            |                            |
| Day                            | Sex | Group | Dose (mg/kg) | C <sub>max</sub> (µg/mL) | T <sub>max</sub> (h) | C <sub>last</sub> (µg/mL) | T <sub>last</sub> (h) | t <sub>1/2</sub> (h) | AUC <sub>(0-4)</sub> (µg·h/mL) | AUC <sub>(0-∞)</sub> (µg·h/mL) | AUC <sub>(0-4)</sub> /Dose | AUC <sub>(0-∞)</sub> /Dose |
| 0                              | M   | 2A    | 650          | 34.5                     | 2.0                  | 26.7                      | 8.0                   | 15.6                 | 237                            | 838                            | 0.4                        | 1.3                        |
|                                |     | 3A    | 900          | 35.8                     | 4.0                  | 0.749                     | 24.0                  | 3.4                  | 529                            | 533                            | 0.6                        | 0.6                        |
|                                |     | 4A    | 1200         | 40.3                     | 8.0                  | 7.18                      | 24.0                  | ND                   | 630                            | ND                             | 0.5                        | NA                         |
|                                | F   | 2A    | 650          | 35.8                     | 4.0                  | 24.6                      | 8.0                   | ND                   | 236                            | ND                             | 0.4                        | NA                         |
|                                |     | 3A    | 900          | 44.4                     | 4.0                  | 1.98                      | 24.0                  | 4.4                  | 512                            | 524                            | 0.6                        | 0.6                        |
|                                |     | 4A    | 1200         | 37.9                     | 4.0                  | 12.0                      | 24.0                  | 13.0                 | 516                            | 740                            | 0.4                        | 0.6                        |
| 13                             | M   | 2A    | 650          | 41.2                     | 1.0                  | 0.495                     | 24.0                  | ND                   | 524                            | ND                             | 0.8                        | NA                         |
|                                |     | 3A    | 900          | 54.9                     | 8.0                  | 0.659                     | 24.0                  | ND                   | 808                            | ND                             | 0.9                        | NA                         |
|                                |     | 4A    | 1200         | 77.9                     | 4.0                  | 1.28                      | 24.0                  | 3.2                  | 1061                           | 1067                           | 0.9                        | 0.9                        |
|                                | F   | 2A    | 650          | 42.3                     | 8.0                  | 42.3                      | 8.0                   | ND                   | 282                            | ND                             | 0.4                        | NA                         |
|                                |     | 3A    | 900          | 64.9                     | 4.0                  | 0.373                     | 24.0                  | 2.5                  | 894                            | 895                            | 1.0                        | 1.0                        |
|                                |     | 4A    | 1200         | 72.2                     | 4.0                  | 1.73                      | 24.0                  | 3.5                  | 1041                           | 1049                           | 0.9                        | 0.9                        |
| <b>Phenylacetylglutamine</b>   |     |       |              |                          |                      |                           |                       |                      |                                |                                |                            |                            |
| Day                            | Sex | Group | Dose (mg/kg) | C <sub>max</sub> (µg/mL) | T <sub>max</sub> (h) | C <sub>last</sub> (µg/mL) | T <sub>last</sub> (h) | t <sub>1/2</sub> (h) | AUC <sub>(0-4)</sub> (µg·h/mL) | AUC <sub>(0-∞)</sub> (µg·h/mL) | AUC <sub>(0-4)</sub> /Dose | AUC <sub>(0-∞)</sub> /Dose |
| 13                             | M   | 2A    | 650          | 0.497                    | 4.0                  | 0.430                     | 8.0                   | ND                   | 2.35                           | ND                             | 0.0                        | NA                         |
|                                |     | 3A    | 900          | 1.59                     | 4.0                  | 1.35                      | 8.0                   | ND                   | 8.66                           | ND                             | 0.0                        | NA                         |
|                                |     | 4A    | 1200         | 1.89                     | 4.0                  | 1.26                      | 8.0                   | ND                   | 11.1                           | ND                             | 0.0                        | NA                         |
|                                | F   | 2A    | 650          | 0.000                    | ND                   | ND                        | ND                    | ND                   | 0.000                          | ND                             | 0.0                        | NA                         |
|                                |     | 3A    | 900          | 0.407                    | 1.0                  | 0.392                     | 4.0                   | ND                   | 0.799                          | ND                             | 0.0                        | NA                         |
|                                |     | 4A    | 1200         | 1.18                     | 1.0                  | 1.12                      | 8.0                   | ND                   | 6.75                           | ND                             | 0.0                        | NA                         |

NA: Not Applicable

ND: Not Determined. Insufficient data to determine TK parameters.

Note: Toxicokinetics for phenylacetylglutamine at Day 0 were not analyzed or reported, since most of the plasma concentrations for phenylacetylglutamine were BQL.

The plasma levels of GT4P were not quantifiable in most of the samples (<5 ng/ml). The main plasma metabolites detected were 4-phenylbutyric acid, phenylacetic acid, phenylacetylglutamine, and N-phenylacetylglycine following oral administration of GT4P.

13-week oral toxicity study in rats

(b) (4) 510009)

Study No: (b) (4)-510009

Conducting Laboratory and Location:

(b) (4)

Date of study initiation: July 26, 2005

Report date: April 24, 2006 (draft report date)

**GLP compliance:** This study was conducted in accordance with Good Laboratory Practice Regulations of the United States Food and Drug Administration (21 CFR Part 58).

**QA-Report** Yes ( ) No (x). This is draft report.

**Animals:** Cr1:CD®(SD) rats

**weight:** male: 252-324 g, 8 week old

female: 159-218 g, 8 weeks old

**Drug lot#:** 6561C

**Observations and times:**

- **Clinical signs:** Clinical signs of toxicity were observed daily.
- **Body weights:** Body weights were determined weekly.
- **Food consumption:** Food consumption was determined weekly.
- **Hematology:** During week 13.
- **and clinical chemistry:** at termination.
- **Urinalysis:** at termination.
- **Ophthalmologic Examination:** Before treatment and during week 13.
- **Functional observational battery:** The functional observation battery test was conducted before dosing and during weeks 0, 3, and 12. Following parameters were tested:

|                                 |                                 |
|---------------------------------|---------------------------------|
| Arousal                         | Mobility                        |
| Backing                         | Mucous membranes/eye/skin color |
| Bizarre/stereotypic behavior    | Muscle tone                     |
| Body temperature                | Palpebral (eyelid) closure      |
| Convulsions/tremors             | Piloerection                    |
| Ease of handling animal in hand | Red/crusty deposits             |
| Ease of removal from cage       | Respiratory rate/character      |
| Eye prominence                  | Rearing                         |
| Forelimb grip strength          | Righting reflex                 |
| Fur appearance                  | Salivation                      |
| Gait                            | Startle response                |
| Grooming                        | Tail pinch response             |
| Lacrimation/chromodacryorrhea   | Urination/defecation            |

- 
- **Gross pathology:** Animals were necropsied at termination.
- **Organ weighed:** Organs were weighed at termination.
- **Histopathology:** Following organs or tissues were examined histopathologically from all animals from control and high groups:



|  |   |
|--|---|
| Adrenal glands (2)   | Lymph nodes   |
| Aorta  | Mandibular (2)  |
| Bone with marrow   | Mesenteric  |
| Femur  | Mammary gland (females only)                                |
| Sternum  | Ovaries with oviducts (2)                                   |
| Bone marrow smear (femur) <sup>a</sup>                         | Pancreas  |
| Brain  | Peripheral nerve (sciatic)                                  |
| Cerebrum level 1   | Pituitary   |
| Cerebrum level 2   | Prostate  |
| Cerebellum with medulla/pons                                   | Salivary glands   |
| Epididymides <sup>b</sup>                                      | [mandibular (2)]  |
| Eyes with optic nerve (2) <sup>c</sup>                         | Seminal vesicles (2)  |
| Gastrointestinal tract   | Skeletal muscle (rectus femoris)                            |
| Esophagus  | Skin  |
| Stomach  | Spinal cord (cervical, midthoracic,<br>lumbar)              |
| Duodenum   | Spleen  |
| Jejunum  | Testes (2) <sup>b</sup>                                     |
| Ileum  | Thymus  |
| Cecum  | Thyroid [with parathyroids, if<br>present (2)] <sup>d</sup> |
| Colon  | Trachea   |
| Rectum   | Urinary bladder   |
| Harderian glands (2)   | Uterus  |
| Heart  | Vagina  |
| Kidneys (2)  | Zymbal's glands (2)   |
| Lacrimal glands [exorbital (2)]                                | Gross lesions (when possible)                               |
| Liver (sections of 2 lobes)                                    |   |
| Lungs (including bronchi, fixed by<br>inflation with fixative) |   |

<sup>a</sup> - Bone marrow smears were obtained at scheduled necropsy but not placed in formalin; slides were examined only if scientifically warranted.

<sup>b</sup> - Fixed in Bouin's solution

<sup>c</sup> - Fixed in Davidson's solution

<sup>d</sup> - Parathyroids were examined microscopically if in the same plane of section and in all cases where a gross lesion of the parathyroid was present

- **Toxicokinetics:** Toxicokinetic parameters were determined on days 0 and 87 before dosing and at 1, 2, 4, 6, 8, 12, 24, and 28 hours after dosing.

Methods: GT4P was given to rats (9-10/sex/group) by oral gavage at 0, 0.65, 0.90 and 1.20 g/kg/day for 91 consecutive days. For toxicology assessment, all animals were observed twice daily for mortality and clinical signs of toxicity. Body weights and food consumption were recorded weekly. A modified functional observational battery was conducted for all animals prior to the initiation of dose administration and for 5 animals/sex during study weeks 0, 3 and 12 at 8 to 9 hours post-dosing. Ophthalmic examinations were performed during study weeks -1 and 12. Hematology, clinical chemistry, and urinalysis were performed on all animals at the scheduled necropsy (study week 13). Blood

samples for plasma amino acid profiles were collected from all animals at the scheduled necropsy. Complete necropsies were conducted on all animals, and selected organs were weighed at the scheduled necropsy. Histopathological examination was conducted from the animal found dead and from all animals in the control and the high dose groups.

### Results:

Clinical Signs: Transient rigid muscle tone was noted in all test article-treated groups. Hypoactivity was also noted on the first study day in the 0.90 and 1.20 g/g/day groups. Clear material around the mouth or on the ventral trunk was noted 1 hour after dose administration in all test article-treated groups.

Mortality: There were no test article-related deaths. One control female was found dead on study day 91.

Body Weights: The initial and final body weights in the control group were 284 and 571 g for males and 187 and 290 g for females. Decreased terminal body weight gain was noted in the low (11%), mid (21%), and high (37%) dose males as compared to the control. The decreased body weight gains were noted throughout the study. Body weights for the female groups were unaffected.

Food Consumption: Average food consumption in control group was 22-29 g/rat/day for males and 17-29 g/rat/day for females. The food consumption was not clearly affected by the treatment.

Hematology: Slightly decreased red blood cell counts, hemoglobin, hematocrit, and platelet counts were noted in the treatment groups. The results are summarized in the following table.

The mean absolute values (% changes)

|                                | control | 0.65 g/kg   | 0.9 g/kg   | 1.2 g/kg    |
|--------------------------------|---------|-------------|------------|-------------|
| Red Blood Cell counts (mil/ul) |         |             |            |             |
| Males                          | 9.02    | 8.54        | 8.43 (-7%) | 8.56        |
| Females                        | 8.49    | 7.62 (-10%) | 7.9        | 7.72 (-9%)  |
| Hemoglobin (g/dl)              |         |             |            |             |
| Males                          | 15.7    | 15.4        | 15.1       | 15.1        |
| Females                        | 15.8    | 13.9 (-12%) | 14.5 (-8%) | 14.3 (-10%) |
| Hematocrit (%)                 |         |             |            |             |
| Males                          | 51.2    | 49.1        | 48.8       | 48.7 (-5%)  |
| females                        | 50.3    | 43.5 (-14%) | 46.5 (-8%) | 45.4 (-10%) |
| Platelet count (thous/ul)      |         |             |            |             |
| Males                          | 1082    | 984 (-9%)   | 924 (-15%) | 895 (-17%)  |

|         |     |     |      |            |
|---------|-----|-----|------|------------|
| females | 994 | 945 | 1022 | 870 (-12%) |
|---------|-----|-----|------|------------|

Clinical Chemistry: Slightly higher alkaline phosphatase (ALP) values were noted in the 0.90/kg/day group females and the 0.90 and 1.20 g/kg/day group males. Lower total protein values and globulin values and higher A/G ratios were noted in the 0.65, 0.90 and 1.20 g/kg/day group males. The results are summarized in the following table.

The mean absolute values (% changes)

|                      | control | 0.65 g/kg  | 0.9 g/kg   | 1.2 g/kg   |
|----------------------|---------|------------|------------|------------|
| ALP (U/l)            |         |            |            |            |
| Males                | 84      | 110 (31%)  | 134 (60%)  | 163 (94%)  |
| Females              | 44      | 56         | 75         | 65         |
| Total protein (g/dl) |         |            |            |            |
| Males                | 7.4     | 6.8        | 6.7        | 6.6        |
| Globulin (g/dl)      |         |            |            |            |
| Males                | 3.1     | 2.5 (-19%) | 2.5 (-19%) | 2.3 (-26%) |
| A/G ratio            |         |            |            |            |
| Males                | 1.41    | 1.71 (21%) | 1.75 (24%) | 1.94 (38%) |

Ophthalmologic Examination: There were no treatment related changes.

Urinalysis: Higher total urine volume was observed in the 1.20 g/kg/day group females (11.8 ml) as compared to the control (6.1 ml). Lower urine pH was observed in the 0.65 g/kg/day (5.8), 0.90 g/kg/day (5.8) and 1.20 g/kg/day (5.8) groups in females as compared to the control (6.4).

Organ Weights: Higher liver weights (relative to final body weight) were noted in the 1.20 g/kg/day group in males (3.38g/100g) and in the 0.65 g/kg/day (3.44g/100g), 0.90 g/kg/day (3.49g/100g) and 1.20 g/kg/day (3.96g/100g) groups in females compared to the control group (2.99g/100g in males and 3.02g/100g in females). Liver weights (relative to brain weight) were also higher in the 1.20 g/kg/day group females (548 g/100g) as compared to the control group (418g/100g). There were no treatment related histopathologic changes in the liver.

Functional Observational Battery: There were no treatment related changes.

Gross Pathology: There were no treatment related changes.

Histopathology: There were no test article-related microscopic pathology findings.

Toxicokinetics: The results were presented in Table 7 in this report. This table is attached below.

Table 7: Pharmacokinetic Parameters: PBA

| Analyte | Study Day | Sex | Dose<br>g/kg | Half-Life<br>hr | Tmax<br>hr | Cmax<br>µg/mL | Cmax/D<br>kg*µg/mL/g | Tlast<br>hr | Clast<br>µg/mL | AUC <sub>Last</sub><br>hr*µg/mL | AUC <sub>∞</sub><br>hr*µg/mL | AUC <sub>∞</sub> /D obs<br>hr*kg*µg/mL/g | Cmax/Clast<br>% |
|---------|-----------|-----|--------------|-----------------|------------|---------------|----------------------|-------------|----------------|---------------------------------|------------------------------|--|-----------------|
| PBA     | 0         | F   | 0.65         | 1.63            | 1          | 345           | 530                  | 12          | 1.9            | 663                             | 667                          | 1026                                     | 0.5             |
| PBA     | 0         | F   | 0.9          | 3.30            | 1          | 387           | 430                  | 24          | 0.3            | 907                             | 909                          | 1010                                     | 0.1             |
| PBA     | 0         | F   | 1.2          | 3.86            | 1          | 523           | 436                  | 24          | 6.8            | 1561                            | 1599                         | 1332                                     | 1.3             |
| PBA     | 0         | M   | 0.65         | 2.68            | 1          | 410           | 631                  | 24          | 0.7            | 814                             | 817                          | 1256                                     | 0.2             |
| PBA     | 0         | M   | 0.9          | 1.67            | 1          | 412           | 458                  | 12          | 4.2            | 873                             | 883                          | 981                                      | 1.0             |
| PBA     | 0         | M   | 1.2          | 13.62           | 1          | 505           | 421                  | 24          | 8.5            | 1831                            | 1997                         | 1665                                     | 1.7             |
| PBA     | 87        | F   | 0.65         | 4.83            | 1          | 107           | 164                  | 24          | 0.6            | 193                             | 198                          | 304                                      | 0.6             |
| PBA     | 87        | F   | 0.9          | 5.12            | 1          | 110           | 122                  | 24          | 0.4            | 159                             | 162                          | 180                                      | 0.4             |
| PBA     | 87        | F   | 1.2          | 4.15            | 1          | 125           | 104                  | 12          | 8.6            | 246                             | 297                          | 248                                      | 6.9             |
| PBA     | 87        | M   | 0.65         | 3.71            | 1          | 47            | 72                   | 24          | 0.3            | 133                             | 135                          | 208                                      | 0.7             |
| PBA     | 87        | M   | 0.9          | 4.50            | 1          | 25            | 28                   | 12          | 4.0            | 105                             | 130                          | 145                                      | 15.7            |
| PBA     | 87        | M   | 1.2          | 4.45            | 1          | 64            | 54                   | 24          | 1.1            | 160                             | 167                          | 139                                      | 1.8             |

Table 7 (cont): Pharmacokinetic Parameters: PAA

| Analyte | Study Day | Sex | Dose<br>g/kg | Half-Life<br>hr | Tmax<br>hr | Cmax<br>µg/mL | Cmax/D<br>kg*µg/mL/g | Tlast<br>hr | Clast<br>µg/mL | AUC <sub>Last</sub><br>hr*µg/mL | AUC <sub>∞</sub><br>hr*µg/mL | AUC <sub>∞</sub> /D obs<br>hr*kg*µg/mL/g | Cmax/Clast<br>% |
|---------|-----------|-----|--------------|-----------------|------------|---------------|----------------------|-------------|----------------|---------------------------------|------------------------------|--|-----------------|
| PAA     | 0         | F   | 0.65         | 9.05            | 8          | 478           | 735                  | 12          | 157            | 3627                            | 5672                         | 8726                                     | 32.8            |
| PAA     | 0         | F   | 0.9          | 7.58            | 12         | 462           | 513                  | 12          | 462            | 3939                            | 9058                         | 10064                                    | 100.0           |
| PAA     | 0         | F   | 1.2          | 3.04            | 6          | 622           | 519                  | 24          | 19             | 9689                            | 9772                         | 8143                                     | 3.0             |
| PAA     | 0         | M   | 0.65         | 8.03            | 4          | 535           | 823                  | 12          | 272            | 3621                            | 6766                         | 10410                                    | 50.8            |
| PAA     | 0         | M   | 0.9          | 8.04            | 8          | 538           | 398                  | 12          | 413            | 4719                            | 9513                         | 10570                                    | 76.7            |
| PAA     | 0         | M   | 1.2          | 6.10            | 12         | 817           | 681                  | 24          | 14             | 11420                           | 11543                        | 9619                                     | 1.7             |
| PAA     | 87        | F   | 0.65         | 5.24            | 4          | 491           | 756                  | 12          | 160            | 3448                            | 4656                         | 7163                                     | 32.5            |
| PAA     | 87        | F   | 0.9          | 4.67            | 4          | 630           | 700                  | 12          | 182            | 4579                            | 5802                         | 6447                                     | 28.8            |
| PAA     | 87        | F   | 1.2          | 7.37            | 4          | 734           | 611                  | 12          | 354            | 5932                            | 9698                         | 8082                                     | 48.3            |
| PAA     | 87        | M   | 0.65         | 1.86            | 6          | 404           | 622                  | 12          | 41             | 2651                            | 2761                         | 4248                                     | 10.1            |
| PAA     | 87        | M   | 0.9          | 6.94            | 8          | 351           | 390                  | 12          | 143            | 3371                            | 4800                         | 5333                                     | 40.6            |
| PAA     | 87        | M   | 1.2          | 4.38            | 6          | 720           | 600                  | 12          | 279            | 5442                            | 7206                         | 6005                                     | 38.7            |

Table 7 (cont). Pharmacokinetic Parameters: PAG

| Analyte | Study Day | Sex | Dose<br>g/kg | Half-Life<br>hr | Tmax<br>hr | Cmax<br>µg/mL | Cmax/D<br>kg*µg/mL/g | Tlast<br>hr | Clast<br>µg/mL | AUC <sub>Last</sub><br>hr*µg/mL | AUC <sub>∞</sub><br>hr*µg/mL | AUC <sub>∞</sub> /D obs<br>hr*kg*µg/mL/g | Cmax/Clast<br>% |
|---------|-----------|-----|--------------|-----------------|------------|---------------|----------------------|-------------|----------------|---------------------------------|------------------------------|--|-----------------|
| PAG     | 0         | F   | 0.65         | 20.99           | 1          | 31            | 48                   | 12          | 16.6           | 232                             | 734                          | 1128                                     | 53.7            |
| PAG     | 0         | F   | 0.9          | 4.69            | 2          | 33            | 37                   | 24          | 1.1            | 409                             | 416                          | 463                                      | 3.2             |
| PAG     | 0         | F   | 1.2          | 12.98           | 2          | 31            | 26                   | 24          | 9.0            | 463                             | 631                          | 526                                      | 29.2            |
| PAG     | 0         | M   | 0.65         | 3.70            | 2          | 40            | 62                   | 24          | 1.6            | 471                             | 480                          | 738                                      | 3.9             |
| PAG     | 0         | M   | 0.9          | 2.32            | 1          | 42            | 47                   | 24          | 0.4            | 559                             | 561                          | 623                                      | 0.9             |
| PAG     | 0         | M   | 1.2          | 9.51            | 4          | 37            | 31                   | 24          | 8.8            | 660                             | 780                          | 650                                      | 23.4            |
| PAG     | 87        | F   | 0.65         | 2.51            | 6          | 44            | 67                   | 24          | 0.3            | 548                             | 549                          | 845                                      | 0.8             |
| PAG     | 87        | F   | 0.9          | 5.61            | 4          | 57            | 63                   | 48          | 0.3            | 848                             | 851                          | 945                                      | 0.6             |
| PAG     | 87        | F   | 1.2          | 5.20            | 4          | 68            | 57                   | 48          | 0.3            | 1107                            | 1109                         | 924                                      | 0.5             |
| PAG     | 87        | M   | 0.65         | 3.00            | 4          | 50            | 77                   | 12          | 10.1           | 395                             | 438                          | 675                                      | 20.1            |
| PAG     | 87        | M   | 0.9          | 21.22           | 6          | 48            | 53                   | 12          | 38.2           | 495                             | 1664                         | 1849                                     | 80.1            |
| PAG     | 87        | M   | 1.2          | 3.83            | 2          | 83            | 70                   | 24          | 4.0            | 1044                            | 1066                         | 889                                      | 4.8             |

The results indicated that phenylbutyric acid (PBA), phenylacetic acid (PAA), and N-phenylacetyl-glycine (PAG) were

detected in the plasma, suggesting that GT4P was rapidly degraded to PBA. The plasma levels of PBA, PAA, and PAG were increased with the dose. The plasma levels of PBA and PAA were lower on day 87 than those on the first day.

Key study findings:

In summary, GT4P was give by oral gavage to Crl:CD(SD) rats at 0, 0.65, 0.90 and 1.20 g/kg/day for 91 days. The results indicated that there were no treatment-related deaths. Rigid muscle tone (all treatment groups) and hypoactivity (mid and high dose groups) were observed during first few days of treatment. Decreased terminal body weight gain was noted in the low (11%), mid (21%), and high (37%) dose males as compared to the control. Body weight gain was not affected in the female groups. There were no treatment-related histopathologic findings. In conclusion, no effect dose was not identified. The dose of 0.65 g/kg/day was the maximum tolerated dose for males based on the decrease of body weight gain. The dose of 1.20 g/kg/day was tolerated in females. The central nervous system was the target organ of toxicity based on the clinical signs of toxicity.

6-Month Oral Toxicity Study in Rats

Study No: (b) (4) 671001

Conducting Laboratory and Location:

(b) (4)

Date of study initiation: February 20, 2008

Report date: March 25, 2009

GLP compliance: This study was conducted in accordance with Good Laboratory Practice Regulations of the United States Food and Drug Administration (21 CFR Part 58).

QA-Report Yes (x) No ( )

Animals: Crl:CD(SD) rats

Weight: male: 233-319 g, 8 weeks old

female: 176-228 g, 8 weeks old

Drug lot#: XA171

Methods: GT4P was given to rats (15/sex/group) by oral gavage at 0 (corn oil), 650, 900, and 1200 mg/kg/day for 6 months. The test article is an undiluted colorless oil (neat). The dose selection was based on the results of the 90-day toxicity study in rats. Animals were observed for

mortality, clinical signs of toxicity, body weights, food consumption, hematology, clinical chemistry, urinalysis, organs weights, ophthalmology, gross pathology, and histopathology. The following tissues or organs were collected for histopathological examination.

|   |   |
|---|---|
| Adrenals (2)                                | Lungs (including bronchi, fixed by inflation with fixative) |
| Animal ID*                                  | Lymph nodes   |
| Aorta                                       | Mandibular (2)  |
| Bone with marrow                            | Mesenteric  |
| Femur with joint                            | Ovaries with oviducts (2) <sup>d</sup>                      |
| Sternum                                     | Pancreas  |
| Bone marrow smear (from femur) <sup>a</sup> | Peyer's patches   |
| Brain                                       | Pituitary   |
| Cerebrum 2 levels                           | Prostate  |
| Cerebellum with medulla/pons                | Salivary glands [mandibular (2)]                            |
| Cervix                                      | Sciatic Nerve   |
| Epididymides (2) <sup>b</sup>               | Seminal vesicles (2)  |
| Eyes with optic nerve (2) <sup>c</sup>      | Skeletal muscle (rectus femoris)                            |
| Gastrointestinal tract                      | Skin (with mammary gland) <sup>e</sup>                      |
| Esophagus                                   | Spinal cord (cervical, thoracic, lumbar)                    |
| Stomach                                     | Spleen  |
| Duodenum                                    | Testes (2) <sup>b</sup>                                     |
| Jejunum                                     | Thymus  |
| Ileum                                       | Thyroid [with parathyroids, if present (2)] <sup>d</sup>    |
| Cecum                                       | Tongue  |
| Colon                                       | Trachea   |
| Rectum                                      | Urinary bladder   |
| Harderian glands                            | Uterus  |
| Heart                                       | Vagina  |
| Kidneys (2)                                 | All Gross lesions (when possible)                           |
| Lacrimal gland (exorbital [2])              |   |
| Liver (sections of 2 lobes)                 |   |

<sup>a</sup> - Bone marrow smears were obtained at the scheduled necropsy and from animals euthanized in extremis, but not placed in formalin; slides were not examined.

<sup>b</sup> - Fixed in Bouin's solution

<sup>c</sup> - Fixed in Davidson's solution

<sup>d</sup> - Parathyroids and oviducts were examined if in the plane of section and in all cases where a gross lesion of the organ was present.

<sup>e</sup> - For females, a corresponding section of skin was taken from the same anatomic area for males.

\* - Not examined macroscopically

Toxicokinetic parameters were determined on days 0 and 177.

**Results:**

**Mortality:** Two control males were found dead. These deaths were due to gavage-related injury. A control female was sacrificed due to moribund condition (this female had a urinary tract infection).

One high dose male was found dead on day 145 with the following histopathological changes: dilation of the right heart ventricle, congestion of the kidneys, white area/irregular shape/hepatocellular infiltration of the liver, edema of the lungs, and reddening of the thymus. The relationship of this death to treatment with the test article is uncertain, but cannot be ruled out.

**Clinical Signs:** Hypoactivity and rigid muscle tone were observed in the middle- and high-dose groups. Wet or dried material on the mouth, ventral neck, forelimbs, and urogenital areas and reddened ears, face, and forelimbs were noted in the treatment groups.

**Body Weights:** The mean body weights for control, low-, middle-, and high-dose males were 281, 283, 280, and 282 g at study initiation, and 638, 606, 558, and 538 g at termination, respectively. The mean body weights for control, low, middle-, and high-dose females were 197, 199, 199, and 198 g at study initiation, and 350, 332, 321, and 316 g at termination, respectively. The terminal body weight gain was reduced by 10%, 22%, and 28% in the low-, middle-, and high-dose males, respectively, and by 13%, 20%, and 23% in low-, middle-, and high-dose females, respectively, as compared to the control values.

**Food Consumption:** There were no treatment-related changes.

**Ophthalmology:** There were no treatment-related changes.

**Hematology:** Minor changes occurred in some of these parameters. These changes are not considered to be clinically significant.

**Clinical Chemistry:** Slight alterations of the clinical chemistry values are summarized in the following table (taken from the study report).



**Text Table 1: Serum Chemistry Values Attributed to Non-Adverse Adaptive Test Article Effects Primarily on Protein Metabolism at Study Weeks 12 and 26**

| Dosage:<br>HPN-100 (g/kg/day)           | Males |       |       |       | Females |       |       |       |
|---|-------|-------|-------|-------|---------|-------|-------|-------|
|   | 0     | 0.65  | 0.90  | 1.20  | 0       | 0.65  | 0.90  | 1.20  |
| <b>Alkaline Phosphatase (U/L)</b>       |       |       |       |       |         |       |       |       |
| Week 12 Mean                            | 95    | 119   | 139** | 156** | 61      | 84    | 85*   | 87*   |
| % Difference                            |       | 25.3  | 46.3  | 64.2  |         | 37.7  | 39.3  | 42.6  |
| Week 26 Mean                            | 69    | 98*   | 112** | 138** | 42      | 60    | 65    | 61    |
| % Difference                            |       | 42.2  | 62.3  | 100.0 |         | 42.9  | 54.8  | 45.2  |
| <b>Alanine Aminotransferase (U/L)</b>   |       |       |       |       |         |       |       |       |
| Week 12 Mean                            | 40    | 86    | 73    | 42    | 45      | 44    | 89    | 56    |
| % Difference                            |       | 115.0 | 82.5  | 5.0   |         | -2.2  | 97.8  | 24.4  |
| Week 26 Mean                            | 41    | 209   | 91    | 69    | 51      | 51    | 127** | 39    |
| % Difference                            |       | 409.8 | 122.0 | 68.3  |         | 0.0   | 149.0 | 23.5  |
| <b>Aspartate Aminotransferase (U/L)</b> |       |       |       |       |         |       |       |       |
| Week 12 Mean                            | 80    | 152   | 109   | 82    | 86      | 78    | 129   | 92    |
| % Difference                            |       | 90    | 36.3  | 2.5   |         | -9.3  | 50.0  | 7.0   |
| Week 26 Mean                            | 78    | 302   | 143   | 109   | 97      | 86    | 163*  | 75    |
| % Difference                            |       | 287.2 | 83.3  | 39.7  |         | -11.3 | 68.0  | -22.7 |
| <b>Gamma Glutamyltransferase (U/L)</b>  |       |       |       |       |         |       |       |       |
| Week 12 Mean                            | 0.7   | 0.8   | 0.8   | 0.7   | 1.1     | 1.1   | 1.5   | 1.5   |
| % Difference                            |       | 14.3  | 14.3  | 0.0   |         | 0.0   | 36.4  | 36.4  |
| Week 26 Mean                            | 0.3   | 0.9   | 0.7   | 0.6   | 0.5     | 0.5   | 1.2   | 0.6   |
| % Difference                            |       | 200   | 133.3 | 100.0 |         | 0.0   | 140.0 | 20.0  |
| <b>Urea Nitrogen (mg/dL)</b>            |       |       |       |       |         |       |       |       |
| Week 12 Mean                            | 14.4  | 13.3  | 15.8  | 16.9* | 17.0    | 14.2* | 16.2  | 17.4  |
| % Difference                            |       | -7.6  | 9.7   | 17.4  |         | -16.5 | -4.7  | 2.4   |
| Week 26 Mean                            | 13.6  | 12.4  | 14.2  | 14.9  | 15.5    | 14.1  | 16.1  | 18.3  |
| % Difference                            |       | -8.8  | 4.4   | 9.6   |         | -9.0  | 3.9   | 18.1  |

\* = Significantly different from the control group at 0.05 using Dunnett's test

\*\* = Significantly different from the control group at 0.01 using Dunnett's test

**Text Table 1 (continued): Serum Chemistry Values Attributed to Non-Adverse Adaptive Test Article Effects Primarily on Protein Metabolism at Study Weeks 12 and 26**

| Dosage:<br>HPN-100 (g/kg/day)     | Males |              |               |               | Females |              |              |             |
|-----------------------------------|-------|--------------|---------------|---------------|---------|--------------|--------------|-------------|
|                                   | 0     | 0.65         | 0.90          | 1.20          | 0       | 0.65         | 0.90         | 1.20        |
| <b>Serum Total Protein (g/dL)</b> |       |              |               |               |         |              |              |             |
| Week 12 Mean                      | 6.8   | <b>6.5**</b> | <b>6.4**</b>  | <b>6.3**</b>  | 7.4     | 7.2          | 7.4          | 7.3         |
| % Difference                      |       | -4.4         | -5.9          | -7.4          |         | -2.7         | 0.0          | -1.4        |
| Week 26 Mean                      | 6.9   | <b>6.6*</b>  | <b>6.5**</b>  | <b>6.4**</b>  | 7.9     | 7.6          | 7.5          | 7.5         |
| % Difference                      |       | -4.3         | -5.8          | -7.2          |         | -3.8         | -5.1         | -5.1        |
| <b>Globulin (g/dL)</b>            |       |              |               |               |         |              |              |             |
| Week 12 Mean                      | 2.5   | <b>2.1**</b> | <b>1.9**</b>  | <b>1.9**</b>  | 2.4     | 2.2          | 2.3          | <b>2.2</b>  |
| % Difference                      |       | -16.0        | -24.0         | -24.0         |         | -8.3         | -4.2         | -8.3        |
| Week 26 Mean                      | 2.7   | <b>2.4**</b> | <b>2.1**</b>  | <b>2.1**</b>  | 2.6     | 2.4          | 2.4          | 2.3         |
| % Difference                      |       | -11.1        | -22.2         | -22.2         |         | -7.7         | -7.7         | -11.5       |
| <b>A/G ratio</b>                  |       |              |               |               |         |              |              |             |
| Week 12 Mean                      | 1.7   | <b>2.06*</b> | <b>2.34**</b> | <b>2.42**</b> | 2.14    | 2.25         | 2.29         | <b>2.34</b> |
| % Difference                      |       | 21.2         | 37.6          | 42.4          |         | 5.1          | 7.0          | 9.3         |
| Week 26 Mean                      | 1.58  | <b>1.84</b>  | <b>2.04*</b>  | <b>2.17**</b> | 2.08    | 2.20         | 2.19         | <b>2.30</b> |
| % Difference                      |       | 16.5         | 29.1          | 37.3          |         | 5.8          | 5.3          | 10.6        |
| <b>Phosphorus (mg/dL)</b>         |       |              |               |               |         |              |              |             |
| Week 12 Mean                      | 7.1   | 7.4          | 7.5           | <b>8.2**</b>  | 6.4     | 6.9          | 6.6          | 7.1         |
| % Difference                      |       | 4.2          | 5.6           | 15.5          |         | 7.8          | 3.1          | 10.9        |
| Week 26 Mean                      | 6.0   | 6.1          | 6.2           | 6.3           | 5.6     | 5.7          | 5.4          | <b>6.3*</b> |
| % Difference                      |       | 1.7          | 3.3           | 5.0           |         | 1.8          | -3.6         | 12.5        |
| <b>Glucose (mg/dL)</b>            |       |              |               |               |         |              |              |             |
| Week 12 Mean                      | 116   | <b>102**</b> | <b>100**</b>  | <b>110*</b>   | 118     | <b>104**</b> | <b>103**</b> | <b>106*</b> |
| % Difference                      |       | -12.1        | -13.8         | -5.2          |         | -11.9        | -12.7        | -10.2       |
| Week 26 Mean                      | 115   | <b>105*</b>  | <b>107*</b>   | <b>111</b>    | 110     | <b>103</b>   | <b>99*</b>   | <b>105</b>  |
| % Difference                      |       | -8.7         | -7.0          | -3.5          |         | -6.4         | -10.0        | -4.5        |
| <b>Cholesterol (mg/dL)</b>        |       |              |               |               |         |              |              |             |
| Week 12 Mean                      | 69    | 62           | <b>53**</b>   | <b>45**</b>   | 92      | 95           | 102          | 99          |
| % Difference                      |       | -10.1        | -23.2         | -34.8         |         | 3.3          | 10.9         | 7.6         |
| Week 26 Mean                      | 79    | 70           | <b>58**</b>   | <b>49**</b>   | 105     | 99           | 100          | <b>88</b>   |
| % Difference                      |       | -11.4        | -26.6         | -38.0         |         | -5.7         | -4.8         | -16.2       |

\* = Significantly different from the control group at 0.05 using Dunnett's test

\*\* = Significantly different from the control group at 0.01 using Dunnett's test

**Text Table 3: Alterations in Serum Chemistry Values Considered Secondary Test Article Effects at Study Weeks 12 and 26**

| Dosage:<br>HPN-100 (g/kg/day) | Males |        |        |        | Females |        |        |        |
|-------------------------------|-------|--------|--------|--------|---------|--------|--------|--------|
|                               | 0     | 0.65   | 0.90   | 1.20   | 0       | 0.65   | 0.90   | 1.20   |
| <b>Calcium (mg/dL)</b>        |       |        |        |        |         |        |        |        |
| Week 12 Mean                  | 11.0  | 10.6** | 10.7*  | 10.5** | 11.2    | 10.9   | 11.0   | 11.0   |
| % Difference                  |       | -3.6   | -2.7   | -4.5   |         | -2.7   | -1.8   | -1.8   |
| Week 26 Mean                  | 10.9  | 10.4** | 10.5** | 10.3** | 11.5    | 10.9** | 10.8** | 10.8** |
| % Difference                  |       | -4.6   | -3.7   | -5.5   |         | -5.2   | -6.1   | -6.1   |
| <b>Potassium (mEq/dL)</b>     |       |        |        |        |         |        |        |        |
| Week 12 Mean                  | 4.75  | 4.60   | 4.63   | 4.55   | 4.33    | 4.36   | 3.95*  | 3.95*  |
| % Difference                  |       | -3.2   | -2.5   | -4.2   |         | 0.7    | -8.8   | -8.8   |
| Week 26 Mean                  | 4.60  | 4.63   | 4.76   | 4.63   | 3.97    | 3.96   | 3.84   | 3.71   |
| % Difference                  |       | 0.7    | 3.5    | 0.7    |         | -0.3   | -3.3   | -6.5   |
| <b>Sodium (mEq/L)</b>         |       |        |        |        |         |        |        |        |
| Week 12 Mean                  | 144   | 145    | 144    | 144    | 144     | 144    | 143    | 143    |
| % Difference                  |       | 0.7    | 0.0    | 0.0    |         | 0.0    | -0.7   | -0.7   |
| Week 26 Mean                  | 145   | 145    | 144    | 144    | 144     | 143    | 143    | 142**  |
| % Difference                  |       | 0.0    | -0.7   | -0.7   |         | -0.7   | -0.7   | -1.4   |

\* = Significantly different from the control group at 0.05 using Dunnett's test

\*\* = Significantly different from the control group at 0.01 using Dunnett's test

**Urinalysis:** Lower pH was noted in the treatment groups (5.9, 5.6, and 5.6 for low-, middle-, and high-dose males, respectively) during week 12 as compared to the control males (6.3). Higher total urine volume during week 12 was noted in the treatment groups (13.7, 10.7, and 14.7 ml for low-, middle-, and high-males, respectively) as compared to the control males (5.7 ml).

**Organ Weights:** Minor changes in some organ weights were noted.

**Gross Pathology:** There were no treatment-related changes.

**Histopathology:** Positive staining for hemosiderin (brown pigment) in Kupffer cells (high-dose group) and in the spleen (all treatment groups) was observed. The incidence and severity of these changes were summarized in the following sponsor's table.

**Text Table 5. Incidence and Grade Of Non-Adverse Hepatocellular Cytoplasmic Staining Alterations Associated with Test Article Administration. Histologic Evaluation at the Scheduled Necropsy (Study Week 26)**

| Dosage:<br>HPN-100 (g/kg/day): | Males     |           |           |           | Females   |           |           |           |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|                                | 0         | 0.65      | 0.90      | 1.20      | 0         | 0.65      | 0.90      | 1.20      |
| <b>Liver<sup>a</sup></b>       | <b>13</b> | <b>15</b> | <b>15</b> | <b>14</b> | <b>14</b> | <b>15</b> | <b>15</b> | <b>15</b> |
| Alteration, cytoplasmic        | 0         | 11        | 12        | 13        | 0         | 8         | 12        | 14        |
| Minimal                        | 0         | 9         | 5         | 3         | 0         | 8         | 11        | 9         |
| Mild                           | 0         | 2         | 7         | 10        | 0         | 0         | 1         | 5         |

<sup>a</sup> = Number of tissues examined from each group. Animals excluded were 2 rats found dead (control group male no. 1203; and 1.2 g/kg/day group male no. 1137) and 2 rats euthanized in extremis (control group male no. 1125; and control group female no. 1316).

**Text Table 6. Incidence and Grade Of Hemosiderin (Brown Pigment) in the Spleen Associated with Test Article Administration of glyceryl tri-(4-phenylbutyrate) by Histologic Evaluation at the Scheduled Necropsy (Study Week 26)**

| Dosage:<br>HPN-100 (g/kg/day): | Males     |           |           |           | Females   |           |           |           |
|--------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|                                | 0         | 0.65      | 0.90      | 1.20      | 0         | 0.65      | 0.90      | 1.20      |
| <b>Spleen<sup>a</sup></b>      | <b>13</b> | <b>15</b> | <b>15</b> | <b>14</b> | <b>14</b> | <b>15</b> | <b>15</b> | <b>15</b> |
| Brown Pigment                  | 1         | 3         | 9         | 13        | 1         | 6         | 7         | 10        |
| Minimal                        | 1         | 2         | 4         | 4         | 1         | 6         | 5         | 5         |
| Mild                           | 1         | 1         | 4         | 5         | 0         | 0         | 2         | 5         |
| Moderate                       | 0         | 0         | 1         | 4         | 0         | 0         | 0         | 0         |

<sup>a</sup> = Number of tissues examined from each group. Animals excluded were 2 rats found dead (control group male no. 1203; and 1.2 g/kg/day group male no. 1137) and 2 rats euthanized in extremis (control group male no. 1125; and control group female no. 1316).

Minimal to mild lymphoid depletion in the spleen (middle- and high-dose groups) was also noted.

**Toxicokinetics:** GT4P is quickly hydrolyzed to glycerol and phenylbutyric acid (PBA) following oral administration and has not been detected in rat plasma. Therefore, the sponsor did not measure the plasma level of GT4P in this study. The results indicated that phenylbutyric acid (PBA), phenylacetic acid (PAA), and N-phenylacetyl-glycine (PAG) were detected in plasma. The results are summarized in the following table (taken from the study report).

| Text Table 7. Summary of Toxicokinetic Parameter Ranges                    |                      |                          |                              |
|--|----------------------|--------------------------|------------------------------|
| Study Day  | Group<br>(mg/kg/day) | C <sub>max</sub> (ng/mL) | AUC <sub>all</sub> (ng·h/mL) |
| <b>PBA (T<sub>max</sub> = 1 hr, t<sub>1/2</sub> = 1.6 - 6.2 hrs)</b>       |                      |                          |                              |
| 0  | 0.65                 | 286.7 - 289.2            | 477.4 - 609.5                |
|  | 0.90                 | 346.8 - 387.2            | 799.4 - 897.2                |
|  | 1.20                 | 396.7 - 435.1            | 1234.5 - 1325.8              |
| 177  | 0.65                 | 35.9 - 94.6              | 198.6 - 257.2                |
|  | 0.90                 | 40.8 - 202.2             | 239.1 - 370.1                |
|  | 1.20                 | 44.7 - 60.4              | 107.4 - 193.9                |
| <b>PAA (T<sub>max</sub> = 2 - 6 hrs, t<sub>1/2</sub> = 0.8 - 11 hrs)</b>   |                      |                          |                              |
| 0  | 0.65                 | 339.2 - 419.1            | 3448.5 - 3673.3              |
|  | 0.90                 | 499.7 - 564.9            | 5070.9 - 5740.4              |
|  | 1.20                 | 629.7 - 701.7            | 8633.6 - 9894.5              |
| 177  | 0.65                 | 415.9 - 425.9            | 2586.8 - 2847.8              |
|  | 0.90                 | 540.8 - 623.5            | 4590.9 - 4974.2              |
|  | 1.20                 | 740.6 - 756.8            | 8597.2 - 10186.1             |
| <b>PAG (T<sub>max</sub> = 1 - 4 hrs, t<sub>1/2</sub> = 2.3 - 44.5 hrs)</b> |                      |                          |                              |
| 0  | 0.65                 | 30.2 - 32.3              | 276.8 - 277.2                |
|  | 0.90                 | 34.6 - 37.1              | 396.7 - 423.7                |
|  | 1.20                 | 29.4 - 31.8              | 476.3 - 480.8                |
| 177  | 0.65                 | 40.1 - 45.7              | 403.1 - 562.4                |
|  | 0.90                 | 58.6 - 82.4              | 713.0 - 719.8                |
|  | 1.20                 | 71.1 - 79.5              | 985.2 - 1128.5               |

Note: The doses are incorrectly shown as 0.65, 0.9, and 1.2 mg/kg/day. The actual dose levels were 0.65, 0.9, and 1.2 g/kg/day.

In summary, GT4P was given by oral gavage to rats at 0, 650, 900, and 1200 mg/kg/day for 6 months. Treatment with GT4P reduced the terminal body weight gain by at least 10% or more in all treatment groups. Therefore, a NOEL (no observed adverse effect level) was not established. Central nervous system was a target organ of toxicity based on the observed clinical signs of toxicity (hypoactivity and rigid muscle tone in the 900 and 1200 mg/kg/day groups). One high-dose male was found dead. This death was possibly treatment-related.

## MONKEY

**Study title:** 14-day nasogastric intubation toxicity study with GT4P in monkeys

**Key study findings:** In the 14-day oral toxicity study in monkeys, cynomolgus monkeys (three/sex/group) were given GT4P at 0 (corn oil), 1, 5, and 10 g/kg/day via nasogastric intubation for the dose ranging phase. One mid dose male and one high dose female were sacrificed after the first dose due to clinical signs of toxicity including hunched posture, hypoactivity, recumbancy, labored respiration, vomitus containing food and red discharge, discharge of bright yellow fluid from the anus, and cold to the touch. On Day 2, the high and mid doses were decreased to 5 g/kg/day and 2.5 g/kg/day, respectively. The two remaining high dose females were sacrifice due to the clinical signs of toxicity. The dosing was discontinued on Day 3. Based on these results, the sponsor selected doses for GT4P at 0, 1, 2.5, and 3.5 g/kg/day for the main study. The dosing in the mid and high dose groups (2.5 and 3.5 g/kg/day) was terminated on day 9 due to clinical signs of toxicity observed in these groups. The doses for the control and low dose group (1 g/kg/day) were continued for 14 days. The doses of 2.5 g/kg/day or higher were highly toxic and the dose of 1 g/kg/day was tolerated. No effect dose was not identified. The central nervous system was the target organ of toxicity based on the clinical signs of toxicity.

Study no.: 7602-105  
Volume #, and page #: 4.4 and 195  
Conducting laboratory and location:

(b) (4)

Date of study initiation: February 3, 2005  
GLP compliance: Yes  
QA report: yes (x) no ( )  
Drug, lot #, and % purity: UXW-M0001-SD-5-13-27

Species/strain: Cynomolgus monkeys (*Macaca fascicularis*)  
Males: 2.5 - 5 years old, 2.8-4.3 kg  
Females: 2.5 - 5 years old, 2.6-3.1 kg

**Methods:** There were two phases in this study (phase 1 and phase 2). In phase 1 (dose ranging), male and female cynomolgus monkeys (3/sex/group) were given GT4P neat at 0, 1, 5, and 10 g/kg/day via nasogastric intubation. One mid dose male and one high dose female were sacrificed after the first dose due to clinical signs of toxicity including hunched posture, hypoactivity, recumbancy, labored respiration, vomitus containing food and red discharge, discharge of bright yellow fluid from the anus, and cold to the touch. On Day 2, the high and mid doses were decreased to 5 g/kg/day and 2.5 g/kg/day, respectively. The two remaining high dose females were sacrifice due to the clinical signs of toxicity. The dosing was discontinued on Day 3. This concluded Phase 1 of the study. Surviving animals were reevaluated and assigned to Phase 2.

In Phase 2 (main study), to evaluate the toxicity of GT4P, animals were administered GT4P at doses of 0, 1, 2.5, and 3.5 g/kg/day for 14 consecutive days. The doses of 1, 2.5, and 3.5 g/kg/day were selected based on the results of phase 1 in this study. The dosing in the mid and high dose groups (2.5 and 3.5 g/kg/day) was terminated on day 9 due to clinical signs of toxicity observed in these groups (see below). The doses for the control and low dose group (1 g/kg/day) were continued for 14 days.

Following parameters were monitored: mortality, clinical observations, body weight, electrocardiographic and ophthalmic examinations, clinical pathology, and gross and histopathology. Blood samples were collected on Days 1 (Phases 1 and 2) and 14 (Phase 2) prior to dosing and at 0.5, 1, 2, 4, 8, 12, and 24 hours postdose for

toxicokinetic evaluations. An additional blood sample was collected from all animals approximately 3 hours post dose on Day 9 of Phase 2.

**Results:**

Mortality: Phase 1: One mid dose male and one high dose female were sacrificed after the first dose due to clinical signs of toxicity including hunched posture, hypoactivity, recumbancy, labored respiration, vomitus containing food and red discharge, discharge of bright yellow fluid from the anus, and cold to the touch. On Day 2, the high and mid doses were decreased to 5 g/kg/day and 2.5 g/kg/day, respectively. The two remaining high dose females were also sacrifice due to the clinical signs of toxicity.

Phase 2: One male and one female at 2.5 g/kg/day and one female at 3.5 g/kg/day were sacrificed on day 2 due to clinical signs of toxicity (see below). Due to clinical signs of toxicity observed in these groups (2.5 and 3.5 g/kg/day), the remaining animals were sacrificed on day 9.

Clinical signs: Phase 1: Clinical signs of toxicity prior to sacrifice included hunched posture, hypoactivity, recumbancy, labored respiration, vomitus containing food and red discharge, discharge of bright yellow fluid from the anus and cold to the touch. Hypoactivity was also observed in low dose animals.

Phase 2: Clinical signs prior to sacrifice included thin appearance, oily haircoat, oily anal discharge, hunched posture, hypoactivity, tremors, ataxia, recumbency, vomitus, red discharge of unknown source, black feces, no feces, labored and/or audible respiration, low or no food consumption, and decreased body temperature observed in the mid and high dose groups. Hunched posture, hypoactivity, vomitus containing food, low food consumption, and black feces were also observed in the low-dose (1 g/kg/day) animals.

Hypoactivity, vomitus containing food, yellow-colored feces or non-formed feces, and low food consumption were also observed in the control animals

Body weights: For phase 2 study, the initial and final body weights in the control group were 3.9 kg and 3.9 kg for males and 3.1 kg and 3.1 kg for females (from days 1 to



15). The body weight was reduced by 0.2-0.4 kg in males and 0.1-0.3 kg in females in the treatment groups (from days 1 to 8).

Ophthalmoscopy: There were no treatment related changes.

ECG: There were no treatment related changes.

Hematology: There were no treatment related changes.

Clinical chemistry: There were no treatment related changes.

Gross pathology: There were no treatment related changes.

Organ weights: There were no treatment related changes.

Histopathology: There were no treatment related changes.

Toxicokinetics: The toxicokinetic results were summarized in the following table.

**Table 19: Summary of Preliminary Toxicokinetic Metrics in Monkeys that Received 1 g/kg/day of GT4P for 14 Days**

| Analyte | Sex | $t_{1/2}$<br>(h) | $T_{max}$<br>(h) | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{24}$<br>( $\mu\text{g}\cdot\text{h/mL}$ ) | $AUC_8$<br>( $\mu\text{g}\cdot\text{h/mL}$ ) |
|---------|-----|------------------|------------------|-----------------------------------|---|--|
| PBA     | M   | 19.6             | 2.7              | 79.8                              | 707   | 1434   |
| PBA     | F   | 24.6             | 2.7              | 94.9                              | 702   | 1229   |
| PAA     | M   | 4.0              | 5.3              | 235.7                             | 2634  | 2646   |
| PAA     | F   | 4.2              | 6.0              | 393.6                             | 4278  | 4298   |
| PAGN    | M   | 19.9             | 5.3              | 50.4                              | 674   | 1227   |
| PAGN    | F   | 10.3             | 4.7              | 83.6                              | 1058  | 1281   |

Following information was provide in the final report of this study submitted in Amendments #005 and #006 on December 1, 2006 and March 9, 2007, respectively.

**Text Table 1:  $C_{max}$  and  $AUC_{\infty}$  for PBA on Study Day 0**

| Dose<br>(g/kg) | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>( $\text{hr} \cdot \mu\text{g/mL}$ ) |
|----------------|-----------------------------------|--|
| 0.65           | 345-410                           | 667-817  |
| 0.9            | 387-412                           | 883-909  |
| 1.2            | 505-523                           | 1599-1997  |

**Text Table 2:  $C_{max}$  and  $AUC_{\infty}$  for PBA on Study Day 87**

| Dose<br>(g/kg) | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>( $\text{hr} \cdot \mu\text{g/mL}$ ) |
|----------------|-----------------------------------|--|
| 0.65           | 47-107                            | 135-198  |
| 0.9            | 25-110                            | 130-162  |
| 1.2            | 64-125                            | 167-297  |

**Text Table 3:  $C_{max}$  and  $AUC_{\infty}$  for PAA on Study Day 0**

| Dose<br>(g/kg) | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>( $\text{hr} \cdot \mu\text{g/mL}$ ) |
|----------------|-----------------------------------|--|
| 0.65           | 478-535                           | 5672-6766  |
| 0.9            | 462-538                           | 9058-9513  |
| 1.2            | 622-817                           | 9772-11543   |

**Text Table 4:  $C_{max}$  and  $AUC_{\infty}$  for PAA on Study Day 87**

| Dose<br>(g/kg) | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>( $\text{hr} \cdot \mu\text{g/mL}$ ) |
|----------------|-----------------------------------|--|
| 0.65           | 404-491                           | 2761-4656  |
| 0.9            | 351-630                           | 4800-5802  |
| 1.2            | 720-734                           | 7206-9698  |

**Text Table 5:  $C_{max}$  and  $AUC_{\infty}$  for PAG on Study Day 0**

| Dose<br>(g/kg) | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>( $\text{hr} \cdot \mu\text{g/mL}$ ) |
|----------------|-----------------------------------|--|
| 0.65           | 31-40                             | 480-734  |
| 0.9            | 33-42                             | 416-561  |
| 1.2            | 31-37                             | 631-780  |

13-week oral toxicity study in monkeys  
(b)(4) 510010)

Study No: (b)(4) 510010

Conducting Laboratory and Location:

(b)(4)

Date of study initiation: August 25, 2005

Report date: April 24, 2006 (draft report date)

GLP compliance: This study was conducted in accordance with Good Laboratory Practice Regulations of the United States Food and Drug Administration (21 CFR Part 58).

QA-Report Yes ( ) No (x). This is draft report.

Animals: Cynomolgus monkeys, 2-2.5 years old

weight: male: 1.9-2.2 kg

female: 1.8-2.1 kg

Drug lot#: 6561C

Observations and times:

- Clinical signs: Clinical signs of toxicity were observed daily.

- **Body weights:** Body weights were determined weekly.
- **Food consumption:** Not determined.
- **Hematology:** at termination.
- **Clinical chemistry:** at termination.
- **Urinalysis:** at termination.
- **Ophthalmologic Examination:** Before treatment and during week 13.
- **Functional observational battery:** The functional observation battery test was conducted before dosing and on days 4, 32, and 82. Following parameters were tested:

#### **5.3.1. HOME CAGE OBSERVATIONS**

|                 |   |
|-----------------|---|
| General posture | Grooming/bug-picking                    |
| Head posture    | Facial coloring                         |
| Gait            | Abnormal behavior                       |
| Tremors         | General demeanor                        |
| Scratching      | Excreta                                 |
| Biting          | Visual tracking                         |
| Digit sucking   | Retrieval of reinforcement (food/fruit) |
| Arousal         | Response to handling                    |
| Fur appearance  |   |

#### **5.3.2. RESTRAINT OBSERVATIONS**

|                    |                            |
|--------------------|----------------------------|
| Clonic convulsions | Vocalization               |
| Tonic convulsions  | Buccal movements           |
| Pupillary reflex   | Palpebral (eyelid) closure |
| Pupillary size     | Lacrimation                |
| Respiratory rate   | Salivation                 |
| Facial coloring    | Piloerection               |
| Rectal temperature |                            |

- **ECG:** ECG was determined before dosing and on study day 85.
- **Gross pathology:** Animals were necropsied at termination.
- **Organ weighed:** Organs were weighed at termination.
- **Histopathology:** Following organs or tissues were examined histopathologically from all animals from control and high groups:

|  |  |
|--|--|
| Adrenal glands (2)   | Lymph nodes                                    |
| Aorta  | Mandibular                                     |
| Bone with marrow   | Mesenteric                                     |
| Sternum  | Ovaries (2)                                    |
| Bone marrow smear <sup>a</sup>                                     | Oviducts (2)                                   |
| Brain  | Pancreas                                       |
| Cerebrum level 1   | Peripheral nerve (sciatic)                     |
| Cerebrum level 2   | Pituitary                                      |
| Cerebellum with medulla/pons                                       | Prostate                                       |
| Epididymides (2) <sup>b</sup>                                      | Salivary glands [mandibular (2)]               |
| Eyes with optic nerve (2) <sup>c</sup>                             | Seminal vesicles                               |
| Gallbladder  | Skeletal muscle (rectus femoris)               |
| Gastrointestinal tract   | Skin with mammary gland                        |
| Esophagus  | Spinal cord (cervical, midthoracic,<br>lumbar) |
| Stomach  | Spleen   |
| Duodenum   | Testes (2) <sup>b</sup>                        |
| Jejunum  | Thymus   |
| Ileum  | Thyroid [with parathyroids (2)]                |
| Cecum  | Trachea  |
| Colon  | Urinary bladder                                |
| Rectum   | Uterus with cervix                             |
| Heart  | Vagina   |
| Kidneys (2)  | Gross lesions (when possible)                  |
| Larynx   |  |
| Liver (sections of 2 lobes)  |  |
| Lungs [including bronchi, fixed<br>by inflation with fixative (2)] |  |

<sup>a</sup> - Bone marrow smears were obtained at scheduled necropsy but not placed in formalin; slides were examined only if scientifically warranted.

<sup>b</sup> - Fixed in Bouin's solution

<sup>c</sup> - Fixed in Davidson's solution

- **Toxicokinetics:** Toxicokinetic parameters were determined on days 0 and 87 before dosing and at 0, 1, 2, 4, 6, 8, 12, 24, and 28 hours after dosing.

Methods: GT4P was given to monkeys (4/sex/group) by nasogastric intubation at 0, 0.75, 1.25, and 1.75 g/kg/day for 91 days. The animals were observed twice daily for mortality and clinical signs of toxicity. Body weights were recorded weekly. Food consumption was not determined. Hematology, clinical chemistry, and urinalysis were performed prior to the initiation of dose administration and during study week 13. Blood samples for toxicokinetic evaluation were collected from all animals on study days 0 and 87 at 0, 1, 2, 4, 8, 12, and 24 hours after dose administration. Blood samples for plasma amino acid profiles were collected from all animals on the day of the scheduled necropsy. A modified functional observational battery was conducted for all animals prior to the initiation of dose administration and on days 4, 32, and 82. Ophthalmic examinations were performed before dosing and during study week 12. Electrocardiograms were recorded before dosing and during

study week 12. Complete necropsies were performed on all animals, and selected organs were weighed at the scheduled necropsy. Histopathological examination was conducted from all animals in control and high groups.

Results:

Clinical Signs: "Inappetence" was noted in the mid and high dose males and low, mid, and high dose females mainly during the first study week.

Tremors (continuous or intermittent) were observed in 1 mid dose female and 2 high dose females. The tremor was noted approximately 1 hour after dosing and sometimes it was accompanied by hypoactivity, impaired muscle coordination, twitching, body pallor, and labored respiration.

Mortality: All animals survived to the scheduled necropsy.

Body Weights: The initial and final body weights in the control group were 1988 and 2263 g for males and 1802 and 1994 g for females. Decreased terminal body weight gain was noted in the mid (19%) and high (24%) dose males or in the mid (13%) and high dose (20%) females as compared to the control group.

Hematology: Slight lower hemoglobin, hematocrit, red blood cell, platelet and/or reticulocyte counts were noted in all treatment groups as compared to the control group. The results at week 13 are summarized in the following table.

The mean absolute values (% changes)

|                                | control | 0.75 g/kg  | 1.25 g/kg   | 1.75 g/kg   |
|--------------------------------|---------|------------|-------------|-------------|
| Red Blood Cell counts (mil/ul) |         |            |             |             |
| Males                          | 5.19    | 5.09       | 4.48 (-14%) | 4.86 (-6%)  |
| Females                        | 5.01    | 4.65       | 4.70        | 4.28 (-15%) |
| Hemoglobin (g/dl)              |         |            |             |             |
| Males                          | 12.1    | 11.4       | 10.4 (-14%) | 11.4        |
| Females                        | 11.8    | 11.1       | 11.1        | 10.5 (-11%) |
| Hematocrit (%)                 |         |            |             |             |
| Males                          | 37.3    | 36.6       | 31.8 (-15%) | 35.6        |
| females                        | 37.5    | 35.8       | 35.0        | 32.9 (-12%) |
| Platelet count (thous/ul)      |         |            |             |             |
| Males                          | 384     | 432        | 354         | 302 (-21%)  |
| females                        | 415     | 317 (-24%) | 370 (-11%)  | 332 (-20%)  |
| Reticulocyte counts (thous/ul) |         |            |             |             |
| Males                          | 45.6    | 43.9       | 41.5        | 21.2 (-54%) |
| females                        | 40.4    | 67.1       | 41.2        | 33.9 (-16%) |

Clinical Chemistry: There were no treatment related changes.

Ophthalmologic Examination: There were no treatment related changes.

Urinalysis: Slight lower urine pH was noted in the 1.25 g/kg/day (7.1) and 1.75 g/kg/day (5.9) groups in males or in the 0.75 g/kg/day (6.5), 1.25 g/kg/day (5.4) and 1.75 (5.4) g/kg/day groups in females as compared to the control (8.0 in males and 7.3 in females).

Organ Weights: Increase in the liver weight and decrease in thymus weight were noted. The results were presented in Text Tables 2 and 3. These tables are attached below.

**Text Table 2: Test Article-Related Liver Weight Alterations  
(% increase from control group values)**

| Dosage (g/kg/day)             | Males                                    |      |      | Females |      |      |
|-------------------------------|--|------|------|---------|------|------|
|                               | 0.75                                     | 1.25 | 1.75 | 0.75    | 1.25 | 1.75 |
| Absolute                      | 7  | 12   | 22   | 24      | 16   | 21   |
| Relative to final body weight | 11                                       | 25   | 36*  | 25*     | 19   | 25*  |
| Relative to brain weight      | 12                                       | 16   | 23   | 32*     | 25   | 36*  |
| Histologic correlation        | Centrilobular hepatocellular hypertrophy |      |      |         |      |      |

\*- significantly (p < 0.05 or 0.01, Dunnett's test) different from control group values

**Text Table 3: Test Article-related Thymus Weight Alterations in Males  
(% decrease from control group values)**

| Dosage (g/kg/day)             | 0.75               | 1.25 | 1.75 |
|-------------------------------|--------------------|------|------|
| Absolute                      | 38                 | 35   | 36   |
| Relative to final body weight | 36                 | 30   | 31   |
| Relative to brain weight      | 37                 | 36   | 39   |
| Histologic correlation        | Lymphoid depletion |      |      |

Functional Observational Battery: There were no treatment related changes.

Gross Pathology: Small thymus was noted in the high dose group.

Histopathology: Centrilobular hepatocellular hypertrophy (minimal to mild) was noted in all treatment groups. Mild fatty infiltrate was identified in the sternal bone marrow of males in all treatment groups and in the mid and high dose female groups. Minimal to mild lymphoid depletion was noted in all treatment male groups and the high dose females. The lymphoid depletion was characterized by a uniform diminution of size of both cortex and medulla in the thymus.

Toxicokinetics: The results were presented in Tables 7, 8, and 9 in this report. These tables are attached below.

Table 7: Pharmacokinetic Parameters: PBA Study Day 0

| Dose g/kg | Sex    | Animal No. | No_points<br>Lambda z | HalfLife<br>hr | T <sub>max</sub><br>Hr | C <sub>max</sub><br>ug/ml | T <sub>last</sub><br>ug/ml | C <sub>last</sub><br>ug/ml | AUC <sub>last</sub><br>ug/ml*ug/ml | AUC <sub>∞</sub><br>ug/ml*ug/ml | AUC <sub>∞</sub> /D<br>ug/ml*kg*ug/ml/mg |
|-----------|--------|------------|-----------------------|----------------|------------------------|---------------------------|----------------------------|----------------------------|------------------------------------|---------------------------------|--|
| 0.75      | Female | 1806       | 4                     | 5.79           | 4                      | 84.92                     | 24                         | 6.2                        | 612.8                              | 664.7                           | 886.2                                    |
| 0.75      | Female | 1807       | 5                     | 2.25           | 1                      | 76.53                     | 12                         | 2.9                        | 298.5                              | 308.0                           | 410.7                                    |
| 0.75      | Female | 1813       | 3                     | 1.69           | 4                      | 77.65                     | 12                         | 2.9                        | 489.2                              | 496.2                           | 661.7                                    |
| 0.75      | Female | 1819       | 3                     | 10.42          | 1                      | 63.06                     | 12                         | 18.2                       | 372.7                              | 646.6                           | 862.2                                    |
|           |        |            | Average               | 5.04           | 2.50                   | 75.54                     | 15.00                      | 7.57                       | 443.29                             | 528.89                          | 705.19                                   |
|           |        |            | St.Dev                | 4.02           | 1.73                   | 9.11                      | 6.00                       | 7.27                       | 137.57                             | 165.48                          | 220.65                                   |
| 0.75      | Male   | 1787       | 5                     | 4.77           | 1                      | 117.78                    | 12                         | 20.2                       | 558.8                              | 697.7                           | 930.2                                    |
| 0.75      | Male   | 1794       | 4                     | 2.54           | 2                      | 119.94                    | 12                         | 5.9                        | 501.8                              | 523.3                           | 697.8                                    |
| 0.75      | Male   | 1799       | 3                     | 5.73           | 2                      | 89.25                     | 12                         | 14.7                       | 449.8                              | 571.2                           | 761.6                                    |
| 0.75      | Male   | 1803       | 3                     | 2.87           | 2                      | 57.33                     | 24                         | 1.1                        | 689.4                              | 693.9                           | 925.2                                    |
|           |        |            | Average               | 3.98           | 1.75                   | 96.08                     | 15.00                      | 10.45                      | 549.97                             | 621.52                          | 828.70                                   |
|           |        |            | St.Dev                | 1.53           | 0.50                   | 29.37                     | 6.00                       | 8.58                       | 103.08                             | 87.97                           | 117.29                                   |
| 1.25      | Female | 1808       | 3                     | 7.27           | 1                      | 81.00                     | 24                         | 6.8                        | 759.7                              | 830.6                           | 664.5                                    |
| 1.25      | Female | 1812       | 4                     | 7.85           | 2                      | 148.83                    | 24                         | 10.5                       | 996.9                              | 1115.3                          | 892.2                                    |
| 1.25      | Female | 1820       | 3                     | 7.00           | 1                      | 37.61                     | 24                         | 7.3                        | 576.8                              | 650.6                           | 520.5                                    |
| 1.25      | Female | 1822       | 3                     | 3.99           | 1                      | 58.18                     | 24                         | 1.5                        | 365.8                              | 374.5                           | 299.6                                    |
|           |        |            | Average               | 6.53           | 1.25                   | 81.40                     | 24.00                      | 6.51                       | 674.78                             | 742.72                          | 594.18                                   |
|           |        |            | St.Dev                | 1.73           | 0.50                   | 48.32                     | 0.00                       | 3.71                       | 268.35                             | 311.24                          | 248.99                                   |
| 1.25      | Male   | 1791       | 4                     | 11.73          | 1                      | 166.43                    | 24                         | 14.5                       | 930.8                              | 1176.2                          | 941.0                                    |
| 1.25      | Male   | 1798       | 5                     | 5.13           | 1                      | 84.50                     | 12                         | 15.7                       | 512.3                              | 628.8                           | 503.0                                    |
| 1.25      | Male   | 1801       | 5                     | 3.93           | 2                      | 195.29                    | 24                         | 4.1                        | 1370.9                             | 1394.1                          | 1115.3                                   |
| 1.25      | Male   | 1802       | 3                     | 15.65          | 1                      | 152.94                    | 24                         | 22.7                       | 1069.8                             | 1583.4                          | 1266.7                                   |
|           |        |            | Average               | 9.11           | 1.25                   | 149.79                    | 21.00                      | 14.26                      | 970.96                             | 1195.62                         | 956.50                                   |
|           |        |            | St.Dev                | 5.55           | 0.50                   | 46.98                     | 6.00                       | 7.70                       | 356.72                             | 412.87                          | 330.30                                   |
| 1.75      | Female | 1811       | 3                     | 6.15           | 2                      | 135.97                    | 24                         | 6.3                        | 917.1                              | 972.9                           | 555.9                                    |
| 1.75      | Female | 1814       | 3                     | 9.95           | 1                      | 149.62                    | 24                         | 11.2                       | 895.9                              | 1056.4                          | 603.7                                    |
| 1.75      | Female | 1817       | 4                     | 12.91          | 2                      | 90.53                     | 24                         | 22.3                       | 1084.4                             | 1500.4                          | 857.4                                    |
| 1.75      | Female | 1821       | 3                     | 7.89           | 1                      | 58.21                     | 24                         | 10.8                       | 783.5                              | 906.4                           | 517.9                                    |
|           |        |            | Average               | 9.22           | 1.50                   | 108.58                    | 24.00                      | 12.65                      | 920.23                             | 1109.02                         | 633.73                                   |
|           |        |            | St.Dev                | 2.90           | 0.58                   | 42.02                     | 0.00                       | 6.83                       | 124.18                             | 268.06                          | 153.18                                   |
| 1.75      | Male   | 1789       | 5                     | 10.92          | 2                      | 111.24                    | 24                         | 21.3                       | 1078.1                             | 1413.9                          | 808.0                                    |
| 1.75      | Male   | 1790       | 4                     | 15.93          | 1                      | 179.20                    | 24                         | 33.0                       | 1444.9                             | 2202.9                          | 1258.8                                   |
| 1.75      | Male   | 1793       | 3                     | 8.78           | 1                      | 79.18                     | 24                         | 13.1                       | 878.5                              | 1044.2                          | 596.7                                    |
| 1.75      | Male   | 1795       | 3                     | 32.43          | 2                      | 189.60                    | 24                         | 20.4                       | 1150.3                             | 2104.2                          | 1202.4                                   |
|           |        |            | Average               | 17.01          | 1.50                   | 139.81                    | 24.00                      | 21.95                      | 1137.93                            | 1691.31                         | 966.46                                   |
|           |        |            | St.Dev                | 10.71          | 0.58                   | 53.30                     | 0.00                       | 8.23                       | 234.68                             | 556.14                          | 317.79                                   |



Table 7 (cont):: Pharmacokinetic Parameters: PBA Study Day 87

| Dose g/kg | Sex    | Animal No. | No_points<br>Lambda z | HalfLife<br>hr | T <sub>max</sub><br>Hr | C <sub>max</sub><br>ug/ml | T <sub>last</sub><br>ug/ml | C <sub>last</sub><br>ug/ml | AUC <sub>last</sub><br>ug/ml*ug/ml | AUC <sub>∞</sub><br>ug/ml*ug/ml | AUC <sub>∞</sub> /D<br>ug/ml*kg*ug/ml/mg |
|-----------|--------|------------|-----------------------|----------------|------------------------|---------------------------|----------------------------|----------------------------|------------------------------------|---------------------------------|--|
| 0.75      | Female | 1806       | 3                     | 4.33           | 1                      | 61.88                     | 24                         | 1.8                        | 454.0                              | 465.1                           | 620.1                                    |
| 0.75      | Female | 1807       | 3                     | 2.17           | 1                      | 56.26                     | 12                         | 2.7                        | 270.7                              | 279.0                           | 372.0                                    |
| 0.75      | Female | 1813       | 6                     | 3.87           | 1                      | 81.38                     | 24                         | 1.4                        | 545.4                              | 553.4                           | 737.8                                    |
| 0.75      | Female | 1819       | 3                     | 3.76           | 2                      | 44.98                     | 24                         | 1.1                        | 345.6                              | 351.7                           | 468.9                                    |
|           |        |            | Average               | 3.53           | 1.25                   | 61.12                     | 21.00                      | 1.75                       | 403.90                             | 412.28                          | 549.71                                   |
|           |        |            | St.Dev                | 0.94           | 0.50                   | 15.22                     | 6.00                       | 0.67                       | 120.65                             | 121.29                          | 161.72                                   |
| 0.75      | Male   | 1787       | 3                     | 6.23           | 1                      | 111.61                    | 24                         | 11.0                       | 983.9                              | 1083.1                          | 1444.2                                   |
| 0.75      | Male   | 1794       | 4                     | 7.51           | 2                      | 53.19                     | 12                         | 19.0                       | 378.3                              | 584.4                           | 779.2                                    |
| 0.75      | Male   | 1799       | 6                     | 5.17           | 1                      | 75.73                     | 24                         | 2.6                        | 579.9                              | 599.2                           | 798.9                                    |
| 0.75      | Male   | 1803       | 6                     | 6.19           | 1                      | 58.48                     | 24                         | 3.2                        | 604.6                              | 633.4                           | 844.6                                    |
|           |        |            | Average               | 6.28           | 1.25                   | 74.75                     | 21.00                      | 8.97                       | 636.69                             | 725.03                          | 966.70                                   |
|           |        |            | St.Dev                | 0.96           | 0.50                   | 26.39                     | 6.00                       | 7.72                       | 252.72                             | 239.63                          | 319.50                                   |
| 1.25      | Female | 1808       | 4                     | 13.15          | 4                      | 65.41                     | 24                         | 17.9                       | 653.0                              | 992.1                           | 793.7                                    |
| 1.25      | Female | 1812       | 4                     | 16.58          | 2                      | 96.01                     | 24                         | 11.9                       | 724.2                              | 1008.1                          | 806.5                                    |
| 1.25      | Female | 1820       | 4                     | 17.22          | 2                      | 67.09                     | 24                         | 14.8                       | 704.4                              | 1071.0                          | 856.8                                    |
| 1.25      | Female | 1822       | 3                     | 3.91           | 2                      | 41.17                     | 24                         | 1.1                        | 344.9                              | 351.3                           | 281.1                                    |
|           |        |            | Average               | 12.71          | 2.50                   | 67.42                     | 24.00                      | 11.41                      | 606.62                             | 855.64                          | 684.51                                   |
|           |        |            | St.Dev                | 6.14           | 1.00                   | 22.44                     | 0.00                       | 7.27                       | 177.06                             | 337.92                          | 270.34                                   |
| 1.25      | Male   | 1791       | 3                     | 63.06          | 8                      | 20.10                     | 24                         | 16.6                       | 381.2                              | 1887.7                          | 1510.2                                   |
| 1.25      | Male   | 1798       | 6                     | 4.39           | 1                      | 78.01                     | 24                         | 1.9                        | 500.4                              | 512.7                           | 410.2                                    |
| 1.25      | Male   | 1801       | 3                     | 6.39           | 1                      | 90.64                     | 24                         | 6.5                        | 700.7                              | 760.6                           | 608.4                                    |
| 1.25      | Male   | 1802       | 6                     | 22.68          | 1                      | 44.66                     | 24                         | 16.2                       | 516.4                              | 1044.9                          | 835.9                                    |
|           |        |            | Average               | 24.13          | 2.75                   | 58.35                     | 24.00                      | 10.29                      | 524.70                             | 1051.48                         | 841.18                                   |
|           |        |            | St.Dev                | 27.22          | 3.50                   | 32.04                     | 0.00                       | 7.25                       | 131.93                             | 598.40                          | 478.72                                   |
| 1.75      | Female | 1811       | 4                     | 8.61           | 1                      | 158.78                    | 24                         | 8.3                        | 865.4                              | 968.2                           | 553.3                                    |
| 1.75      | Female | 1814       | 4                     | 6.97           | 2                      | 81.82                     | 24                         | 5.7                        | 582.8                              | 640.5                           | 366.0                                    |
| 1.75      | Female | 1817       | 3                     | 52.82          | 1                      | 61.78                     | 24                         | 29.1                       | 809.7                              | 3029.7                          | 1731.2                                   |
| 1.75      | Female | 1821       | 4                     | 79.70          | 2                      | 37.51                     | 24                         | 15.4                       | 449.6                              | 2217.2                          | 1267.0                                   |
|           |        |            | Average               | 37.03          | 1.50                   | 84.97                     | 24.00                      | 14.63                      | 676.87                             | 1713.89                         | 979.36                                   |
|           |        |            | St.Dev                | 35.50          | 0.58                   | 52.43                     | 0.00                       | 10.49                      | 194.64                             | 1109.48                         | 633.99                                   |
| 1.75      | Male   | 1789       | 4                     | 4.78           | 4                      | 128.20                    | 24                         | 6.3                        | 1192.0                             | 1235.3                          | 705.9                                    |
| 1.75      | Male   | 1790       | 4                     | 12.50          | 2                      | 79.13                     | 24                         | 16.9                       | 832.2                              | 1137.5                          | 650.0                                    |
| 1.75      | Male   | 1793       | 3                     | 11.93          | 1                      | 132.63                    | 24                         | 8.3                        | 812.9                              | 955.5                           | 546.0                                    |
| 1.75      | Male   | 1795       | 6                     | 6.79           | 1                      | 122.48                    | 24                         | 13.4                       | 867.1                              | 998.7                           | 570.7                                    |
|           |        |            | Average               | 9.00           | 2.00                   | 115.61                    | 24.00                      | 11.23                      | 926.03                             | 1081.75                         | 618.14                                   |
|           |        |            | St.Dev                | 3.81           | 1.41                   | 24.67                     | 0.00                       | 4.85                       | 178.70                             | 128.50                          | 73.43                                    |

Table 8 Pharmacokinetic Parameters: PAA Study Day 0

| Dose g/kg | Sex    | Animal No. | No_points<br>Lambda z | HalfLife<br>hr | T <sub>max</sub><br>hr | C <sub>max</sub><br>ug/ml | T <sub>last</sub><br>hr | C <sub>last</sub><br>ug/ml | AUC <sub>last</sub><br>hr*ug/ml | AUC <sub>∞</sub><br>hr*ug/ml | AUC <sub>∞</sub> /D<br>hr*kg*ug/ml/mg |
|-----------|--------|------------|-----------------------|----------------|------------------------|---------------------------|-------------------------|----------------------------|---------------------------------|------------------------------|---------------------------------------|
| 0.75      | Female | 1806       | 3.0                   | 2.04           | 8                      | 381.09                    | 24                      | 2.14                       | 4421.26                         | 4427.54                      | 5903.39                               |
| 0.75      | Female | 1807       | 3.0                   | 1.15           | 4                      | 288.55                    | 12                      | 2.33                       | 1808.91                         | 1812.77                      | 2417.03                               |
| 0.75      | Female | 1813       | 3.0                   | 3.72           | 4                      | 293.14                    | 12                      | 65.96                      | 2277.99                         | 2631.73                      | 3508.97                               |
| 0.75      | Female | 1819       | 3.0                   | 1.95           | 4                      | 172.57                    | 12                      | 10.08                      | 1266.54                         | 1294.91                      | 1726.55                               |
|           |        |            | Average               | 2.21           | 5                      | 283.84                    | 15                      | 20.12                      | 2443.68                         | 2541.74                      | 3388.98                               |
|           |        |            | St. Dev.              | 1.08           | 2                      | 85.53                     | 6                       | 30.78                      | 1381.65                         | 1372.38                      | 1829.84                               |
| 0.75      | Male   | 1787       | 3.0                   | 1.80           | 4                      | 321.90                    | 12                      | 14.78                      | 2144.24                         | 2182.62                      | 2910.17                               |
| 0.75      | Male   | 1794       | 3.0                   | 1.10           | 4                      | 298.28                    | 12                      | 1.89                       | 1897.12                         | 1900.10                      | 2533.47                               |
| 0.75      | Male   | 1799       | 3.0                   | 3.21           | 4                      | 440.22                    | 12                      | 78.12                      | 3021.12                         | 3382.57                      | 4510.09                               |
| 0.75      | Male   | 1803       | 3.0                   | 3.89           | 4                      | 88.01                     | 24                      | 1.11                       | 521.94                          | 528.14                       | 704.18                                |
|           |        |            | Average               | 2.50           | 4                      | 287.10                    | 15                      | 23.97                      | 1896.10                         | 1998.36                      | 2664.48                               |
|           |        |            | St. Dev.              | 1.28           | 0                      | 146.53                    | 6                       | 36.64                      | 1035.30                         | 1172.06                      | 1562.75                               |
| 1.25      | Female | 1808       | 3.0                   | 2.34           | 4                      | 499.55                    | 24                      | 5.14                       | 6377.34                         | 6394.72                      | 5115.77                               |
| 1.25      | Female | 1812       | 3.0                   | 9.38           | 8                      | 654.65                    | 24                      | 212.03                     | 10746.83                        | 13615.74                     | 10892.59                              |
| 1.25      | Female | 1820       | 3.0                   | 1.93           | 8                      | 244.61                    | 24                      | 1.08                       | 3051.52                         | 3054.53                      | 2443.62                               |
| 1.25      | Female | 1822       | 3.0                   | 1.61           | 2                      | 92.12                     | 12                      | 2.82                       | 565.51                          | 572.07                       | 457.66                                |
|           |        |            | Average               | 3.82           | 6                      | 372.73                    | 21                      | 55.27                      | 5185.30                         | 5909.26                      | 4727.41                               |
|           |        |            | St. Dev.              | 3.72           | 3                      | 252.14                    | 6                       | 104.52                     | 4406.32                         | 5664.52                      | 4531.62                               |
| 1.25      | Male   | 1791       | 3.0                   | 13.00          | 4                      | 550.77                    | 24                      | 236.86                     | 9729.14                         | 14172.28                     | 11337.83                              |
| 1.25      | Male   | 1798       | 3.0                   | 4.67           | 4                      | 227.64                    | 12                      | 69.51                      | 1946.22                         | 2414.96                      | 1931.97                               |
| 1.25      | Male   | 1801       | 3.0                   | 16.45          | 4                      | 663.10                    | 12                      | 473.35                     | 5922.15                         | 17155.88                     | 13724.71                              |
| 1.25      | Male   | 1802       | 3.0                   | 42.89          | 8                      | 569.83                    | 24                      | 415.13                     | 10331.69                        | 36018.73                     | 28814.99                              |
|           |        |            | Average               | 19.25          | 5                      | 502.84                    | 18                      | 298.71                     | 6982.30                         | 17440.47                     | 13952.37                              |
|           |        |            | St. Dev.              | 16.51          | 2                      | 189.91                    | 7                       | 182.95                     | 3883.71                         | 13924.56                     | 11139.64                              |
| 1.75      | Female | 1811       | 3.0                   | 6.50           | 8                      | 766.12                    | 24                      | 152.82                     | 11836.58                        | 13270.47                     | 7583.12                               |
| 1.75      | Female | 1814       | 3.0                   | 7.36           | 8                      | 686.41                    | 24                      | 157.67                     | 10079.42                        | 11752.58                     | 6715.76                               |
| 1.75      | Female | 1817       | 3.0                   | 21.65          | 8                      | 395.70                    | 24                      | 242.37                     | 7580.72                         | 15149.65                     | 8656.94                               |
| 1.75      | Female | 1821       | 0.0                   | N/A            | 12                     | 324.48                    | 24                      | 159.71                     | 5542.77                         | N/A                          | N/A                                   |
|           |        |            | Average               | 11.84          | 9                      | 543.18                    | 24                      | 178.14                     | 8759.87                         | 13390.90                     | 7651.94                               |
|           |        |            | St. Dev.              | 8.51           | 2                      | 215.87                    | 0                       | 42.91                      | 2765.71                         | 1701.73                      | 972.42                                |
| 1.75      | Male   | 1789       | 3.0                   | 5.46           | 8                      | 683.70                    | 24                      | 90.08                      | 8990.32                         | 9699.29                      | 5542.45                               |
| 1.75      | Male   | 1790       | 3.0                   | 20.67          | 8                      | 607.98                    | 24                      | 353.57                     | 10531.54                        | 21075.25                     | 12043.00                              |
| 1.75      | Male   | 1793       | 3.0                   | 4.34           | 8                      | 269.11                    | 24                      | 24.85                      | 4270.26                         | 4425.80                      | 2529.03                               |
| 1.75      | Male   | 1795       | 3.0                   | 31.08          | 8                      | 880.11                    | 24                      | 616.48                     | 16754.64                        | 44396.33                     | 25369.33                              |
|           |        |            | Average               | 15.39          | 8                      | 610.22                    | 24                      | 271.25                     | 10136.69                        | 19899.17                     | 11370.95                              |
|           |        |            | St. Dev.              | 12.84          | 0                      | 254.69                    | 0                       | 270.49                     | 5153.71                         | 17747.84                     | 10141.62                              |

Table 8 (cont). Pharmacokinetic Parameters: PAA Study Day 87

| Dose g/kg | Sex    | Animal No. | No. points<br>Lambda z | HalfLife<br>hr | T <sub>max</sub><br>hr | C <sub>max</sub><br>ug/ml | T <sub>last</sub><br>hr | C <sub>last</sub><br>ug/ml | AUC <sub>last</sub><br>hr*ug/ml | AUC <sub>0-</sub><br>hr*ug/ml | AUC <sub>0/D</sub><br>hr*kg*ug/ml/mg |
|-----------|--------|------------|------------------------|----------------|------------------------|---------------------------|-------------------------|----------------------------|---------------------------------|-------------------------------|--------------------------------------|
| 0.75      | Female | 1806       | 3.0                    | 2.46           | 4                      | 377.84                    | 12                      | 39.79                      | 2421.61                         | 2563.05                       | 3417.40                              |
| 0.75      | Female | 1807       | 3.0                    | 1.03           | 2                      | 64.88                     | 8                       | 1.24                       | 191.92                          | 193.78                        | 258.37                               |
| 0.75      | Female | 1813       | 3.0                    | 1.79           | 4                      | 494.21                    | 24                      | 1.07                       | 5084.28                         | 5087.05                       | 6782.74                              |
| 0.75      | Female | 1819       | 4.0                    | 1.63           | 2                      | 54.68                     | 12                      | 1.21                       | 253.64                          | 256.48                        | 341.97                               |
|           |        |            | Average                | 1.73           | 3                      | 247.90                    | 14                      | 10.83                      | 1987.86                         | 2025.09                       | 2700.12                              |
|           |        |            | St. Dev.               | 0.59           | 1                      | 222.40                    | 7                       | 19.31                      | 2310.04                         | 2319.97                       | 3093.29                              |
| 0.75      | Male   | 1787       | 3.0                    | 4.53           | 2                      | 136.94                    | 24                      | 1.41                       | 720.48                          | 729.69                        | 972.92                               |
| 0.75      | Male   | 1794       | 3.0                    | 1.95           | 2                      | 30.97                     | 8                       | 3.07                       | 83.37                           | 92.00                         | 122.67                               |
| 0.75      | Male   | 1799       | 4.0                    | 1.59           | 2                      | 167.67                    | 12                      | 2.99                       | 758.02                          | 764.88                        | 1019.84                              |
| 0.75      | Male   | 1803       | 3.0                    | 7.24           | 2                      | 66.35                     | 24                      | 1.34                       | 299.56                          | 313.53                        | 418.04                               |
|           |        |            | Average                | 3.83           | 2                      | 100.48                    | 17                      | 2.20                       | 465.36                          | 475.02                        | 633.37                               |
|           |        |            | St. Dev.               | 2.62           | 0                      | 62.83                     | 8                       | 0.96                       | 328.71                          | 327.44                        | 436.59                               |
| 1.25      | Female | 1808       | 3.0                    | 6.51           | 8                      | 597.12                    | 24                      | 114.39                     | 8340.25                         | 9414.72                       | 7531.78                              |
| 1.25      | Female | 1812       | 4.0                    | 2.59           | 4                      | 361.54                    | 24                      | 1.86                       | 2407.49                         | 2414.42                       | 1931.54                              |
| 1.25      | Female | 1820       | 3.0                    | 4.74           | 4                      | 191.34                    | 24                      | 1.29                       | 902.54                          | 911.33                        | 729.06                               |
| 1.25      | Female | 1822       | 4.0                    | 1.73           | 2                      | 87.06                     | 12                      | 2.28                       | 277.20                          | 282.89                        | 226.31                               |
|           |        |            | Average                | 3.89           | 5                      | 309.27                    | 21                      | 29.95                      | 2981.87                         | 3255.84                       | 2604.67                              |
|           |        |            | St. Dev.               | 2.16           | 3                      | 222.76                    | 6                       | 56.29                      | 3682.44                         | 4202.18                       | 3361.75                              |
| 1.25      | Male   | 1791       | 7.0                    | 3.41           | 0                      | 406.15                    | 24                      | 6.80                       | 2000.02                         | 2033.50                       | 1626.80                              |
| 1.25      | Male   | 1798       | 3.0                    | 1.28           | 2                      | 179.11                    | 12                      | 2.02                       | 1041.84                         | 1045.57                       | 836.46                               |
| 1.25      | Male   | 1801       | 4.0                    | 1.54           | 2                      | 174.73                    | 12                      | 2.44                       | 597.47                          | 602.91                        | 482.33                               |
| 1.25      | Male   | 1802       | 4.0                    | 2.79           | 4                      | 664.19                    | 24                      | 4.92                       | 6328.49                         | 6348.31                       | 5078.64                              |
|           |        |            | Average                | 2.26           | 2                      | 356.04                    | 18                      | 4.04                       | 2491.96                         | 2507.57                       | 2006.06                              |
|           |        |            | St. Dev.               | 1.01           | 2                      | 232.12                    | 7                       | 2.24                       | 2623.79                         | 2629.40                       | 2103.52                              |
| 1.75      | Female | 1811       | 3.0                    | 6.82           | 8                      | 989.95                    | 24                      | 205.42                     | 15954.29                        | 17976.33                      | 10272.19                             |
| 1.75      | Female | 1814       | 3.0                    | 1.83           | 8                      | 569.11                    | 24                      | 1.95                       | 7979.12                         | 7984.27                       | 4562.44                              |
| 1.75      | Female | 1817       | 3.0                    | 48.22          | 2                      | 93.87                     | 24                      | 7.85                       | 454.70                          | 1000.71                       | 571.83                               |
| 1.75      | Female | 1821       | 3.0                    | 2.52           | 4                      | 286.96                    | 24                      | 3.69                       | 3934.97                         | 3948.41                       | 2256.23                              |
|           |        |            | Average                | 14.85          | 6                      | 484.97                    | 24                      | 54.73                      | 7080.77                         | 7727.43                       | 4415.67                              |
|           |        |            | St. Dev.               | 22.36          | 3                      | 389.12                    | 0                       | 100.49                     | 6667.01                         | 7408.00                       | 4233.15                              |
| 1.75      | Male   | 1789       | 3.0                    | 1.94           | 4                      | 492.36                    | 24                      | 1.59                       | 4948.87                         | 4953.33                       | 2830.47                              |
| 1.75      | Male   | 1790       | 3.0                    | 2.40           | 4                      | 265.74                    | 24                      | 1.51                       | 2213.28                         | 2218.49                       | 1267.71                              |
| 1.75      | Male   | 1793       | 3.0                    | 4.83           | 8                      | 805.41                    | 24                      | 87.11                      | 11529.22                        | 12135.74                      | 6934.71                              |
| 1.75      | Male   | 1795       | 3.0                    | 8.47           | 8                      | 796.56                    | 24                      | 220.20                     | 12249.60                        | 14939.99                      | 8537.14                              |
|           |        |            | Average                | 4.41           | 6                      | 590.01                    | 24                      | 77.60                      | 7735.24                         | 8561.89                       | 4892.51                              |
|           |        |            | St. Dev.               | 2.99           | 2                      | 260.61                    | 0                       | 103.27                     | 4933.88                         | 5964.14                       | 3408.08                              |

Table 9 Pharmacokinetic Parameters: PAGN Study Day 0

| Dose<br>g/kg | Sex    | Animal<br>No. | No_points<br>Lambda z | HalfLife<br>hr | T <sub>max</sub><br>hr | C <sub>max</sub><br>ug/ml | T <sub>last</sub><br>hr | C <sub>last</sub><br>ug/ml | AUC <sub>last</sub><br>hr*ug/ml | AUC <sub>∞</sub><br>hr*ug/ml | AUC <sub>∞</sub> /D<br>hr*kg*ug/ml/mg |
|--------------|--------|---------------|-----------------------|----------------|------------------------|---------------------------|-------------------------|----------------------------|---------------------------------|------------------------------|---------------------------------------|
| 0.75         | Female | 1806          | 0                     | N/A            | 12                     | 50.5                      | 24                      | 9.3                        | 837.3                           | N/A                          | N/A                                   |
| 0.75         | Female | 1807          | 4                     | 4.84           | 4                      | 48.9                      | 24                      | 3.2                        | 497.2                           | 519.3                        | 692.3                                 |
| 0.75         | Female | 1813          | 3                     | 5.72           | 4                      | 34.7                      | 24                      | 5.0                        | 556.0                           | 597.5                        | 796.7                                 |
| 0.75         | Female | 1819          | 3                     | 3.93           | 8                      | 41.8                      | 24                      | 2.6                        | 554.1                           | 568.7                        | 758.2                                 |
|              |        |               | Average               | 4.8            | 7.0                    | 43.9                      | 24.0                    | 5.0                        | 611.2                           | 561.8                        | 749.1                                 |
|              |        |               | St. Dev.              | 0.9            | 3.8                    | 7.2                       | 0.0                     | 3.1                        | 153.2                           | 39.6                         | 52.8                                  |
| 0.75         | Male   | 1787          | 3                     | 5.04           | 4                      | 81.4                      | 24                      | 7.9                        | 1052.9                          | 1110.4                       | 1480.5                                |
| 0.75         | Male   | 1794          | 5                     | 4.71           | 2                      | 46.9                      | 24                      | 2.5                        | 464.5                           | 481.7                        | 642.3                                 |
| 0.75         | Male   | 1799          | 3                     | 3.34           | 4                      | 58.1                      | 24                      | 2.3                        | 819.7                           | 830.5                        | 1107.4                                |
| 0.75         | Male   | 1803          | 3                     | 5.45           | 4                      | 53.2                      | 24                      | 5.3                        | 645.4                           | 686.8                        | 915.7                                 |
|              |        |               | Average               | 4.6            | 3.5                    | 59.9                      | 24.0                    | 4.5                        | 745.6                           | 777.4                        | 1036.5                                |
|              |        |               | St. Dev.              | 0.9            | 1.0                    | 15.1                      | 0.0                     | 2.7                        | 251.0                           | 264.2                        | 352.2                                 |
| 1.25         | Female | 1808          | 3                     | 8.89           | 8                      | 46.5                      | 24                      | 14.6                       | 828.5                           | 1015.5                       | 812.4                                 |
| 1.25         | Female | 1812          | 4                     | 15.12          | 4                      | 71.5                      | 24                      | 28.1                       | 1181.0                          | 1793.7                       | 1434.9                                |
| 1.25         | Female | 1820          | 3                     | 5.01           | 8                      | 41.2                      | 24                      | 5.3                        | 685.4                           | 723.5                        | 578.8                                 |
| 1.25         | Female | 1822          | 4                     | 5.87           | 4                      | 33.9                      | 24                      | 3.4                        | 379.2                           | 408.4                        | 326.7                                 |
|              |        |               | Average               | 8.7            | 6.0                    | 48.3                      | 24.0                    | 12.8                       | 768.5                           | 985.2                        | 788.2                                 |
|              |        |               | St. Dev.              | 4.6            | 2.3                    | 16.3                      | 0.0                     | 11.3                       | 332.8                           | 593.2                        | 474.6                                 |
| 1.25         | Male   | 1791          | 4                     | 31.18          | 4                      | 35.5                      | 24                      | 23.0                       | 652.2                           | 1686.3                       | 1349.1                                |
| 1.25         | Male   | 1798          | 3                     | 3.31           | 4                      | 56.3                      | 24                      | 2.2                        | 828.5                           | 839.0                        | 671.2                                 |
| 1.25         | Male   | 1801          | 3                     | 3.01           | 8                      | 99.7                      | 24                      | 3.1                        | 1496.6                          | 1509.9                       | 1207.9                                |
| 1.25         | Male   | 1802          | 0                     | N/A            | 12                     | 61.2                      | 24                      | 55.2                       | 1324.6                          | N/A                          | N/A                                   |
|              |        |               | Average               | 12.5           | 7.0                    | 63.2                      | 24.0                    | 20.9                       | 1075.5                          | 1345.1                       | 1076.1                                |
|              |        |               | St. Dev.              | 16.2           | 3.8                    | 26.8                      | 0.0                     | 24.8                       | 399.8                           | 447.1                        | 357.7                                 |
| 1.75         | Female | 1811          | 0                     | N/A            | 12                     | 55.1                      | 24                      | 25.1                       | 989.4                           | N/A                          | N/A                                   |
| 1.75         | Female | 1814          | 0                     | N/A            | 12                     | 65.7                      | 24                      | 36.1                       | 1274.5                          | N/A                          | N/A                                   |
| 1.75         | Female | 1817          | 3                     | 14.74          | 8                      | 50.0                      | 24                      | 23.9                       | 886.5                           | 1394.3                       | 796.8                                 |
| 1.75         | Female | 1821          | 3                     | 17.25          | 8                      | 34.6                      | 24                      | 18.6                       | 635.0                           | 1097.0                       | 626.9                                 |
|              |        |               | Average               | 16.0           | 10.0                   | 51.4                      | 24.0                    | 25.9                       | 946.4                           | 1245.7                       | 711.8                                 |
|              |        |               | St. Dev.              | 1.8            | 2.3                    | 13.0                      | 0.0                     | 7.4                        | 264.6                           | 210.2                        | 120.1                                 |
| 1.75         | Male   | 1789          | 0                     | N/A            | 12                     | 90.2                      | 24                      | 37.2                       | 1607.0                          | N/A                          | N/A                                   |
| 1.75         | Male   | 1790          | 3                     | 33.84          | 8                      | 74.1                      | 24                      | 53.7                       | 1489.5                          | 4112.7                       | 2350.1                                |
| 1.75         | Male   | 1793          | 0                     | N/A            | 12                     | 47.7                      | 24                      | 29.1                       | 941.2                           | N/A                          | N/A                                   |
| 1.75         | Male   | 1795          | 0                     | N/A            | 12                     | 79.3                      | 24                      | 48.2                       | 1420.6                          | N/A                          | N/A                                   |
|              |        |               | Average               | 33.8           | 11.0                   | 72.8                      | 24.0                    | 42.1                       | 1364.6                          | 4112.7                       | 2350.1                                |
|              |        |               | St. Dev.              | N/A            | 2.0                    | 18.1                      | 0.0                     | 11.0                       | 292.5                           | N/A                          | N/A                                   |

Table 9 (cont). Pharmacokinetic Parameters: PAGN Study Day 87

| Dose<br>g/kg | Sex    | Animal<br>No. | No. points<br>Lambda z | HalfLife<br>hr | T <sub>max</sub><br>hr | C <sub>max</sub><br>ug/ml | T <sub>last</sub><br>hr | C <sub>last</sub><br>ug/ml | AUC <sub>last</sub><br>hr*ug/ml | AUC <sub>∞</sub><br>hr*ug/ml | AUC <sub>∞</sub> /D<br>hr*kg*ug/ml/mg |
|--------------|--------|---------------|------------------------|----------------|------------------------|---------------------------|-------------------------|----------------------------|---------------------------------|------------------------------|---------------------------------------|
| 0.75         | Female | 1806          | 3                      | 3.69           | 8                      | 77.2                      | 24                      | 4.4                        | 1073.5                          | 1096.7                       | 1462.3                                |
| 0.75         | Female | 1807          | 3                      | 20.49          | 2                      | 43.1                      | 24                      | 3.4                        | 291.5                           | 393.4                        | 524.6                                 |
| 0.75         | Female | 1813          | 3                      | 4.57           | 4                      | 67.7                      | 24                      | 5.2                        | 877.2                           | 911.3                        | 1215.0                                |
| 0.75         | Female | 1819          | 5                      | 5.20           | 2                      | 42.4                      | 24                      | 2.3                        | 317.5                           | 334.8                        | 446.3                                 |
|              |        |               | Average                | 8.5            | 4.0                    | 57.6                      | 24.0                    | 3.8                        | 639.9                           | 684.0                        | 912.1                                 |
|              |        |               | St. Dev.               | 8.0            | 2.8                    | 17.6                      | 0.0                     | 1.2                        | 395.7                           | 377.9                        | 503.8                                 |
| 0.75         | Male   | 1787          | 4                      | 6.98           | 4                      | 93.6                      | 24                      | 13.1                       | 1157.1                          | 1289.2                       | 1719.0                                |
| 0.75         | Male   | 1794          | 3                      | 6.39           | 2                      | 34.7                      | 24                      | 2.5                        | 302.3                           | 325.8                        | 434.4                                 |
| 0.75         | Male   | 1799          | 4                      | 4.60           | 4                      | 60.3                      | 24                      | 2.9                        | 616.8                           | 636.2                        | 848.3                                 |
| 0.75         | Male   | 1803          | 4                      | 7.68           | 4                      | 62.3                      | 24                      | 9.2                        | 799.9                           | 901.4                        | 1201.9                                |
|              |        |               | Average                | 6.4            | 3.5                    | 62.7                      | 24.0                    | 6.9                        | 719.0                           | 788.2                        | 1050.9                                |
|              |        |               | St. Dev.               | 1.3            | 1.0                    | 24.1                      | 0.0                     | 5.1                        | 357.1                           | 408.6                        | 544.7                                 |
| 1.25         | Female | 1808          | 3                      | 22.65          | 8                      | 95.5                      | 24                      | 55.8                       | 1667.3                          | 3492.0                       | 2793.6                                |
| 1.25         | Female | 1812          | 4                      | 6.31           | 4                      | 119.4                     | 24                      | 13.6                       | 1346.8                          | 1470.2                       | 1176.2                                |
| 1.25         | Female | 1820          | 4                      | 7.00           | 4                      | 68.3                      | 24                      | 8.9                        | 852.7                           | 942.8                        | 754.3                                 |
| 1.25         | Female | 1822          | 5                      | 6.51           | 2                      | 48.2                      | 24                      | 4.5                        | 431.6                           | 474.0                        | 379.2                                 |
|              |        |               | Average                | 10.6           | 4.5                    | 82.8                      | 24.0                    | 20.7                       | 1074.6                          | 1594.7                       | 1275.8                                |
|              |        |               | St. Dev.               | 8.0            | 2.5                    | 31.2                      | 0.0                     | 23.7                       | 544.1                           | 1328.7                       | 1062.9                                |
| 1.25         | Male   | 1791          | 4                      | 14.98          | 4                      | 62.8                      | 24                      | 23.6                       | 774.5                           | 1285.0                       | 1028.0                                |
| 1.25         | Male   | 1798          | 4                      | 4.48           | 4                      | 95.0                      | 24                      | 4.8                        | 878.7                           | 909.7                        | 727.8                                 |
| 1.25         | Male   | 1801          | 6                      | 5.73           | 1                      | 75.3                      | 24                      | 4.6                        | 640.6                           | 678.3                        | 542.7                                 |
| 1.25         | Male   | 1802          | 3                      | 7.08           | 4                      | 137.4                     | 24                      | 31.2                       | 2423.1                          | 2742.5                       | 2194.0                                |
|              |        |               | Average                | 8.1            | 3.3                    | 92.6                      | 24.0                    | 16.1                       | 1179.2                          | 1403.9                       | 1123.1                                |
|              |        |               | St. Dev.               | 4.7            | 1.5                    | 32.6                      | 0.0                     | 13.5                       | 835.0                           | 926.7                        | 741.4                                 |
| 1.75         | Female | 1811          | 3                      | 10.62          | 8                      | 153.0                     | 24                      | 56.2                       | 2733.0                          | 3594.4                       | 2054.0                                |
| 1.75         | Female | 1814          | 3                      | 3.54           | 4                      | 123.0                     | 24                      | 5.4                        | 1848.1                          | 1875.5                       | 1071.7                                |
| 1.75         | Female | 1817          | 3                      | 66.44          | 2                      | 49.2                      | 24                      | 27.7                       | 797.4                           | 3451.8                       | 1972.5                                |
| 1.75         | Female | 1821          | 5                      | 11.34          | 2                      | 146.9                     | 24                      | 36.8                       | 2011.9                          | 2613.7                       | 1493.6                                |
|              |        |               | Average                | 23.0           | 4.0                    | 118.1                     | 24.0                    | 31.5                       | 1847.6                          | 2883.9                       | 1647.9                                |
|              |        |               | St. Dev.               | 29.2           | 2.8                    | 47.7                      | 0.0                     | 21.1                       | 798.7                           | 799.4                        | 456.8                                 |
| 1.75         | Male   | 1789          | 3                      | 3.56           | 4                      | 199.4                     | 24                      | 8.6                        | 2818.5                          | 2862.8                       | 1635.9                                |
| 1.75         | Male   | 1790          | 4                      | 6.83           | 4                      | 132.9                     | 24                      | 17.7                       | 1770.7                          | 1945.4                       | 1111.7                                |
| 1.75         | Male   | 1793          | 4                      | 17.84          | 4                      | 136.6                     | 24                      | 53.9                       | 2249.0                          | 3636.1                       | 2077.8                                |
| 1.75         | Male   | 1795          | 0                      | N/A            | 12                     | 169.5                     | 24                      | 75.4                       | 3200.3                          | N/A                          | N/A                                   |
|              |        |               | Average                | 9.4            | 6.0                    | 159.6                     | 24.0                    | 38.9                       | 2509.6                          | 2814.8                       | 1608.4                                |
|              |        |               | St. Dev.               | 7.5            | 4.0                    | 31.2                      | 0.0                     | 31.2                       | 628.8                           | 846.4                        | 483.6                                 |

The results indicated that phenylbutyric acid (PBA), phenylacetic acid (PAA), and phenylacetylglutamine (PAGN) were detected in the plasma, suggesting that GT4P was rapidly degraded to PBA. The plasma levels of PBA, PAA, and PAGN were increased with the dose. There was no drug accumulation over time. The maximum plasma level of PBA on the first day of dosing at 1.25 g/kg/day was 81 µg/ml in females or 150 µg/ml in males. In the phase I clinical trial in healthy volunteers with GT4P, the maximum plasma level of PBA following a single dose of GT4P at 78.9 mg/kg was 37 µg/ml.

In summary, GT4P was given by nasogastric intubation to cynomolgus monkeys at 0, 0.75, 1.25 and 1.75 g/kg/day for 91 days. All animals survived to the scheduled termination. Tremors (continuous or intermittent) were observed in 1 mid dose

female and 2 high dose females. The tremor was sometimes accompanied by hypoactivity, impaired muscle coordination, twitching, body pallor, and labored respiration. Decreased terminal body weight gain was noted in the mid (19%) and high (24%) dose males or in the mid (13%) and high dose (20%) females as compared to the control group. Pathological examination revealed small thymus (high dose males) and minimal to mild lymphoid depletion (all treatment male groups and the high dose females). Histopathological examination also revealed centrilobular hepatocellular hypertrophy (all treatment groups) and mild fatty infiltration in the sternal bone marrow (all treatment male groups and the mid and high dose female groups). No effect dose was not identified. The dose of 1.25 g/kg/day was close to or slightly higher than the maximum tolerated dose based on the reduction of body weight gain and clinical signs of toxicity. The central nervous system was the target organ of toxicity based on the clinical signs of toxicity.

Following information was provide in the final report of this study submitted in Amendments #005 and #006 on December 1, 2006 and March 9, 2007, respectively.

**Table 5: Average  $C_{max}$  and  $AUC_{\infty}$  for PBA on Study Day 0**

| Dose<br>g/kg | $C_{max}$<br>( $\mu\text{g/mL}$ ) | $AUC_{\infty}$<br>hr* $\mu\text{g/mL}$ |
|--------------|-----------------------------------|--|
| 0.75         | 76-96                             | 529-622                                |
| 1.25         | 81-150                            | 743-1196                               |
| 1.75         | 109-140                           | 1109-1677                              |

**Table 6: Average  $C_{max}$  and  $AUC_{\infty}$  for PBA on Study Day 89**

| Dose<br>g/kg | $C_{max}$<br>$\mu\text{g/mL}$ | $AUC_{\infty}$<br>hr* $\mu\text{g/mL}$ |
|--------------|-------------------------------|--|
| 0.75         | 61-75                         | 412-725                                |
| 1.25         | 58-67                         | 856-1051                               |
| 1.75         | 85-116                        | 1082-1714                              |

**Table 7: Average C<sub>max</sub> and AUC<sub>∞</sub> for PAA on Study Day 0**

| Dose<br>g/kg | C <sub>max</sub><br>(μg/mL) | AUC <sub>∞</sub><br>hr*μg/mL |
|--------------|-----------------------------|------------------------------|
| 0.75         | 284-287                     | 1998-2542                    |
| 1.25         | 373-503                     | 5909-17440                   |
| 1.75         | 543-610                     | 13391-19862                  |

**Table 8: Average C<sub>max</sub> and AUC<sub>∞</sub> for PAA on Study Day 89**

| Dose<br>g/kg | C <sub>max</sub><br>μg/mL | AUC <sub>∞</sub><br>hr*μg/mL |
|--------------|---------------------------|------------------------------|
| 0.75         | 101-248                   | 475-2025                     |
| 1.25         | 309-356                   | 2508-3256                    |
| 1.75         | 485-590                   | 7727-8562                    |

**Table 9: Average C<sub>max</sub> and AUC<sub>∞</sub> for PAGN on Study Day 0**

| Dose<br>g/kg | C <sub>max</sub><br>(μg/mL) | AUC <sub>∞</sub><br>hr*μg/mL |
|--------------|-----------------------------|------------------------------|
| 0.75         | 44-60                       | 562-777                      |
| 1.25         | 48-63                       | 985-1345                     |
| 1.75         | 51-73                       | 1246-4113                    |

**Table 10: Average C<sub>max</sub> and AUC<sub>∞</sub> for PAGN on Study Day 89**

| Dose<br>g/kg | C <sub>max</sub><br>μg/mL | AUC <sub>∞</sub><br>hr*μg/mL |
|--------------|---------------------------|------------------------------|
| 0.65         | 58-63                     | 684-788                      |
| 0.9          | 83-93                     | 1404-1595                    |
| 1.2          | 118-160                   | 2815-2884                    |

A 12-month oral toxicity study in Monkeys

**Study title: A 12-month oral toxicity study in Monkeys**

Study no.: (b) (4) 671002  
 Study report location: N/A  
 Conducting laboratory and location: (b) (4)  
 Date of study initiation: February 27, 2008  
 GLP compliance: YES  
 QA statement: YES  
 Drug, lot #, and % purity: Glyceryl Tri (4-Phenylbutyrate), Lot no. XA171 / 98.8-101.2%

**Key Study Findings****Methods**

Doses: 0.7, 1.1, and 1.5 g/kg/day (corn oil administered to control group)  
 Frequency of dosing: daily  
 Route of administration: Oral via nasogastric intubation  
 Dose volume: 0.64, 1.0, and 1.36 mL/kg for low, middle, and high dose groups  
 Formulation/Vehicle: Neat liquid  
 Species/Strain: Cynomolgus monkeys  
 Number/Sex/Group: 8/sex/group  
 4/sex/group were necropsied at 26 weeks  
 Age: 2-4 years old at initiation  
 Weight: 1974 g to 3468 g for the males and 1912 g to 2705 g for the females at initiation  
 Satellite groups: None  
 Unique study design: None  
 Deviation from study protocol: There were no deviations that affected the outcome of this study.

Hypoactivity, hunched posture, thinness, impaired equilibrium, increased respiration rate, pallor, and cool to touch were observed in the high dose group. Bodyweight at study termination was decreased in males in all drug-treated groups, compared to the control value. Reductions (~5-10%) in red blood cell count, hemoglobin, and hematocrit occurred in the middle and high dose groups. Liver weight (absolute and relative) was increased in all treatment groups at weeks 26 and 52, and this change was associated with hepatocellular hypertrophy. At the 26-week and 52-week sacrifice, most of the drug-treated animals exhibited hepatocellular hypertrophy, with dose-dependent severity.

**Observations and Results**



**Mortality:** All animal survived to termination.

**Clinical Signs:**

Hypoactivity, hunched posture, thinness and body pale and/or cool to touch, impaired equilibrium, and increased respiration rate were observed in several animals in the high dose group.

**Body Weights:** The initial body weights were 2591, 2657, 2551, and 2542 g in males and 2220, 2223, 2181, and 2201 g in females in the control, low, middle, and high dose groups, respectively. . The mean body weight of the control males and females at study termination was 3861 g and 2885 g, respectively.

At termination, the mean body weights were ~14%, 8% and 22% lower than the control group values for the low, mid, and high dose groups in males, respectively, and 10% lower than the control group values for the high dose females.

**Feed Consumption:** Not determined.

**Ophthalmoscopy:** There were no treatment related changes.

**ECG:** There were no treatment related changes.

**Hematology:**

Decreased red blood cell counts, hemoglobin, and hematocrit and increased reticulocyte counts were noted mainly in the middle and high dose groups at study weeks 12 and 25. The results were summarized in the sponsor's table below.

Text Table 2: Test Article-Related Alterations in Red Blood Cell Hematologic Parameters

| Dosage<br>HPN-100 (g/kg/day)                   | Males |      |       |               | Females |       |             |             |
|--|-------|------|-------|---------------|---------|-------|-------------|-------------|
|  | 0     | 0.70 | 1.1   | 1.5           | 0       | 0.70  | 1.1         | 1.5         |
| <b>Red Blood Cells (mil/<math>\mu</math>L)</b> |       |      |       |               |         |       |             |             |
| Week -2 Mean                                   | 5.37  | 5.58 | 5.66  | 5.49          | 5.38    | 5.69  | 5.29        | 5.45        |
| % Difference                                   |       | 3.9  | 5.4   | 2.2           |         | 5.8   | -1.7        | 1.3         |
| Week 12 Mean                                   | 5.69  | 5.81 | 5.56  | <b>5.13**</b> | 5.77    | 5.73  | <b>5.28</b> | <b>5.33</b> |
| % Difference                                   |       | 2.1  | -2.3  | -9.8          |         | -0.7  | -8.5        | -7.6        |
| Week 25 Mean                                   | 5.47  | 5.60 | 5.36  | <b>5.05*</b>  | 5.60    | 5.61  | <b>5.20</b> | <b>5.36</b> |
| % Difference                                   |       | 2.4  | -2.0  | -7.7          |         | 0.2   | -7.1        | -4.3        |
| Week 51 Mean                                   | 5.43  | 5.55 | 5.57  | 5.23          | 5.37    | 5.79  | 5.22        | <b>4.87</b> |
| % Difference                                   |       | 2.2  | 2.6   | -3.7          |         | 7.8   | -2.8        | -9.3        |
| <b>Hemoglobin (g/dL)</b>                       |       |      |       |               |         |       |             |             |
| Week -2 Mean                                   | 13.0  | 13.2 | 13.4  | 13.3          | 12.9    | 13.3  | 12.7        | 13.2        |
| % Difference                                   |       | 1.5  | 3.1   | 2.3           |         | 3.1   | -1.6        | 2.3         |
| Week 12 Mean                                   | 13.6  | 13.6 | 13.2  | <b>12.6*</b>  | 13.6    | 13.3  | <b>12.6</b> | <b>12.9</b> |
| % Difference                                   |       | 0.0  | -2.9  | -7.4          |         | -2.2  | -7.4        | -5.1        |
| Week 25 Mean                                   | 13.5  | 13.6 | 13.1  | <b>12.6*</b>  | 13.4    | 13.3  | 12.8        | 13.2        |
| % Difference                                   |       | 0.7  | -3.0  | -6.7          |         | -0.7  | -4.5        | -1.5        |
| Week 51 Mean                                   | 13.7  | 13.2 | 14.1  | 13.6          | 13.4    | 14.1  | 12.9        | 12.5        |
| % Difference                                   |       | -3.6 | 2.9   | -0.7          |         | 5.2   | -3.7        | -6.7        |
| <b>Hematocrit (%)</b>                          |       |      |       |               |         |       |             |             |
| Week -2 Mean                                   | 40.7  | 41.3 | 42.5  | 42.1          | 40.0    | 41.6  | 40.1        | 41.8        |
| % Difference                                   |       | 1.5  | 4.4   | 3.4           |         | 4.0   | 0.3         | 4.5         |
| Week 12 Mean                                   | 41.8  | 41.3 | 40.7  | <b>38.6</b>   | 42.0    | 41.2  | <b>38.8</b> | <b>39.7</b> |
| % Difference                                   |       | -1.2 | -2.6  | -7.7          |         | -1.9  | -7.6        | -5.5        |
| Week 25 Mean                                   | 41.5  | 41.3 | 40.5  | <b>39.3</b>   | 41.9    | 41.8  | 39.8        | 41.5        |
| % Difference                                   |       | -0.5 | -2.4  | -5.3          |         | -0.2  | -5.0        | -1.0        |
| Week 51 Mean                                   | 42.1  | 40.3 | 43.1  | 42.9          | 41.1    | 44.5  | 39.8        | 38.5        |
| % Difference                                   |       | -4.3 | 2.4   | 1.9           |         | 8.3   | -3.2        | -6.3        |
| <b>Reticulocyte (thous/<math>\mu</math>L)</b>  |       |      |       |               |         |       |             |             |
| Week -2 Mean                                   | 58.7  | 57.5 | 63.6  | 62.9          | 71.7    | 59.1  | 62.1        | 65.1        |
| % Difference                                   |       | -2.0 | 8.3   | 7.2           |         | -17.6 | -13.4       | -9.2        |
| Week 12 Mean                                   | 50.0  | 45.8 | 45.9  | <b>77.8</b>   | 62.1    | 63.9  | 43.3        | 53.5        |
| % Difference                                   |       | -8.4 | -8.2  | 55.6          |         | 2.9   | -30.3       | -13.8       |
| Week 25 Mean                                   | 45.7  | 48.0 | 61.9  | <b>68.4*</b>  | 55.1    | 65.7  | 54.6        | 68.3        |
| % Difference                                   |       | 5.0  | 35.4  | 49.7          |         | 19.2  | -0.9        | 24.0        |
| Week 51 Mean                                   | 44.1  | 50.5 | 67.2* | 66.2*         | 62.1    | 82.7  | 44.9        | 70.0        |
| % Difference                                   |       | 14.5 | 52.4  | 50.1          |         | 33.2  | -27.7       | 12.7        |

\* = Significantly different from the control group at 0.05 using Dunnett's test

\*\* = Significantly different from the control group at 0.01 using Dunnett's test

**Clinical Chemistry:** Marginal alterations were observed, but these were not treatment related.

**Urinalysis:** Slightly lowered pH and higher ketone level were noted in all treatment groups as compared to the control group.

**Gross Pathology:** There were no treatment related changes.

**Organ Weights:** Increased liver and kidney weights were noted in the treatment groups. The results were summarized in the sponsor's table below.

Text Table 3: Body and Organ Weight Changes Associated with Test Article Administration

| Dosage<br>HPN-100 (g/kg/day)  | Males |        |         |          | Females |        |         |          |
|-------------------------------|-------|--------|---------|----------|---------|--------|---------|----------|
|                               | 0     | 0.7    | 1.1     | 1.5      | 0       | 0.7    | 1.1     | 1.5      |
| <b>Final Body Weight (Kg)</b> |       |        |         |          |         |        |         |          |
| Week 26                       | 3576  | 3292   | 2919    | 2708     | 2708    | 2655   | 2523    | 2438     |
| % Difference                  |       | -7.9   | -18.4   | -24.3    |         | -2.0   | -6.8    | -10.0    |
| Week 52                       | 3861  | 3328   | 3535    | 3019     | 2885    | 2813   | 2586    | 2586     |
| % Difference                  |       | -13.8  | -8.4    | -21.8    |         | -2.5   | -10.4   | -10.4    |
| <b>Liver Weight (g)</b>       |       |        |         |          |         |        |         |          |
| <b>Week 26</b>                |       |        |         |          |         |        |         |          |
| Absolute weight               | 62.8  | 76.0   | 73.8    | 79.6     | 53.6    | 63.6   | 64.1    | 77.2**   |
| % Difference                  |       | 21.0   | 17.4    | 26.6     |         | 18.8   | 19.6    | 44.2     |
| Relative to Body              | 1.76  | 2.32** | 2.54**  | 2.96**   | 1.99    | 2.39   | 2.54    | 3.21**   |
| % Difference                  |       | 31.9   | 44.6    | 68.8     |         | 20.2   | 27.7    | 61.3     |
| Relative to Brain             | 86.89 | 113.42 | 107.63  | 128.5**  | 83.09   | 105.68 | 109.61  | 139.8**  |
| % Difference                  |       | 30.5   | 23.9    | 47.9     |         | 27.2   | 31.9    | 68.3     |
| <b>Week 52</b>                |       |        |         |          |         |        |         |          |
| Absolute weight               | 67.95 | 70.82  | 91.96   | 90.39    | 54.65   | 65.25  | 71.31   | 73.64    |
| % Difference                  |       | 4.2    | 35.3    | 33.0     |         | 19.4   | 30.5    | 34.7     |
| Relative to Body              | 1.74  | 2.13   | 2.60**  | 2.98**   | 1.886   | 2.324* | 2.750** | 2.866**  |
| % Difference                  |       | 22.0   | 49.3    | 71.2     |         | 23.2   | 45.8    | 52.0     |
| Relative to Brain             | 95.55 | 101.87 | 143.93* | 146.06** | 85.64   | 102.45 | 120.56* | 130.67** |
| % Difference                  |       | 6.6    | 50.6    | 52.9     |         | 19.6   | 40.8    | 52.6     |
| <b>Kidney Weight (g)</b>      |       |        |         |          |         |        |         |          |
| <b>Week 26</b>                |       |        |         |          |         |        |         |          |
| Absolute weight               | 13.67 | 16.05  | 13.84   | 14.79    | 11.20   | 11.91  | 12.77   | 12.07    |
| % Difference                  |       | 17.4   | 1.2     | 8.2      |         | 6.3    | 14.0    | 7.8      |
| Relative to Body              | 0.384 | 0.492* | 0.476   | 0.551**  | 0.422   | 0.449  | 0.506   | 0.503    |
| % Difference                  |       | 28.1   | 24.0    | 43.5     |         | 6.4    | 19.9    | 19.2     |
| Relative to Brain             | 18.95 | 24.02  | 20.22   | 23.86    | 17.32   | 19.79  | 21.86*  | 21.86*   |
| % Difference                  |       | 26.7   | 6.7     | 25.9     |         | 14.3   | 26.2    | 26.2     |
| <b>Week 52</b>                |       |        |         |          |         |        |         |          |
| Absolute weight               | 15.60 | 15.04  | 17.48   | 16.61    | 11.15   | 12.32  | 13.43   | 14.15    |
| % Difference                  |       | -3.6   | 12.1    | 6.5      |         | 10.5   | 20.4    | 26.9     |
| Relative to Body              | 0.403 | 0.455  | 0.498   | 0.552*   | 0.388   | 0.441  | 0.516   | 0.557    |
| % Difference                  |       | 12.9   | 23.6    | 37.0     |         | 13.7   | 33.0    | 43.6     |
| Relative to Brain             | 21.88 | 21.63  | 27.48   | 26.99    | 17.53   | 19.41  | 22.63   | 25.04*   |
| % Difference                  |       | -1.1   | 25.6    | 23.3     |         | 10.7   | 29.1    | 42.8     |

\* = Significantly different from the control group at 0.05 using Dunnett's test

\*\* = Significantly different from the control group at 0.01 using Dunnett's test

**Histopathology:** The tissues listed in the table below from the study report were examined in all animals.

|   |   |
|---|---|
| Adrenals (2)                              | Lungs (including bronchi, fixed by inflation with fixative) |
| Animal ID*                                | Lymph node  |
| Aorta                                     | Mesenteric  |
| Bone with marrow                          | Mandibular (2)  |
| Sternum                                   | Ovaries (2)   |
| Femur with joint                          | Oviducts  |
| Bone marrow smear (from rib) <sup>a</sup> | Pancreas  |
| Brain                                     | Pituitary   |
| Cerebrum level 1                          | Prostate  |
| Cerebrum level 2                          | Salivary glands [mandibular (2)]                            |
| Cerebellum with medulla/pons              | Sciatic nerve   |
| Cervix                                    | Seminal vesicles (2)  |
| Epididymides (2) <sup>b</sup>             | Skeletal muscle (rectus femoris)                            |
| Eyes with optic nerves (2) <sup>c</sup>   | Skin with mammary gland                                     |
| Gallbladder                               | Spinal cord   |
| Gastrointestinal tract                    | Cervical  |
| Esophagus                                 | Thoracic  |
| Stomach                                   | Lumbar  |
| Duodenum                                  | Spleen  |
| Jejunum                                   | Testes (2) <sup>b</sup>                                     |
| Ileum                                     | Thymus  |
| Cecum                                     | Thyroid [with parathyroids (2)]                             |
| Colon                                     | Trachea   |
| Rectum                                    | Urinary bladder   |
| Heart                                     | Uterus  |
| Kidneys (2)                               | Vagina  |
| Larynx                                    | All gross lesions (when possible)                           |
| Liver (sections of two lobes)             |   |

- a - Not taken from animals found dead; not placed in formalin; to be examined only if scientifically warranted (based on hematology and histopathologic findings).
- b - To be placed in Bouin's solution.
- c - To be placed in Davidson's solution.
- \* - Not to be examined macroscopically.

Liver hypertrophy was noted in all treatment groups. The hypertrophy was characterized by enlarged hepatocytes with stippled to granular eosinophilic cytoplasm that compressed and constricted sinusoidal spaces without evidence of passive congestion or ischemia. The incidence and severity of the liver hypertrophy are summarized in the following sponsor's table.

**Text Table 4. Incidence and Severity of Test Article-Related Liver Changes at Study Weeks 26 and 51.**

| Dosage Level(g/kg/day):            | Males    |          |          |          | Females  |          |          |          |
|------------------------------------|----------|----------|----------|----------|----------|----------|----------|----------|
|                                    | 0        | 0.7      | 1.1      | 1.5      | 0        | 0.7      | 1.1      | 1.5      |
| <b>Liver (week 26)<sup>a</sup></b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> |
| Hypertrophy, hepatocellular        | 0        | 3        | 4        | 4        | 0        | 4        | 4        | 4        |
| Minimal                            | 0        | 3        | 4        | 0        | -        | 4        | 4        | 0        |
| Mild                               | 0        | 0        | 0        | 4        | -        | -        | 0        | 4        |
| Apoptosis, hepatocellular          | 0        | 1        | 1        | 1        | 0        | 0        | 0        | 0        |
| Minimal                            | -        | 1        | 1        | 1        | -        | -        | -        | -        |
| <b>Liver (week 52)<sup>a</sup></b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> | <b>4</b> |
| Hypertrophy, hepatocellular        | 0        | 4        | 3        | 4        | 1        | 3        | 4        | 4        |
| Minimal                            | -        | 4        | 0        | 0        | 1        | 3        | 1        | 2        |
| Mild                               | -        | 0        | 3        | 2        | 0        | 0        | 3        | 2        |
| Moderate                           | -        | 0        | 0        | 2        | 0        | 0        | 0        | 0        |
| Apoptosis, hepatocellular          | 0        | 0        | 0        | 1        | 0        | 0        | 2        | 0        |
| Minimal                            | -        | -        | -        | 1        | -        | -        | 2        | -        |

<sup>a</sup> = Number of tissues examined from each group.

**Toxicokinetics:** Plasma levels of the metabolites PBA, PAA, and PAGN were measured. The results are shown in the tables below (taken from the study report).

| <b>Text Table 5. Summary of Mean Toxicokinetic Parameters (Males)</b>                     |                  |                                |  |
|---|------------------|--------------------------------|--|
| Study Day   | Group (g/kg/day) | $C_{max}$ ( $\mu\text{g/mL}$ ) | $AUC_{last}$ ( $\mu\text{g}\cdot\text{h/mL}$ ) |
| <b>PBA (<math>T_{max} = 1.5 - 3.4</math> hrs, <math>t_{1/2} = 6.8 - 23.27</math> hrs)</b> |                  |                                |  |
| 0   | 0.7              | 69.14                          | 420.27   |
|   | 1.1              | 70.93                          | 669.68   |
|   | 1.5              | 114.77                         | 974.03   |
| 180   | 0.7              | 64.58                          | 533.85   |
|   | 1.1              | 83.61                          | 678.99   |
|   | 1.5              | 77.96                          | 662.98   |
| 358   | 0.7              | 67.43                          | 622.40   |
|   | 1.1              | 64.31                          | 504.83   |
|   | 1.5              | 68.54                          | 609.43   |
| <b>PAA (<math>T_{max} = 5.0 - 8.3</math> hrs, <math>t_{1/2} = 1.68 - 9.8</math> hrs)</b>  |                  |                                |  |
| 0   | 0.7              | 264.31                         | 2592.9   |
|   | 1.1              | 303.36                         | 3699.4   |
|   | 1.5              | 556.10                         | 9397.3   |
| 180   | 0.7              | 219.02                         | 1708.6   |
|   | 1.1              | 331.02                         | 3274.3   |
|   | 1.5              | 506.52                         | 6872.0   |
| 358   | 0.7              | 240.77                         | 2398.15  |
|   | 1.1              | 281.38                         | 2204.09  |
|   | 1.5              | 606.07                         | 9910.14  |
| <b>PAGN (<math>T_{max} = 4.0 - 8.3</math> hrs, <math>t_{1/2} = 4.7 - 17.0</math> hrs)</b> |                  |                                |  |
| 0   | 0.7              | 36.49                          | 535.46   |
|   | 1.1              | 47.98                          | 784.79   |
|   | 1.5              | 71.75                          | 1237.62  |
| 180   | 0.7              | 54.47                          | 706.07   |
|   | 1.1              | 85.39                          | 1232.91  |
|   | 1.5              | 113.13                         | 1778.68  |
| 358   | 0.7              | 43.36                          | 737.82   |
|   | 1.1              | 77.82                          | 1026.48  |
|   | 1.5              | 136.64                         | 1844.23  |

| <b>Text Table 5. Summary of Mean Toxicokinetic Parameters (Females)</b>                   |                  |                                |  |
|---|------------------|--------------------------------|--|
| Study Day   | Group (g/kg/day) | $C_{max}$ ( $\mu\text{g/mL}$ ) | $AUC_{last}$ ( $\mu\text{g}\cdot\text{h/mL}$ ) |
| <b>PBA (<math>T_{max} = 1 - 2.3</math> hrs, <math>t_{1/2} = 5.1 - 35.2</math> hrs)</b>    |                  |                                |  |
| 0   | 0.7              | 58.18                          | 458.19   |
|   | 1.1              | 72.65                          | 612.97   |
|   | 1.5              | 128.32                         | 757.72   |
| 180   | 0.7              | 92.67                          | 506.86   |
|   | 1.1              | 77.73                          | 650.44   |
|   | 1.5              | 95.36                          | 711.73   |
| 358   | 0.7              | 49.39                          | 370.02   |
|   | 1.1              | 73.76                          | 592.39   |
|   | 1.5              | 59.66                          | 529.26   |
| <b>PAA (<math>T_{max} = 3.0 - 7.0</math> hrs, <math>t_{1/2} = 2.0 - 11.1</math> hrs)</b>  |                  |                                |  |
| 0   | 0.7              | 148.28                         | 1454.0   |
|   | 1.1              | 201.09                         | 2708.7   |
|   | 1.5              | 367.44                         | 5254.3   |
| 180   | 0.7              | 338.13                         | 2428.6   |
|   | 1.1              | 339.30                         | 3428.3   |
|   | 1.5              | 592.59                         | 6949.4   |
| 358   | 0.7              | 75.47                          | 363.90   |
|   | 1.1              | 309.48                         | 2794.24  |
|   | 1.5              | 405.63                         | 4199.69  |
| <b>PAGN (<math>T_{max} = 4.0 - 6.8</math> hrs, <math>t_{1/2} = 4.0 - 17.1</math> hrs)</b> |                  |                                |  |
| 0   | 0.7              | 39.58                          | 502.91   |
|   | 1.1              | 41.82                          | 649.78   |
|   | 1.5              | 76.81                          | 1140.47  |
| 180   | 0.7              | 85.07                          | 979.84   |
|   | 1.1              | 90.96                          | 1289.29  |
|   | 1.5              | 147.39                         | 2074.35  |
| 358   | 0.7              | 59.74                          | 437.54   |
|   | 1.1              | 94.86                          | 1400.51  |
|   | 1.5              | 159.47                         | 1396.38  |



## 7 Genetic Toxicology

### In Vitro Reverse Mutation Assay in Bacterial Cells (Ames)

Study title: Ames test

Study report No: 7602-100

Testing Laboratory:

(b) (4)

(b) (4)

Date of study initiation: December 3, 2004

Date of study report: November 29, 2005

GLP Compliance: This study was conducted in accordance with Good Laboratory Practice Regulations of the FDA and OECD.

QA-report: Yes (x) No ( )

Drug lot No.: MPR-UXW-M0003.00.01

**Study Endpoint:** To determine the potential mutagenic effects of GT4P.

**Methods:** To examine the potential mutagenic effects of GT4P, the reverse mutation assay (Ames test) was conducted using plate incorporation method in four strains *Salmonella typhimurium* (TA 98, TA100, TA1535 and TA1537) and one strain *E coli* WP2 trp uvr in the presence and absence of metabolic activation, S-9 mix from rat liver. The following concentrations were tested: 10, 33.3, 100, 333, 1000, and 5000 µg/plate with and without S-9. Positive controls, benzo[a]pyrene, 2-nitrofluorane, 2-aminoanthracene, sodium azide, ICR-191, and 4-N-nitroquinoline-N-oxide, were tested. The results should be considered positive if the test substance induced a two fold increase in the mean revertant colonies as compared to the control and this increase should be a dose response to increasing concentrations of the test article.

- **Strain/species/cell line:** Four strains of *Salmonella typhimurium* (TA98, TA100, TA1535 and TA1537).

- **Dose selection criteria:**

- **Basis of dose selection:** The high concentration of 5000 µg/plate was used.

- **Metabolic activation system:** Metabolic activation, S-9 mix, was from rat liver.

- **Control:**

- **Negative control:** dimethyl sulfoxide.

**Positive control:** Positive controls, benzo[a]pyrene, 2-nitrofluorane, 2-aminoanthracene, sodium azide, ICR-191, and 4-N-nitroquinoline-N-oxide were tested.

- **Exposure conditions:** The reverse mutation assay (Ames test) was conducted using the plate incorporation method.

- **Dose used in defining study:** The following concentrations were tested: 10, 33.3, 100, 333, 1000, and 5000 µg/plate with and without S-9.

- **Analysis:**

- **Cytotoxic endpoints:** The condition of the bacterial background lawn was evaluated for evidence of cytotoxicity.

- **Genetic toxicity endpoints/results:** Number of revertant colonies.

- **Statistical methods:** Number of revertant colonies were averaged for each concentration.

**Criteria for positive results:** The results should be considered positive if the test substance induced a two fold increase in the mean revertant colonies as compared to

the control and this increase should be a dose response to increasing concentrations of the test article.

**Results:**

- **Study validation:** The positive controls significantly increased the colonies compared to the solvent controls.

- **Study outcome:** GT4P did not significantly increase the colonies as compared to the solvent control in the presence and absence of S-9 mix. The results were summarized in Tables 3 and 5 in this report. These tables are attached below.

**Table 3 : Mutagenicity Assay Results – Summary**

Test Article ID: GT4P

Assay No.: 26443-0-409OECD

Trial No.: B1

Date Plated: 05-Jan-05

Vehicle: DMSO

Date Counted: 10-Jan-05

Plating Aliquot: 50 µL

| Dose/Plate                    | Mean Revertants Per Plate with Standard Deviation |      |       |      |        |      |        |      |         |      | Back-ground Lawn <sup>a</sup> |                                |
|-------------------------------|---|------|-------|------|--------|------|--------|------|---------|------|-------------------------------|--------------------------------|
|                               | TA98  |      | TA100 |      | TA1535 |      | TA1537 |      | WP2uvrA |      |                               |                                |
|                               | Mean  | S.D. | Mean  | S.D. | Mean   | S.D. | Mean   | S.D. | Mean    | S.D. |                               |                                |
| Microsomes: Rat Liver         |   |      |       |      |        |      |        |      |         |      |                               |                                |
| Vehicle Control               |   |      |       |      |        |      |        |      |         |      |                               |                                |
|                               |   | 18   | 2     | 129  | 22     | 11   | 2      | 9    | 2       | 17   | 6                             | N                              |
| Test Article                  |   |      |       |      |        |      |        |      |         |      |                               |                                |
| 10.0 µg                       |   | 28   | 6     | 118  | 16     | 8    | 2      | 6    | 1       | 18   | 6                             | N                              |
| 33.3 µg                       |   | 23   | 5     | 114  | 8      | 11   | 6      | 4    | 2       | 16   | 2                             | N                              |
| 100 µg                        |   | 21   | 2     | 113  | 6      | 12   | 6      | 6    | 2       | 15   | 4                             | N                              |
| 333 µg                        |   | 19   | 0     | 122  | 2      | 12   | 4      | 6    | 3       | 13   | 4                             | N/NP <sup>a</sup> <sup>d</sup> |
| 1000 µg                       |   | 23   | 6     | 135  | 11     | 11   | 3      | 5    | 3       | 9    | 1                             | N/NP <sup>a</sup> <sup>e</sup> |
| 5000 µg                       |   | 15   | 6     | 156  | 9      | 14   | 5      | 8    | 2       | 17   | 4                             | NP <sup>a</sup>                |
| Positive Control <sup>b</sup> |   |      |       |      |        |      |        |      |         |      |                               |                                |
|                               |   | 429  | 58    | 1130 | 137    | 150  | 24     | 251  | 77      | 546  | 56                            | N                              |
| Microsomes: None              |   |      |       |      |        |      |        |      |         |      |                               |                                |
| Vehicle Control               |   |      |       |      |        |      |        |      |         |      |                               |                                |
|                               |   | 14   | 6     | 118  | 3      | 15   | 1      | 4    | 1       | 17   | 2                             | N                              |
| Test Article                  |   |      |       |      |        |      |        |      |         |      |                               |                                |
| 10.0 µg                       |   | 11   | 1     | 87   | 11     | 17   | 2      | 3    | 2       | 19   | 7                             | N                              |
| 33.3 µg                       |   | 11   | 3     | 105  | 13     | 9    | 1      | 5    | 2       | 15   | 1                             | NP <sup>a</sup>                |
| 100 µg                        |   | 12   | 5     | 105  | 13     | 12   | 3      | 5    | 1       | 14   | 2                             | NP <sup>a</sup>                |
| 333 µg                        |   | 12   | 5     | 108  | 4      | 11   | 3      | 4    | 3       | 15   | 6                             | NP <sup>a</sup>                |
| 1000 µg                       |   | 11   | 2     | 120  | 9      | 12   | 5      | 2    | 2       | 10   | 2                             | NP <sup>a</sup>                |
| 5000 µg                       |   | 9    | 3     | 115  | 13     | 13   | 1      | 4    | 1       | 19   | 2                             | NP <sup>a</sup>                |
| Positive Control <sup>c</sup> |   |      |       |      |        |      |        |      |         |      |                               |                                |
|                               |   | 397  | 31    | 1236 | 99     | 943  | 33     | 576  | 88      | 280  | 41                            | N                              |

<sup>a</sup> Background Lawn Evaluation Codes:

N = normal    R = reduced    O = obscured    A = absent    P = precipitate

|                   |                   |               |                   |                          |              |
|-------------------|-------------------|---------------|-------------------|--------------------------|--------------|
| <sup>b</sup> TA98 | benzo[a]pyrene    | 2.5 µg/plate  | <sup>c</sup> TA98 | 2-nitrofluorene          | 1.0 µg/plate |
| TA100             | 2-aminoanthracene | 2.5 µg/plate  | TA100             | sodium azide             | 2.0 µg/plate |
| TA1535            | 2-aminoanthracene | 2.5 µg/plate  | TA1535            | sodium azide             | 2.0 µg/plate |
| TA1537            | 2-aminoanthracene | 2.5 µg/plate  | TA1537            | ICR-191                  | 2.0 µg/plate |
| WP2uvrA           | 2-aminoanthracene | 25.0 µg/plate | WP2uvrA           | 4-nitroquinoline-N-oxide | 1.0 µg/plate |

<sup>d</sup> The first entry is the lawn evaluation for tester TA98, TA1537, and WP2uvrA.  
The second entry is the lawn evaluation for tester strains TA100 and TA1535.<sup>e</sup> The first entry is the lawn evaluation for tester strain TA98.  
The second entry is the lawn evaluation for tester strains TA100, TA1535, TA1537, and WP2uvrA.<sup>f</sup> The test article precipitate did not interfere with scoring.Best Available  
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**Table 5 : Mutagenicity Assay Results – Summary**

Test Article ID: GT4P

Assay No.: 26443-0-409OECD

Trial No.: C1

Date Plated: 20-Jan-05

Vehicle: DMSO

Date Counted: 26-Jan-05, 27-Jan-05

Plating Aliquot: 50 µL

|                               | Dose/Plate | Mean Revertants Per Plate with Standard Deviation |      |       |      |        |      |        |      |         |      | Back-ground Lawn <sup>a</sup> |
|-------------------------------|------------|---|------|-------|------|--------|------|--------|------|---------|------|-------------------------------|
|                               |            | TA98  |      | TA100 |      | TA1535 |      | TA1537 |      | WP2uvrA |      |                               |
|                               |            | Mean  | S.D. | Mean  | S.D. | Mean   | S.D. | Mean   | S.D. | Mean    | S.D. |                               |
| Microsomes: Rat Liver         |            |   |      |       |      |        |      |        |      |         |      |                               |
| Vehicle Control               |            | 21  | 5    | 118   | 14   | 15     | 2    | 10     | 1    | 21      | 6    | N                             |
| Test Article                  | 10.0 µg    | 17  | 7    | 118   | 11   | 18     | 2    | 9      | 1    | 13      | 2    | N                             |
|                               | 33.3 µg    | 20  | 8    | 120   | 26   | 13     | 3    | 11     | 6    | 17      | 2    | N                             |
|                               | 100 µg     | 19  | 10   | 120   | 19   | 11     | 3    | 11     | 2    | 12      | 2    | N                             |
|                               | 333 µg     | 19  | 3    | 125   | 11   | 10     | 5    | 10     | 1    | 14      | 4    | NP*                           |
|                               | 1000 µg    | 18  | 6    | 130   | 8    | 12     | 6    | 7      | 1    | 13      | 4    | NP*                           |
|                               | 5000 µg    | 19  | 4    | 106   | 8    | 16     | 2    | 8      | 3    | 11      | 2    | NP*                           |
| Positive Control <sup>b</sup> |            | 395   | 22   | 973   | 20   | 136    | 43   | 150    | 52   | 558     | 134  | N                             |
| Microsomes: None              |            |   |      |       |      |        |      |        |      |         |      |                               |
| Vehicle Control               |            | 20  | 4    | 74    | 10   | 11     | 3    | 4      | 2    | 16      | 2    | N                             |
| Test Article                  | 10.0 µg    | 17  | 8    | 70    | 9    | 11     | 1    | 7      | 2    | 16      | 4    | N                             |
|                               | 33.3 µg    | 14  | 3    | 79    | 1    | 12     | 5    | 4      | 1    | 18      | 3    | N                             |
|                               | 100 µg     | 19  | 6    | 83    | 3    | 11     | 4    | 9      | 1    | 13      | 4    | NP*                           |
|                               | 333 µg     | 18  | 1    | 73    | 10   | 14     | 0    | 8      | 2    | 17      | 2    | NP*                           |
|                               | 1000 µg    | 10  | 5    | 84    | 9    | 16     | 4    | 8      | 3    | 17      | 4    | NP*                           |
|                               | 5000 µg    | 7   | 3    | 83    | 17   | 11     | 1    | 5      | 3    | 13      | 1    | NP*                           |
| Positive Control <sup>c</sup> |            | 333   | 25   | 1445  | 195  | 1036   | 90   | 883    | 37   | 437     | 53   | N                             |

<sup>a</sup> Background Lawn Evaluation Codes:

N = normal    R = reduced    O = obscured    A = absent    P = precipitate

|                   |                   |               |                   |                          |              |
|-------------------|-------------------|---------------|-------------------|--------------------------|--------------|
| <sup>b</sup> TA98 | benzo[a]pyrene    | 2.5 µg/plate  | <sup>c</sup> TA98 | 2-nitrofluorene          | 1.0 µg/plate |
| TA100             | 2-aminoanthracene | 2.5 µg/plate  | TA100             | sodium azide             | 2.0 µg/plate |
| TA1535            | 2-aminoanthracene | 2.5 µg/plate  | TA1535            | sodium azide             | 2.0 µg/plate |
| TA1537            | 2-aminoanthracene | 2.5 µg/plate  | TA1537            | ICR-191                  | 2.0 µg/plate |
| WP2uvrA           | 2-aminoanthracene | 25.0 µg/plate | WP2uvrA           | 4-nitroquinoline-N-oxide | 1.0 µg/plate |

\* The test article precipitate did not interfere with scoring.

**Conclusion:** The results suggest that GT4P was not mutagenic in this test system.

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**In Vitro Assays in Mammalian Cells**

Study title: In vitro chromosomal aberration test in Human peripheral blood lymphocytes

Study No: 7602-101

Testing Laboratory: (b) (4)

Date of study initiation: December 14, 2004

Date of study report: July 25, 2005

**GLP Compliance:** This study was conducted in accordance with Good Laboratory Practice Regulations of the FDA and OECD.

**QA-report:** Yes (x) No ()

**Drug lot No.:** MPR-UXW-M0003.00.01

**Study Endpoint:** To determine the potential clastogenic effects of GT4P.

**Methods:** To examine the potential induction of chromosomal aberrations by GT4P, the *in vitro* chromosomal aberration test was conducted using human lymphocytes in the presence and absence of metabolic activation, S-9 mix from rat liver.

In the initial assay, the treatment period was for 3 hours with and without metabolic activation, and cultures were harvested -22 hours from the initiation of treatment. Cultures were incubated with test article at 6.78, 9.69, 13.8, 19.8, 28.2, 40.4, 57.6, 82.4, 118, 168, 240, 343, 490, 700, and 1000 µg/ml with and without metabolic activation. Cultures treated with concentrations of 82.4, 168, 240, and 343 µg/ml without metabolic activation and 118, 168, 240, and 343 µg/ml with metabolic activation were analyzed for chromosomal aberrations. In the confirmatory chromosomal aberrations assay, the treatment period was -22 hours without metabolic activation and 3 hours with metabolic activation, and cultures were harvested -22 hour from the initiation of treatment. Cultures were incubated with test article at 3.13, 6.25, 12.5, 25.0, 50.0, 100, 200, 250, 300, 350, 425, and 500 µg/ml without metabolic activation and 50.0, 100, 200, 250, 300, 350, 425, and 500 µg/ml with metabolic activation. Cultures treated with concentrations of 250, 300, 350, and 425 µg/ml without metabolic activation and 300, 350, 425, and 500 µg/ml with metabolic activation were analyzed for chromosomal aberrations. Positive controls (chlorambucil and cyclophosphamide) were also tested. Cells were arrested in metaphase using colcemid ~3 hours before harvest.

- **Strain/species/cell line:** Human peripheral lymphocytes.

- **Metabolic activation system:** Metabolic activation, S-9 mix, was from rat liver.

- **Control:**

- **Negative control:** DMSO.

- **Positive control:** Two positive controls (mitomycin C and cyclophosphamide) were tested.
- **Exposure conditions:** Cells were exposed to the test drug for 3 or 22 hours and sampled 22 hours after exposure.
- **Analysis:**
  - **Counting method:** Slides were prepared and stained for analysis of chromosomal aberration.
  - **Cytotoxic endpoints:** Percentage of cell survival was used to measure the cytotoxicity.
  - **Genetic toxicity endpoints/results:** percentage of cells with chromosomal aberration.
  - **Statistical methods:** Percent of aberrant cells is analyzed by one-tail binomial test and compared pairwise to the control.
  - **Criteria for positive results:** The result is considered positive if a significant increase in the number of cells with chromosomal aberrations is observed at one or more concentrations.

**Results:**

- **Study validation:** The positive controls significantly increased the frequency of the chromosomal aberration.
- **Study outcome:** GT4P did not significantly increase the frequency of the chromosomal aberration.

The results were summarized in Tables 2, 4, 6, and 8 and these tables are attached below.



**Table 4: Chromosomal Aberrations in Human Lymphocytes - With Metabolic Activation – 3-Hour Treatment, ~22-Hour Harvest**

| Assay No.: 26443-0-449OEC |                 | Trial No.: B1                  |  | Date: 12/15/04               |               | Lab No.: CY121404 |                              | Test Article: GT4P   |               |      |      |      |                              |                     |    |
|---------------------------|-----------------|--------------------------------|--|------------------------------|---------------|-------------------|------------------------------|--|---------------|------|------|------|------------------------------|---------------------|----|
|                           |                 | # Cells Scored for Aberrations | % Mitotic Index Reduction <sup>a</sup> | # Cells Scored for pp and er | # of pp Cells | # of er Cells     | Judgement (+/-) <sup>b</sup> | Numbers and Percentages of Cells Showing Structural Chromosome Aberrations |               |      |      |      | Judgement (+/-) <sup>d</sup> |                     |    |
|                           |                 |                                |  |                              |               |                   |                              | gaps   | simple breaks | chie | chre | mab  |                              | Totals <sup>c</sup> |    |
|                           |                 |                                |  |                              |               |                   |                              |  |               |      |      |      |                              | -g                  | +g |
| <b>Controls</b>           |                 |                                |  |                              |               |                   |                              |  |               |      |      |      |                              |                     |    |
| Negative:                 | RPMI 1640       | A 100                          |  | 100                          | 0             | 0                 |                              | 5  | 3             |      |      | 3    | 8                            |                     |    |
|                           |                 | B 100                          |  | 100                          | 0             | 0                 |                              | 2  |               |      |      | 0    | 2                            |                     |    |
|                           |                 | Total 200                      |  | 200                          |               |                   |                              | 7  | 3             |      |      | 3    | 10                           |                     |    |
|                           |                 | Average %                      | --                                     |                              | 0.0           | 0.0               |                              | 3.5  | 1.5           |      |      | 1.5  | 5.0                          |                     |    |
| Vehicle:                  | DMSO 10.0 µL/mL | A 100                          |  | 100                          | 0             | 0                 |                              | 3  | 1             |      |      | 1    | 4                            |                     |    |
|                           |                 | B 100                          |  | 100                          | 0             | 0                 |                              | 1  | 1             |      |      | 1    | 2                            |                     |    |
|                           |                 | Total 200                      |  | 200                          |               |                   |                              | 4  | 2             |      |      | 2    | 6                            |                     |    |
|                           |                 | Average %                      | 0                                      |                              | 0.0           | 0.0               |                              | 2.0  | 1.0           |      |      | 1.0  | 3.0                          |                     |    |
| Positive:                 | CP 25.0 µg/mL   | A 50                           |  | 100                          | 0             | 0                 |                              | 7  | 12            | 2    | 1    | 14   | 19                           |                     |    |
|                           |                 | B 50                           |  | 100                          | 0             | 0                 |                              | 5  | 15            | 1    |      | 15   | 18                           |                     |    |
|                           |                 | Total 100                      |  | 200                          |               |                   |                              | 12   | 27            | 3    | 1    | 29   | 37                           |                     |    |
|                           |                 | Average %                      | --                                     |                              | 0.0           | 0.0               |                              | 12.0   | 27.0          | 3.0  | 1.0  | 29.0 | 37.0                         |                     |    |
| <b>Test Article</b>       |                 |                                |  |                              |               |                   |                              |  |               |      |      |      |                              |                     |    |
|                           | 118 µg/mL       | A 100                          |  | 100                          | 0             | 0                 |                              | 2  | 1             |      |      | 1    | 3                            |                     |    |
|                           |                 | B 100                          |  | 100                          | 0             | 0                 |                              | 1  | 1             |      |      | 1    | 1                            |                     |    |
|                           |                 | Total 200                      |  | 200                          |               |                   |                              | 3  | 2             |      |      | 2    | 4                            |                     |    |
|                           |                 | Average %                      | 22                                     |                              | 0.0           | 0.0               |                              | 1.5  | 1.0           |      |      | 1.0  | 2.0                          |                     |    |
|                           | 168 µg/mL       | A 100                          |  | 100                          | 0             | 0                 |                              | 5  |               |      |      | 0    | 5                            |                     |    |
|                           |                 | B 100                          |  | 100                          | 0             | 0                 |                              | 5  | 1             |      |      | 1    | 6                            |                     |    |
|                           |                 | Total 200                      |  | 200                          |               |                   |                              | 10   | 1             |      |      | 1    | 11                           |                     |    |
|                           |                 | Average %                      | 24                                     |                              | 0.0           | 0.0               |                              | 5.0  | 0.5           |      |      | 0.5  | 5.5                          |                     |    |
|                           | 240 µg/mL       | A 100                          |  | 100                          | 0             | 0                 |                              | 4  | 3             |      |      | 3    | 6                            |                     |    |
|                           |                 | B 100                          |  | 100                          | 0             | 0                 |                              | 2  | 2             |      |      | 2    | 4                            |                     |    |
|                           |                 | Total 200                      |  | 200                          |               |                   |                              | 6  | 5             |      |      | 5    | 10                           |                     |    |
|                           |                 | Average %                      | 32                                     |                              | 0.0           | 0.0               |                              | 3.0  | 2.5           |      |      | 2.5  | 5.0                          |                     |    |
|                           | 343 µg/mL       | A 100                          |  | 100                          | 0             | 0                 |                              | 1  | 2             |      |      | 2    | 3                            |                     |    |
|                           |                 | B 100                          |  | 100                          | 0             | 0                 |                              | 1  | 1             |      |      | 1    | 2                            |                     |    |
|                           |                 | Total 200                      |  | 200                          |               |                   |                              | 2  | 3             |      |      | 3    | 5                            |                     |    |
|                           |                 | Average %                      | 32                                     |                              | 0.0           | 0.0               |                              | 1.0  | 1.5           |      |      | 1.5  | 2.5                          |                     |    |

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chie: chromatid exchange chre: chromosome exchange mab: multiple aberrations, greater than 4 aberrations pp: polyploidy er: endoreduplication

<sup>a</sup>% Mitotic index reduction as compared to the vehicle control.

<sup>b</sup>Significantly greater in % polyploidy and % endoreduplication than the vehicle control, p ≤ 0.01.

<sup>c</sup>-g = # or % of cells with chromosome aberrations; +g = # or % of cells with chromosome aberrations + # or % of cells with gaps.

<sup>d</sup>Significantly greater in -g than the vehicle control, p ≤ 0.01. RPMI 1640 = culture medium DMSO = dimethylsulfoxide CP = Cyclophosphamide

**Table 6: Chromosomal Aberrations in Human Lymphocytes - Without Metabolic Activation - ~22-Hour Treatment, ~22-Hour Harvest**

Assay No.: 26443-0-449OECD Trial No.: C1 Date: 01/20/05 Lab No.: CY011705 Test Article: GT4P

|                           | # Cells Scored for Aberrations | % Mitotic Index Reduction <sup>a</sup> | # Cells Scored for pp and er | # of pp Cells | # of er Cells | Judgement (+/-) <sup>b</sup> | Numbers and Percentages of Cells Showing Structural Chromosome Aberrations |               |      |      |      |                     | Judgement (+/-) <sup>d</sup> |    |
|---------------------------|--------------------------------|--|------------------------------|---------------|---------------|------------------------------|--|---------------|------|------|------|---------------------|------------------------------|----|
|                           |                                |  |                              |               |               |                              | gaps   | simple breaks | chte | chre | mab  | Totals <sup>c</sup> |                              |    |
|                           |                                |  |                              |               |               |                              |  |               |      |      |      | -g                  |                              | +g |
| <b>Controls</b>           |                                |  |                              |               |               |                              |  |               |      |      |      |                     |                              |    |
| Negative: RPMI 1640       | A 100                          |  | 100                          | 0             | 0             |                              | 1  |               |      |      | 0    | 1                   |                              |    |
|                           | B 100                          |  | 100                          | 0             | 0             |                              | 1  |               |      |      | 0    | 1                   |                              |    |
|                           | Total 200                      |  | 200                          | 0             | 0             |                              | 2  |               |      |      | 0    | 2                   |                              |    |
|                           | Average %                      | --                                     |                              | 0.0           | 0.0           |                              | 1.0  |               |      |      | 0.0  | 1.0                 |                              |    |
| Vehicle: DMSO 10.0 µL/mL  | A 100                          |  | 100                          | 1             | 0             |                              | 3  | 1             |      |      | 1    | 4                   |                              |    |
|                           | B 100                          |  | 100                          | 0             | 0             |                              |  | 2             |      |      | 2    | 2                   |                              |    |
|                           | Total 200                      |  | 200                          | 1             | 0             |                              | 3  | 3             |      |      | 3    | 6                   |                              |    |
|                           | Average %                      | 0                                      |                              | 0.5           | 0.0           |                              | 1.5  | 1.5           |      |      | 1.5  | 3.0                 |                              |    |
| Positive: MMC 0.300 µg/mL | A 75                           |  | 100                          | 0             | 0             |                              | 3  | 11            | 5    |      | 16   | 17                  |                              |    |
|                           | B 50                           |  | 100                          | 0             | 0             |                              | 2  | 14            | 6    |      | 20   | 20                  |                              |    |
|                           | Total 125                      |  | 200                          | 0             | 0             |                              | 5  | 25            | 12   |      | 36   | 37                  |                              |    |
|                           | Average %                      | --                                     |                              | 0.0           | 0.0           | -                            | 4.0  | 20.0          | 9.6  |      | 28.8 | 29.6                | +                            |    |
| <b>Test Article</b>       |                                |  |                              |               |               |                              |  |               |      |      |      |                     |                              |    |
| 250 µg/mL                 | A 100                          |  | 100                          | 0             | 0             |                              |  | 1             |      |      | 1    | 1                   |                              |    |
|                           | B 100                          |  | 100                          | 0             | 0             |                              |  |               |      |      | 0    | 0                   |                              |    |
|                           | Total 200                      |  | 200                          | 0             | 0             |                              |  | 1             |      |      | 1    | 1                   |                              |    |
|                           | Average %                      | 17                                     |                              | 0.0           | 0.0           | -                            |  | 0.5           |      |      | 0.5  | 0.5                 | -                            |    |
| 300 µg/mL                 | A 100                          |  | 100                          | 1             | 0             |                              |  | 2             |      |      | 2    | 2                   |                              |    |
|                           | B 100                          |  | 100                          | 0             | 0             |                              |  | 4             | 1    |      | 5    | 5                   |                              |    |
|                           | Total 200                      |  | 200                          | 1             | 0             |                              |  | 6             | 1    |      | 7    | 7                   |                              |    |
|                           | Average %                      | 37                                     |                              | 0.5           | 0.0           | -                            |  | 3.0           | 0.5  |      | 3.5  | 3.5                 | -                            |    |
| 350 µg/mL                 | A 100                          |  | 100                          | 0             | 0             |                              | 1  | 2             |      |      | 2    | 3                   |                              |    |
|                           | B 100                          |  | 100                          | 1             | 0             |                              | 1  | 2             |      |      | 2    | 3                   |                              |    |
|                           | Total 200                      |  | 200                          | 1             | 0             |                              | 2  | 4             |      |      | 4    | 6                   |                              |    |
|                           | Average %                      | 66                                     |                              | 0.5           | 0.0           | -                            | 1.0  | 2.0           |      |      | 2.0  | 3.0                 | -                            |    |
| 425 µg/mL                 | A 100                          |  | 100                          | 0             | 0             |                              | 1  | 2             |      |      | 2    | 3                   |                              |    |
|                           | B 100                          |  | 100                          | 1             | 0             |                              |  | 2             |      |      | 2    | 2                   |                              |    |
|                           | Total 200                      |  | 200                          | 1             | 0             |                              | 1  | 4             |      |      | 4    | 5                   |                              |    |
|                           | Average %                      | 57                                     |                              | 0.5           | 0.0           | -                            | 0.5  | 2.0           |      |      | 2.0  | 2.5                 | -                            |    |

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chte: chromatid exchange chre: chromosome exchange mab: multiple aberrations, greater than 4 aberrations pp: polyploidy er: endoreduplication

<sup>a</sup>% Mitotic index reduction as compared to the vehicle control.

<sup>b</sup>Significantly greater in % polyploidy and % endoreduplication than the vehicle control, p ≤ 0.01.

<sup>c</sup>-g = # or % of cells with chromosome aberrations; +g = # or % of cells with chromosome aberrations + # or % of cells with gaps.

<sup>d</sup>Significantly greater in -g than the vehicle control, p ≤ 0.01. RPMI 1640 = culture medium DMSO = dimethylsulfoxide MMC = Mitomycin C

**Table 8: Chromosomal Aberrations in Human Lymphocytes - With Metabolic Activation - 3-Hour Treatment, ~22-Hour Harvest**

| Assay No.: 26443-0-449OEC       |                                | Trial No.: C1                          |                              | Date: 01/20/05 |               | Lab No.: CY011705            |  | Test Article: GT4P |      |      |      |                              |                     |    |
|---------------------------------|--------------------------------|--|------------------------------|----------------|---------------|------------------------------|--|--------------------|------|------|------|------------------------------|---------------------|----|
|                                 | # Cells Scored for Aberrations | % Mitotic Index Reduction <sup>a</sup> | # Cells Scored for pp and er | # of pp Cells  | # of er Cells | Judgement (+/-) <sup>b</sup> | Numbers and Percentages of Cells Showing Structural Chromosome Aberrations |                    |      |      |      | Judgement (+/-) <sup>d</sup> |                     |    |
|                                 |                                |  |                              |                |               |                              | gaps   | simple breaks      | chte | chre | mab  |                              | Totals <sup>c</sup> |    |
|                                 |                                |  |                              |                |               |                              |  |                    |      |      |      |                              | -g                  | +g |
| <b>Controls</b>                 |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
| <b>Negative: RPMI 1640</b>      |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
|                                 | A                              | 100                                    | 100                          | 0              | 0             |                              | 2  |                    |      |      | 0    | 2                            |                     |    |
|                                 | B                              | 100                                    | 100                          | 0              | 0             |                              |  |                    |      |      | 3    | 3                            |                     |    |
|                                 | Total                          | 200                                    | 200                          |                |               |                              | 2  | 2                  |      | 1    | 3    | 5                            |                     |    |
|                                 | Average %                      | --                                     |                              | 0.0            | 0.0           |                              | 1.0  | 1.0                |      | 0.5  | 1.5  | 2.5                          |                     |    |
| <b>Vehicle: DMSO 10.0 µL/mL</b> |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
|                                 | A                              | 100                                    | 100                          | 0              | 0             |                              | 1  |                    |      |      | 0    | 1                            |                     |    |
|                                 | B                              | 100                                    | 100                          | 0              | 0             |                              | 1  |                    |      |      | 0    | 1                            |                     |    |
|                                 | Total                          | 200                                    | 200                          |                |               |                              | 2  |                    |      |      | 0    | 2                            |                     |    |
|                                 | Average %                      | 0                                      |                              | 0.0            | 0.0           |                              | 1.0  |                    |      |      | 0.0  | 1.0                          |                     |    |
| <b>Positive: CP 25.0 µg/mL</b>  |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
|                                 | A                              | 100                                    | 100                          | 0              | 0             |                              | 10   | 24                 | 1    |      | 25   | 34                           |                     |    |
|                                 | B                              | 100                                    | 100                          | 1              | 0             |                              | 2  | 20                 | 1    |      | 21   | 23                           |                     |    |
|                                 | Total                          | 200                                    | 200                          |                |               |                              | 12   | 44                 | 2    |      | 46   | 57                           |                     |    |
|                                 | Average %                      | --                                     |                              | 0.5            | 0.0           | -                            | 6.0  | 22.0               | 1.0  |      | 23.0 | 28.5                         | +                   |    |
| <b>Test Article</b>             |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
| <b>300 µg/mL</b>                |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
|                                 | A                              | 100                                    | 100                          | 0              | 0             |                              | 1  | 1                  |      |      | 1    | 2                            |                     |    |
|                                 | B                              | 100                                    | 100                          | 0              | 0             |                              | 1  | 1                  |      |      | 1    | 2                            |                     |    |
|                                 | Total                          | 200                                    | 200                          |                |               |                              | 2  | 2                  |      |      | 2    | 4                            |                     |    |
|                                 | Average %                      | --                                     |                              | 0.0            | 0.0           | -                            | 1.0  | 1.0                |      |      | 1.0  | 2.0                          | -                   |    |
| <b>350 µg/mL</b>                |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
|                                 | A                              | 100                                    | 100                          | 0              | 0             |                              | 1  | 1                  |      |      | 1    | 1                            |                     |    |
|                                 | B                              | 100                                    | 100                          | 0              | 0             |                              | 1  | 1                  |      |      | 1    | 2                            |                     |    |
|                                 | Total                          | 200                                    | 200                          |                |               |                              | 1  | 2                  |      |      | 2    | 3                            |                     |    |
|                                 | Average %                      | --                                     |                              | 0.0            | 0.0           | -                            | 0.5  | 1.0                |      |      | 1.0  | 1.5                          | -                   |    |
| <b>425 µg/mL</b>                |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
|                                 | A                              | 100                                    | 100                          | 1              | 1             |                              |  |                    |      |      | 0    | 0                            |                     |    |
|                                 | B                              | 100                                    | 100                          | 0              | 0             |                              |  |                    |      |      | 0    | 0                            |                     |    |
|                                 | Total                          | 200                                    | 200                          |                |               |                              |  |                    |      |      | 0    | 0                            |                     |    |
|                                 | Average %                      | --                                     |                              | 0.5            | 0.5           | -                            |  |                    |      |      | 0.0  | 0.0                          | -                   |    |
| <b>500 µg/mL</b>                |                                |  |                              |                |               |                              |  |                    |      |      |      |                              |                     |    |
|                                 | A                              | 100                                    | 100                          | 1              | 0             |                              |  | 1                  | 1    |      | 2    | 2                            |                     |    |
|                                 | B                              | 100                                    | 100                          | 0              | 0             |                              | 1  | 2                  |      |      | 2    | 3                            |                     |    |
|                                 | Total                          | 200                                    | 200                          |                |               |                              | 1  | 3                  | 1    |      | 4    | 5                            |                     |    |
|                                 | Average %                      | 2                                      |                              | 0.5            | 0.0           | -                            | 0.5  | 1.5                | 0.5  |      | 2.0  | 2.5                          | -                   |    |

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chte: chromatid exchange    chre: chromosome exchange    mab: multiple aberrations, greater than 4 aberrations    pp: polyploidy    er: endoreduplication  
<sup>a</sup>% Mitotic index reduction as compared to the vehicle control.  
<sup>b</sup>Significantly greater in % polyploidy and % endoreduplication than the vehicle control, p ≤ 0.01.  
<sup>c</sup>-g = # or % of cells with chromosome aberrations; +g = # or % of cells with chromosome aberrations + # or % of cells with gaps.  
<sup>d</sup>Significantly greater in -g than the vehicle control, p ≤ 0.01.    RPMI 1640 = culture medium    DMSO = dimethylsulfoxide    CP = Cyclophosphamide

Conclusion: The results indicated that GT4P was not clastogenic in this test system.

**In Vivo Clastogenicity Assay in Rodent (Micronucleus Assay)**

Study title: In vivo rat micronucleus test

Study report No: 7602-102

Testing Laboratory: [REDACTED]

(b) (4)

(b) (4)

Date of study initiation: January 4, 2005

Date of study report: December 2, 2005

GLP Compliance: The study report was conducted in accordance with GLP Regulations of the UK and OECD.

QA-report: Yes (x) No ( )

Drug Batch No.: MPR-UXW-M0003000.01

Study Endpoint: Frequency of cells with micronucleated reticulocytes.

**Methods:** To examine the potential mutagenic effects of GT4P, micronucleus test was conducted using rat bone marrow cells. A single dose of GT4P was given to rats by oral gavage at 500, 1000, and 2000 mg/kg. In the current study, bone marrow was collected at termination. Vehicle and positive controls (cyclophosphamide) were also tested. The frequency of micronucleated reticulocyte was determined.

- **Strain/species/cell line:** CD(SD)BR rat.
- **Metabolic activation system:** None.
- **Control:**
  - **Vehicle:** corn oil.
  - **Positive control:** cyclophosphamide.
- **Exposure conditions:** rats were sacrificed 24 hours after dosing and bone marrow was collected.
  - **Dose used in defining study:** 500, 1000, and 2000 mg/kg
  - **Analysis:**
    - **Counting method:** Slides were prepared and examined for presence of micronucleated polychromatic erythrocytes.
    - **Cytotoxic endpoints:** Proportion of reticulocytes to total erythrocytes was determined as an indicator of bone marrow toxicity.
    - **Genetic toxicity endpoints/results:** Frequency of micronucleated reticulocytes.
    - **Statistical methods:** Frequency of micronucleated reticulocytes was analyzed.
    - **Criteria for positive results:** The result is considered positive if a significant increase in the micronucleated reticulocytes is observed dose-dependently.

**Results:**

- **Study validation:** The positive controls significantly increased the frequency of micronucleated reticulocytes.
- **Study outcome:** GT4P did not significantly increase the frequency of micronucleated reticulocytes as compared to the control. The results were summarized in Table 5 in this report and this table is attached below.

**Table 5: Micronucleus Assay – Summary Table**

Assay No.: 26443-0-454OECD

Test Article: GT4P: glyceryl tri(4-phenyl butyrate)

Initiation of Dosing: 21 Dec 2004

| Treatment    | Dose              | Harvest Time | % Micronucleated PCEs<br>Mean of 2000 per<br>Animal ± S.E.<br>Males | Ratio PCE:NCE<br>Mean ± S.E.<br>Males |
|--------------|-------------------|--------------|---|---------------------------------------|
| Controls     |                   |              |   |                                       |
| Vehicle      | Corn Oil 10 mL/kg | 24 hr        | 0.07 ± 0.02   | 0.93 ± 0.05                           |
|              |                   | 48 hr        | 0.02 ± 0.01   | 0.71 ± 0.03                           |
| Positive     | CP 60 mg/kg       | 24 hr        | 1.85 ± 0.31 *   | 0.66 ± 0.02 **                        |
| Test Article | 500 mg/kg         | 24 hr        | 0.03 ± 0.01   | 0.87 ± 0.06                           |
|              |                   | 24 hr        | 0.03 ± 0.01   | 0.87 ± 0.06                           |
|              |                   | 24 hr        | 0.08 ± 0.03   | 0.94 ± 0.03                           |
|              |                   | 48 hr        | 0.06 ± 0.02   | 0.73 ± 0.02                           |

\* Significantly greater than the corresponding vehicle control,  $p \leq 0.01$ .\*\* Significantly less than the corresponding vehicle control,  $p \leq 0.05$ .

CP = Cyclophosphamide

PCE = Polychromatic erythrocyte

NCE = Normochromatic erythrocyte

In conclusion, GT4P was not mutagenic under this testing condition.

## 7.4 Genetic Toxicity Studies with metabolites

### 1. Studies with 4-phenylbutyric Acid (PBA)

#### In Vitro Reverse Mutation Assay in Bacterial Cells (Ames)

**Study title:** Bacterial Reverse Mutation Assay

Study no.: (b) (4)

Study report location: N/A

Conducting laboratory and location: (b) (4)

Date of study initiation: 28 June 2011

GLP compliance: Yes

QA statement: Yes

Drug, lot #, and % purity: 4-Phenylbutyric Acid,  
06315BHV and 99.7%

#### Key Study Findings

## Methods

Strains: TA98, TA100, TA1535 and TA1537 and *Escherichia coli* WP2 *uvrA*

Concentrations in definitive study: 1.5, 5.0, 15, 50, 150, 500, 1500 and 5000 µg per plate

Basis of concentration selection: the maximum dose of 5000 µg per plate was tested.

Negative control: Dimethyl sulfoxide (DMSO)

Positive control: See table below

Formulation/Vehicle: DMSO

Incubation & sampling time: Incubated for 48-72 hours using the plate incorporation method

| Strain                  | S9 Activation | Positive Control   | Concentration (µg/plate) |
|-------------------------|---------------|--|--------------------------|
| TA98, TA1535 and TA1537 | Rat           | 2-aminoanthracene (b) (4)  | 1.0                      |
| TA100                   |               | Lot No. 03403ED<br>Exp. Date 22-Jan-2012<br>CAS No. 613-13-8<br>Purity 99.8% | 2.0                      |
| WP2 <i>uvrA</i>         |               |  | 15                       |
| TA98                    | None          | 2-nitrofluorene (b) (4)  | 1.0                      |
| TA100, TA1535           |               | sodium azide (b) (4)   | 1.0                      |
| TA1537                  |               | 9-aminoacridine (b) (4)  | 75                       |
| WP2 <i>uvrA</i>         |               | methyl methanesulfonate (b) (4)  | 1,000                    |

### Study Validity

The positive controls significantly increased the colonies compared to the solvent controls.

### Results

The results suggest that test article 4-phenylbutyric Acid was not mutagenic in this test system. The results were summarized in the sponsor's tables below.



Table 4  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
Experiment: B3 Date Plated: 7/15/2011  
Exposure Method: Plate incorporation assay Evaluation Period: 7/25/2011 to 7/28/2011

| Strain | Article              | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|--------|----------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98   | 4-Phenylbutyric Acid | 5000 µg              | 19                        | 2                  | 1.2                     | 18 <sup>A</sup> , 18 <sup>A</sup> , 22 <sup>A</sup>     |
|        |                      | 1500 µg              | 16                        | 5                  | 1.0                     | 10 <sup>A</sup> , 20 <sup>A</sup> , 17 <sup>A</sup>     |
|        |                      | 500 µg               | 22                        | 10                 | 1.4                     | 32 <sup>A</sup> , 22 <sup>A</sup> , 13 <sup>A</sup>     |
|        |                      | 150 µg               | 22                        | 6                  | 1.4                     | 17 <sup>A</sup> , 22 <sup>A</sup> , 28 <sup>A</sup>     |
|        |                      | 50 µg                | 24                        | 6                  | 1.5                     | 26 <sup>A</sup> , 28 <sup>A</sup> , 17 <sup>A</sup>     |
|        | DMSO                 | 50 µL                | 16                        | 7                  |                         | 13 <sup>A</sup> , 10 <sup>A</sup> , 24 <sup>A</sup>     |
| TA100  | 4-Phenylbutyric Acid | 5000 µg              | 102                       | 5                  | 1.0                     | 106 <sup>A</sup> , 96 <sup>A</sup> , 103 <sup>A</sup>   |
|        |                      | 1500 µg              | 84                        | 9                  | 0.8                     | 93 <sup>A</sup> , 84 <sup>A</sup> , 75 <sup>A</sup>     |
|        |                      | 500 µg               | 83                        | 9                  | 0.8                     | 93 <sup>A</sup> , 82 <sup>A</sup> , 75 <sup>A</sup>     |
|        |                      | 150 µg               | 86                        | 4                  | 0.9                     | 82 <sup>A</sup> , 87 <sup>A</sup> , 89 <sup>A</sup>     |
|        |                      | 50 µg                | 92                        | 7                  | 0.9                     | 97 <sup>A</sup> , 84 <sup>A</sup> , 96 <sup>A</sup>     |
|        | DMSO                 | 50 µL                | 100                       | 24                 |                         | 82 <sup>A</sup> , 91 <sup>A</sup> , 128 <sup>A</sup>    |
| TA1535 | 4-Phenylbutyric Acid | 5000 µg              | 11                        | 2                  | 2.2                     | 10 <sup>M</sup> , 13 <sup>M</sup> , 9 <sup>M</sup>      |
|        |                      | 1500 µg              | 10                        | 3                  | 2.0                     | 8 <sup>M</sup> , 14 <sup>M</sup> , 8 <sup>M</sup>       |
|        |                      | 500 µg               | 10                        | 1                  | 2.0                     | 9 <sup>M</sup> , 11 <sup>M</sup> , 9 <sup>M</sup>       |
|        |                      | 150 µg               | 10                        | 4                  | 2.0                     | 15 <sup>M</sup> , 9 <sup>M</sup> , 7 <sup>M</sup>       |
|        |                      | 50 µg                | 8                         | 1                  | 1.6                     | 7 <sup>M</sup> , 8 <sup>M</sup> , 8 <sup>M</sup>        |
|        | DMSO                 | 50 µL                | 5                         | 3                  |                         | 5 <sup>M</sup> , 8 <sup>M</sup> , 3 <sup>M</sup>        |
| TA1537 | 4-Phenylbutyric Acid | 5000 µg              | 13                        | 2                  | 1.3                     | 12 <sup>M</sup> , 15 <sup>M</sup> , 12 <sup>M</sup>     |
|        |                      | 1500 µg              | 13                        | 5                  | 1.3                     | 9 <sup>M</sup> , 19 <sup>M</sup> , 12 <sup>M</sup>      |
|        |                      | 500 µg               | 14                        | 4                  | 1.4                     | 19 <sup>M</sup> , 11 <sup>M</sup> , 12 <sup>M</sup>     |
|        |                      | 150 µg               | 12                        | 1                  | 1.2                     | 13 <sup>M</sup> , 12 <sup>M</sup> , 11 <sup>M</sup>     |
|        |                      | 50 µg                | 14                        | 3                  | 1.4                     | 13 <sup>M</sup> , 11 <sup>M</sup> , 17 <sup>M</sup>     |
|        | DMSO                 | 50 µL                | 10                        | 1                  |                         | 10 <sup>M</sup> , 11 <sup>M</sup> , 9 <sup>M</sup>      |

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

Table 4 cont.  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B3 Date Plated: 7/15/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 7/25/2011 to 7/28/2011

| Strain  | Article              | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes   |
|---------|----------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| WP2uvrA | 4-Phenylbutyric Acid | 5000 µg              | 22                        | 4                  | 1.1                     | 22 <sup>A</sup> , 18 <sup>A</sup> , 26 <sup>A</sup>       |
|         |                      | 1500 µg              | 37                        | 12                 | 1.9                     | 51 <sup>A</sup> , 31 <sup>A</sup> , 29 <sup>A</sup>       |
|         |                      | 500 µg               | 36                        | 2                  | 1.8                     | 36 <sup>A</sup> , 37 <sup>A</sup> , 34 <sup>A</sup>       |
|         |                      | 150 µg               | 34                        | 8                  | 1.7                     | 27 <sup>A</sup> , 32 <sup>A</sup> , 42 <sup>A</sup>       |
|         |                      | 50 µg                | 31                        | 2                  | 1.6                     | 29 <sup>A</sup> , 32 <sup>A</sup> , 32 <sup>A</sup>       |
|         | DMSO                 | 50 µL                | 20                        | 4                  |                         | 24 <sup>A</sup> , 18 <sup>A</sup> , 17 <sup>A</sup>       |
| TA98    | 2NF                  | 1.0 µg               | 181                       | 8                  | 11.3                    | 187 <sup>A</sup> , 172 <sup>A</sup> , 184 <sup>A</sup>    |
| TA100   | SA                   | 1.0 µg               | 515                       | 29                 | 5.2                     | 518 <sup>A</sup> , 543 <sup>A</sup> , 485 <sup>A</sup>    |
| TA1535  | SA                   | 1.0 µg               | 531                       | 30                 | 106.2                   | 496 <sup>A</sup> , 545 <sup>A</sup> , 551 <sup>A</sup>    |
| TA1537  | 9AAD                 | 75 µg                | 1237                      | 127                | 123.7                   | 1353 <sup>A</sup> , 1256 <sup>A</sup> , 1102 <sup>A</sup> |
| WP2uvrA | MMS                  | 1000 µg              | 342                       | 32                 | 17.1                    | 337 <sup>A</sup> , 312 <sup>A</sup> , 376 <sup>A</sup>    |

## Key to Positive Controls

2NF 2-nitrofluorene  
 SA sodium azide  
 9AAD 9-Aminoacridine  
 MMS methyl methanesulfonate

## Key to Automatic &amp; Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

Table 5  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B3 Date Plated: 7/15/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 7/25/2011 to 7/28/2011

| Strain | Article              | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|--------|----------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98   | 4-Phenylbutyric Acid | 5000 µg              | 21                        | 4                  | 0.8                     | 24 <sup>A</sup> , 22 <sup>A</sup> , 17 <sup>A</sup>     |
|        |                      | 1500 µg              | 28                        | 7                  | 1.1                     | 36 <sup>A</sup> , 22 <sup>A</sup> , 27 <sup>A</sup>     |
|        |                      | 500 µg               | 32                        | 4                  | 1.2                     | 28 <sup>A</sup> , 32 <sup>A</sup> , 36 <sup>A</sup>     |
|        |                      | 150 µg               | 28                        | 4                  | 1.1                     | 31 <sup>A</sup> , 29 <sup>A</sup> , 23 <sup>A</sup>     |
|        |                      | 50 µg                | 22                        | 8                  | 0.8                     | 19 <sup>A</sup> , 15 <sup>A</sup> , 31 <sup>A</sup>     |
|        | DMSO                 | 50 µL                | 26                        | 6                  |                         | 28 <sup>A</sup> , 31 <sup>A</sup> , 19 <sup>A</sup>     |
| TA100  | 4-Phenylbutyric Acid | 5000 µg              | 105                       | 12                 | 0.9                     | 112 <sup>A</sup> , 91 <sup>A</sup> , 113 <sup>A</sup>   |
|        |                      | 1500 µg              | 114                       | 16                 | 1.0                     | 102 <sup>A</sup> , 133 <sup>A</sup> , 108 <sup>A</sup>  |
|        |                      | 500 µg               | 110                       | 18                 | 1.0                     | 126 <sup>A</sup> , 113 <sup>A</sup> , 91 <sup>A</sup>   |
|        |                      | 150 µg               | 120                       | 3                  | 1.1                     | 117 <sup>A</sup> , 120 <sup>A</sup> , 122 <sup>A</sup>  |
|        |                      | 50 µg                | 97                        | 16                 | 0.9                     | 115 <sup>A</sup> , 83 <sup>A</sup> , 94 <sup>A</sup>    |
|        | DMSO                 | 50 µL                | 112                       | 8                  |                         | 108 <sup>A</sup> , 106 <sup>A</sup> , 121 <sup>A</sup>  |
| TA1535 | 4-Phenylbutyric Acid | 5000 µg              | 7                         | 3                  | 0.8                     | 10 <sup>A</sup> , 6 <sup>A</sup> , 5 <sup>A</sup>       |
|        |                      | 1500 µg              | 15                        | 4                  | 1.7                     | 19 <sup>A</sup> , 15 <sup>A</sup> , 11 <sup>A</sup>     |
|        |                      | 500 µg               | 8                         | 3                  | 0.9                     | 9 <sup>A</sup> , 11 <sup>A</sup> , 5 <sup>A</sup>       |
|        |                      | 150 µg               | 11                        | 6                  | 1.2                     | 15 <sup>A</sup> , 14 <sup>A</sup> , 4 <sup>A</sup>      |
|        |                      | 50 µg                | 15                        | 3                  | 1.7                     | 14 <sup>A</sup> , 13 <sup>A</sup> , 18 <sup>A</sup>     |
|        | DMSO                 | 50 µL                | 9                         | 2                  |                         | 8 <sup>A</sup> , 11 <sup>A</sup> , 8 <sup>A</sup>       |
| TA1537 | 4-Phenylbutyric Acid | 5000 µg              | 11                        | 3                  | 0.9                     | 13 <sup>A</sup> , 11 <sup>A</sup> , 8 <sup>A</sup>      |
|        |                      | 1500 µg              | 10                        | 4                  | 0.8                     | 5 <sup>A</sup> , 13 <sup>A</sup> , 11 <sup>A</sup>      |
|        |                      | 500 µg               | 15                        | 3                  | 1.3                     | 19 <sup>A</sup> , 13 <sup>A</sup> , 13 <sup>A</sup>     |
|        |                      | 150 µg               | 16                        | 4                  | 1.3                     | 19 <sup>A</sup> , 11 <sup>A</sup> , 18 <sup>A</sup>     |
|        |                      | 50 µg                | 14                        | 4                  | 1.2                     | 13 <sup>A</sup> , 18 <sup>A</sup> , 10 <sup>A</sup>     |
|        | DMSO                 | 50 µL                | 12                        | 2                  |                         | 11 <sup>A</sup> , 10 <sup>A</sup> , 14 <sup>A</sup>     |

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

Table 5 cont.  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
Experiment: B3 Date Plated: 7/15/2011  
Exposure Method: Plate incorporation assay Evaluation Period: 7/25/2011 to 7/28/2011

| Strain  | Article              | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------|----------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| WP2uvrA | 4-Phenylbutyric Acid | 5000 µg              | 21                        | 1                  | 0.6                     | 22 <sup>A</sup> , 20 <sup>A</sup> , 22 <sup>A</sup>     |
|         |                      | 1500 µg              | 30                        | 11                 | 0.8                     | 42 <sup>A</sup> , 20 <sup>A</sup> , 28 <sup>A</sup>     |
|         |                      | 500 µg               | 36                        | 6                  | 1.0                     | 29 <sup>A</sup> , 38 <sup>A</sup> , 40 <sup>A</sup>     |
|         |                      | 150 µg               | 32                        | 5                  | 0.9                     | 37 <sup>A</sup> , 31 <sup>A</sup> , 28 <sup>A</sup>     |
|         |                      | 50 µg                | 27                        | 3                  | 0.7                     | 29 <sup>A</sup> , 23 <sup>A</sup> , 28 <sup>A</sup>     |
|         | DMSO                 | 50 µL                | 37                        | 8                  |                         | 40 <sup>A</sup> , 43 <sup>A</sup> , 28 <sup>A</sup>     |
| TA98    | 2AA                  | 1.0 µg               | 235                       | 45                 | 9.0                     | 278 <sup>A</sup> , 238 <sup>A</sup> , 189 <sup>A</sup>  |
| TA100   | 2AA                  | 2.0 µg               | 717                       | 42                 | 6.4                     | 728 <sup>A</sup> , 752 <sup>A</sup> , 671 <sup>A</sup>  |
| TA1535  | 2AA                  | 1.0 µg               | 59                        | 8                  | 6.6                     | 66 <sup>A</sup> , 51 <sup>A</sup> , 61 <sup>A</sup>     |
| TA1537  | 2AA                  | 1.0 µg               | 39                        | 8                  | 3.3                     | 46 <sup>A</sup> , 31 <sup>A</sup> , 40 <sup>A</sup>     |
| WP2uvrA | 2AA                  | 15 µg                | 197                       | 16                 | 5.3                     | 212 <sup>A</sup> , 181 <sup>A</sup> , 199 <sup>A</sup>  |

Key to Positive Controls

2AA 2-aminoanthracene

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

## In Vitro Assays in Mammalian Cells

### Study title: *In Vitro* Mammalian Chromosome Aberration Test

Study no.: (b) (4)  
Study report location: N/A  
Conducting laboratory and location: (b) (4)  
Date of study initiation: June 16, 2011  
GLP compliance: Yes  
QA statement: Yes  
Drug, lot #, and % purity: 4-phenylbutyric Acid, 06315BHV and 99.7%

## Key Study Findings

### Methods

Cell line: Chinese hamster ovary (CHO) cells

Concentrations in definitive study: See table below  
 Basis of concentration selection: cell growth inhibition  
 Negative control: DMSO  
 Positive control: Mitomycin C 0.1-0.2 ug/ml and  
 Cyclophosphamide 10-15 ug/ml  
 Formulation/Vehicle: DMSO  
 Incubation & sampling time: The cells were treated for 4 or 20 hours  
 All cells were harvested 20 hours after  
 treatment

| Treatment Condition | Treatment Time | Recovery Time | Dose levels (µg/mL)                     |
|---------------------|----------------|---------------|---|
| Non-activated       | 4 hr           | 16 hr         | 243, 486, 970, 1080, 1150, 1200, 1260   |
|                     | 20 hr          | 0 hr          | 12, 24, 48, 95, 190, 380, 430, 530, 760 |
| S9-activated        | 4 hr           | 16 hr         | 243, 486, 970, 1080, 1150, 1200, 1260   |

### Study Validity

The positive and solvent controls fulfilled the requirements for a valid test.

### Results

The results were summarized in the following sponsor's table.

TABLE 10  
SUMMARY

| Treatment<br>µg/mL   | S9<br>Activation | Treatment<br>Time | Mean<br>Mitotic<br>Index | Cells Scored |            | Aberrations<br>Per Cell<br>(Mean +/- SD) |        | Cells With Aberrations |                   |
|----------------------|------------------|-------------------|--------------------------|--------------|------------|--|--------|------------------------|-------------------|
|                      |                  |                   |                          | Numerical    | Structural |  |        | Numerical<br>(%)       | Structural<br>(%) |
| DMSO                 | -S9              | 4                 | 10.1                     | 200          | 200        | 0.000                                    | ±0.000 | 1.5                    | 0.0               |
| 4-Phenylbutyric Acid |                  |                   |                          |              |            |  |        |                        |                   |
| 486                  | -S9              | 4                 | 9.8                      | 200          | 200        | 0.000                                    | ±0.000 | 2.5                    | 0.0               |
| 1080                 | -S9              | 4                 | 9.9                      | 200          | 200        | 0.000                                    | ±0.000 | 1.0                    | 0.0               |
| 1200                 | -S9              | 4                 | 9.3                      | 200          | 200        | 0.005                                    | ±0.071 | 0.5                    | 0.5               |
| MMC<br>0.2           | -S9              | 4                 | 8.0                      | 200          | 100        | 0.130                                    | ±0.338 | 2.0                    | 13.0**            |
| DMSO +S9             |                  |                   |                          |              |            |  |        |                        |                   |
| DMSO                 | +S9              | 4                 | 13.4                     | 200          | 200        | 0.030                                    | ±0.198 | 1.5                    | 2.5               |
| 4-Phenylbutyric Acid |                  |                   |                          |              |            |  |        |                        |                   |
| 486                  | +S9              | 4                 | 12.2                     | 200          | 200        | 0.000                                    | ±0.000 | 1.5                    | 0.0               |
| 970                  | +S9              | 4                 | 11.6                     | 200          | 200        | 0.010                                    | ±0.100 | 1.5                    | 1.0               |
| 1080                 | +S9              | 4                 | 11.6                     | 200          | 200        | 0.085                                    | ±0.344 | 3.0                    | 7.0*              |
| CP<br>10             | +S9              | 4                 | 3.5                      | 200          | 100        | 0.340                                    | ±0.623 | 1.5                    | 26.0**            |
| DMSO -S9             |                  |                   |                          |              |            |  |        |                        |                   |
| DMSO                 | -S9              | 20                | 14.1                     | 200          | 200        | 0.020                                    | ±0.140 | 2.0                    | 2.0               |
| 4-Phenylbutyric Acid |                  |                   |                          |              |            |  |        |                        |                   |
| 95                   | -S9              | 20                | 13.9                     | 200          | 200        | 0.005                                    | ±0.071 | 3.0                    | 0.5               |
| 190                  | -S9              | 20                | 14.2                     | 200          | 200        | 0.015                                    | ±0.122 | 2.0                    | 1.5               |
| 380                  | -S9              | 20                | 14.6                     | 200          | 200        | 0.005                                    | ±0.071 | 3.0                    | 0.5               |
| MMC<br>0.1           | -S9              | 20                | 7.7                      | 200          | 100        | 0.210                                    | ±0.456 | 2.0                    | 19.0**            |

**Treatment:** Cells from all treatment conditions were harvested 20 hours after the initiation of the treatments.

**Aberrations per Cell:** Severely damaged cells were counted as 10 aberrations.

**Percent Aberrant Cells:** \*,  $p \leq 0.05$ ; \*\*,  $p \leq 0.01$ ; using Fisher's Exact test.

The results indicated that PBA significantly increased the proportion of cells with structural aberrations in the presence of S-9 after 4 hours treatment. In the absence of S-9, PBA did not significantly increase the proportion of cells with aberrations.

**Repeated In Vitro Assays in Mammalian Cells****Study title: *In Vitro* Mammalian Chromosome Aberration Test**

Study no.: [REDACTED] (b) (4)  
Study report location: N/A  
Conducting laboratory and location: [REDACTED] (b) (4)  
Date of study initiation: September 14, 2011  
GLP compliance: Yes  
QA statement: Yes  
Drug, lot #, and % purity: 4-phenylbutyric Acid,  
06315BHV and 99.7%

**Key Study Findings****Methods**

Cell line: Human peripheral lymphocytes  
Concentrations in definitive study: 210, 419, 840, 1080, 1320 and 1640 µg/mL in 4-hour treatment groups with and without S-9, and 85, 165, 327, 419, 512, 650, 840, 1080, 1320 and 1640 µg/mL in 20-hour treatment group  
Basis of concentration selection: cell growth inhibition  
Negative control: DMSO  
Positive control: Mitomycin C 0.1-0.2 ug/ml and Cyclophosphamide 10-15 ug/ml  
Formulation/Vehicle: DMSO  
Incubation & sampling time: The cells were treated for 4 or 20 hours  
All cells were harvested 20 hours after treatment

**Study Validity**

The positive and solvent controls met the requirements for a valid test.

**Results**

The results were summarized in the following sponsor's table.

TABLE 4  
SUMMARY

| Treatment<br>µg/mL   | S9<br>Activation | Treatment<br>Time | Mean<br>Mitotic<br>Index | Cells Scored |            | Aberrations<br>Per Cell<br>(Mean +/- SD) |        | Cells With Aberrations |                   |
|----------------------|------------------|-------------------|--------------------------|--------------|------------|--|--------|------------------------|-------------------|
|                      |                  |                   |                          | Numerical    | Structural |  |        | Numerical<br>(%)       | Structural<br>(%) |
| DMSO                 | -S9              | 4                 | 9.4                      | 200          | 200        | 0.000                                    | ±0.000 | 0.0                    | 0.0               |
| 4-Phenylbutyric Acid |                  |                   |                          |              |            |  |        |                        |                   |
| 1080                 | -S9              | 4                 | 9.3                      | 200          | 200        | 0.010                                    | ±0.100 | 0.0                    | 1.0               |
| 1320                 | -S9              | 4                 | 7.9                      | 200          | 200        | 0.005                                    | ±0.071 | 0.0                    | 0.5               |
| 1640                 | -S9              | 4                 | 8.4                      | 200          | 200        | 0.015                                    | ±0.158 | 0.0                    | 1.0               |
| MMC,<br>0.6          | -S9              | 4                 | 5.1                      | 200          | 100        | 0.190                                    | ±0.419 | 0.0                    | 18.0**            |
| DMSO                 | +S9              | 4                 | 11.0                     | 200          | 200        | 0.000                                    | ±0.000 | 0.5                    | 0.0               |
| 4-Phenylbutyric Acid |                  |                   |                          |              |            |  |        |                        |                   |
| 1080                 | +S9              | 4                 | 10.3                     | 200          | 200        | 0.005                                    | ±0.071 | 0.5                    | 0.5               |
| 1320                 | +S9              | 4                 | 8.6                      | 200          | 200        | 0.000                                    | ±0.000 | 0.0                    | 0.0               |
| 1640                 | +S9              | 4                 | 7.3                      | 200          | 200        | 0.030                                    | ±0.299 | 0.0                    | 1.5               |
| CP,<br>5             | +S9              | 4                 | 3.6                      | 200          | 100        | 0.100                                    | ±0.302 | 0.0                    | 10.0**            |
| DMSO                 | -S9              | 20                | 10.7                     | 200          | 200        | 0.000                                    | ±0.000 | 0.0                    | 0.0               |
| 4-Phenylbutyric Acid |                  |                   |                          |              |            |  |        |                        |                   |
| 165                  | -S9              | 20                | 9.3                      | 200          | 200        | 0.000                                    | ±0.000 | 0.0                    | 0.0               |
| 419                  | -S9              | 20                | 8.3                      | 200          | 200        | 0.000                                    | ±0.000 | 1.0                    | 0.0               |
| 650                  | -S9              | 20                | 5.2                      | 200          | 200        | 0.010                                    | ±0.100 | 0.0                    | 1.0               |
| MMC,<br>0.3          | -S9              | 20                | 7.5                      | 200          | 100        | 0.130                                    | ±0.367 | 0.0                    | 12.0**            |

**Treatment:** Cells from all treatment conditions were harvested at 20 hours after the initiation of the treatments.

**Aberrations per Cell:** Severely damaged cells were counted as 10 aberrations.

**Percent Aberrant Cells:** \*, p≤0.05; \*\*, p≤0.01; using the Fisher's Exact test.

The results indicated that PBA did not significantly increase the proportion of cells with aberrations in the presence or absence of S-9.



## 2. Studies with Phenylacetic Acid (PAA)

### In Vitro Reverse Mutation Assay in Bacterial Cells (Ames)

**Study title:** Bacterial Reverse Mutation Assay

Study no.: [REDACTED] (b) (4)

Study report location: N/A

Conducting laboratory and location: [REDACTED] (b) (4)

Date of study initiation: July 6, 2011

GLP compliance: Yes

QA statement: Yes

Drug, lot #, and % purity: Phenylacetic Acid  
STBB0962V and 99.6%

### Key Study Findings

#### Methods

Strains: TA98, TA100, TA1535 and TA1537 and  
*Escherichia coli* WP2 *uvrA*

Concentrations in definitive study: 1.5, 5.0, 15, 50, 150, 500, 1500 and 5000  
µg per plate

Basis of concentration selection: the maximum dose of 5000 µg per plate  
was tested.

Negative control: Dimethyl sulfoxide (DMSO)

Positive control: See table below

Formulation/Vehicle: DMSO

Incubation & sampling time: Incubated for 48-72 hours using the plate  
incorporation method

| Strain                  | S9 Activation | Positive Control   | Concentration (µg/plate) |
|-------------------------|---------------|--|--------------------------|
| TA98, TA1535 and TA1537 | Rat           | 2-aminoanthracene<br>(b) (4)   | 1.0                      |
| TA100                   |               | Lot No. 03403ED<br>Exp. Date 22-Jan-2012<br>CAS No. 613-13-8<br>Purity 99.8% | 2.0                      |
| WP2 <i>uvrA</i>         |               |  | 15                       |
| TA98                    | None          | 2-nitrofluorene<br>(b) (4)   | 1.0                      |
| TA100, TA1535           |               | sodium azide<br>(b) (4)  | 1.0                      |
| TA1537                  |               | 9-aminoacridine<br>(b) (4)   | 75                       |
| WP2 <i>uvrA</i>         |               | methyl methanesulfonate<br>(b) (4)   | 1,000                    |

### Study Validity

The positive controls significantly increased the colonies compared to the solvent controls.

### Results

The results indicated that the test article, phenylacetic acid, did not significantly increase the colonies as compared to the solvent controls, indicating that phenylacetic acid was not mutagenic under the assay conditions. The results were summarized in the sponsor's tables below.

Table 4  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B3 Date Plated: 7/22/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 7/26/2011

| Strain | Article           | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|--------|-------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98   | Phenylacetic Acid | 5000 µg              | 7                         | 2                  | 0.5                     | 5 <sup>M</sup> , 8 <sup>M</sup> , 9 <sup>M</sup>        |
|        |                   | 1500 µg              | 10                        | 4                  | 0.7                     | 7 <sup>M</sup> , 10 <sup>M</sup> , 14 <sup>M</sup>      |
|        |                   | 500 µg               | 11                        | 2                  | 0.8                     | 12 <sup>M</sup> , 9 <sup>M</sup> , 13 <sup>M</sup>      |
|        |                   | 150 µg               | 16                        | 6                  | 1.1                     | 22 <sup>M</sup> , 11 <sup>M</sup> , 16 <sup>M</sup>     |
|        |                   | 50 µg                | 10                        | 3                  | 0.7                     | 8 <sup>M</sup> , 14 <sup>M</sup> , 9 <sup>M</sup>       |
|        | DMSO              | 50 µL                | 14                        | 2                  |                         | 12 <sup>M</sup> , 16 <sup>M</sup> , 15 <sup>M</sup>     |
| TA100  | Phenylacetic Acid | 5000 µg              | 97                        | 5                  | 1.1                     | 101 <sup>A</sup> , 91 <sup>A</sup> , 99 <sup>A</sup>    |
|        |                   | 1500 µg              | 87                        | 9                  | 1.0                     | 78 <sup>A</sup> , 96 <sup>A</sup> , 87 <sup>A</sup>     |
|        |                   | 500 µg               | 93                        | 12                 | 1.0                     | 80 <sup>A</sup> , 97 <sup>A</sup> , 103 <sup>A</sup>    |
|        |                   | 150 µg               | 88                        | 11                 | 1.0                     | 77 <sup>A</sup> , 99 <sup>A</sup> , 89 <sup>A</sup>     |
|        |                   | 50 µg                | 91                        | 11                 | 1.0                     | 84 <sup>A</sup> , 85 <sup>A</sup> , 103 <sup>A</sup>    |
|        | DMSO              | 50 µL                | 91                        | 2                  |                         | 93 <sup>A</sup> , 92 <sup>A</sup> , 89 <sup>A</sup>     |
| TA1535 | Phenylacetic Acid | 5000 µg              | 11                        | 3                  | 1.6                     | 10 <sup>A</sup> , 9 <sup>A</sup> , 14 <sup>A</sup>      |
|        |                   | 1500 µg              | 11                        | 2                  | 1.6                     | 13 <sup>A</sup> , 11 <sup>A</sup> , 10 <sup>A</sup>     |
|        |                   | 500 µg               | 10                        | 4                  | 1.4                     | 6 <sup>A</sup> , 13 <sup>A</sup> , 11 <sup>A</sup>      |
|        |                   | 150 µg               | 15                        | 2                  | 2.1                     | 13 <sup>A</sup> , 15 <sup>A</sup> , 17 <sup>A</sup>     |
|        |                   | 50 µg                | 12                        | 2                  | 1.7                     | 14 <sup>A</sup> , 10 <sup>A</sup> , 11 <sup>A</sup>     |
|        | DMSO              | 50 µL                | 7                         | 2                  |                         | 8 <sup>A</sup> , 8 <sup>A</sup> , 4 <sup>A</sup>        |
| TA1537 | Phenylacetic Acid | 5000 µg              | 2                         | 2                  | 0.4                     | 0 <sup>A</sup> , 3 <sup>A</sup> , 3 <sup>A</sup>        |
|        |                   | 1500 µg              | 5                         | 3                  | 1.0                     | 5 <sup>A</sup> , 3 <sup>A</sup> , 8 <sup>A</sup>        |
|        |                   | 500 µg               | 4                         | 1                  | 0.8                     | 5 <sup>A</sup> , 5 <sup>A</sup> , 3 <sup>A</sup>        |
|        |                   | 150 µg               | 6                         | 2                  | 1.2                     | 8 <sup>A</sup> , 4 <sup>A</sup> , 6 <sup>A</sup>        |
|        |                   | 50 µg                | 7                         | 2                  | 1.4                     | 9 <sup>A</sup> , 5 <sup>A</sup> , 6 <sup>A</sup>        |
|        | DMSO              | 50 µL                | 5                         | 1                  |                         | 4 <sup>A</sup> , 5 <sup>A</sup> , 5 <sup>A</sup>        |

Table 4 cont.  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
Experiment: B3 Date Plated: 7/22/2011  
Exposure Method: Plate incorporation assay Evaluation Period: 7/26/2011

| Strain              | Article           | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------------------|-------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| WP2 <sup>uvrA</sup> | Phenylacetic Acid | 5000 µg              | 9                         | 2                  | 0.3                     | 8 <sup>A</sup> , 8 <sup>A</sup> , 11 <sup>A</sup>       |
|                     |                   | 1500 µg              | 24                        | 7                  | 0.9                     | 19 <sup>A</sup> , 22 <sup>A</sup> , 32 <sup>A</sup>     |
|                     |                   | 500 µg               | 31                        | 13                 | 1.2                     | 24 <sup>A</sup> , 23 <sup>A</sup> , 46 <sup>A</sup>     |
|                     |                   | 150 µg               | 26                        | 7                  | 1.0                     | 20 <sup>A</sup> , 33 <sup>A</sup> , 24 <sup>A</sup>     |
|                     |                   | 50 µg                | 31                        | 4                  | 1.2                     | 27 <sup>A</sup> , 31 <sup>A</sup> , 34 <sup>A</sup>     |
|                     | DMSO              | 50 µL                | 26                        | 3                  |                         | 27 <sup>A</sup> , 22 <sup>A</sup> , 28 <sup>A</sup>     |
| TA98                | 2NF               | 1.0 µg               | 231                       | 10                 | 16.5                    | 240 <sup>A</sup> , 232 <sup>A</sup> , 221 <sup>A</sup>  |
| TA100               | SA                | 1.0 µg               | 429                       | 10                 | 4.7                     | 435 <sup>A</sup> , 417 <sup>A</sup> , 435 <sup>A</sup>  |
| TA1535              | SA                | 1.0 µg               | 519                       | 115                | 74.1                    | 402 <sup>A</sup> , 524 <sup>A</sup> , 631 <sup>A</sup>  |
| TA1537              | 9AAD              | 75 µg                | 299                       | 17                 | 59.8                    | 307 <sup>A</sup> , 279 <sup>A</sup> , 311 <sup>A</sup>  |
| WP2 <sup>uvrA</sup> | MMS               | 1000 µg              | 307                       | 19                 | 11.8                    | 328 <sup>A</sup> , 292 <sup>A</sup> , 301 <sup>A</sup>  |

## Key to Positive Controls

2NF 2-nitrofluorene  
SA sodium azide  
9AAD 9-Aminoacridine  
MMS methyl methanesulfonate

## Key to Automatic &amp; Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

Table 5  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B3 Date Plated: 7/22/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 7/26/2011

| Strain | Article           | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|--------|-------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98   | Phenylacetic Acid | 5000 µg              | 8                         | 3                  | 0.5                     | 9 <sup>M</sup> , 10 <sup>M</sup> , 5 <sup>M</sup>       |
|        |                   | 1500 µg              | 9                         | 4                  | 0.6                     | 6 <sup>M</sup> , 13 <sup>M</sup> , 7 <sup>M</sup>       |
|        |                   | 500 µg               | 16                        | 3                  | 1.1                     | 13 <sup>M</sup> , 18 <sup>M</sup> , 17 <sup>M</sup>     |
|        |                   | 150 µg               | 21                        | 3                  | 1.4                     | 24 <sup>M</sup> , 21 <sup>M</sup> , 19 <sup>M</sup>     |
|        |                   | 50 µg                | 19                        | 8                  | 1.3                     | 28 <sup>M</sup> , 16 <sup>M</sup> , 13 <sup>M</sup>     |
|        | DMSO              | 50 µL                | 15                        | 4                  |                         | 14 <sup>M</sup> , 19 <sup>M</sup> , 11 <sup>M</sup>     |
| TA100  | Phenylacetic Acid | 5000 µg              | 91                        | 15                 | 0.9                     | 78 <sup>A</sup> , 108 <sup>A</sup> , 87 <sup>A</sup>    |
|        |                   | 1500 µg              | 120                       | 7                  | 1.2                     | 126 <sup>A</sup> , 113 <sup>A</sup> , 120 <sup>A</sup>  |
|        |                   | 500 µg               | 121                       | 13                 | 1.2                     | 128 <sup>A</sup> , 129 <sup>A</sup> , 106 <sup>A</sup>  |
|        |                   | 150 µg               | 125                       | 17                 | 1.2                     | 105 <sup>A</sup> , 138 <sup>A</sup> , 131 <sup>A</sup>  |
|        |                   | 50 µg                | 105                       | 11                 | 1.0                     | 117 <sup>A</sup> , 102 <sup>A</sup> , 96 <sup>A</sup>   |
|        | DMSO              | 50 µL                | 103                       | 16                 |                         | 96 <sup>A</sup> , 91 <sup>A</sup> , 121 <sup>A</sup>    |
| TA1535 | Phenylacetic Acid | 5000 µg              | 10                        | 3                  | 0.8                     | 9 <sup>A</sup> , 8 <sup>A</sup> , 14 <sup>A</sup>       |
|        |                   | 1500 µg              | 11                        | 5                  | 0.8                     | 14 <sup>A</sup> , 5 <sup>A</sup> , 13 <sup>A</sup>      |
|        |                   | 500 µg               | 11                        | 3                  | 0.8                     | 9 <sup>A</sup> , 10 <sup>A</sup> , 14 <sup>A</sup>      |
|        |                   | 150 µg               | 11                        | 2                  | 0.8                     | 13 <sup>A</sup> , 10 <sup>A</sup> , 9 <sup>A</sup>      |
|        |                   | 50 µg                | 12                        | 6                  | 0.9                     | 8 <sup>A</sup> , 19 <sup>A</sup> , 8 <sup>A</sup>       |
|        | DMSO              | 50 µL                | 13                        | 2                  |                         | 11 <sup>A</sup> , 15 <sup>A</sup> , 14 <sup>A</sup>     |
| TA1537 | Phenylacetic Acid | 5000 µg              | 2                         | 2                  | 0.3                     | 4 <sup>A</sup> , 0 <sup>A</sup> , 3 <sup>A</sup>        |
|        |                   | 1500 µg              | 6                         | 2                  | 0.8                     | 5 <sup>A</sup> , 5 <sup>A</sup> , 9 <sup>A</sup>        |
|        |                   | 500 µg               | 5                         | 1                  | 0.6                     | 5 <sup>A</sup> , 6 <sup>A</sup> , 4 <sup>A</sup>        |
|        |                   | 150 µg               | 8                         | 5                  | 1.0                     | 5 <sup>A</sup> , 6 <sup>A</sup> , 14 <sup>A</sup>       |
|        |                   | 50 µg                | 7                         | 2                  | 0.9                     | 9 <sup>A</sup> , 5 <sup>A</sup> , 6 <sup>A</sup>        |
|        | DMSO              | 50 µL                | 8                         | 3                  |                         | 10 <sup>A</sup> , 5 <sup>A</sup> , 8 <sup>A</sup>       |

Table 5 cont.  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
Experiment: B3 Date Plated: 7/22/2011  
Exposure Method: Plate incorporation assay Evaluation Period: 7/26/2011

| Strain  | Article           | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------|-------------------|----------------------|---------------------------|--------------------|-------------------------|---|
| WP2uvrA | Phenylacetic Acid | 5000 µg              | 17                        | 6                  | 0.5                     | 14 <sup>A</sup> , 23 <sup>A</sup> , 13 <sup>A</sup>     |
|         |                   | 1500 µg              | 26                        | 6                  | 0.7                     | 20 <sup>A</sup> , 28 <sup>A</sup> , 31 <sup>A</sup>     |
|         |                   | 500 µg               | 25                        | 7                  | 0.7                     | 20 <sup>A</sup> , 23 <sup>A</sup> , 33 <sup>A</sup>     |
|         |                   | 150 µg               | 28                        | 9                  | 0.8                     | 29 <sup>A</sup> , 18 <sup>A</sup> , 36 <sup>A</sup>     |
|         |                   | 50 µg                | 27                        | 12                 | 0.7                     | 20 <sup>A</sup> , 20 <sup>A</sup> , 41 <sup>A</sup>     |
|         | DMSO              | 50 µL                | 37                        | 10                 |                         | 26 <sup>A</sup> , 41 <sup>A</sup> , 45 <sup>A</sup>     |
| TA98    | 2AA               | 1.0 µg               | 262                       | 25                 | 17.5                    | 240 <sup>A</sup> , 289 <sup>A</sup> , 256 <sup>A</sup>  |
| TA100   | 2AA               | 2.0 µg               | 823                       | 147                | 8.0                     | 705 <sup>A</sup> , 777 <sup>A</sup> , 988 <sup>A</sup>  |
| TA1535  | 2AA               | 1.0 µg               | 58                        | 3                  | 4.5                     | 61 <sup>A</sup> , 55 <sup>A</sup> , 57 <sup>A</sup>     |
| TA1537  | 2AA               | 1.0 µg               | 31                        | 14                 | 3.9                     | 18 <sup>A</sup> , 28 <sup>A</sup> , 46 <sup>A</sup>     |
| WP2uvrA | 2AA               | 15 µg                | 144                       | 8                  | 3.9                     | 149 <sup>A</sup> , 134 <sup>A</sup> , 148 <sup>A</sup>  |

Key to Positive Controls

2AA 2-aminoanthracene

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

### In Vitro Assays in Mammalian Cells

#### Study title: *In Vitro* Mammalian Chromosome Aberration Test

Study no.: (b) (4)  
Study report location: N/A  
Conducting laboratory and location: (b) (4)  
Date of study initiation: June 24, 2011  
GLP compliance: Yes  
QA statement: Yes  
Drug, lot #, and % purity: Phenylacetic Acid, STBB0962V and 99.6%

### Key Study Findings

#### Methods

Cell line: Chinese hamster ovary (CHO) cells  
Concentrations in definitive study: 116, 233, 466, 666, 950, and 1360 ug/ml  
Basis of concentration selection: cell growth inhibition  
Negative control: DMSO  
Positive control: Mitomycin C 0.1-0.2 ug/ml  
Cyclophosphamide 10-15 ug/ml  
Formulation/Vehicle: DMSO  
Incubation & sampling time: The cells were treated for 4 or 20 hours  
All cells were harvested 20 hours after treatment

### Study Validity

The positive and solvent controls met the requirements for a valid test.

### Results

The results indicated that phenylacetic acid did not significantly increase the proportion of cells with aberrations in the presence or absence of S-9.

### 3. Studies with Phenylacetylglutamine (PAGN)

#### In Vitro Reverse Mutation Assay in Bacterial Cells (Ames)

Study title: Bacterial Reverse Mutation Assay with

Study no.: (b) (4)

Study report location: N/A

Conducting laboratory and location: (b) (4)

Date of study initiation: July 6, 2011

GLP compliance: Yes

QA statement: Yes

Drug, lot #, and % purity: Phenylacetylglutamine (PAGN)  
UXW-M0053-SD2-1-6-41 and 90.98%

### Key Study Findings

## Methods

Strains: TA98, TA100, TA1535 and TA1537 and *Escherichia coli* WP2 *uvrA*

Concentrations in definitive study: 1.5, 5.0, 15, 50, 150, 500, 1500 and 5000 µg per plate

Basis of concentration selection: the maximum dose of 5000 µg per plate was tested.

Negative control: Water

Positive control: See table below

Formulation/Vehicle: Water

Incubation & sampling time: Incubated for 48-72 hours using the plate incorporation method



| Strain                  | S9 Activation | Positive Control   | Concentration (µg/plate) |
|-------------------------|---------------|--|--------------------------|
| TA98, TA1535 and TA1537 | Rat           | 2-aminoanthracene (b) (4)  | 1.0                      |
| TA100                   |               | Lot No. 03403ED<br>Exp. Date 22-Jan-2012<br>CAS No. 613-13-8<br>Purity 99.8% | 2.0                      |
| WP2 <i>uvrA</i>         |               |  | 15                       |
| TA98                    | None          | 2-nitrofluorene (b) (4)  | 1.0                      |
| TA100, TA1535           |               | sodium azide (b) (4)   | 1.0                      |
| TA1537                  |               | 9-aminoacridine (b) (4)  | 75                       |
| WP2 <i>uvrA</i>         |               | methyl methanesulfonate (b) (4)  | 1,000                    |

### Study Validity

The positive controls significantly increased the colonies compared to the solvent controls.

### Results

The results suggest that test article, phenylacetylglutamine (PAGN), was not mutagenic under the assay conditions. The results were summarized in the sponsor's tables below.

Table 3  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B2 Date Plated: 7/22/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 7/28/2011

| Strain  | Article | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------|---------|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98    | PAGN    | 5000 µg              | 14                        | 4                  | 1.0                     | 18 <sup>M</sup> , 11 <sup>M</sup> , 13 <sup>M</sup>     |
|         |         | 1500 µg              | 11                        | 2                  | 0.8                     | 9 <sup>M</sup> , 13 <sup>M</sup> , 12 <sup>M</sup>      |
|         |         | 500 µg               | 14                        | 4                  | 1.0                     | 14 <sup>M</sup> , 10 <sup>M</sup> , 17 <sup>M</sup>     |
|         |         | 150 µg               | 15                        | 5                  | 1.1                     | 9 <sup>M</sup> , 16 <sup>M</sup> , 19 <sup>M</sup>      |
|         |         | 50 µg                | 12                        | 4                  | 0.9                     | 16 <sup>M</sup> , 8 <sup>M</sup> , 13 <sup>M</sup>      |
|         | Water   | 100 µL               | 14                        | 3                  |                         | 11 <sup>M</sup> , 16 <sup>M</sup> , 14 <sup>M</sup>     |
| TA100   | PAGN    | 5000 µg              | 111                       | 6                  | 1.4                     | 107 <sup>A</sup> , 118 <sup>A</sup> , 109 <sup>A</sup>  |
|         |         | 1500 µg              | 104                       | 13                 | 1.3                     | 118 <sup>A</sup> , 103 <sup>A</sup> , 92 <sup>A</sup>   |
|         |         | 500 µg               | 101                       | 5                  | 1.2                     | 97 <sup>A</sup> , 99 <sup>A</sup> , 106 <sup>A</sup>    |
|         |         | 150 µg               | 89                        | 5                  | 1.1                     | WDN#, 92 <sup>A</sup> , 85 <sup>A</sup>                 |
|         |         | 50 µg                | 92                        | 16                 | 1.1                     | 85 <sup>A</sup> , 81 <sup>A</sup> , 110 <sup>A</sup>    |
|         | Water   | 100 µL               | 81                        | 10                 |                         | 85 <sup>A</sup> , 69 <sup>A</sup> , 88 <sup>A</sup>     |
| TA1535  | PAGN    | 5000 µg              | 15                        | 3                  | 1.1                     | 13 <sup>A</sup> , 19 <sup>A</sup> , 13 <sup>A</sup>     |
|         |         | 1500 µg              | 14                        | 1                  | 1.0                     | 15 <sup>A</sup> , 13 <sup>A</sup> , 13 <sup>A</sup>     |
|         |         | 500 µg               | 14                        | 1                  | 1.0                     | 13 <sup>A</sup> , 15 <sup>A</sup> , 13 <sup>A</sup>     |
|         |         | 150 µg               | 13                        | 5                  | 0.9                     | 19 <sup>A</sup> , 9 <sup>A</sup> , 11 <sup>A</sup>      |
|         |         | 50 µg                | 9                         | 5                  | 0.6                     | 11 <sup>A</sup> , 4 <sup>A</sup> , 13 <sup>A</sup>      |
|         | Water   | 100 µL               | 14                        | 3                  |                         | 11 <sup>A</sup> , 16 <sup>A</sup> , 15 <sup>A</sup>     |
| TA1537  | PAGN    | 5000 µg              | 4                         | 0                  | 0.7                     | 4 <sup>A</sup> , 4 <sup>A</sup> , 4 <sup>A</sup>        |
|         |         | 1500 µg              | 8                         | 1                  | 1.3                     | 8 <sup>A</sup> , 7 <sup>A</sup> , 8 <sup>A</sup>        |
|         |         | 500 µg               | 6                         | 3                  | 1.0                     | 3 <sup>A</sup> , 8 <sup>A</sup> , 8 <sup>A</sup>        |
|         |         | 150 µg               | 8                         | 4                  | 1.3                     | 12 <sup>A</sup> , 7 <sup>A</sup> , 4 <sup>A</sup>       |
|         |         | 50 µg                | 4                         | 1                  | 0.7                     | 3 <sup>A</sup> , 4 <sup>A</sup> , 5 <sup>A</sup>        |
|         | Water   | 100 µL               | 6                         | 1                  |                         | 7 <sup>A</sup> , 5 <sup>A</sup> , 5 <sup>A</sup>        |
| WP2uvrA | PAGN    | 5000 µg              | 23                        | 5                  | 0.7                     | 21 <sup>A</sup> , 19 <sup>A</sup> , 28 <sup>A</sup>     |
|         |         | 1500 µg              | 28                        | 4                  | 0.9                     | 32 <sup>A</sup> , 25 <sup>A</sup> , 28 <sup>A</sup>     |
|         |         | 500 µg               | 29                        | 3                  | 0.9                     | 32 <sup>A</sup> , 27 <sup>A</sup> , 29 <sup>A</sup>     |
|         |         | 150 µg               | 26                        | 5                  | 0.8                     | 28 <sup>A</sup> , 29 <sup>A</sup> , 20 <sup>A</sup>     |
|         |         | 50 µg                | 26                        | 4                  | 0.8                     | 29 <sup>A</sup> , 28 <sup>A</sup> , 21 <sup>A</sup>     |
|         | Water   | 100 µL               | 32                        | 8                  |                         | 37 <sup>A</sup> , 36 <sup>A</sup> , 23 <sup>A</sup>     |

## Key to Plate Postfix Codes

WD Water damaged plate  
 N# Not counted

Table 3 cont.  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B2 Date Plated: 7/22/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 7/28/2011

| Strain  | Article | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------|---------|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98    | 2NF     | 1.0 µg               | 314                       | 76                 | 22.4                    | 306 <sup>A</sup> , 243 <sup>A</sup> , 394 <sup>A</sup>  |
| TA100   | SA      | 1.0 µg               | 746                       | 239                | 9.2                     | 1008 <sup>A</sup> , 690 <sup>A</sup> , 540 <sup>A</sup> |
| TA1535  | SA      | 1.0 µg               | 636                       | 522                | 45.4                    | 33 <sup>A</sup> , 926 <sup>A</sup> , 948 <sup>A</sup>   |
| TA1537  | 9AAD    | 75 µg                | 707                       | 101                | 117.8                   | 633 <sup>A</sup> , 822 <sup>A</sup> , 666 <sup>A</sup>  |
| WP2uvrA | MMS     | 1000 µg              | 192                       | 11                 | 6.0                     | 194 <sup>A</sup> , 180 <sup>A</sup> , 202 <sup>A</sup>  |

Key to Positive Controls

2NF 2-nitrofluorene  
 SA sodium azide  
 9AAD 9-Aminoacridine  
 MMS methyl methanesulfonate

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

Table 4  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4)

Study Code: (b) (4)

Experiment: B2

Date Plated: 7/22/2011

Exposure Method: Plate incorporation assay

Evaluation Period: 7/28/2011

| Strain         | Article      | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|----------------|--------------|----------------------|---------------------------|--------------------|-------------------------|---|
| <b>TA98</b>    | <b>PAGN</b>  | 5000 µg              | 19                        | 3                  | 0.9                     | 16 <sup>M</sup> , 19 <sup>M</sup> , 21 <sup>M</sup>     |
|                |              | 1500 µg              | 24                        | 3                  | 1.1                     | 28 <sup>M</sup> , 22 <sup>M</sup> , 23 <sup>M</sup>     |
|                |              | 500 µg               | 19                        | 1                  | 0.9                     | 18 <sup>M</sup> , 19 <sup>M</sup> , 20 <sup>M</sup>     |
|                |              | 150 µg               | 22                        | 5                  | 1.0                     | 24 <sup>M</sup> , 26 <sup>M</sup> , 16 <sup>M</sup>     |
|                |              | 50 µg                | 24                        | 1                  | 1.1                     | 24 <sup>M</sup> , 25 <sup>M</sup> , 23 <sup>M</sup>     |
|                | <b>Water</b> | 100 µL               | 22                        | 3                  |                         | 21 <sup>M</sup> , 25 <sup>M</sup> , 20 <sup>M</sup>     |
| <b>TA100</b>   | <b>PAGN</b>  | 5000 µg              | 175                       | 1                  | 1.2                     | 176 <sup>A</sup> , 175 <sup>A</sup> , 174 <sup>A</sup>  |
|                |              | 1500 µg              | 162                       | 31                 | 1.1                     | 126 <sup>A</sup> , 175 <sup>A</sup> , 184 <sup>A</sup>  |
|                |              | 500 µg               | 160                       | 13                 | 1.1                     | 175 <sup>A</sup> , 151 <sup>A</sup> , 155 <sup>A</sup>  |
|                |              | 150 µg               | 164                       | 12                 | 1.1                     | 159 <sup>A</sup> , 156 <sup>A</sup> , 178 <sup>A</sup>  |
|                |              | 50 µg                | 145                       | 4                  | 1.0                     | 146 <sup>A</sup> , 149 <sup>A</sup> , 141 <sup>A</sup>  |
|                | <b>Water</b> | 100 µL               | 151                       | 14                 |                         | 135 <sup>A</sup> , 155 <sup>A</sup> , 162 <sup>A</sup>  |
| <b>TA1535</b>  | <b>PAGN</b>  | 5000 µg              | 16                        | 6                  | 0.9                     | 13 <sup>A</sup> , 23 <sup>A</sup> , 12 <sup>A</sup>     |
|                |              | 1500 µg              | 11                        | 6                  | 0.6                     | 16 <sup>A</sup> , 4 <sup>A</sup> , 12 <sup>A</sup>      |
|                |              | 500 µg               | 14                        | 7                  | 0.8                     | 7 <sup>A</sup> , 20 <sup>A</sup> , 15 <sup>A</sup>      |
|                |              | 150 µg               | 14                        | 6                  | 0.8                     | 19 <sup>A</sup> , 8 <sup>A</sup> , 16 <sup>A</sup>      |
|                |              | 50 µg                | 13                        | 5                  | 0.7                     | 16 <sup>A</sup> , 16 <sup>A</sup> , 7 <sup>A</sup>      |
|                | <b>Water</b> | 100 µL               | 18                        | 6                  |                         | 24 <sup>A</sup> , 17 <sup>A</sup> , 13 <sup>A</sup>     |
| <b>TA1537</b>  | <b>PAGN</b>  | 5000 µg              | 12                        | 6                  | 1.3                     | 7 <sup>A</sup> , 19 <sup>A</sup> , 11 <sup>A</sup>      |
|                |              | 1500 µg              | 8                         | 4                  | 0.9                     | 8 <sup>A</sup> , 11 <sup>A</sup> , 4 <sup>A</sup>       |
|                |              | 500 µg               | 14                        | 1                  | 1.6                     | 13 <sup>A</sup> , 15 <sup>A</sup> , 15 <sup>A</sup>     |
|                |              | 150 µg               | 6                         | 2                  | 0.7                     | 4 <sup>A</sup> , 8 <sup>A</sup> , 7 <sup>A</sup>        |
|                |              | 50 µg                | 12                        | 5                  | 1.3                     | 11 <sup>A</sup> , 8 <sup>A</sup> , 17 <sup>A</sup>      |
|                | <b>Water</b> | 100 µL               | 9                         | 2                  |                         | 8 <sup>A</sup> , 8 <sup>A</sup> , 11 <sup>A</sup>       |
| <b>WP2uvrA</b> | <b>PAGN</b>  | 5000 µg              | 23                        | 3                  | 1.0                     | 25 <sup>A</sup> , 24 <sup>A</sup> , 19 <sup>A</sup>     |
|                |              | 1500 µg              | 26                        | 10                 | 1.1                     | 21 <sup>A</sup> , 20 <sup>A</sup> , 37 <sup>A</sup>     |
|                |              | 500 µg               | 31                        | 9                  | 1.3                     | 31 <sup>A</sup> , 23 <sup>A</sup> , 40 <sup>A</sup>     |
|                |              | 150 µg               | 32                        | 8                  | 1.3                     | 41 <sup>A</sup> , 25 <sup>A</sup> , 31 <sup>A</sup>     |
|                |              | 50 µg                | 23                        | 10                 | 1.0                     | 13 <sup>A</sup> , 32 <sup>A</sup> , 24 <sup>A</sup>     |
|                | <b>Water</b> | 100 µL               | 24                        | 4                  |                         | 23 <sup>A</sup> , 29 <sup>A</sup> , 21 <sup>A</sup>     |

Table 4 cont.  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
Experiment: B2 Date Plated: 7/22/2011  
Exposure Method: Plate incorporation assay Evaluation Period: 7/28/2011

| Strain  | Article | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------|---------|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98    | 2AA     | 1.0 µg               | 298                       | 18                 | 13.5                    | 293 <sup>A</sup> , 282 <sup>A</sup> , 318 <sup>A</sup>  |
| TA100   | 2AA     | 2.0 µg               | 750                       | 77                 | 5.0                     | 814 <sup>A</sup> , 772 <sup>A</sup> , 664 <sup>A</sup>  |
| TA1535  | 2AA     | 1.0 µg               | 124                       | 52                 | 6.9                     | 66 <sup>A</sup> , 167 <sup>A</sup> , 139 <sup>A</sup>   |
| TA1537  | 2AA     | 1.0 µg               | 42                        | 11                 | 4.7                     | 33 <sup>A</sup> , 40 <sup>A</sup> , 54 <sup>A</sup>     |
| WP2uvrA | 2AA     | 15 µg                | 87                        | 18                 | 3.6                     | 70 <sup>A</sup> , 105 <sup>A</sup> , 85 <sup>A</sup>    |

Key to Positive Controls

2AA 2-aminoanthracene

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

### In Vitro Assays in Mammalian Cells

Study title: *In Vitro* Mammalian Chromosome Aberration Test

Study no.: (b) (4)

Study report location: N/A

Conducting laboratory and location: (b) (4)

Date of study initiation: June 27, 2011

GLP compliance: Yes

QA statement: Yes

Drug, lot #, and % purity: Phenylacetylglutamine (PAGN)  
UXW-M0053-SD2-1-6-41 and 90.98%

### Key Study Findings

#### Methods

Cell line: Chinese hamster ovary (CHO) cells  
Concentrations in definitive study: 520, 1040, 1480, 2110, and 2643 µg/ml with and without S-9  
Basis of concentration selection: cell growth inhibition  
Negative control: Water  
Positive control: Mitomycin C 0.1-0.2 µg/ml  
Cyclophosphamide 10-15 µg/ml

Formulation/Vehicle: Water  
Incubation & sampling time: The cells were treated for 4 or 20 hours.  
All cells were harvested 20 hours after treatment

### Study Validity

The positive and solvent controls fulfilled the requirements for a valid test.

### Results

The results indicated that phenylacetylglutamine (PAGN) did not significantly increase the proportion of cells with aberrations in the presence or absence of S-9.

## 4. Studies with Phenylacetylglutamine (PAG)

### In Vitro Reverse Mutation Assay in Bacterial Cells (Ames)

**Study title:** Bacterial Reverse Mutation Assay with n-Phenylacetylglutamine (Phenaceturic Acid)

|                                     |   |
|-------------------------------------|---|
| Study no.:                          | (b) (4)   |
| Study report location:              | N/A   |
| Conducting laboratory and location: | (b) (4)   |
| Date of study initiation:           | September 13, 2011                                  |
| GLP compliance:                     | Yes   |
| QA statement:                       | Yes   |
| Drug, lot #, and % purity:          | n-Phenylacetylglutamine (Phenaceturic Acid) / FC002 |

### Key Study Findings

## Methods

Strains: TA98, TA100, TA1535 and TA1537 and *Escherichia coli* WP2 *uvrA*

Concentrations in definitive study: 1.5, 5.0, 15, 50, 150, 500, 1500 and 5000 µg per plate

Basis of concentration selection: the maximum dose of 5000 µg per plate was tested.

Negative control: Dimethyl sulfoxide (DMSO)

Positive control: See table below

Formulation/Vehicle: DMSO

Incubation & sampling time: Incubated for 48-72 hours using the plate incorporation method

| Strain                  | S9 Activation              | Positive Control  | Concentration (µg/plate) |
|-------------------------|----------------------------|---|--------------------------|
| TA98, TA1535 and TA1537 | Rat                        | 2-aminoanthracene<br>(b) (4)  | 1.0                      |
| TA100                   |                            | Lot No. 03403ED<br>Exp. Date 22-Jan-2012  | 2.0                      |
| WP2 <i>uvrA</i>         |                            | CAS No. 613-13-8<br>Purity 99.8%  | 15                       |
| TA98                    | None                       | 2-nitrofluorene<br>(b) (4)  | 1.0                      |
| TA100, TA1535           |                            | Lot No. S43858<br>Exp. Date 31-Jan-2014<br>CAS No. 607-57-8<br>Purity 97.9%     | 1.0                      |
|                         |                            | sodium azide<br>(b) (4)   |                          |
| TA1537                  |                            | Lot No. A23U048<br>Exp. Date 04-Dec-2012<br>CAS No. 26628-22-8<br>Purity 100.0% | 75                       |
| WP2 <i>uvrA</i>         | 9-aminoacridine<br>(b) (4) | 1,000   |                          |
|                         |                            | Lot No. 07620TD<br>Exp. Date 31-Nov-2013<br>CAS No. 52417-22-8<br>Purity 99.9%  |                          |
|                         |                            | methyl methanesulfonate<br>(b) (4)  |                          |
|                         |                            | Lot No. A0274779<br>Exp. Date 05-Jan-2013<br>CAS No. 66-27-3<br>Purity 99.8%    |                          |

### Study Validity

The positive controls significantly increased the colonies compared to the solvent controls.

### Results

The results suggest that the test article, n-phenylacetyl glycine, was not mutagenic under the assay conditions. The results were summarized in the sponsor's table below.



Table 3  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B2 Date Plated: 9/29/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 10/3/2011

| Strain | Article                                     | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|--------|---|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98   | n-Phenylacetylglutamine (Phenaceturic Acid) | 5000 µg              | 15                        | 4                  | 0.8                     | 12 <sup>A</sup> , 19 <sup>A</sup> , 15 <sup>A</sup>     |
|        |   | 1500 µg              | 15                        | 2                  | 0.8                     | 13 <sup>A</sup> , 16 <sup>A</sup> , 17 <sup>A</sup>     |
|        |   | 500 µg               | 19                        | 10                 | 1.0                     | 29 <sup>A</sup> , 9 <sup>A</sup> , 19 <sup>A</sup>      |
|        |   | 150 µg               | 16                        | 5                  | 0.8                     | 12 <sup>A</sup> , 21 <sup>A</sup> , 15 <sup>A</sup>     |
|        |   | 50 µg                | 14                        | 3                  | 0.7                     | 17 <sup>A</sup> , 11 <sup>A</sup> , 15 <sup>A</sup>     |
|        | DMSO  | 50 µL                | 20                        | 4                  |                         | 20 <sup>A</sup> , 17 <sup>A</sup> , 24 <sup>A</sup>     |
| TA100  | n-Phenylacetylglutamine (Phenaceturic Acid) | 5000 µg              | 89                        | 16                 | 1.0                     | 105 <sup>A</sup> , 89 <sup>A</sup> , 74 <sup>A</sup>    |
|        |   | 1500 µg              | 93                        | 10                 | 1.1                     | 94 <sup>A</sup> , 82 <sup>A</sup> , 102 <sup>A</sup>    |
|        |   | 500 µg               | 89                        | 8                  | 1.0                     | 98 <sup>A</sup> , 84 <sup>A</sup> , 84 <sup>A</sup>     |
|        |   | 150 µg               | 88                        | 14                 | 1.0                     | 101 <sup>A</sup> , 73 <sup>A</sup> , 89 <sup>A</sup>    |
|        |   | 50 µg                | 90                        | 19                 | 1.0                     | 109 <sup>A</sup> , 72 <sup>A</sup> , 90 <sup>A</sup>    |
|        | DMSO  | 50 µL                | 87                        | 7                  |                         | 84 <sup>A</sup> , 81 <sup>A</sup> , 95 <sup>A</sup>     |
| TA1535 | n-Phenylacetylglutamine (Phenaceturic Acid) | 5000 µg              | 11                        | 2                  | 0.8                     | 13 <sup>A</sup> , 12 <sup>A</sup> , 9 <sup>A</sup>      |
|        |   | 1500 µg              | 14                        | 6                  | 1.1                     | 12 <sup>A</sup> , 9 <sup>A</sup> , 20 <sup>A</sup>      |
|        |   | 500 µg               | 10                        | 5                  | 0.8                     | 16 <sup>A</sup> , 8 <sup>A</sup> , 7 <sup>A</sup>       |
|        |   | 150 µg               | 13                        | 4                  | 1.0                     | 15 <sup>A</sup> , 15 <sup>A</sup> , 8 <sup>A</sup>      |
|        |   | 50 µg                | 10                        | 1                  | 0.8                     | 9 <sup>A</sup> , 9 <sup>A</sup> , 11 <sup>A</sup>       |
|        | DMSO  | 50 µL                | 13                        | 4                  |                         | 9 <sup>A</sup> , 13 <sup>A</sup> , 16 <sup>A</sup>      |
| TA1537 | n-Phenylacetylglutamine (Phenaceturic Acid) | 5000 µg              | 4                         | 1                  | 0.8                     | 4 <sup>A</sup> , 4 <sup>A</sup> , 5 <sup>A</sup>        |
|        |   | 1500 µg              | 3                         | 3                  | 0.6                     | 0 <sup>A</sup> , 5 <sup>A</sup> , 3 <sup>A</sup>        |
|        |   | 500 µg               | 8                         | 4                  | 1.6                     | 5 <sup>A</sup> , 7 <sup>A</sup> , 12 <sup>A</sup>       |
|        |   | 150 µg               | 7                         | 2                  | 1.4                     | 7 <sup>A</sup> , 9 <sup>A</sup> , 5 <sup>A</sup>        |
|        |   | 50 µg                | 4                         | 3                  | 0.8                     | 7 <sup>A</sup> , 3 <sup>A</sup> , 1 <sup>A</sup>        |
|        | DMSO  | 50 µL                | 5                         | 1                  |                         | 5 <sup>A</sup> , 4 <sup>A</sup> , 5 <sup>A</sup>        |

Table 3 cont.  
Confirmatory Mutagenicity Assay without S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
Experiment: B2 Date Plated: 9/29/2011  
Exposure Method: Plate incorporation assay Evaluation Period: 10/3/2011

| Strain  | Article                                    | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------|--|----------------------|---------------------------|--------------------|-------------------------|---|
| WP2uvrA | n-Phenylacetyl glycine (Phenaceturic Acid) | 5000 µg              | 23                        | 5                  | 0.9                     | 28 <sup>A</sup> , 19 <sup>A</sup> , 21 <sup>A</sup>     |
|         |  | 1500 µg              | 23                        | 3                  | 0.9                     | 27 <sup>A</sup> , 21 <sup>A</sup> , 21 <sup>A</sup>     |
|         |  | 500 µg               | 21                        | 2                  | 0.8                     | 24 <sup>A</sup> , 20 <sup>A</sup> , 20 <sup>A</sup>     |
|         |  | 150 µg               | 25                        | 3                  | 1.0                     | 27 <sup>A</sup> , 21 <sup>A</sup> , 27 <sup>A</sup>     |
|         |  | 50 µg                | 18                        | 3                  | 0.7                     | 20 <sup>A</sup> , 15 <sup>A</sup> , 19 <sup>A</sup>     |
|         | DMSO                                       | 50 µL                | 25                        | 9                  |                         | 34 <sup>A</sup> , 25 <sup>A</sup> , 17 <sup>A</sup>     |
| TA98    | 2NF  | 1.0 µg               | 175                       | 5                  | 8.8                     | 174 <sup>A</sup> , 170 <sup>A</sup> , 180 <sup>A</sup>  |
| TA100   | SA   | 1.0 µg               | 788                       | 42                 | 9.1                     | 765 <sup>A</sup> , 837 <sup>A</sup> , 763 <sup>A</sup>  |
| TA1535  | SA   | 1.0 µg               | 571                       | 18                 | 43.9                    | 550 <sup>A</sup> , 578 <sup>A</sup> , 584 <sup>A</sup>  |
| TA1537  | 9AAD                                       | 75 µg                | 202                       | 43                 | 40.4                    | 232 <sup>A</sup> , 153 <sup>A</sup> , 221 <sup>A</sup>  |
| WP2uvrA | MMS  | 1000 µg              | 337                       | 19                 | 13.5                    | 328 <sup>A</sup> , 325 <sup>A</sup> , 359 <sup>A</sup>  |

Key to Positive Controls

2NF 2-nitrofluorene  
SA sodium azide  
9AAD 9-Aminoacridine  
MMS methyl methanesulfonate

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

Table 4  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
 Experiment: B2 Date Plated: 9/29/2011  
 Exposure Method: Plate incorporation assay Evaluation Period: 10/3/2011

| Strain | Article                                    | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|--------|--|----------------------|---------------------------|--------------------|-------------------------|---|
| TA98   | n-Phenylacetyl glycine (Phenaceturic Acid) | 5000 µg              | 17                        | 6                  | 1.0                     | 23 <sup>A</sup> , 17 <sup>A</sup> , 12 <sup>A</sup>     |
|        |  | 1500 µg              | 21                        | 5                  | 1.2                     | 15 <sup>A</sup> , 24 <sup>A</sup> , 24 <sup>A</sup>     |
|        |  | 500 µg               | 24                        | 1                  | 1.4                     | 25 <sup>A</sup> , 24 <sup>A</sup> , 23 <sup>A</sup>     |
|        |  | 150 µg               | 19                        | 6                  | 1.1                     | 23 <sup>A</sup> , 23 <sup>A</sup> , 12 <sup>A</sup>     |
|        |  | 50 µg                | 21                        | 5                  | 1.2                     | 15 <sup>A</sup> , 23 <sup>A</sup> , 24 <sup>A</sup>     |
|        | DMSO                                       | 50 µL                | 17                        | 1                  |                         | 16 <sup>A</sup> , 17 <sup>A</sup> , 17 <sup>A</sup>     |
| TA100  | n-Phenylacetyl glycine (Phenaceturic Acid) | 5000 µg              | 129                       | 8                  | 1.0                     | 123 <sup>A</sup> , 138 <sup>A</sup> , 125 <sup>A</sup>  |
|        |  | 1500 µg              | 115                       | 12                 | 0.9                     | 111 <sup>A</sup> , 105 <sup>A</sup> , 129 <sup>A</sup>  |
|        |  | 500 µg               | 125                       | 3                  | 1.0                     | 126 <sup>A</sup> , 127 <sup>A</sup> , 121 <sup>A</sup>  |
|        |  | 150 µg               | 131                       | 20                 | 1.0                     | 117 <sup>A</sup> , 153 <sup>A</sup> , 122 <sup>A</sup>  |
|        |  | 50 µg                | 130                       | 7                  | 1.0                     | 137 <sup>A</sup> , 131 <sup>A</sup> , 123 <sup>A</sup>  |
|        | DMSO                                       | 50 µL                | 127                       | 7                  |                         | 131 <sup>A</sup> , 130 <sup>A</sup> , 119 <sup>A</sup>  |
| TA1535 | n-Phenylacetyl glycine (Phenaceturic Acid) | 5000 µg              | 11                        | 3                  | 0.8                     | 8 <sup>A</sup> , 13 <sup>A</sup> , 11 <sup>A</sup>      |
|        |  | 1500 µg              | 14                        | 4                  | 1.1                     | 11 <sup>A</sup> , 12 <sup>A</sup> , 19 <sup>A</sup>     |
|        |  | 500 µg               | 8                         | 5                  | 0.6                     | 8 <sup>A</sup> , 13 <sup>A</sup> , 4 <sup>A</sup>       |
|        |  | 150 µg               | 11                        | 4                  | 0.8                     | 9 <sup>A</sup> , 15 <sup>A</sup> , 8 <sup>A</sup>       |
|        |  | 50 µg                | 11                        | 3                  | 0.8                     | 7 <sup>A</sup> , 13 <sup>A</sup> , 12 <sup>A</sup>      |
|        | DMSO                                       | 50 µL                | 13                        | 7                  |                         | 7 <sup>A</sup> , 13 <sup>A</sup> , 20 <sup>A</sup>      |
| TA1537 | n-Phenylacetyl glycine (Phenaceturic Acid) | 5000 µg              | 4                         | 3                  | 0.6                     | 1 <sup>A</sup> , 5 <sup>A</sup> , 7 <sup>A</sup>        |
|        |  | 1500 µg              | 9                         | 3                  | 1.3                     | 12 <sup>A</sup> , 7 <sup>A</sup> , 8 <sup>A</sup>       |
|        |  | 500 µg               | 7                         | 2                  | 1.0                     | 8 <sup>A</sup> , 4 <sup>A</sup> , 8 <sup>A</sup>        |
|        |  | 150 µg               | 10                        | 4                  | 1.4                     | 15 <sup>A</sup> , 9 <sup>A</sup> , 7 <sup>A</sup>       |
|        |  | 50 µg                | 8                         | 4                  | 1.1                     | 4 <sup>A</sup> , 9 <sup>A</sup> , 11 <sup>A</sup>       |
|        | DMSO                                       | 50 µL                | 7                         | 2                  |                         | 5 <sup>A</sup> , 8 <sup>A</sup> , 8 <sup>A</sup>        |

Table 4 cont.  
Confirmatory Mutagenicity Assay with S9 activation

Study Number: (b) (4) Study Code: (b) (4)  
Experiment: B2 Date Plated: 9/29/2011  
Exposure Method: Plate incorporation assay Evaluation Period: 10/3/2011

| Strain  | Article                                    | Dose level per plate | Mean revertants per plate | Standard Deviation | Ratio treated / solvent | Individual revertant colony counts and background codes |
|---------|--|----------------------|---------------------------|--------------------|-------------------------|---|
| WP2uvrA | n-Phenylacetyl-glycine (Phenaceturic Acid) | 5000 µg              | 22                        | 11                 | 0.8                     | 32 <sup>A</sup> , 11 <sup>A</sup> , 24 <sup>A</sup>     |
|         |  | 1500 µg              | 27                        | 10                 | 1.0                     | 36 <sup>A</sup> , 29 <sup>A</sup> , 17 <sup>A</sup>     |
|         |  | 500 µg               | 22                        | 2                  | 0.8                     | 20 <sup>A</sup> , 24 <sup>A</sup> , 23 <sup>A</sup>     |
|         |  | 150 µg               | 30                        | 6                  | 1.1                     | 27 <sup>A</sup> , 37 <sup>A</sup> , 25 <sup>A</sup>     |
|         |  | 50 µg                | 27                        | 7                  | 1.0                     | 34 <sup>A</sup> , 27 <sup>A</sup> , 21 <sup>A</sup>     |
|         | DMSO                                       | 50 µL                | 28                        | 6                  |                         | 33 <sup>A</sup> , 29 <sup>A</sup> , 21 <sup>A</sup>     |
| TA98    | 2AA  | 1.0 µg               | 295                       | 8                  | 17.4                    | 298 <sup>A</sup> , 286 <sup>A</sup> , 302 <sup>A</sup>  |
| TA100   | 2AA  | 2.0 µg               | 664                       | 19                 | 5.2                     | 642 <sup>A</sup> , 679 <sup>A</sup> , 671 <sup>A</sup>  |
| TA1535  | 2AA  | 1.0 µg               | 56                        | 13                 | 4.3                     | 41 <sup>A</sup> , 65 <sup>A</sup> , 61 <sup>A</sup>     |
| TA1537  | 2AA  | 1.0 µg               | 50                        | 7                  | 7.1                     | 54 <sup>A</sup> , 42 <sup>A</sup> , 54 <sup>A</sup>     |
| WP2uvrA | 2AA  | 15 µg                | 254                       | 16                 | 9.1                     | 256 <sup>A</sup> , 268 <sup>A</sup> , 237 <sup>A</sup>  |

Key to Positive Controls

2AA 2-aminoanthracene

Key to Automatic & Manual Count Flags

<sup>M</sup>: Manual count      <sup>A</sup>: Automatic count

## In Vitro Assays in Mammalian Cells

### Study title: *In Vitro* Mammalian Chromosome Aberration Test

Study no.: (b) (4)  
Study report location: N/A  
Conducting laboratory and location: (b) (4)  
Date of study initiation: September 9, 2011  
GLP compliance: Yes  
QA statement: Yes  
Drug, lot #, and % purity: n-Phenylacetyl-glycine (phenaceturic Acid)  
FC002

## Key Study Findings

**Methods**

Cell line: Chinese hamster ovary (CHO) cells  
 Concentrations in definitive study: 80, 162, 324, 460, 660, 950, 1350, and 1932 µg/ml with and without S-9  
 Basis of concentration selection: cell growth inhibition  
 Negative control: DMSO  
 Positive control: Mitomycin C 0.1-0.2 µg/ml  
 Cyclophosphamide 10-15 µg/ml  
 Formulation/Vehicle: DMSO  
 Incubation & sampling time: The cells were treated for 4 or 20 hours. All cells were harvested 20 hours after treatment

**Study Validity**

The positive and solvent controls fulfilled the requirements for a valid test.

**Results**

The results indicated that n-phenylacetyl glycine did not significantly increase the proportion of cells with aberrations in the presence or absence of S-9.

**7 Carcinogenicity****MOUSE:**

**Study title:** HPN-100 (Glyceryl Tri-(4-Phenylbutyrate) [GT4P]: 26-Week Repeated Dose Oral Carcinogenicity Study in Tg.rasH2 Mice

Study no.: (b) (4)  
 Study report location: N/A  
 Conducting laboratory and location: (b) (4)  
 Date of study initiation: March 15, 2010  
 (report dated May 03, 2011)  
 GLP compliance: Yes  
 QA statement: Yes  
 Drug, lot #, and % purity: Lot # XA210B; 99.0%  
 CAC concurrence: Yes (see meeting minutes from July 17, 2012 in Appendix)

**Key Study Findings**

HPN-100 was well tolerated at all dose levels (600 and 1000 mg/kg/day). The FDA statistical review concluded that HPN-100 did not produce any significant increase in tumor incidence.

### **Adequacy of Carcinogenicity Study**

The carcinogenicity study was conducted appropriately.

### **Appropriateness of Test Models**

The test model was appropriate.

### **Evaluation of Tumor Findings**

The tumor incidences were within the historical control ranges from the testing laboratory. No statistically significant increase in tumors was observed in groups treated with HPN-100. Treatment with urethane (positive control) produced a high incidence of lung tumors and hemangiosarcoma in spleen. The Executive Carcinogenicity Assessment Committee concluded that there were no drug-related neoplasms.

### **Methods**

|                                   |  |
|-----------------------------------|--|
| Doses:                            | 0 (water), 0 (water), 600, and 1000 mg/kg/day;<br>1000 mg/kg urethane (positive control)               |
| Frequency of dosing:              | daily  |
| Dose volume:                      | 0.91, 0.91, 0.54, and 0.91 ml/kg   |
| Route of administration:          | oral   |
| Formulation/Vehicle:              | HPN-100 was given as a neat liquid   |
| Basis of dose selection:          | MTD and minimum feasible dose (see<br>Executive CAC minutes from February 16,<br>2010 in the appendix) |
| Species/Strain:                   | Hemizygous Tg.rasH2 mice<br>Males (20.7-26.3 g)<br>Females (15.1-21.1 g)                               |
| Number/Sex/Group:                 | 25   |
| Age:                              | 9 weeks  |
| Animal housing:                   | individually   |
| Paradigm for dietary restriction: | none   |
| Dual control employed:            | no   |
| Interim sacrifice:                | no   |
| Satellite groups:                 | 5/sex/group  |
| Deviation from study protocol:    | Deviations did not have a significant impact on<br>the study outcome.                                  |

Mice were treated with HPN-100 (neat) at dose levels of 600 and 1000 mg/kg/day via oral gavage for 26 weeks. The dose levels were recommended by the Executive

Carcinogenicity Assessment Committee, based on MTD and the minimum feasible dose. Two water control groups were included. The positive control animals received urethane at 1000 mg/kg via intraperitoneal injection on Days 1, 3 and 5. The toxicokinetic evaluation (exposure study) was conducted in hybrid CByB6F1 (nontransgenic) mice (5 mice/sex/group). The study design was summarized in Text Table 7 from the study report (shown below).

**Text Table 7. Experimental Design for Carcinogenicity Assessment and Exposure to HPN-100 in Mice**

| Group                         | Treatment        | Dose levels<br>(mg/kg/day) | Dose Volume<br>(mL/kg/day) | Number of Animals        |        |  |        |
|-------------------------------|------------------|----------------------------|----------------------------|--------------------------|--------|--|--------|
|                               |                  |                            |                            | Main Study<br>(Tg.rasH2) |        | Exposure Study<br>(wild type<br>littermates)** |        |
|                               |                  |                            |                            | Male                     | Female | Male   | Female |
| Group 1<br>(Water<br>Control) | Water<br>Control | 0                          | 0.91                       | 25                       | 25     | 5  | 5      |
| Group 2<br>(water<br>Control) | Water<br>Control | 0                          | 0.91                       | 25                       | 25     | 5<br>-   | 5<br>- |
| Group 3<br>(Low<br>Dose)      | HPN-100          | 600                        | 0.54                       | 25                       | 25     | 5  | 5      |
| Group 4<br>(High<br>Dose)     | HPN-100          | 1000                       | 0.91                       | 25                       | 25     | 5  | 5      |
| Group 5                       | urethane         | 1000<br>(urethane)*        | 10                         | 16                       | 15     | -  | -      |
| Total                         |                  |                            |                            | 116                      | 115    | 20   | 20     |

\*The positive control animals were administered a total of 3 intraperitoneal (i.p.) injections (one each on Study Days (SD) 1, 3, and 5).

\*\*Exposure bleeds were performed on Day 183 or 184 (3 mice/sex, except 5 males for Group 1, at 2 hours post-dose). Extra animals (2/sex) were assigned to the study to try to ensure that adequate animals were available at the end of the study.

Clinical signs of toxicity and mortality were observed daily. Body weights and food consumption were determined. All animals were necropsied at termination. Complete histopathological examination was performed on all treated animals in all main study groups. The tumor data were analyzed using the Peto prevalence method, Peto death rate method, and Peto combined analysis (incidental and fatal tumors).

## Observations and Results

**Mortality:** Two high-dose females died before study termination. Malignant tumors were found in these females and were considered as the cause of death (multicentric

lymphoma in the female that died on day 49, and primary malignant hemangiosarcoma of the liver in the female that died on day 164). One control female was found dead on day 181. This female had malignant multicentric mesothelioma of the nasal cavity and lung. One low-dose male was found dead on day 28. This male had axonal degeneration of the spinal cord.

The mortality information was summarized in the following table (taken from the study report).



TABLE 1 - SUMMARY OF MORTALITY (MAIN STUDY)

MALES

| Day of Death              | Mode of Death                                  | Group 1      | Group 2      | Group 3      | Group 4      | Group 5*      | COD   |
|---------------------------|--|--------------|--------------|--------------|--------------|---------------|-------|
| Day 28                    | Found Dead                                     | -            | -            | 1/25         | -            | -             | SPINE |
| Various Days              | Positive Control                               |              |              |              |              |               |       |
| Between Day 6 and Day 107 | Early Death (Found Dead or Moribund Sacrifice) | -            | -            | -            | -            | 6             | PC††  |
| Day 110                   | Scheduled sacrifice                            | -            | -            | -            | -            | 1             |       |
| Day 117                   | Scheduled Sacrifice                            | -            | -            | -            | -            | 9             |       |
| Day 183 or 184            | Terminal Sacrifice                             | 25/25        | 25/25        | 24/25        | 25/25        | -             |       |
|                           | <b>TOTAL:</b>                                  | <b>25/25</b> | <b>25/25</b> | <b>25/25</b> | <b>25/25</b> | <b>16/16†</b> |       |

FEMALES

| Day of Death               | Mode of Death                                  | Group 1      | Group 2      | Group 3      | Group 4      | Group 5*     | COD   |
|----------------------------|--|--------------|--------------|--------------|--------------|--------------|-------|
| Day 49                     | Morbund Sacrifice                              | -            | -            | -            | 1/25         | -            | LYMPH |
| Day 164                    | Found Dead                                     | -            | -            | -            | 1/25         | -            | HEMAN |
| Day 181                    | Found Dead                                     | 1/25         | -            | -            | -            | -            | MESO  |
| Various Days               | Positive Control                               |              |              |              |              |              |       |
| Between Day 43 and Day 114 | Early Death (Found Dead or Moribund Sacrifice) | -            | -            | -            | -            | 8            | PC    |
| Day 115                    | Scheduled Sacrifice                            | -            | -            | -            | -            | 7            |       |
| Day 183 or 184             | Terminal Sacrifice                             | 24/25        | 25/25        | 25/25        | 23/25        | -            |       |
|                            | <b>TOTAL:</b>                                  | <b>25/25</b> | <b>25/25</b> | <b>25/25</b> | <b>25/25</b> | <b>15/15</b> |       |

COD = Cause of Early Death      PC: the expected sequelae of the positive control caused early death

MESO: nasal cavity, lungs with bronchi and trachea: mesothelioma; malignant; multicentric

SPINE: spinal cord, thoracic & lumbar; degeneration; axonal

LYMPH: malignant lymphoma, multicentric      HEMAN: liver; hemangiosarcoma; malignant; primary

Notes: Represents the number of animals affected / the number of animals started on test.

There was no evidence of gavage error in any animal that died early.

†An extra male was added to Group 5 to replace an animal that was found dead on Day 6.

†† The cause of death for #2103 was entered as "undetermined" by the pathologist, but is still considered to be caused by treatment with the test article.

\*p<0.05 (Fisher's Exact Test): Early death in this group was significantly increased compared to the vehicle control mice (Group 1 and 2 combined).

Nominal Dose:      Group 1 - 0 mg/kg/day      Group 2 - 0 mg/kg/day  
                          Group 3 - 600 mg/kg/day      Group 4 - 1000 mg/kg/day  
                          Group 5 - positive control (urethane, 1000 mg/kg via i.p. administration one each on Days 1, 3 and 5)

**Clinical Signs:** Hyperactivity was significantly increased in the treatment groups as compared to the control groups.

**Body Weight:** The initial and final body weights for the control animals were 23.1-23.4 and 27-27.4 g, respectively, for males and 18.4-18.7 and 22.4-22.7 g, respectively, for females. The terminal body weight gains were 3.98, 3.88, 3.42, 4.72 g in control 1,

control 2, low, and high dose males, respectively, and 3.88, 3.86, 4.24, 4.8 g in control 1, control 2, low, and high dose females, respectively. The body weight gain was higher in the high dose males, and in females in both HPN-100-treated groups, as compared to the controls. The growth curves were not provided.

**Feed Consumption:** There were no treatment-related changes.

**Gross Pathology:** No treatment-related changes were observed.

## Histopathology

**Peer Review:** Yes.

**Non-Neoplastic Changes:** There were no treatment-related changes.

## **Neoplastic Changes:**

The positive control article produced a marked increase in the incidence of lung tumors, whereas HPN-100 had no effects on the incidence of lung tumors. The incidence of pulmonary tumors was summarized in the following table (taken from the study report).

### Lung Tumors

**Text Table 2**

| MALE              |         |         |         |         |         |     |
|-------------------|---------|---------|---------|---------|---------|-----|
|                   | Group 1 | Group 2 | Group 3 | Group 4 | Group 5 | HCR |
| Adenoma, single   | 3       | 5       | 0       | 1       | 0       | 0-6 |
| Adenoma, multiple | 0       | 0       | 0       | 1       | 15      | 0-1 |
| Carcinoma         | 0       | 0       | 0       | 0       | 6       | 0-2 |
| All Lung Tumors   | 3       | 5       | 0       | 2       | 15*     | 0-6 |
| FEMALE            |         |         |         |         |         |     |
|                   | Group 1 | Group 2 | Group 3 | Group 4 | Group 5 | HCR |
| Adenoma, single   | 1       | 1       | 0       | 2       | 0       | 0-6 |
| Adenoma, multiple | 0       | 0       | 0       | 0       | 15      | 0-1 |
| Carcinoma         | 0       | 0       | 0       | 0       | 9       | 0-1 |
| All Lung Tumors   | 1       | 1       | 0       | 2       | 15*     | 0-6 |

Dose group 1: water control

Dose group 2: water control

Dose group 3: HPN-100, 600 mg/kg/day

Dose group 4: HPN-100, 1000 mg/kg/day

Dose group 5: Urethane

Number of animals examined: 25, groups 1, 2, 3 and 4

Number of animals examined: 15, group 5

HCR: Historical Control Range for vehicle control animals (See [Appendix 2](#)).

\*: Multiple adenomas and/or carcinomas were present in some of the same animals in Urethane treated mice

The positive control article produced a marked increase in the splenic hemangiosarcoma rate, whereas no effect was observed with HPN-100, as shown the table below (taken from the study report).

### Spleen Tumors

**Text Table 3**

| MALE            |         |         |         |         |         |     |
|-----------------|---------|---------|---------|---------|---------|-----|
|                 | Group 1 | Group 2 | Group 3 | Group 4 | Group 5 | HCR |
| Hemangiosarcoma | 1       | 0       | 0       | 0       | 14      | 0-4 |
| FEMALE          |         |         |         |         |         |     |
|                 | Group 1 | Group 2 | Group 3 | Group 4 | Group 5 | HCR |
| Hemangiosarcoma | 1       | 1       | 1       | 2       | 14      | 0-4 |

Dose group 1: water control

Dose group 2: water control

Dose group 3: HPN-100, 600 mg/kg/day

Dose group 4: HPN-100, 1000 mg/kg/day

Dose group 5: Urethane

Number of animals examined: 25, groups 1, 2, 3 and 4

Number of animals examined: 15, group 5

HCR: Historical Control Range for vehicle control animals (See [Appendix 2](#)).

The combined incidence of hemangiomas and hemangiosarcomas in multiple organs is summarized in the following table. The treatment with HPN-100 did not significantly increase the combined incidence of hemangiomas and hemangiosarcoma as compared to the control groups.

**Hemangiomas and Hemangiosarcomas****Text Table 4**

| <b>MALE</b>                            |         |         |         |         |     |
|--|---------|---------|---------|---------|-----|
|  | Group 1 | Group 2 | Group 3 | Group 4 | HCR |
| <b>Hemangiomas or Hemangiosarcomas</b> |         |         |         |         |     |
| Spleen                                 | 1       | 0       | 0       | 0       | 0-4 |
| Lung                                   | 0       | 0       | 1       | 0       | 0-1 |
| Skin                                   | 0       | 0       | 0       | 1       | 0-1 |
| Combined Incidence                     | 1       | 0       | 1       | 1       | 0-4 |
| <b>FEMALE</b>                          |         |         |         |         |     |
|  | Group 1 | Group 2 | Group 3 | Group 4 | HCR |
| <b>Hemangiomas or Hemangiosarcomas</b> |         |         |         |         |     |
| Spleen                                 | 1       | 1       | 1       | 2       | 0-4 |
| Liver                                  | 0       | 0       | 0       | 1       | NR  |
| Ovary #                                | 0       | 0       | 1       | 0       | 0-1 |
| Uterus                                 | 0       | 1       | 0       | 0       | 0-2 |
| Combined Incidence                     | 1       | 2       | 2       | 3       | 0-5 |

Dose group 1: water control

Dose group 2: water control

Dose group 3: HPN-100, 600 mg/kg/day

Dose group 4: HPN-100, 1000 mg/kg/day

Number of animals examined: 25, groups 1, 2, 3 and 4

Number of animals examined: 15, group 5

HCR: Historical Control Range for vehicle control animals (See [Appendix 2](#)).

NR: Not recorded in our historical control data base.

#: Hemangioma recorded in ovary, hemangiosarcomas recorded in all other tissues.

The incidences of other types of tumors (non-vascular and non-pulmonary) were presented in the following table (taken from the study report). The treatment with HPN-100 did not significantly increase the incidence of these tumors as compared to the control groups.

**Non-Vascular and Non-Pulmonary Tumors**

**Text Table 5**

| <b>Male</b>                  |         |         |         |         |     |
|------------------------------|---------|---------|---------|---------|-----|
|                              | Group 1 | Group 2 | Group 3 | Group 4 | HCR |
| Liver, adenoma               | 0       | 0       | 0       | 1       | NR  |
| <b>Female</b>                |         |         |         |         |     |
|                              | Group 1 | Group 2 | Group 3 | Group 4 | HCR |
| Harderian gland, adenoma     | 0       | 1       | 0       | 2       | 0-4 |
| Lymphoma, multicentric       | 0       | 0       | 0       | 1       | 0-1 |
| Thymus, thymoma              | 1       | 0       | 2       | 0       | NR  |
| Ear, papilloma               | 0       | 0       | 1       | 0       | NR  |
| Multicentric mesothelioma    | 1       | 0       | 0       | 1       | 0-1 |
| Ear, squamous cell carcinoma | 0       | 0       | 0       | 1       | 0-1 |

Dose group 1: water control

Dose group 2: water control

Dose group 3: HPN-100, 600 mg/kg/day

Dose group 4: HPN-100, 1000 mg/kg/day

Number of animals examined: 25, groups 1, 2, 3 and 4

Number of animals examined: 15, group 5

HCR: Historical Control Range for vehicle control animals (See [Appendix 2](#)).

NR: Not recorded in our historical control data base.

The tumor incidences were within the historical control ranges from the testing laboratory. The FDA statistical review of this study concluded that treatment with HPN-100 did not significantly increase the tumor incidences in both males and females as compared to each of the water controls and the combined water controls (see review by Dr. Min Min).

**Toxicokinetics:** HPN-100 is rapidly converted to PBA (4-phenylbutyric acid) in the GI tract. PBA is oxidized to PAA (phenylacetic acid). In mice, PAA conjugates with glycine forming PAG (phenylacetyl glycine). The plasma concentrations of the above mentioned metabolites were analyzed in this study and the results were summarized in the following table (taken from the study report).

**Table 2: Tabulation of Plasma Concentrations**

|                      | Dose HPN-100 (mg/kg) |       |        |         |         |       |         |         |
|----------------------|----------------------|-------|--------|---------|---------|-------|---------|---------|
|                      | 0                    | 0     | 600    | 1000    | 0       | 0     | 600     | 1000    |
| PBA $\mu\text{g/mL}$ | Males                |       |        |         | Females |       |         |         |
|                      | BQL                  | BQL   | 1.273  | 25.415  | BQL     | BQL   | 24.547  | 13.957  |
|                      | BQL                  | BQL   | 5.916  | 17.620  | BQL     | BQL   | 7.820   | 5.248   |
|                      | BQL                  | BQL   | 11.226 | 8.331   | BQL     | BQL   | 6.662   | 7.127   |
| Average              | 0.000                | 0.000 | 6.138  | 17.122  | 0.000   | 0.000 | 13.010  | 8.777   |
| SD                   | 0.000                | 0.000 | 4.980  | 8.553   | 0.000   | 0.000 | 10.008  | 4.583   |
| N                    | 3                    | 3     | 3      | 3       | 3       | 3     | 3       | 3       |
| PAA $\mu\text{g/mL}$ | BQL                  | BQL   | 50.233 | 123.570 | BQL     | BQL   | 248.863 | 286.500 |
|                      | BQL                  | BQL   | 86.029 | 560.323 | BQL     | BQL   | 329.643 | 479.881 |
|                      | BQL                  | BQL   | BQL    | 131.951 | BQL     | BQL   | 343.712 | 745.387 |
| Average              | 0.000                | 0.000 | 68.131 | 271.948 | 0.000   | 0.000 | 307.406 | 503.923 |
| SD                   | 0.000                | 0.000 | 25.312 | 249.775 | 0.000   | 0.000 | 51.185  | 230.386 |
| N                    | 3                    | 3     | 2      | 3       | 3       | 3     | 3       | 3       |
| PAG $\mu\text{g/mL}$ | BQL                  | BQL   | 4.675  | 19.493  | BQL     | BQL   | 12.086  | 16.717  |
|                      | BQL                  | BQL   | 14.516 | 39.741  | BQL     | BQL   | 22.630  | 14.301  |
|                      | BQL                  | BQL   | 12.884 | 18.567  | BQL     | BQL   | 17.209  | 26.039  |
| Average              | 0.000                | 0.000 | 10.692 | 25.934  | 0.000   | 0.000 | 17.308  | 19.019  |
| SD                   | 0.000                | 0.000 | 5.274  | 11.966  | 0.000   | 0.000 | 5.273   | 6.198   |
| N                    | 3                    | 3     | 3      | 3       | 3       | 3     | 3       | 3       |

**Dosing Solution Analysis:** The test article was a neat liquid, and was stable throughout the study period.

RAT:

**Study title:** HPN-100 (Glyceryl Tri-(4-Phenylbutyrate) [GT4P]: 24-Month Repeated Dose Oral Carcinogenicity Study in Crl:CD(SD) Rats

Study no.: (b) (4) 671007  
Study report location: N/A  
Conducting laboratory and location: (b) (4)  
Date of study initiation: September 22, 2008  
(report dated September 14, 2011)  
GLP compliance: Yes  
QA statement: Yes  
Drug, lot #, and % purity: Lot # XA171; 98.8-101.2%  
Lot # XA179; 98.3-103.9%  
CAC concurrence: Yes (see meeting minutes from July 17,  
2012 in Appendix)

### Key Study Findings

HPN-100 did not produce statistically significant changes in mortality. The terminal body weight was 7% and 11% lower in the high dose males and females, respectively, as compared to the water control group. The terminal body weight gain was 13% and 21% lower in the high dose males and females, respectively, as compared to the water control group. Treatment-related non-neoplastic changes included focal hypertrophy in the adrenal cortex, pancreatic acinar cell hyperplasia, follicular cell hyperplasia in the thyroid gland, cystic endometrial hyperplasia of the uterus, Zymbal's gland hyperplasia, basophilic foci in the liver, and retinal atrophy.

The Executive Carcinogenicity Assessment Committee concluded that HPN-100 increased the incidence of the following neoplasms, as indicated by statistical significance in both the dose-response and pair-wise tests using the water control group, with exception of Zymbal's gland carcinoma in males: in males pancreatic acinar cell adenoma, carcinoma and combined adenoma or carcinoma at the high dose and Zymbal's gland carcinoma at the middle and high doses, and in females, pancreatic acinar cell adenoma, carcinoma and combined adenoma or carcinoma at the high dose, thyroid follicular cell adenoma, carcinoma and combined adenoma or carcinoma at the high dose, adrenal cortical combined adenoma or carcinoma at the high dose, uterine endometrial stromal polyp and combined polyp or sarcoma at the high dose, and Zymbal's gland carcinoma at the high dose. The increased incidence of Zymbal's gland carcinoma in males was considered to be drug-related, based on the very low incidence of this neoplasm in historical control data.

### Adequacy of Carcinogenicity Study

The study was conducted appropriately.

### Appropriateness of Test Models

The test model was appropriate.

## Evaluation of Tumor Findings

Statistically significant dose-response relationships were found for the following tumors, based on comparison to the water control group: acinar cell adenoma, carcinoma and combined adenoma or carcinoma in pancreas in both sexes, follicular cell adenoma in thyroid in both sexes, malignant schwannoma in skin in males, malignant lymphoma in males, adenoma and combined adenoma or carcinoma in adrenal cortex in females, hepatocellular adenoma in females, follicular cell carcinoma and combined adenoma or carcinoma in thyroid in females, polyp and combined polyp or sarcoma in uterus, and carcinoma in Zymbal's glands in females (see statistical review by Dr. Min Min).

The FDA statistical review also found significant increases in the following tumors, based on pair-wise comparison to the water control group: acinar cell adenoma, carcinoma and combined adenoma or carcinoma in pancreas in high-dose groups for both sexes, follicular cell adenoma in thyroid in high-dose groups for both sexes, combined adenoma or carcinoma in adrenal cortex in high-dose females, follicular cell carcinoma and combined follicular cell adenoma or carcinoma in thyroid in high-dose females, polyp and combined polyp or sarcoma in uterus in high-dose females, and carcinoma in the Zymbal's glands in high-dose females.

## Methods

|                                   |   |
|-----------------------------------|---|
| Doses:                            | Males: 0 (water), 0 (corn oil), 70, 210, and 650 mg/kg/day<br>Females: 0 (water), 0 (corn oil), 100, 300, and 900 mg/kg/day |
| Frequency of dosing:              | daily   |
| Dose volume:                      | See study design table below  |
| Route of administration:          | oral gavage   |
| Formulation/Vehicle:              | HPN-100 was given as a neat liquid  |
| Basis of dose selection:          | MTD in males, single-dose lethality in females (see Executive CAC meeting minutes from August 12, 2008 in the appendix)     |
| Species/Strain:                   | Crl:CD(SD) rats<br>Males (209-292 g)<br>Females (150-196 g)   |
| Number/Sex/Group:                 | 65  |
| Age:                              | 7 weeks   |
| Animal housing:                   | individually  |
| Paradigm for dietary restriction: | none  |
| Dual control employed:            | water and corn oil  |
| Interim sacrifice:                | no  |
| Satellite groups:                 | 6/sex/group   |
| Deviation from study protocol:    | Deviations did not have a significant impact on the study outcome.  |



Toxicology Groups ( (b) (4) 671007M and (b) (4) 671007F)

| Group Number | Treatment | Dosage Level (mg/kg/day) |         | Dose Volume (mL/kg) |         | Number of Animals <sup>a</sup> |         |
|--------------|-----------|--------------------------|---------|---------------------|---------|--------------------------------|---------|
|              |           | Males                    | Females | Males               | Females | Males                          | Females |
| 1            | Control 1 | 0                        | 0       | 0.59                | 0.82    | 65                             | 65      |
| 2            | Control 2 | 0                        | 0       | 0.59                | 0.82    | 65                             | 65      |
| 3            | HPN-100   | 70                       | 100     | 0.06                | 0.09    | 65                             | 65      |
| 4            | HPN-100   | 210                      | 300     | 0.19                | 0.27    | 65                             | 65      |
| 5            | HPN-100   | 650                      | 900     | 0.59                | 0.82    | 65                             | 65      |

In this study, clinical signs of toxicity and mortality were observed daily. Body weights and food consumption were determined. All animals were necropsied at termination. Complete histopathological examination was performed on all treated animals in all main study groups. The tumor data were analyzed using the Peto's mortality-prevalence method.

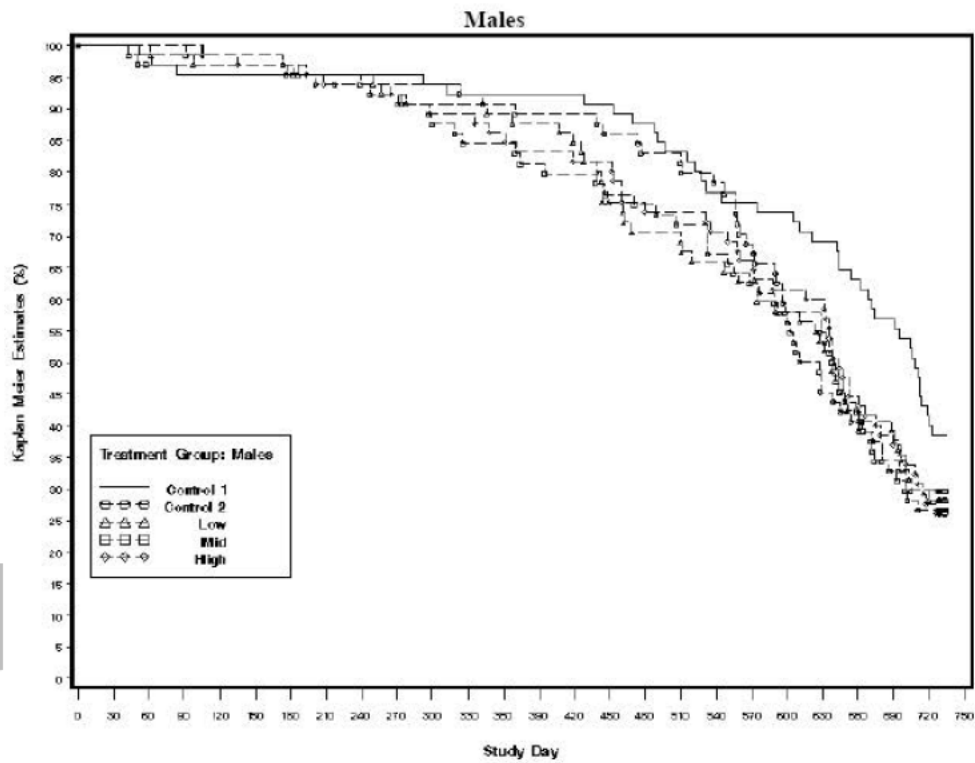
### Observations and Results

**Mortality:** There were no statistically significant changes in survival rates. The mortality information was summarized in the following table and figures (taken from the study report).

**Table 4.1.1 Kaplan-Meier Estimates of Survival**

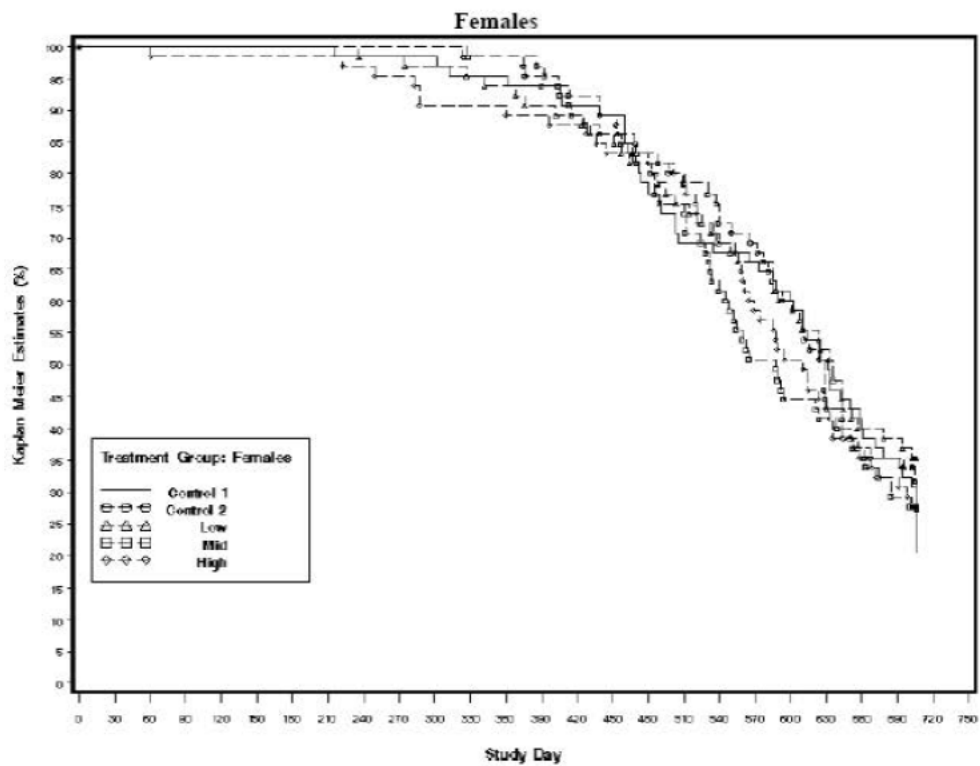
|   |              | Kaplan-Meier Estimates and P-values |           |     |     |      | Overall /<br>Trend       |
|---|--------------|-------------------------------------|-----------|-----|-----|------|--------------------------|
| Sex   | Week         | Control 1                           | Control 2 | Low | Mid | High |                          |
| <b>M</b>  | 52           | 92                                  | 89        | 88  | 83  | 85   |                          |
|   | 78           | 75                                  | 77        | 64  | 66  | 69   |                          |
|   | 92           | 65                                  | 42        | 44  | 44  | 48   |                          |
|   | End of Study | 38                                  | 27        | 28  | 30  | 26   |                          |
|   | p-value (1)  |                                     | 0.0502    | NT  | NT  | NT   | 0.1163 (O)<br>0.0882 (T) |
|   | p-value (2)  |                                     |           | NT  | NT  | NT   | 0.9881 (O)<br>0.9276 (T) |
| <b>F</b>  | 52           | 94                                  | 98        | 92  | 98  | 89   |                          |
|   | 78           | 68                                  | 71        | 68  | 57  | 69   |                          |
|   | 92           | 43                                  | 38        | 43  | 38  | 38   |                          |
|   | End of Study | 21                                  | 27        | 35  | 28  | 28   |                          |
|   | p-value (1)  |                                     | 0.8296    | NT  | NT  | NT   | 0.7706 (O)<br>0.4921 (T) |
|   | p-value (2)  |                                     |           | NT  | NT  | NT   | 0.6399 (O)<br>0.3644 (T) |
| p-values:<br>(1): Comparisons using control group 1<br>(2): Comparisons using control group 2<br>* - statistically significant at the 0.05 significance level. NT = Not tested per statistical methodology. |              |                                     |           |     |     |      |                          |

Figure 5.1.3 Kaplan-Meier Estimates of Survival: Males



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Figure 5.1.4 Kaplan-Meier Estimates of Survival: Females

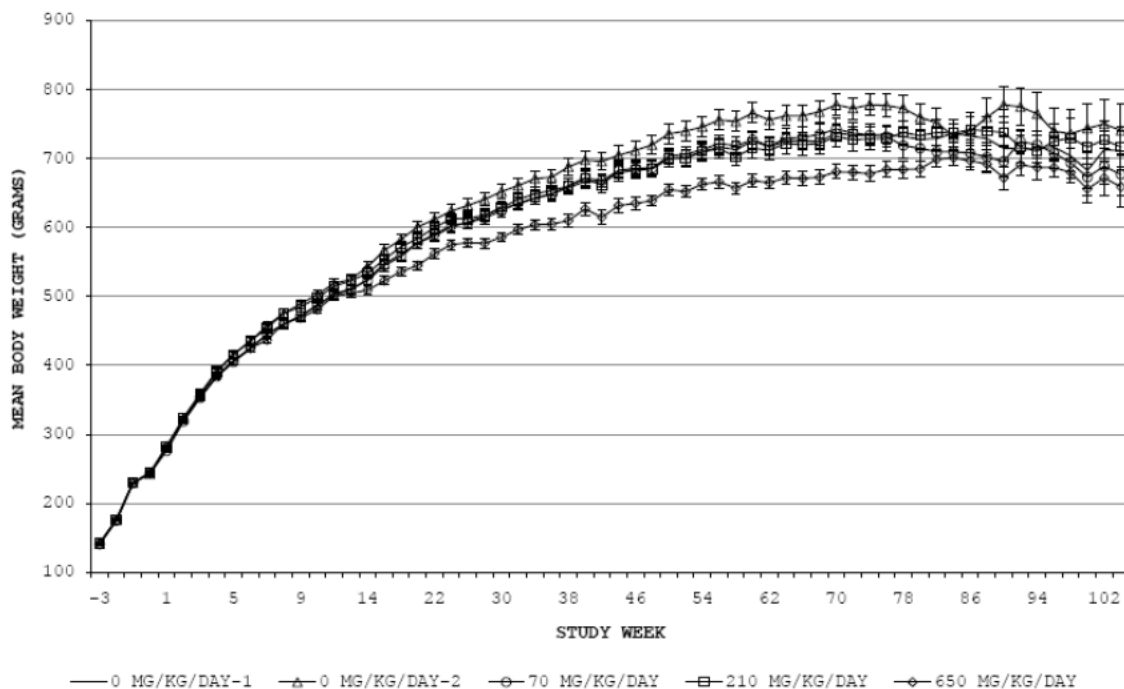


**Clinical Signs:** Hypoactivity, rigid muscle tone, and impaired muscle coordination were observed in the first 1-5 days of dosing in the treatment groups. In addition, clear, yellow, red, and/or brown material around the mouth, ventral trunk, and/or anogenital area and reddened ears were noted in the high dose group. Yellow material around the urogenital area was noted in the middle- and high-dose groups.

**Body Weight:** The initial and final body weights for the control animals were 243 and 712-741 g, respectively, for males (weeks 0-104), and 175 and 422-459 g, respectively, for females (weeks 0-100). The terminal body weight was 7% and 11% lower in the high dose males and females, respectively, as compared to the control group (control 1). The terminal body weight gains were 472, 499, 437, 475, and 412 g in control 1, control 2, low, middle, and high dose males, respectively, and 293, 251, 335, 296, and 232 g in control 1, control 2, low, middle, and high dose females, respectively. The terminal body weight gain was 13% and 21% lower in the high dose males and females, respectively, as compared to the control group (control 1). The growth curves are attached below (taken from the study report).

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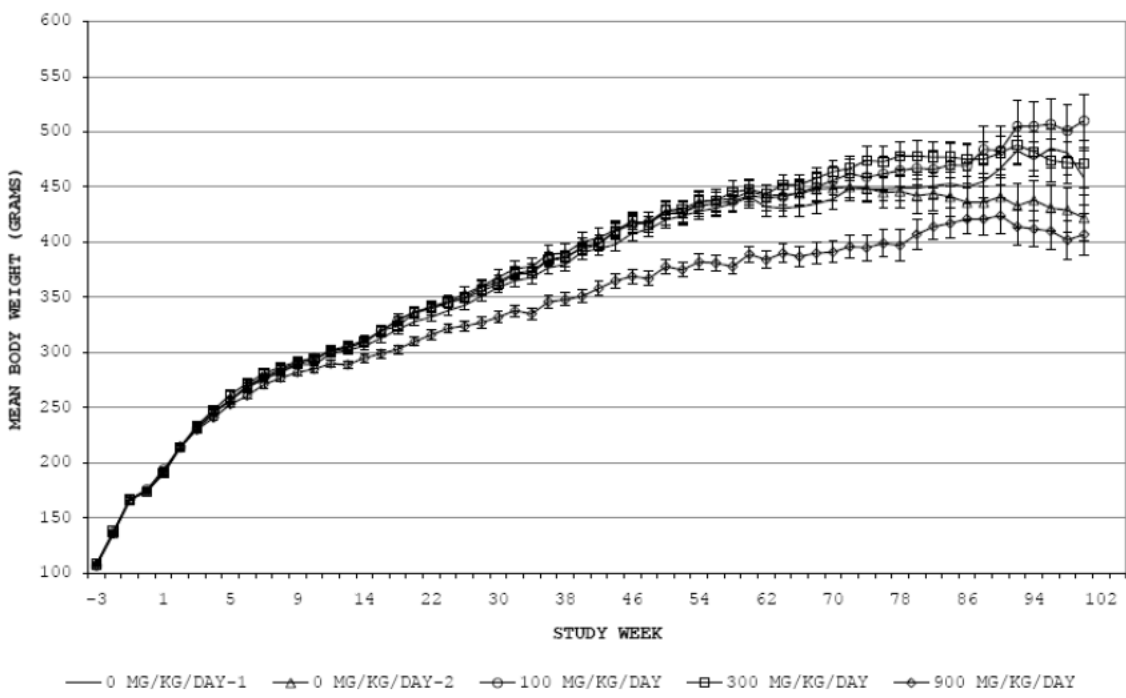
FIGURE 8 (MALES)  
 SUMMARY OF BODY WEIGHTS (G)  
 PRESENTED AS MEAN ± SE



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(b) (4)  
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FIGURE 4 (FEMALES)  
 SUMMARY OF BODY WEIGHTS (G)  
 PRESENTED AS MEAN ± SE



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**Feed Consumption:** There were no treatment-related changes.

**Gross Pathology:**

For males, there were higher incidences of gross findings in the pancreas, adrenal glands, mammary gland, and skin in the treatment groups, as summarized in the sponsor’s table below.

Text Table 9. Selected Macroscopic Findings - Males, All Necropsies

|                      | Dose (mg/kg/day)           | Control 1 | Control 2 | 70 | 210 | 650 |
|----------------------|----------------------------|-----------|-----------|----|-----|-----|
|                      | Number of Animals in Group | 65        | 65        | 65 | 65  | 65  |
| <b>Pancreas</b>      |                            |           |           |    |     |     |
| Mass                 |                            | 2         | 1         | 1  | 0   | 6   |
| Nodule               |                            | 2         | 1         | 1  | 1   | 7   |
| <b>Adrenal Gland</b> |                            |           |           |    |     |     |
| Mass                 |                            | 0         | 0         | 1  | 4   | 2   |
| Enlarged             |                            | 3         | 1         | 7  | 4   | 6   |
| Area, dark red       |                            | 1         | 1         | 1  | 3   | 4   |
| Mottled              |                            | 2         | 1         | 1  | 3   | 6   |
| <b>Skin</b>          |                            |           |           |    |     |     |
| Mass                 |                            | 10        | 8         | 10 | 12  | 17  |
| <b>Mammary Gland</b> |                            |           |           |    |     |     |
| Mass                 |                            | 4         | 5         | 11 | 7   | 13  |

For females, there were higher incidences of gross findings in the pancreas, adrenal gland, uterus, and cervix in the treatment groups, as summarized in the sponsor's table below.

**Text Table 10. Selected Macroscopic Findings - Females, All Necropsies**

|                            | Dose (mg/kg/day) | Control 1 | Control 2 | 100 | 300 | 900 |
|----------------------------|------------------|-----------|-----------|-----|-----|-----|
| Number of Animals in Group |                  | 65        | 65        | 65  | 65  | 65  |
| <b>Pancreas</b>            |                  |           |           |     |     |     |
| Mass                       |                  | 1         | 0         | 1   | 1   | 5   |
| Nodule                     |                  | 0         | 0         | 1   | 2   | 5   |
| <b>Adrenal Gland</b>       |                  |           |           |     |     |     |
| Mass                       |                  | 0         | 3         | 2   | 1   | 5   |
| Enlarged                   |                  | 14        | 10        | 22  | 26  | 35  |
| Lobulated                  |                  | 0         | 1         | 10  | 14  | 21  |
| <b>Uterus</b>              |                  |           |           |     |     |     |
| Cyst(s)                    |                  | 9         | 8         | 18  | 18  | 26  |
| <b>Cervix</b>              |                  |           |           |     |     |     |
| Mass                       |                  | 2         | 1         | 0   | 6   | 4   |
| Enlarged                   |                  | 1         | 1         | 4   | 2   | 2   |
| Thickened                  |                  | 1         | 1         | 1   | 2   | 0   |

## **Histopathology**

**Peer Review:** Yes.

**Non-Neoplastic Changes:** Treatment-related changes include focal hypertrophy in the adrenal cortex, pancreatic acinar cell hyperplasia, follicular cell hyperplasia in the thyroid gland, cystic endometrial hyperplasia of the uterus, Zymbal's gland hyperplasia, basophilic foci in the liver, and retinal atrophy in the eye. The incidences of these changes are summarized in the following tables (taken from the study report).

Text Table 12. Incidence of Selected Non-Neoplastic Findings, All Animals

| Dosage (mg/kg/day):                  | Males     |           |           |           |           | Females   |           |           |           |           |
|--------------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|                                      | 0         | 0         | 70        | 210       | 650       | 0         | 0         | 100       | 300       | 900       |
| <b>Adrenal Cortex <sup>a</sup></b>   | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> |
| Hypertrophy, focal, zona fasciculata | 22        | 25        | 27        | 31        | 38        | 23        | 18        | 31        | 37        | 36        |
| Minimal                              | 11        | 14        | 11        | 9         | 7         | 4         | 9         | 3         | 1         | 0         |
| Mild                                 | 8         | 9         | 12        | 16        | 16        | 15        | 5         | 15        | 16        | 7         |
| Moderate                             | 3         | 2         | 3         | 5         | 14        | 4         | 4         | 11        | 18        | 27        |
| Severe                               | 0         | 0         | 1         | 1         | 1         | 0         | 0         | 2         | 2         | 2         |
| <b>Pancreas <sup>a</sup></b>         | <b>64</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> |
| Hyperplasia, acinar cell             | 3         | 5         | 4         | 14        | 27        | 0         | 0         | 4         | 3         | 23        |
| Minimal                              | 2         | 4         | 3         | 9         | 9         | -         | -         | 3         | 2         | 7         |
| Mild                                 | 1         | 1         | 1         | 5         | 8         | -         | -         | 1         | 1         | 10        |
| Moderate                             | 0         | 0         | 0         | 0         | 8         | -         | -         | 0         | 0         | 6         |
| Severe                               | 0         | 0         | 0         | 0         | 2         | -         | -         | 0         | 0         | 0         |
| <b>Thyroid Gland <sup>a</sup></b>    | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> |
| Hyperplasia, follicular cell         | 1         | 3         | 4         | 6         | 8         | 0         | 1         | 3         | 5         | 10        |
| Minimal                              | 0         | 0         | 0         | 3         | 4         | -         | 0         | 2         | 3         | 5         |
| Mild                                 | 1         | 1         | 4         | 2         | 3         | -         | 1         | 1         | 1         | 2         |
| Moderate                             | 0         | 2         | 0         | 1         | 1         | -         | 0         | 0         | 1         | 3         |

<sup>a</sup> = Number of tissues examined from each group.

Text Table 12 (continued). Incidence of Selected Non-Neoplastic Findings, All Animals

| Dosage (mg/kg/day):               | Males     |           |           |           |           | Females   |           |           |           |           |
|-----------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
|                                   | 0         | 0         | 70        | 210       | 650       | 0         | 0         | 100       | 300       | 900       |
| <b>Uterus<sup>a</sup></b>         | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>0</b>  | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> |
| Hyperplasia, cystic endometrial   | 0         | 0         | 0         | 0         | 0         | 14        | 16        | 26        | 26        | 41        |
| Minimal                           | -         | -         | -         | -         | -         | 8         | 9         | 11        | 8         | 10        |
| Mild                              | -         | -         | -         | -         | -         | 5         | 6         | 12        | 13        | 19        |
| Moderate                          | -         | -         | -         | -         | -         | 1         | 1         | 3         | 5         | 9         |
| Severe                            | -         | -         | -         | -         | -         | 0         | 0         | 0         | 0         | 3         |
| <b>Zymbal's Gland<sup>a</sup></b> | <b>62</b> | <b>56</b> | <b>62</b> | <b>59</b> | <b>58</b> | <b>63</b> | <b>64</b> | <b>64</b> | <b>65</b> | <b>62</b> |
| Hyperplasia                       | 0         | 0         | 0         | 0         | 2         | 0         | 0         | 0         | 1         | 0         |
| Minimal                           | -         | -         | -         | -         | 1         | -         | -         | -         | 0         | -         |
| Mild                              | -         | -         | -         | -         | 1         | -         | -         | -         | 0         | -         |
| Moderate                          | -         | -         | -         | -         | 0         | -         | -         | -         | 1         | -         |
| <b>Liver<sup>a</sup></b>          | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> |
| Focus, basophilic cell            | 17        | 13        | 13        | 10        | 17        | 19        | 17        | 23        | 32        | 40        |
| Minimal                           | 15        | 12        | 12        | 9         | 16        | 18        | 10        | 18        | 20        | 25        |
| Mild                              | 2         | 1         | 1         | 1         | 1         | 0         | 6         | 3         | 8         | 11        |
| Moderate                          | 0         | 0         | 0         | 0         | 0         | 0         | 1         | 2         | 3         | 4         |
| Severe                            | 0         | 0         | 0         | 0         | 0         | 1         | 0         | 0         | 1         | 0         |
| <b>Eye<sup>a</sup></b>            | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> | <b>65</b> |
| Atrophy, retinal                  | 3         | 4         | 1         | 1         | 2         | 3         | 4         | 1         | 8         | 29        |
| Minimal                           | 1         | 1         | 0         | 0         | 1         | 0         | 2         | 1         | 3         | 4         |
| Mild                              | 0         | 1         | 1         | 1         | 1         | 3         | 2         | 0         | 5         | 9         |
| Moderate                          | 2         | 2         | 0         | 0         | 0         | 0         | 0         | 0         | 0         | 16        |

<sup>a</sup> = Number of tissues examined from each group.

**Neoplastic Changes:** The incidences of selected tumors are summarized in the following table (taken from the study report).



Text Table 11. Incidence of Selected Neoplastic Findings, All Animals

| Dosage (mg/kg/day):                | Males |    |    |                |                 | Females |    |     |                |                  |
|------------------------------------|-------|----|----|----------------|-----------------|---------|----|-----|----------------|------------------|
|                                    | 0     | 0  | 70 | 210            | 650             | 0       | 0  | 100 | 300            | 900              |
| <b>Adrenal Cortex<sup>a</sup></b>  | 65    | 65 | 65 | 65             | 65              | 65      | 65 | 65  | 65             | 65               |
| Adenoma                            | 1     | 1  | 2  | 1              | 1               | 1       | 1  | 2   | 1              | 7 <sup>*†</sup>  |
| Carcinoma                          | 1     | 0  | 1  | 4 <sup>*</sup> | 5 <sup>†</sup>  | 0       | 2  | 1   | 2              | 3                |
| Adenoma/Carcinoma                  | 2     | 1  | 3  | 5 <sup>*</sup> | 6               | 0       | 3  | 3   | 3              | 10 <sup>*†</sup> |
| <b>Pancreas<sup>a</sup></b>        | 64    | 65 | 65 | 65             | 65              | 65      | 65 | 65  | 65             | 65               |
| Adenoma, acinar cell               | 1     | 1  | 0  | 3              | 8 <sup>†</sup>  | 0       | 0  | 0   | 0              | 6 <sup>*†</sup>  |
| Carcinoma, acinar cell             | 0     | 0  | 0  | 0              | 6 <sup>†</sup>  | 0       | 0  | 0   | 2              | 6 <sup>*†</sup>  |
| Adenoma/Carcinoma, acinar cell     | 1     | 2  | 0  | 3              | 14 <sup>†</sup> | 0       | 0  | 0   | 2              | 12 <sup>*†</sup> |
| <b>Thyroid Gland<sup>a</sup></b>   | 65    | 65 | 65 | 65             | 65              | 65      | 65 | 65  | 65             | 65               |
| Adenoma, follicular cell           | 0     | 1  | 3  | 3              | 6 <sup>*</sup>  | 0       | 1  | 1   | 2              | 9 <sup>*†</sup>  |
| Carcinoma, follicular cell         | 2     | 2  | 1  | 1              | 1               | 0       | 1  | 2   | 2              | 5 <sup>*†</sup>  |
| Adenoma/Carcinoma, follicular cell | 2     | 3  | 4  | 4              | 7               | 0       | 2  | 3   | 4              | 14 <sup>*†</sup> |
| <b>Uterus<sup>a</sup></b>          | 0     | 0  | 0  | 0              | 0               | 65      | 65 | 65  | 65             | 65               |
| Polyp, endometrial stromal         | 0     | 0  | 0  | 0              | 0               | 2       | 1  | 5   | 4              | 12 <sup>*†</sup> |
| Sarcoma, endometrial stromal       | 0     | 0  | 0  | 0              | 0               | 0       | 0  | 0   | 0              | 1                |
| <b>Zymbal's Gland<sup>a</sup></b>  | 62    | 56 | 62 | 59             | 58              | 63      | 64 | 64  | 65             | 62               |
| Carcinoma                          | 1     | 1  | 2  | 5              | 5               | 0       | 1  | 1   | 2              | 5 <sup>*†</sup>  |
| <b>Cervix<sup>a</sup></b>          | 0     | 0  | 0  | 0              | 0               | 65      | 65 | 65  | 65             | 64               |
| Schwannoma, malignant              | 0     | 0  | 0  | 0              | 0               | 2       | 0  | 1   | 8 <sup>*</sup> | 1                |

<sup>a</sup> = Number of tissues examined from each group.

\* = Statistically significant when compared with control Group 1 and/or control Group 2.

† = Statistically significant for dose response when compared with control Group 1 and/or control Group 2.

The results of the sponsor's statistical analysis including pair-wise and trend tests are summarized in the following tables (taken from the study report).

Table 4.2.3 Statistically Significant Tumor Findings: Males

| Organ          | Tumor                          | Low | Mid | High | Trend |
|----------------|--------------------------------|-----|-----|------|-------|
| Adrenal Cortex | #M Carcinoma                   | NS  | *   | *    | *     |
|                | Carcinoma/Adenoma              | NS  | *   | NS   | NS    |
| Brain          | #B Granular Cell Tumor, Benign | *   | NS  | NS   | NS    |
| Pancreas       | #M Carcinoma, Acinar Cell      | NS  | NS  | *    | *     |
|                | #M Adenoma, Acinar Cell        | NS  | NS  | *    | *     |
|                | Carcinoma/Adenoma, Acinar Cell | NS  | NS  | *    | *     |
| Skin           | #M Schwannoma, Malignant       | NS  | NS  | NS   | *     |
| Thyroid Glands | #B Adenoma, Follicular Cell    | NS  | NS  | *    | NS    |

\*: Statistically significant when compared with control group 1 and/or control group 2

NS: Not statistically significant when compared with both control groups 1 and 2

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**Table 4.2.4 Statistically Significant Tumor Findings: Females**

| Organ          | Tumor                              | Low | Mid | High | Trend |
|----------------|------------------------------------|-----|-----|------|-------|
| Adrenal Cortex | #B Adenoma                         | NS  | NS  | *    | *     |
|                | Carcinoma/Adenoma                  | NS  | NS  | *    | *     |
| Cervix         | #M Schwannoma, Malignant           | NS  | *   | NS   | NS    |
| Liver          | #B Adenoma, Hepatocellular         | NS  | NS  | NS   | *     |
|                | Carcinoma/Adenoma, Hepatocellular  | NS  | NS  | NS   | *     |
| Mammary Gland  | #B Fibroadenoma                    | *   | NS  | NS   | NS    |
| Pancreas       | #M Carcinoma, Acinar Cell          | NS  | NS  | *    | *     |
|                | #M Adenoma, Acinar Cell            | NS  | NS  | *    | *     |
|                | Carcinoma/Adenoma, Acinar Cell     | NS  | NS  | *    | *     |
| Thyroid Glands | #M Carcinoma, Follicular Cell      | NS  | NS  | *    | *     |
|                | #B Adenoma, Follicular Cell        | NS  | NS  | *    | *     |
|                | Carcinoma/Adenoma, Follicular Cell | NS  | NS  | *    | *     |
| Uterus         | #B Polyp, Endometrial Stromal      | NS  | NS  | *    | *     |
| Zymbal's Gland | #M Carcinoma                       | NS  | NS  | *    | *     |

\*: Statistically significant when compared with control group 1 and/or control group 2

NS: Not statistically significant when compared with both control groups 1 and 2

The sponsor's statistical analysis indicated that the following tumors show positive dose-response trends: adrenal cortical carcinomas in males, adrenal cortical adenomas in females, adrenal cortical adenomas + carcinomas in females, pancreatic acinar cell adenomas in males and females, pancreatic acinar cell carcinomas in males and females, pancreatic acinar cell adenomas + carcinomas in males and females, thyroid follicular cell adenomas in females, thyroid follicular cell carcinomas in females, thyroid follicular cell adenomas + carcinomas in females, benign endometrial stromal polyps of the uterus, Zymbal's gland carcinomas in females, hepatocellular adenomas in females, hepatocellular adenomas + carcinomas in females, and skin schwannoma in males. Each of these tumors, except for the liver tumors and skin schwannoma, was significantly increased compared to the control groups, based on a pair-wise test.

The FDA statistical review showed significant dose-response relationships for the following tumors, based on comparison to the water control group: acinar cell adenoma, carcinoma and combined adenoma or carcinoma in pancreas in both sexes, follicular cell adenoma in thyroid in both sexes, malignant schwannoma in skin in males, malignant lymphoma in males, adenoma and combined adenoma or carcinoma in adrenal cortex in females, hepatocellular adenoma in females, follicular cell carcinoma and combined adenoma or carcinoma in thyroid in females, polyp and combined polyp or sarcoma in uterus, and carcinoma in Zymbal's glands in females.

The FDA statistical review also found significant increases in the following tumors, based on pair-wise comparison to the water control group: acinar cell adenoma, carcinoma and combined adenoma or carcinoma in pancreas in high-dose groups for both sexes, follicular cell adenoma in thyroid in high-dose groups for both sexes, combined adenoma or carcinoma in adrenal cortex in high-dose females, follicular cell carcinoma and combined follicular cell adenoma or carcinoma in thyroid in high-dose females, polyp and combined polyp or sarcoma in uterus in high-dose females, and

carcinoma in the Zymbal's glands in high-dose females (see statistical review by Dr. Min Min).

The FDA statistical analysis was summarized in the following table.

Tumor Types with P-Values ≤ 0.05 for Dose Response Relationship or Pair-wise Comparisons

|            |                 | 0 mg                 | 70 mg       | 210 mg      | 650 mg       |                     |                    |                    |                    |       |
|------------|-----------------|----------------------|-------------|-------------|--------------|---------------------|--------------------|--------------------|--------------------|-------|
| Organ Name | Tumor Name      | Water Cont<br>N=65   | Low<br>N=65 | Med<br>N=65 | High<br>N=65 | P_Value<br>Dos Resp | P_Value<br>C vs. L | P_Value<br>C vs. M | P_Value<br>C vs. H |       |
| =====      |                 |                      |             |             |              |                     |                    |                    |                    |       |
| Male       | ADRENAL CORTEX  | #M CARCINOMA         | 1           | 1           | 4            | 5                   | 0.027              | 0.699              | 0.122              | 0.070 |
|            | LIVER           | #M CARCINOMA, HEPATO | 1           | 6           | 1            | 0                   | 0.964              | 0.035              | 0.699              | 1.000 |
|            | PANCREAS        | #B ADENOMA, ACINAR C | 1           | 0           | 3            | 8                   | 0.000              | 1.000              | 0.243              | 0.008 |
|            |                 | #M CARCINOMA, ACINAR | 0           | 0           | 0            | 6                   | 0.000              | .                  | .                  | 0.008 |
|            |                 | ACINAR_CELL_ADENOMA+ |             |             |              |                     |                    |                    |                    |       |
|            |                 | CARCINOMA            | 1           | 0           | 3            | 14                  | 0.000              | 1.000              | 0.243              | 0.000 |
|            | SKIN            | #M SCHWANNOMA, MALIG | 0           | 0           | 1            | 3                   | 0.018              | .                  | 0.448              | 0.102 |
|            | SOFT TISSUE- TH | #M HIBERNOMA, MALIGN | 3           | 0           | 1            | 5                   | 0.045              | 1.000              | 0.909              | 0.298 |
|            | SOFT_TISSUE     | HIBERNOMAS           | 3           | 1           | 1            | 6                   | 0.032              | 0.909              | 0.909              | 0.192 |
|            | SYSTEMIC TUMORS | #M LYMPHOMA, MALIGNA | 0           | 0           | 1            | 3                   | 0.018              | .                  | 0.448              | 0.102 |
|            | THYROID GLANDS  | #B ADENOMA, FOLLICUL | 0           | 3           | 3            | 6                   | 0.014              | 0.086              | 0.090              | 0.008 |
|            |                 | FOLLICULAR_CELL      |             |             |              |                     |                    |                    |                    |       |
|            |                 | ADENOMA+CARCINOMA    | 2           | 4           | 4            | 7                   | 0.041              | 0.256              | 0.256              | 0.052 |
|            |                 | 0 mg                 | 70 mg       | 210 mg      | 650 mg       |                     |                    |                    |                    |       |

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The incidence of Zymbal's gland carcinoma in males was 1/62 (water control), 2/62 (LD), 5/59 (MD) and 5/58 (HD). Although the incidence of this tumor was not significant in either the dose-response or pair-wise test, the Executive CAC concluded that the increased incidence in the mid- and high-dose males was drug-related, based on the very low incidence of this neoplasm in historical control data.

| Organ Name      | Tumor Name                                   | 0 mg                | 100 mg      | 300 mg      | 900 mg       | P_Value  | P_Value | P_Value | P_Value |
|-----------------|--|---------------------|-------------|-------------|--------------|----------|---------|---------|---------|
|                 |  | Water: Cont<br>N=65 | Low<br>N=65 | Med<br>N=65 | High<br>N=65 | Dos Resp | C vs. L | C vs. M | C vs. H |
| Female          |  |                     |             |             |              |          |         |         |         |
| ADRENAL CORTEX  | #B ADENOMA                                   | 1                   | 2           | 1           | 7            | 0.004    | 0.509   | 0.735   | 0.027   |
|                 | #M CARCINOMA                                 | 0                   | 1           | 2           | 3            | 0.048    | 0.506   | 0.235   | 0.112   |
| ADRENAL CORTEX  | ADENOMA+CARCINOMA                            | 1                   | 3           | 3           | 10           | 0.001    | 0.317   | 0.282   | 0.003   |
| ADRENAL MEDULLA | PHEOCHROMOCYTOMA_B+M                         | 1                   | 0           | 2           | 5            | 0.008    | 1.000   | 0.482   | 0.096   |
| CERVIX          | #M SCHWANNOMA, MALIG                         | 2                   | 1           | 8           | 1            | 0.630    | 0.879   | 0.038   | 0.866   |
| LIVER           | #B ADENOMA, HEPATOCE<br>HEPATOCELLULAR       | 0                   | 1           | 1           | 4            | 0.013    | 0.506   | 0.488   | 0.055   |
|                 | ADENOMA+CARCINOMA                            | 0                   | 1           | 3           | 4            | 0.027    | 0.506   | 0.112   | 0.055   |
| OVARIES         | #B GRANULOSA CELL TU                         | 0                   | 1           | 0           | 3            | 0.032    | 0.506   | .       | 0.116   |
| PANCREAS        | #B ADENOMA, ACINAR C                         | 0                   | 0           | 0           | 6            | 0.000    | .       | .       | 0.013   |
|                 | #M CARCINOMA, ACINAR<br>ACINAR_CELL_ADENOMA+ | 0                   | 0           | 2           | 6            | 0.001    | .       | 0.235   | 0.012   |
|                 | CARCINOMA                                    | 0                   | 0           | 2           | 12           | 0.000    | .       | 0.235   | 0.000   |
| SOFT TISSUE     | HIBERNOMAS                                   | 1                   | 1           | 2           | 5            | 0.022    | 0.758   | 0.482   | 0.107   |
| THYROID GLANDS  | #B ADENOMA, FOLLICUL                         | 0                   | 1           | 2           | 9            | 0.000    | 0.506   | 0.235   | 0.001   |
|                 | #M CARCINOMA, FOLLIC                         | 0                   | 2           | 2           | 5            | 0.012    | 0.253   | 0.235   | 0.024   |
| THYROID GLANDS  | FOLLICULAR_CELL<br>ADENOMA+CARCINOMA         | 0                   | 3           | 4           | 14           | 0.000    | 0.120   | 0.053   | 0.000   |
| UTERUS          | #B POLYP, ENDOMETRIA                         | 2                   | 5           | 4           | 12           | 0.001    | 0.217   | 0.305   | 0.004   |
|                 | POLYP+SARCOMA                                | 2                   | 5           | 4           | 13           | 0.001    | 0.217   | 0.305   | 0.002   |
| ZYMBAL'S GLANDS | #M CARCINOMA                                 | 0                   | 1           | 2           | 5            | 0.006    | 0.506   | 0.235   | 0.026   |

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The number of Zymbal's glands examined in females was 63 (water control), 64 (LD), 65 (MD), and 62 (HD).

The FDA statistician also performed analysis on the tumor incidences based on comparison to the corn oil control and the combined water and corn oil control groups (see review by Dr. Min Min).

The overall neoplastic changes were summarized in the following tables (Table S48 for males and Table S50 for females, taken from the study report).

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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 1

| GROUP:  | MALE |      |      |      |      |
|---|------|------|------|------|------|
|   | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| ADIPOSE TISSUE                                  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | NA   | 4    | 2    | 1    | 6    |
| EXAMINED, UNREMARKABLE                          | NA   | 0    | 0    | 0    | 1    |
| -#S CARCINOMA, ACINAR CELL; PANCREAS PRESENT    | NA   | 0    | 0    | 0    | 1    |
|   | NA   | NA   | NA   | NA   | 1    |
| ADRENAL CORTEX                                  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 17   | 20   | 16   | 15   | 11   |
| -#B ADENOMA                                     | 1    | 1    | 2    | 1    | 1    |
| INCIDENTAL                                      | NONE | 1    | 2    | 1    | 1    |
| SCHEDULED SACRIFICE                             | 1    | NONE | NONE | NONE | NONE |
| -#M CARCINOMA                                   | 1    | 0    | 1    | 4    | 5    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| FATAL   | 1    | NONE | 1    | NONE | 2    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | 4    | 2    |
| ADRENAL MEDULLA                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 53   | 51   | 53   | 53   | 47   |
| -#B PHEOCHROMOCYTOMA, BENIGN                    | 3    | 6    | 3    | 3    | 3    |
| INCIDENTAL                                      | 2    | 3    | 2    | 3    | 2    |
| SCHEDULED SACRIFICE                             | 1    | 3    | 1    | NONE | 1    |
| -#B PHEOCHROMOCYTOMA, COMPLEX, BENIGN           | 1    | 0    | 0    | 0    | 0    |
| SCHEDULED SACRIFICE                             | 1    | NONE | NONE | NONE | NONE |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 2

| GROUP:  | MALE |      |      |      |      |
|---|------|------|------|------|------|
|   | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| ADRENAL MEDULLA - CONTINUED                     |      |      |      |      |      |
| -#M PHEOCHROMOCYTOMA, MALIGNANT                 | 1    | 1    | 1    | 2    | 2    |
| INCIDENTAL                                      | NONE | 1    | NONE | 1    | NONE |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | 1    | NONE | 1    | 1    | 1    |
| AORTA   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 60   | 62   | 61   | 62   | 64   |
| -#H HIBERNOMA, MALIGNANT                        | 0    | 1    | 0    | 0    | 0    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| BONE  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 1    | 1    | NA   | 1    | NA   |
| EXAMINED, UNREMARKABLE                          | 1    | 0    | NA   | 0    | NA   |
| -#M OSTEOSARCOMA                                | 0    | 0    | NA   | 1    | NA   |
| FATAL   | NA   | NA   | NA   | 1    | NA   |
| BRAIN   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 51   | 45   | 49   | 54   | 51   |
| -#B GRANULAR CELL TUMOR, BENIGN                 | 1    | 1    | 4    | 0    | 1    |
| FATAL   | NONE | NONE | 1    | NONE | NONE |
| SCHEDULED SACRIFICE                             | 1    | 1    | 3    | NONE | 1    |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT

NA = NOT APPLICABLE

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TABLE S48 (ALL ANIMALS - MALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

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| ----- MALE -----  |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP   | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)                                     | 65   | 65   | 65   | 65   | 65   |
| BRAIN - CONTINUED   |      |      |      |      |      |
| -# SCHMANNOMA, BENIGN   | 0    | 0    | 1    | 0    | 0    |
| FATAL   | NONE | NONE | 1    | NONE | NONE |
| -#N ASTROCYTOMA, MALIGNANT  | 0    | 2    | 2    | 2    | 3    |
| INCIDENTAL  | NONE | NONE | 1    | NONE | 1    |
| FATAL   | NONE | 2    | 1    | 2    | 1    |
| SCHEDULED SACRIFICE   | NONE | NONE | NONE | NONE | 1    |
| DIAPHRAGM   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 1    | NA   | NA   | NA   | 1    |
| EXAMINED, UNREMARKABLE  | 0    | NA   | NA   | NA   | 0    |
| -#S CARCINOMA, ACINAR; PANCREAS   | 0    | NA   | NA   | NA   | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| DUODENUM  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 63   | 62   | 60   | 62   | 59   |
| EXAMINED, UNREMARKABLE  | 62   | 60   | 59   | 61   | 57   |
| TOO AUTOLYZED TO EXAMINE  | 2    | 3    | 5    | 3    | 6    |
| -#N LIEIOMYOSARCOMA   | 0    | 0    | 0    | 0    | 1    |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| EARS  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | NA   | NA   | NA   | 1    | NA   |
| EXAMINED, UNREMARKABLE  | NA   | NA   | NA   | 0    | NA   |
| -#S CARCINOMA; TYMBAL'S GLAND   | NA   | NA   | NA   | 1    | NA   |
| PRESENT   | NA   | NA   | NA   | 1    | NA   |
| 1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY |      |      |      |      |      |
| # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC                             |      |      |      |      |      |
| NA = NOT APPLICABLE   |      |      |      |      |      |

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TABLE S48 (ALL ANIMALS - MALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

PAGE 4

| ----- MALE -----  |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP   | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)                                     | 65   | 65   | 65   | 65   | 65   |
| EPIDIDYMIDES  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE  | 49   | 48   | 41   | 50   | 37   |
| -#S CARCINOMA, ACINAR CELL; PANCREAS  | 0    | 0    | 0    | 0    | 2    |
| PRESENT   | NONE | NONE | NONE | NONE | 2    |
| EYES/OPTIC N.   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE  | 58   | 57   | 63   | 59   | 53   |
| -#M MELANOMA, AMELANOTIC, MALIGNANT   | 0    | 0    | 0    | 0    | 2    |
| INCIDENTAL  | NONE | NONE | NONE | NONE | 2    |
| HARDERIAN GLANDS  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE  | 47   | 44   | 48   | 44   | 31   |
| -#M CARCINOMA, SQUAMOUS CELL  | 0    | 0    | 0    | 1    | 0    |
| FATAL   | NONE | NONE | NONE | 1    | NONE |
| HEART   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE  | 13   | 13   | 13   | 18   | 10   |
| -#M MESOTHELIOMA, ATRIOCAVAL, MALIGNANT   | 0    | 0    | 1    | 0    | 0    |
| SCHEDULED SACRIFICE   | NONE | NONE | 1    | NONE | NONE |
| -#S HIBERNOMA, MALIGNANT; SOFT TISSUE, THORAX                                       | 0    | 1    | 0    | 0    | 1    |
| PRESENT   | NONE | 1    | NONE | NONE | 1    |
| 1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY |      |      |      |      |      |
| # = NEOPLASM, M = MALIGNANT, S = METASTATIC   |      |      |      |      |      |

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SUMMARY OF NEOPLASTIC FINDINGS

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----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP   | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)                                     | 65   | 65   | 65   | 65   | 65   |
| HEART - CONTINUED   |      |      |      |      |      |
| -# LEIOMYOSARCOMA, STOMACH  | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |
| KIDNEYS   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE  | 4    | 5    | 3    | 5    | 9    |
| -#B ADENOMA   | 0    | 1    | 0    | 0    | 0    |
| INCIDENTAL  | NONE | 1    | NONE | NONE | NONE |
| -#B LIPOMA  | 0    | 0    | 0    | 2    | 0    |
| SCHEDULED SACRIFICE   | NONE | NONE | NONE | 2    | NONE |
| -#B ONCOCYTOMA  | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL  | NONE | NONE | NONE | NONE | 1    |
| -#M OSTEOGENIC SARCOMA  | 0    | 0    | 0    | 1    | 0    |
| SCHEDULED SACRIFICE   | NONE | NONE | NONE | 1    | NONE |
| LAC. GLAND EXOR   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 2    | NA   | NA   | NA   | 1    |
| EXAMINED, UNREMARKABLE  | 0    | NA   | NA   | NA   | 0    |
| -#S CARCINOMA, ZIMBAL'S GLAND   | 0    | NA   | NA   | NA   | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| 1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY |      |      |      |      |      |
| # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC                             |      |      |      |      |      |
| NA = NOT APPLICABLE   |      |      |      |      |      |

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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 6

----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP   | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)                                     | 65   | 65   | 65   | 65   | 65   |
| LIVER   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE  | 3    | 5    | 6    | 4    | 5    |
| -#B ADENOMA, HEPATOCELLULAR   | 0    | 1    | 1    | 3    | 1    |
| INCIDENTAL  | NONE | 1    | 1    | NONE | 1    |
| SCHEDULED SACRIFICE   | NONE | NONE | NONE | 3    | NONE |
| -#B CHOLANGIOMA   | 1    | 0    | 0    | 0    | 0    |
| SCHEDULED SACRIFICE   | 1    | NONE | NONE | NONE | NONE |
| -#M CARCINOMA, HEPATOCELLULAR   | 1    | 2    | 6    | 1    | 0    |
| INCIDENTAL  | NONE | NONE | 2    | 1    | NONE |
| FATAL   | NONE | 1    | 3    | NONE | NONE |
| SCHEDULED SACRIFICE   | 1    | 1    | 1    | NONE | NONE |
| -#S HIBERNOMA, MALIGNANT; SOFT TISSUE, THORAX                                       | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |
| -#S LEIOMYOSARCOMA; STOMACH   | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |
| LN, RENAL   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED   | 2    | 4    | 3    | 5    | 1    |
| EXAMINED, UNREMARKABLE  | 0    | 0    | 0    | 0    | 0    |
| -#S CARCINOMA; ADRENAL CORTEX   | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NA   | NA   | NA   | 1    | NA   |
| -#S HIBERNOMA, MALIGNANT; SOFT TISSUE, THORAX                                       | 0    | 6    | 0    | 1    | 0    |
| PRESENT   | NA   | NA   | NA   | 1    | NA   |
| 1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY |      |      |      |      |      |
| # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC                             |      |      |      |      |      |
| NA = NOT APPLICABLE   |      |      |      |      |      |

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TABLE S48 (ALL ANIMALS - MALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

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| ----- MALE -----                                      |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                       | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)       | 65   | 65   | 65   | 65   | 65   |
| <b>LUNGS</b>  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                                 | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                                | 37   | 24   | 37   | 31   | 27   |
| -#M CARCINOMA, BRONCHIOLO-ALVEOLAR INCIDENTAL         | 0    | 0    | 0    | 0    | 1    |
| -#S ADENOCARCINOMA; SEMINAL VESICLES PRESENT          | NONE | NONE | NONE | NONE | 1    |
| -#S CARCINOMA; ADRENAL CORTEX PRESENT                 | 1    | 0    | 0    | 0    | 0    |
| -#S CARCINOMA; ADRENAL CORTEX PRESENT                 | 1    | NONE | NONE | NONE | NONE |
| -#S FIBROSARCOMA; SKIN PRESENT                        | 0    | 0    | 1    | 0    | 1    |
| -#S FIBROSARCOMA; SKIN PRESENT                        | NONE | NONE | 1    | NONE | 0    |
| -#S HIBERNOMA, MALIGNANT; SOFT TISSUE, THORAX PRESENT | 1    | 1    | 0    | 1    | 1    |
| -#S HIBERNOMA, MALIGNANT; SOFT TISSUE, THORAX PRESENT | 1    | 1    | NONE | 1    | 1    |
| <b>LYMPH NODE, MAND</b>                               |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                                 | 65   | 65   | 65   | 65   | 64   |
| EXAMINED, UNREMARKABLE                                | 44   | 47   | 49   | 47   | 40   |
| NOT PRESENT FOR EXAMINATION                           | 0    | 0    | 0    | 0    | 1    |
| -#S CARCINOMA, SQUAMOUS CELL; TONGUE PRESENT          | 0    | 0    | 0    | 0    | 1    |
| -#S CARCINOMA; ZYMBAL'S GLAND PRESENT                 | NONE | NONE | NONE | NONE | 1    |
| -#S CARCINOMA; ZYMBAL'S GLAND PRESENT                 | 1    | 0    | 0    | 0    | 0    |
| -#S SCHWANNOMA, MALIGNANT; SKIN PRESENT               | 0    | 0    | 0    | 1    | 0    |
| -#S SCHWANNOMA, MALIGNANT; SKIN PRESENT               | NONE | NONE | NONE | 1    | NONE |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
 # = NEOPLASM, M = MALIGNANT, S = METASTATIC

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TABLE S48 (ALL ANIMALS - MALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

PAGE 8

| ----- MALE -----                                |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>LYMPH NODE, MES</b>                          |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 63   | 65   | 63   | 64   | 65   |
| EXAMINED, UNREMARKABLE                          | 44   | 51   | 47   | 42   | 43   |
| TOO AUTOLYZED TO EXAMINE                        | 1    | 0    | 2    | 0    | 0    |
| NOT PRESENT FOR EXAMINATION                     | 1    | 0    | 0    | 1    | 0    |
| -#S CARCINOMA, ACINAR CELL; PANCREAS PRESENT    | 0    | 0    | 0    | 0    | 1    |
| -#S CARCINOMA, ACINAR CELL; PANCREAS PRESENT    | NONE | NONE | NONE | NONE | 1    |
| <b>MESENTERY</b>                                |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 1    | 1    | NA   | NA   | 1    |
| EXAMINED, UNREMARKABLE                          | 0    | 0    | NA   | NA   | 0    |
| -#S CARCINOMA, ISLET CELL; PANCREAS PRESENT     | 1    | 0    | NA   | NA   | 1    |
| -#S CARCINOMA, ISLET CELL; PANCREAS PRESENT     | 1    | NA   | NA   | NA   | 1    |
| <b>PANCREAS</b>                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 64   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 28   | 36   | 44   | 40   | 26   |
| NOT PRESENT FOR EXAMINATION                     | 1    | 0    | 0    | 0    | 0    |
| -#B ADENOMA, ACINAR CELL INCIDENTAL             | 1    | 1    | 0    | 3    | 8    |
| -#B ADENOMA, ACINAR CELL INCIDENTAL             | 1    | NONE | NONE | 3    | 2    |
| -#B ADENOMA, ISLET CELL SCHEDULED SACRIFICE     | NONE | 1    | NONE | NONE | 6    |
| -#B ADENOMA, ISLET CELL INCIDENTAL              | 3    | 4    | 3    | 2    | 0    |
| -#B ADENOMA, ISLET CELL SCHEDULED SACRIFICE     | 2    | 1    | 1    | 1    | NONE |
| -#B ADENOMA, ISLET CELL SCHEDULED SACRIFICE     | 1    | 3    | 2    | 1    | NONE |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, S = METASTATIC

NA = NOT APPLICABLE



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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 9

----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| PANCREAS - CONTINUED                            |      |      |      |      |      |
| -#M CARCINOMA, ACINAR CELL                      | 0    | 0    | 0    | 0    | 6    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 2    |
| FATAL   | NONE | NONE | NONE | NONE | 2    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 2    |
| -#M CARCINOMA, ISLET CELL                       | 4    | 2    | 0    | 0    | 0    |
| INCIDENTAL                                      | 2    | 1    | NONE | NONE | NONE |
| FATAL   | 1    | NONE | NONE | NONE | NONE |
| SCHEDULED SACRIFICE                             | 1    | 1    | NONE | NONE | NONE |
| PARATHYROIDIS                                   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 61   | 58   | 62   | 59   | 61   |
| EXAMINED, UNREMARKABLE                          | 47   | 46   | 44   | 50   | 54   |
| TOO AUTOLYZED TO EXAMINE                        | 1    | 0    | 0    | 0    | 0    |
| NOT PRESENT FOR EXAMINATION                     | 3    | 7    | 3    | 6    | 4    |
| -#B ADENOMA                                     | 1    | 1    | 0    | 0    | 0    |
| SCHEDULED SACRIFICE                             | 1    | 1    | NONE | NONE | NONE |
| PITUITARY                                       |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 11   | 11   | 19   | 23   | 27   |
| -#B ADENOMA, PARS DISTALIS                      | 43   | 40   | 34   | 27   | 24   |
| INCIDENTAL                                      | 10   | 10   | 9    | 9    | 9    |
| FATAL   | 13   | 19   | 11   | 8    | 8    |
| SCHEDULED SACRIFICE                             | 20   | 11   | 14   | 10   | 7    |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT

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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 10

----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| PITUITARY - CONTINUED                           |      |      |      |      |      |
| -#B ADENOMA, PARS INTERMEDIA                    | 0    | 0    | 1    | 0    | 0    |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | NONE | NONE |
| -#M CARCINOMA, PARS DISTALIS                    | 0    | 1    | 0    | 0    | 1    |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | 1    | NONE | NONE | NONE |
| PREPUTIAL GLANDS                                |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 64   | 64   | 65   | 65   | 64   |
| EXAMINED, UNREMARKABLE                          | 50   | 48   | 46   | 47   | 46   |
| NOT PRESENT FOR EXAMINATION                     | 1    | 1    | 0    | 0    | 1    |
| -#B ADENOMA                                     | 0    | 0    | 1    | 0    | 0    |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | NONE | NONE |
| PROSTATE  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 17   | 12   | 19   | 22   | 32   |
| -#M ADENOCARCINOMA                              | 0    | 0    | 2    | 0    | 0    |
| FATAL   | NONE | NONE | 2    | NONE | NONE |
| -#M LEIOMYOSARCOMA                              | 0    | 0    | 0    | 0    | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -#S ADENOCARCINOMA; SEMINAL VESICLES            | 1    | 0    | 0    | 0    | 0    |
| PRESENT   | 1    | NONE | NONE | NONE | NONE |

1- 0 NG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 11

----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| SAL. GLAND MAND                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 64   | 63   | 65   | 64   | 62   |
| -#S SCHWANNOMA, MALIGNANT; SKIN PRESENT         | 0    | 0    | 0    | 0    | 1    |
|   | NONE | NONE | NONE | NONE | 1    |
| SEMINAL VESICLES                                |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 52   | 50   | 47   | 49   | 49   |
| -#N ADENOCARCINOMA                              | 1    | 0    | 0    | 0    | 0    |
| FATAL   | 1    | NONE | NONE | NONE | NONE |
| -#S ADENOCARCINOMA; PROSTATE PRESENT            | 0    | 0    | 1    | 0    | 0    |
|   | NONE | NONE | 1    | NONE | NONE |
| SKELETAL MUSCLE                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 43   | 37   | 49   | 46   | 53   |
| -#N SARCOMA, UNDIFFERENTIATED                   | 1    | 0    | 0    | 0    | 0    |
| INCIDENTAL                                      | 1    | NONE | NONE | NONE | NONE |
| -#N SCHWANNOMA, MALIGNANT                       | 2    | 0    | 0    | 0    | 0    |
| FATAL   | 1    | NONE | NONE | NONE | NONE |
| SCHEDULED SACRIFICE                             | 1    | NONE | NONE | NONE | NONE |
| -#S CARCINOMA, ACINAR CELL; PANCREAS PRESENT    | 0    | 0    | 0    | 0    | 1    |
|   | NONE | NONE | NONE | NONE | 1    |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 70 MG/KG/DAY    4- 210 MG/KG/DAY    5- 650 MG/KG/DAY  
# = NEOPLASM, M = MALIGNANT, S = METASTATIC

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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 12

----- MALE -----

| GROUP:   | 1    | 2    | 3    | 4    | 5    |
|--|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                        | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)        | 65   | 65   | 65   | 65   | 65   |
| SKELETAL MUSCLE - CONTINUED                            |      |      |      |      |      |
| -#S HIBRERHOMA, MALIGNANT; SOFT TISSUE, THORAX PRESENT | 0    | 0    | 0    | 0    | 1    |
|  | NONE | NONE | NONE | NONE | 1    |
| SKIN   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                                  | 65   | 65   | 65   | 64   | 65   |
| EXAMINED, UNREMARKABLE                                 | 52   | 54   | 47   | 47   | 43   |
| NOT PRESENT FOR EXAMINATION                            | 0    | 0    | 0    | 1    | 0    |
| -#B ADENOMA, SEBACEOUS CELL                            | 0    | 0    | 1    | 2    | 1    |
| INCIDENTAL   | NONE | NONE | NONE | 1    | 1    |
| SCHEDULED SACRIFICE                                    | NONE | NONE | 1    | 1    | NONE |
| -#B FIBROMA  | 3    | 1    | 4    | 5    | 3    |
| INCIDENTAL   | 2    | NONE | 1    | NONE | 2    |
| FATAL  | NONE | NONE | 1    | 1    | NONE |
| SCHEDULED SACRIFICE                                    | 1    | 1    | 2    | 4    | 1    |
| -#B KERATOCARCINOMA, BENIGN                            | 2    | 5    | 7    | 4    | 6    |
| INCIDENTAL   | NONE | 3    | 7    | NONE | 4    |
| SCHEDULED SACRIFICE                                    | 2    | 2    | NONE | 4    | 2    |
| -#B LIPOMA   | 1    | 0    | 0    | 0    | 0    |
| INCIDENTAL   | 1    | NONE | NONE | NONE | NONE |
| -#B NEURAL CREST TUMOR, BENIGN                         | 0    | 0    | 0    | 1    | 0    |
| INCIDENTAL   | NONE | NONE | NONE | 1    | NONE |
| -#B PAPILLOMA, SQUAMOUS CELL                           | 0    | 0    | 2    | 1    | 1    |
| INCIDENTAL   | NONE | NONE | 1    | NONE | 1    |
| SCHEDULED SACRIFICE                                    | NONE | NONE | 1    | 1    | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 70 MG/KG/DAY    4- 210 MG/KG/DAY    5- 650 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, S = METASTATIC

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TABLE S48 (ALL ANIMALS - MALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

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| ----- MALE -----                                |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| SKIN - CONTINUED                                |      |      |      |      |      |
| -#B TRICHOEPITHELIOMA                           | 0    | 0    | 1    | 0    | 0    |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | NONE | NONE |
| -#M CARCINOMA, BASAL CELL                       | 0    | 0    | 1    | 1    | 2    |
| INCIDENTAL                                      | NONE | NONE | 1    | 1    | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -#M CARCINOMA, SQUAMOUS CELL                    | 1    | 0    | 0    | 0    | 0    |
| SCHEDULED SACRIFICE                             | 1    | NONE | NONE | NONE | NONE |
| -#M FIBROSARCOMA                                | 2    | 0    | 1    | 1    | 2    |
| INCIDENTAL                                      | 1    | NONE | 1    | 1    | NONE |
| FATAL   | 1    | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -#M MYXOSARCOMA                                 | 1    | 2    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| FATAL   | 1    | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | 1    | NONE | NONE | NONE |
| -#M OSTEOSARCOMA                                | 1    | 1    | 1    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | 1    | NONE | 1    |
| SCHEDULED SACRIFICE                             | 1    | 1    | NONE | NONE | NONE |
| -#M CHONDROMA, MALIGNANT                        | 0    | 2    | 0    | 1    | 3    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| FATAL   | NONE | NONE | NONE | 1    | 3    |
| SCHEDULED SACRIFICE                             | NONE | 1    | NONE | NONE | NONE |
| -#S LEIOMYOSARCOMA; STOMACH                     | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 70 MG/KG/DAY    4- 210 MG/KG/DAY    5- 650 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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TABLE S48 (ALL ANIMALS - MALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

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| ----- MALE -----                                 |      |      |      |    |      |
|--|------|------|------|----|------|
| GROUP:   | 1    | 2    | 3    | 4  | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                  | 65   | 65   | 65   | 65 | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)  | 65   | 65   | 65   | 65 | 65   |
| SOFT TISSUE, CR                                  |      |      |      |    |      |
| TOTAL NUMBER EXAMINED                            | NA   | 1    | NA   | NA | NA   |
| EXAMINED, UNREMARKABLE                           | NA   | 0    | NA   | NA | NA   |
| -#M OSTEOSARCOMA                                 | NA   | 1    | NA   | NA | NA   |
| INCIDENTAL                                       | NA   | 1    | NA   | NA | NA   |
| SOFT TISSUE- AB                                  |      |      |      |    |      |
| TOTAL NUMBER EXAMINED                            | NA   | NA   | NA   | NA | 5    |
| EXAMINED, UNREMARKABLE                           | NA   | NA   | NA   | NA | 1    |
| -#B HIBERNOMA, BENIGN                            | NA   | NA   | NA   | NA | 1    |
| INCIDENTAL                                       | NA   | NA   | NA   | NA | 1    |
| SOFT TISSUE- TH                                  |      |      |      |    |      |
| TOTAL NUMBER EXAMINED                            | 4    | 2    | 1    | 1  | 6    |
| EXAMINED, UNREMARKABLE                           | 0    | 0    | 0    | 0  | 1    |
| -#E HIBERNOMA, BENIGN                            | 0    | 1    | 1    | 0  | 0    |
| FATAL  | NA   | 1    | 1    | NA | NA   |
| -#M HIBERNOMA, MALIGNANT                         | 3    | 1    | 0    | 1  | 5    |
| FATAL  | 3    | 1    | NA   | 1  | 5    |
| SPLEEN   |      |      |      |    |      |
| TOTAL NUMBER EXAMINED                            | 65   | 65   | 65   | 65 | 65   |
| EXAMINED, UNREMARKABLE                           | 35   | 37   | 34   | 35 | 38   |
| -#S PHEOCHROMOCYTOMA, MALIGNANT; ADRENAL MEDULLA | 0    | 0    | 0    | 1  | 0    |
| PRESENT  | NONE | NONE | NONE | 1  | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 70 MG/KG/DAY    4- 210 MG/KG/DAY    5- 650 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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| ----- MALE -----                                 |      |      |      |      |    |
|--|------|------|------|------|----|
| GROUP:   | 1    | 2    | 3    | 4    | 5  |
| NUMBER OF ANIMALS IN DOSE GROUP                  | 65   | 65   | 65   | 65   | 65 |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)  | 65   | 65   | 65   | 65   | 65 |
| STOMACH, GLAN                                    |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                            | 65   | 65   | 65   | 65   | 65 |
| EXAMINED, UNREMARKABLE                           | 56   | 58   | 57   | 57   | 55 |
| -#H LEIOMYOSARCOMA                               | 0    | 0    | 0    | 0    | 1  |
| FATAL  | NONE | NONE | NONE | NONE | 1  |
| -#S CARCINOMA, ACINAR CELL; PANCREAS PRESENT     | 0    | 0    | 0    | 0    | 1  |
|  | NONE | NONE | NONE | NONE | 1  |
| STOMACH, NON                                     |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                            | 65   | 65   | 65   | 65   | 65 |
| EXAMINED, UNREMARKABLE                           | 58   | 56   | 60   | 59   | 54 |
| -#H CARCINOMA, SQUAMOUS CELL SCHEDULED SACRIFICE | 0    | 0    | 0    | 0    | 1  |
| -#S CARCINOMA, ACINAR CELL; PANCREAS PRESENT     | 0    | 0    | 0    | 0    | 1  |
|  | NONE | NONE | NONE | NONE | 1  |
| SYSTEMIC TUMORS                                  |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                            | 6    | 4    | 2    | 5    | 10 |
| EXAMINED, UNREMARKABLE                           | 0    | 0    | 0    | 0    | 0  |
| -#B HEMANGIOMA                                   | 0    | 1    | 0    | 0    | 0  |
| SCHEDULED SACRIFICE                              | NA   | 1    | NA   | NA   | NA |
| -#B MESOTHELIOMA, BENIGN                         | 0    | 1    | 0    | 0    | 0  |
| SCHEDULED SACRIFICE                              | NA   | 1    | NA   | NA   | NA |
| -#M FIBROUS HISTIOCYTOMA, MALIGNANT INCIDENTAL   | 2    | 0    | 0    | 0    | 2  |
| FATAL  | NA   | NA   | NA   | NA   | 1  |
| SCHEDULED SACRIFICE                              | 2    | NA   | NA   | NA   | NA |
|  | NA   | NA   | NA   | NA   | 1  |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC  
 NA = NOT APPLICABLE

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PROJECT NO. (b) (4) 571007M TABLE S48 (ALL ANIMALS - MALES) A 24-MONTH ORAL STUDY OF HPN-100 IN RATS SUMMARY OF NEOPLASTIC FINDINGS PAGE 16  
 SPONSOR: HYPERION THERAPEUTICS

| ----- MALE -----                                |    |    |    |    |    |
|---|----|----|----|----|----|
| GROUP:  | 1  | 2  | 3  | 4  | 5  |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65 | 65 | 65 | 65 | 65 |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65 | 65 | 65 | 65 | 65 |
| SYSTEMIC TUMORS - CONTINUED                     |    |    |    |    |    |
| -#H HEMANGIOSARCOMA                             | 1  | 0  | 0  | 1  | 0  |
| FATAL   | 1  | NA | NA | NA | NA |
| SCHEDULED SACRIFICE                             | NA | NA | NA | 1  | NA |
| -#M LYMPHOMA, MALIGNANT                         | 0  | 2  | 0  | 1  | 3  |
| FATAL   | NA | 2  | NA | 1  | 3  |
| -#M MESOTHELIOMA, MALIGNANT                     | 1  | 0  | 0  | 1  | 0  |
| INCIDENTAL                                      | 1  | NA | NA | NA | NA |
| SCHEDULED SACRIFICE                             | NA | NA | NA | 1  | NA |
| -#M SARCOMA, HISTIOCYTIC                        | 2  | 1  | 2  | 2  | 5  |
| INCIDENTAL                                      | 1  | NA | NA | NA | NA |
| FATAL   | 1  | 1  | 2  | 2  | 5  |
| TAIL  |    |    |    |    |    |
| TOTAL NUMBER EXAMINED                           | 28 | 20 | 23 | 22 | 29 |
| EXAMINED, UNREMARKABLE                          | 0  | 0  | 1  | 1  | 1  |
| NOT PRESENT FOR EXAMINATION                     | 0  | 1  | 0  | 1  | 0  |
| -#B KERATOCACANTHOMA, BENIGN                    | 1  | 0  | 0  | 0  | 1  |
| SCHEDULED SACRIFICE                             | 1  | NA | NA | NA | 1  |
| -#B NEURAL CREST TUMOR, BENIGN                  | 1  | 0  | 0  | 0  | 0  |
| SCHEDULED SACRIFICE                             | 1  | NA | NA | NA | NA |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT  
 NA = NOT APPLICABLE

(b) (4)

PROJECT NO. 671007M  
SPONSOR:HYPERION THERAPEUTICS

TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 17

----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>TESTES</b>                                   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 52   | 49   | 51   | 49   | 46   |
| -# INTERSTITIAL CELL TUMOR, BENIGN              | 1    | 2    | 1    | 3    | 2    |
| INCIDENTAL                                      | NONE | NONE | NONE | 1    | 2    |
| SCHEDULED SACRIFICE                             | 1    | 2    | 1    | 2    | NONE |
| -# SEMINOMA, BENIGN                             | 0    | 1    | 0    | 0    | 0    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| <b>THYMUS</b>                                   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 64   | 64   | 63   | 65   | 63   |
| EXAMINED, UNREMARKABLE                          | 2    | 4    | 3    | 4    | 4    |
| TOO AUTOLYZED TO EXAMINE                        | 0    | 0    | 1    | 0    | 0    |
| NOT PRESENT FOR EXAMINATION                     | 1    | 1    | 1    | 0    | 2    |
| -# THYMOMA, BENIGN                              | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#M CARCINOMA, SQUAMOUS CELL                    | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#M THYMOMA, MALIGNANT                          | 0    | 0    | 0    | 1    | 0    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | 1    | NONE |
| <b>THYROID GLANDS</b>                           |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 17   | 19   | 17   | 19   | 19   |
| -# ADENOMA, C-CELL                              | 5    | 7    | 3    | 7    | 3    |
| INCIDENTAL                                      | 2    | 7    | 2    | 3    | 2    |
| SCHEDULED SACRIFICE                             | 3    | NONE | 1    | 4    | 1    |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT

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TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 18

----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>THYROID GLANDS - CONTINUED</b>               |      |      |      |      |      |
| -# ADENOMA, FOLLICULAR CELL                     | 0    | 1    | 3    | 3    | 6    |
| INCIDENTAL                                      | NONE | 1    | 2    | 2    | 5    |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | 1    | 1    |
| -#M CARCINOMA, C-CELL                           | 3    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | 1    | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | 2    | NONE | NONE | NONE | NONE |
| -#M CARCINOMA, FOLLICULAR CELL                  | 2    | 2    | 1    | 1    | 1    |
| INCIDENTAL                                      | NONE | 1    | 1    | 1    | NONE |
| SCHEDULED SACRIFICE                             | 2    | 1    | NONE | NONE | 1    |
| <b>TONGUE</b>                                   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 63   | 62   | 63   | 63   | 64   |
| -#M CARCINOMA, SQUAMOUS CELL                    | 0    | 0    | 0    | 0    | 1    |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| <b>URINARY BLADDER</b>                          |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 64   | 65   | 65   | 65   | 64   |
| EXAMINED, UNREMARKABLE                          | 56   | 58   | 59   | 58   | 56   |
| TOO AUTOLYZED TO EXAMINE                        | 0    | 0    | 0    | 0    | 1    |
| NOT PRESENT FOR EXAMINATION                     | 1    | 0    | 0    | 0    | 0    |
| -#B PAPILLOMA                                   | 0    | 1    | 0    | 0    | 0    |
| SCHEDULED SACRIFICE                             | NONE | 1    | NONE | NONE | NONE |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT

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SPONSOR:HYPERION THERAPEUTICS

TABLE S48 (ALL ANIMALS - MALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 19

----- MALE -----

| GROUP:  | 1    | 2    | 3    | 4  | 5    |
|---|------|------|------|----|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65 | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65 | 65   |
| <b>ZYMBAL'S GLANDS</b>                          |      |      |      |    |      |
| TOTAL NUMBER EXAMINED                           | 62   | 56   | 62   | 59 | 58   |
| EXAMINED, UNREMARKABLE                          | 61   | 55   | 60   | 54 | 50   |
| NOT PRESENT FOR EXAMINATION                     | 3    | 9    | 3    | 6  | 7    |
| -#M CARCINOMA                                   | 1    | 1    | 2    | 5  | 5    |
| INCIDENTAL                                      | NONE | NONE | 1    | 2  | 2    |
| FATAL   | 1    | 1    | 1    | 2  | 3    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | 1  | NONE |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 70 MG/KG/DAY 4- 210 MG/KG/DAY 5- 650 MG/KG/DAY  
# = NEOPLASM, M = MALIGNANT

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PROJECT NO: 571007F  
SPONSOR: HYPERION THERAPEUTICS

TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 1

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>ADIPOSE TISSUE</b>                           |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 1    | 1    | 2    | 3    | 1    |
| EXAMINED, UNREMARKABLE                          | 0    | 0    | 0    | 0    | 1    |
| -#S SCHWANNOMA, MALIGNANT; UTERUS               | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NA   | NA   | NA   | 1    | NA   |
| <b>ADRENAL CORTEX</b>                           |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 4    | 3    | 3    | 0    | 3    |
| -#B ADENOMA                                     | 1    | 1    | 2    | 1    | 7    |
| INCIDENTAL                                      | 1    | NONE | 2    | NONE | 5    |
| SCHEDULED SACRIFICE                             | NONE | 1    | NONE | 1    | 2    |
| -#M CARCINOMA                                   | 0    | 2    | 1    | 2    | 3    |
| INCIDENTAL                                      | NONE | NONE | NONE | 2    | 1    |
| FATAL   | NONE | 1    | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | 1    | 1    | NONE | 1    |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | 0    | 0    | 1    | 0    | 0    |
| PRESENT   | NONE | NONE | 1    | NONE | NONE |
| <b>ADRENAL MEDULLA</b>                          |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 59   | 61   | 63   |
| EXAMINED, UNREMARKABLE                          | 62   | 61   | 56   | 55   | 67   |
| NOT PRESENT FOR EXAMINATION                     | 0    | 0    | 6    | 4    | 2    |
| -#B PHEOCHROMOCYTOMA, BENIGN                    | 1    | 1    | 0    | 2    | 3    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 2    |
| SCHEDULED SACRIFICE                             | 1    | 1    | NONE | 2    | 1    |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY

# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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PROJECT NO: 571007F  
SPONSOR: HYPERION THERAPEUTICS

TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 2

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>ADRENAL MEDULLA - CONTINUED</b>              |      |      |      |      |      |
| -#M OSTEOSARCOMA                                | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#M PHEOCHROMOCYTOMA, MALIGNANT                 | 0    | 0    | 0    | 0    | 2    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| <b>BILE DUCT</b>                                |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | NA   | NA   | NA   | 1    | NA   |
| EXAMINED, UNREMARKABLE                          | NA   | NA   | NA   | 0    | NA   |
| -#S SCHWANNOMA, MALIGNANT; UTERUS               | NA   | NA   | NA   | 1    | NA   |
| PRESENT   | NA   | NA   | NA   | 1    | NA   |
| <b>BRAIN</b>                                    |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 23   | 25   | 37   | 37   | 53   |
| -#B GRANULAR CELL TUMOR, BENIGN                 | 0    | 0    | 1    | 0    | 0    |
| INCIDENTAL                                      | NONE | NONE | 1    | NONE | NONE |
| -#M ASTROCYTOMA, MALIGNANT                      | 1    | 1    | 0    | 0    | 0    |
| INCIDENTAL                                      | 1    | NONE | NONE | NONE | NONE |
| FATAL   | NONE | 1    | NONE | NONE | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY

# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

(b) (4)

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SPONSOR: HYPERION THERAPEUTICS

TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 3

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| CRCUM   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 59   | 59   | 57   | 60   | 59   |
| EXAMINED, UNREMARKABLE                          | 56   | 58   | 55   | 59   | 55   |
| TOO AUTOLYZED TO EXAMINE                        | 0    | 0    | 0    | 5    | 0    |
| -#S SCHWANNOMA, MALIGNANT; CERVIX               | 0    | 0    | 1    | 0    | 0    |
| PRESENT   | NONE | NONE | 1    | NONE | NONE |
| -#S SCHWANNOMA, MALIGNANT; UTERUS               | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NONE | NONE | NONE | 1    | NONE |
| CERVIX  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 64   |
| EXAMINED, UNREMARKABLE                          | 57   | 60   | 56   | 54   | 53   |
| NOT PRESENT FOR EXAMINATION                     | 0    | 0    | 0    | 0    | 1    |
| -#B GRANULAR CELL TUMOR, BENIGN                 | 2    | 1    | 1    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | 1    | NONE | 1    |
| SCHEDULED SACRIFICE                             | 2    | 1    | NONE | NONE | NONE |
| -#B LEIOMYOMA                                   | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#B POLYP                                       | 0    | 1    | 0    | 0    | 0    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| -#N SARCOMA, UNDIFFERENTIATED                   | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#N SCHWANNOMA, MALIGNANT                       | 2    | 0    | 1    | 8    | 1    |
| FATAL   | 2    | NONE | NONE | 3    | NONE |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | 5    | 1    |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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SPONSOR: HYPERION THERAPEUTICS

TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 4

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| CLITORAL GLANDS                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 62   | 64   | 65   | 65   | 64   |
| EXAMINED, UNREMARKABLE                          | 58   | 59   | 54   | 55   | 57   |
| NOT PRESENT FOR EXAMINATION                     | 3    | 1    | 0    | 0    | 1    |
| -#S SCHWANNOMA, MALIGNANT; SKIN                 | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |
| COLON   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 63   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 64   | 63   | 64   | 63   | 63   |
| TOO AUTOLYZED TO EXAMINE                        | 0    | 2    | 0    | 0    | 0    |
| -#B LEIOMYOMA                                   | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#N ADENOCARCINOMA, MUCINOUS                    | 0    | 0    | 0    | 0    | 1    |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| -#S SCHWANNOMA, MALIGNANT; CERVIX               | 0    | 0    | 1    | 0    | 0    |
| PRESENT   | NONE | NONE | 1    | NONE | NONE |
| DIAPHRAGM                                       |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 1    | NA   | 1    | 2    | 2    |
| EXAMINED, UNREMARKABLE                          | 0    | NA   | 0    | 0    | 0    |
| -#S ADENOCARCINOMA, MUCINOUS; COLON             | 0    | NA   | 0    | 0    | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | 0    | NA   | 1    | 0    | 0    |
| PRESENT   | NA   | NA   | 1    | NA   | NA   |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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PROJECT NO. 571007F  
SPONSOR: HYPERION THERAPEUTICS

TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 5

----- FEMALE -----

| GROUP:  | 1         | 2         | 3         | 4         | 5         |
|---|-----------|-----------|-----------|-----------|-----------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65        | 65        | 65        | 65        | 65        |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65        | 65        | 65        | 65        | 65        |
| DIAPHRAGM - CONTINUED                           |           |           |           |           |           |
| -#S SCHWANNOMA, MALIGNANT; UTERUS PRESENT       | 0<br>NA   | NA<br>NA  | 0<br>NA   | 1<br>1    | 0<br>NA   |
| DUODENUM  |           |           |           |           |           |
| TOTAL NUMBER EXAMINED                           | 63        | 63        | 62        | 64        | 64        |
| EXAMINED, UNREMARKABLE                          | 62        | 63        | 61        | 64        | 62        |
| TOO AUTOLYZED TO EXAMINE                        | 2         | 2         | 3         | 1         | 1         |
| -#M ADENOCARCINOMA, MUCINOUS                    | 0         | 0         | 1         | 0         | 1         |
| FATAL SCHEDULED SACRIFICE                       | NONE      | NONE      | 1         | NONE      | NONE      |
| EARLS   |           |           |           |           |           |
| TOTAL NUMBER EXAMINED                           | 1         | 1         | 1         | 1         | NA        |
| EXAMINED, UNREMARKABLE                          | 0         | 0         | 0         | 0         | NA        |
| -#E NEURAL CREST TUMOR, BENIGN INCIDENTAL       | 0<br>NA   | 0<br>NA   | 0<br>NA   | 1<br>1    | NA<br>NA  |
| HEART   |           |           |           |           |           |
| TOTAL NUMBER EXAMINED                           | 65        | 65        | 65        | 65        | 65        |
| EXAMINED, UNREMARKABLE                          | 36        | 30        | 30        | 22        | 17        |
| -#M SCHWANNOMA, MALIGNANT INCIDENTAL            | 0         | 1         | 0         | 0         | 0         |
| -#S ADENOCARCINOMA, MUCINOUS; COLON PRESENT     | 0<br>NONE | 0<br>NONE | 0<br>NONE | 0<br>NONE | 1<br>NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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PROJECT NO. 571007F  
SPONSOR: HYPERION THERAPEUTICS

TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 6

----- FEMALE -----

| GROUP:  | 1              | 2                 | 3              | 4                 | 5              |
|---|----------------|-------------------|----------------|-------------------|----------------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65             | 65                | 65             | 65                | 65             |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65             | 65                | 65             | 65                | 65             |
| HEART - CONTINUED                               |                |                   |                |                   |                |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM PRESENT  | 0<br>NONE      | 0<br>NONE         | 1<br>1         | 0<br>NONE         | 0<br>NONE      |
| -#S ADENOCARCINOMA; MAMMARY GLAND PRESENT       | 0<br>NONE      | 0<br>NONE         | 0<br>NONE      | 1<br>1            | 0<br>NONE      |
| -#S CARCINOMA; ADRENAL CORTEX PRESENT           | 0<br>NONE      | 0<br>NONE         | 0<br>NONE      | 0<br>NONE         | 1<br>1         |
| ILEUM   |                |                   |                |                   |                |
| TOTAL NUMBER EXAMINED                           | 60             | 60                | 56             | 57                | 60             |
| EXAMINED, UNREMARKABLE                          | 59             | 60                | 54             | 56                | 58             |
| TOO AUTOLYZED TO EXAMINE                        | 5              | 5                 | 9              | 7                 | 5              |
| NOT PRESENT FOR EXAMINATION                     | 0              | 0                 | 0              | 1                 | 0              |
| -#S SCHWANNOMA, MALIGNANT; CERVIX PRESENT       | 0<br>NONE      | 0<br>NONE         | 3<br>1         | 0<br>NONE         | 0<br>NONE      |
| JEJUNUM   |                |                   |                |                   |                |
| TOTAL NUMBER EXAMINED                           | 53             | 58                | 54             | 50                | 52             |
| EXAMINED, UNREMARKABLE                          | 50             | 58                | 52             | 49                | 50             |
| TOO AUTOLYZED TO EXAMINE                        | 12             | 7                 | 11             | 15                | 13             |
| -#M ADENOCARCINOMA SCHEDULED SACRIFICE          | 1              | 0                 | 0              | 0                 | 1              |
| -#S SCHWANNOMA, MALIGNANT; CERVIX PRESENT       | 1<br>0<br>NONE | NONE<br>0<br>NONE | NONE<br>1<br>1 | NONE<br>0<br>NONE | 1<br>0<br>NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, M = MALIGNANT, S = METASTATIC



PROJECT NO. (b) (4) 671007F  
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TABLE 550 (ALL ANIMALS - FEMALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

PAGE 7

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>KIDNEYS</b>                                  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 1    | 4    | 11   | 11   | 10   |
| -#S ADENOMA                                     | 0    | 0    | 1    | 0    | 0    |
| INCIDENTAL                                      | NONE | NONE | 1    | NONE | NONE |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | 0    | 0    | 1    | 0    | 0    |
| PRESENT   | NONE | NONE | 1    | NONE | NONE |
| -#S ADENOCARCINOMA; MAMMARY GLAND               | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |
| <b>LIVER</b>                                    |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 6    | 9    | 5    | 9    | 4    |
| -#S ADENOMA, HEPATOCELLULAR                     | 0    | 0    | 1    | 1    | 4    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 2    |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | 1    | 2    |
| -#S CHOLANGIOMA                                 | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#M CARCINOMA, HEPATOCELLULAR                   | 0    | 0    | 0    | 2    | 0    |
| INCIDENTAL                                      | NONE | NONE | NONE | 2    | NONE |
| -#M CHOLANGIOPANCREAS                           | 1    | 0    | 0    | 0    | 0    |
| INCIDENTAL                                      | 1    | NONE | NONE | NONE | NONE |
| -#S CARCINOMA, ACINAR CELL; PANCREAS            | 0    | 0    | 0    | 1    | 1    |
| PRESENT   | NONE | NONE | NONE | 1    | 1    |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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TABLE 550 (ALL ANIMALS - FEMALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

PAGE 8

----- FEMALE -----

| GROUP:   | 1    | 2    | 3    | 4    | 5  |
|--|------|------|------|------|----|
| NUMBER OF ANIMALS IN DOSE GROUP                  | 65   | 65   | 65   | 65   | 65 |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)  | 65   | 65   | 65   | 65   | 65 |
| <b>LIVER - CONTINUED</b>                         |      |      |      |      |    |
| -#S CARCINOMA; ADRENAL CORTEX                    | 0    | 0    | 0    | 0    | 1  |
| PRESENT  | NONE | NONE | NONE | NONE | 1  |
| -#S PHEOCHROMOCYTOMA, MALIGNANT; ADRENAL MEDULLA | 0    | 0    | 0    | 0    | 1  |
| PRESENT  | NONE | NONE | NONE | NONE | 1  |
| <b>LN, AXILLARY</b>                              |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                            | NA   | NA   | NA   | 2    | NA |
| EXAMINED, UNREMARKABLE                           | NA   | NA   | NA   | 0    | NA |
| NOT PRESENT FOR EXAMINATION                      | NA   | NA   | 1    | 0    | 1  |
| -#S ADENOCARCINOMA; MAMMARY GLAND                | NA   | NA   | NA   | 1    | NA |
| PRESENT  | NA   | NA   | NA   | 1    | NA |
| <b>LN, BRONCHIAL</b>                             |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                            | NA   | NA   | NA   | NA   | 1  |
| EXAMINED, UNREMARKABLE                           | NA   | NA   | NA   | NA   | 0  |
| -#S ADENOCARCINOMA, MUCINOUS; COLON              | NA   | NA   | NA   | NA   | 1  |
| PRESENT  | NA   | NA   | NA   | NA   | 1  |
| <b>LN, ILIAC</b>                                 |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                            | 1    | NA   | 2    | 1    | 6  |
| EXAMINED, UNREMARKABLE                           | 0    | NA   | 0    | 0    | 0  |
| -#M SCHWANNOMA, MALIGNANT                        | 0    | NA   | 0    | 0    | 1  |
| FATAL  | NA   | NA   | NA   | NA   | 1  |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
 # = NEOPLASM, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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TABLE 550 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

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| ----- FEMALE -----                              |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| LN, ILIAC - CONTINUED                           |      |      |      |      |      |
| -#S FIBROSARCOMA; PAWS                          | 0    | NA   | 0    | 0    | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| LN, MEDIASTINAL                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | NA   | NA   | 2    | 2    | 3    |
| EXAMINED, UNREMARKABLE                          | NA   | NA   | 0    | 0    | 0    |
| -#S CARCINOMA; ADRENAL CORTEX                   | NA   | NA   | 0    | 0    | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| LN, RENAL                                       |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | NA   | NA   | 2    | NA   | 2    |
| EXAMINED, UNREMARKABLE                          | NA   | NA   | 0    | NA   | 0    |
| -#S CARCINOMA; ADRENAL CORTEX                   | NA   | NA   | 0    | NA   | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| LUNGS   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 37   | 37   | 40   | 36   | 35   |
| -#S ADENOCARCINOMA, MUCINOUS; COLON             | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |
| -#S ADENOCARCINOMA; MAMMARY GLAND               | 0    | 2    | 1    | 1    | 2    |
| PRESENT   | NONE | 2    | 1    | 1    | 2    |
| -#S ADENOCARCINOMA; UTERUS                      | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NONE | NONE | NONE | 1    | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, S = METASTATIC

NA = NOT APPLICABLE

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TABLE 550 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 10

| ----- FEMALE -----                                 |      |      |      |      |      |
|--|------|------|------|------|------|
| GROUP:   | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                    | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)    | 65   | 65   | 65   | 65   | 65   |
| LUNGS - CONTINUED                                  |      |      |      |      |      |
| -#S CARCINOMA, C-CELL; THYROID                     | 1    | 0    | 0    | 0    | 0    |
| PRESENT  | 1    | NONE | NONE | NONE | NONE |
| -#S CARCINOMA; ADRENAL CORTEX                      | 0    | 1    | 0    | 0    | 1    |
| PRESENT  | NONE | 1    | NONE | NONE | 1    |
| -#S FIBROSARCOMA; PAWS                             | 0    | 0    | 0    | 0    | 1    |
| PRESENT  | NONE | NONE | NONE | NONE | 1    |
| -#S HIBERNOMA, MALIGNANT; SOFT TISSUE THORAX       | 0    | 0    | 0    | 0    | 1    |
| PRESENT  | NONE | NONE | NONE | NONE | 1    |
| -#S SARCOMA, UNDIFFERENTIATED; SOFT TISSUE, THORAX | 0    | 0    | 1    | 0    | 0    |
| PRESENT  | NONE | NONE | 1    | NONE | NONE |
| -#S SCHWANNOMA, MALIGNANT; SKIN                    | 0    | 0    | 1    | 1    | 0    |
| PRESENT  | NONE | NONE | 1    | 1    | NONE |
| LYMPH NODE, MAND                                   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                              | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                             | 38   | 41   | 51   | 44   | 39   |
| -#S ADENOCARCINOMA; MAMMARY GLAND                  | 0    | 0    | 0    | 0    | 1    |
| PRESENT  | NONE | NONE | NONE | NONE | 1    |
| LYMPH NODE, MES                                    |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                              | 65   | 65   | 65   | 64   | 65   |
| EXAMINED, UNREMARKABLE                             | 37   | 36   | 46   | 42   | 41   |
| NOT PRESENT FOR EXAMINATION                        | 0    | 0    | 0    | 1    | 0    |
| -#S SCHWANNOMA, MALIGNANT; CERVIX                  | 0    | 0    | 0    | 1    | 0    |
| PRESENT  | NONE | NONE | NONE | 1    | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, S = METASTATIC

(b) (4) PROJECT NO. 671007P SPONSOR: HYPERION THERAPEUTICS TABLE 550 (ALL ANIMALS - FEMALES) A 24-MONTH ORAL STUDY OF HPN-100 IN RATS SUMMARY OF NEOPLASTIC FINDINGS PAGE 11

| ----- FEMALE -----                              |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>MAMMARY GLAND</b>                            |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 21   | 24   | 19   | 20   | 31   |
| -#B ADENOMA                                     | 4    | 4    | 2    | 1    | 5    |
| INCIDENTAL                                      | 2    | 3    | 2    | 1    | 4    |
| SCHEDULED SACRIFICE                             | 2    | 1    | NONE | NONE | 1    |
| -#B FIBROADENOMA                                | 30   | 23   | 36   | 32   | 20   |
| INCIDENTAL                                      | 16   | 13   | 17   | 18   | 13   |
| FATAL   | NONE | 1    | 1    | NONE | 1    |
| SCHEDULED SACRIFICE                             | 14   | 9    | 18   | 14   | 6    |
| -#B LIPOMA                                      | 0    | 0    | 0    | 1    | 0    |
| INCIDENTAL                                      | NONE | NONE | NONE | 1    | NONE |
| -#M ADENOCARCINOMA                              | 9    | 9    | 9    | 12   | 12   |
| INCIDENTAL                                      | 5    | 3    | 3    | 5    | 5    |
| FATAL   | NONE | 3    | 2    | 1    | 4    |
| SCHEDULED SACRIFICE                             | 4    | 3    | 4    | 6    | 3    |
| <b>MESENTERY</b>                                |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 1    | NA   | 2    | 3    | 1    |
| EXAMINED, UNREMARKABLE                          | 1    | NA   | 0    | 0    | 0    |
| -#S LIPOMA                                      | 0    | NA   | 0    | 1    | 0    |
| SCHEDULED SACRIFICE                             | NA   | NA   | NA   | 1    | NA   |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | 0    | NA   | 1    | 0    | 0    |
| PRESENT   | NA   | NA   | 1    | NA   | NA   |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 100 MG/KG/DAY 4- 300 MG/KG/DAY 5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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| ----- FEMALE -----                              |      |      |      |      |      |
|---|------|------|------|------|------|
| GROUP:  | 1    | 2    | 3    | 4    | 5    |
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| <b>MESENTERY - CONTINUED</b>                    |      |      |      |      |      |
| -#S CARCINOMA, ADRENAL CORTEX                   | 0    | NA   | 0    | 0    | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| -#S SCHWANNOMA, MALIGNANT; CERVIX               | 0    | NA   | 1    | 0    | 0    |
| PRESENT   | NA   | NA   | 1    | NA   | NA   |
| -#S SCHWANNOMA, MALIGNANT; UTERUS               | 0    | NA   | 0    | 1    | 0    |
| PRESENT   | NA   | NA   | NA   | 1    | NA   |
| <b>OVARIES</b>                                  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 29   | 33   | 22   | 30   | 28   |
| -#B ADENOMA                                     | 0    | 1    | 0    | 0    | 1    |
| SCHEDULED SACRIFICE                             | NONE | 1    | NONE | NONE | 1    |
| -#B GRANULOSA CELL TUMOR, BENIGN                | 0    | 0    | 1    | 0    | 3    |
| INCIDENTAL                                      | NONE | NONE | 1    | NONE | 3    |
| -#B LEIOMYOMA                                   | 0    | 1    | 0    | 0    | 0    |
| SCHEDULED SACRIFICE                             | NONE | 1    | NONE | NONE | NONE |
| -#B THECOMA, BENIGN                             | 0    | 0    | 0    | 0    | 2    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -#M THECOMA, MALIGNANT                          | 0    | 1    | 0    | 0    | 0    |
| FATAL   | NONE | 1    | NONE | NONE | NONE |
| -#S ADENOCARCINOMA, MUCINOUS; COLON             | 0    | 0    | 0    | 0    | 1    |
| PRESENT   | NONE | NONE | NONE | NONE | 1    |

1- 0 MG/KG/DAY-1 2- 0 MG/KG/DAY-2 3- 100 MG/KG/DAY 4- 300 MG/KG/DAY 5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

(b) (4)  
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SPONSOR: HYPERION THERAPEUTICS

TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 13

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| OVARIES - CONTINUED                             |      |      |      |      |      |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM PRESENT  | 0    | 0    | 1    | 0    | 0    |
|   | NONE | NONE | 1    | NONE | NONE |
| PANCREAS  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 56   | 52   | 48   | 48   | 33   |
| -#B ADENOMA, ACINAR CELL                        | 0    | 0    | 0    | 0    | 6    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 3    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 3    |
| -#B ADENOMA, ISLET CELL                         | 0    | 2    | 2    | 2    | 0    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| SCHEDULED SACRIFICE                             | NONE | 1    | 2    | 2    | NONE |
| -#M CARCINOMA, ACINAR CELL                      | 0    | 0    | 0    | 2    | 6    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 3    |
| FATAL   | NONE | NONE | NONE | 1    | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | 1    | 2    |
| -#M CARCINOMA, ISLET CELL                       | 1    | 0    | 3    | 0    | 0    |
| INCIDENTAL                                      | 1    | NONE | NONE | NONE | NONE |
| SCHEDULED SACRIFICE                             | NONE | NONE | 3    | NONE | NONE |
| -#S ADENOCARCINOMA, MUCINOUS; COLON PRESENT     | 0    | 0    | 0    | 0    | 1    |
|   | NONE | NONE | NONE | NONE | 1    |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM PRESENT  | 0    | 0    | 1    | 0    | 0    |
|   | NONE | NONE | 1    | NONE | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 14

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4  | 5    |
|---|------|------|------|----|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65 | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65 | 65   |
| PANCREAS - CONTINUED                            |      |      |      |    |      |
| -#S ADENOCARCINOMA; UTERUS PRESENT              | 0    | 0    | 0    | 1  | 0    |
|   | NONE | NONE | NONE | 1  | NONE |
| -#S SCHWANNOMA, MALIGNANT; CERVIX PRESENT       | 1    | 0    | 1    | 1  | 0    |
|   | 1    | NONE | 1    | 1  | NONE |
| -#S SCHWANNOMA, MALIGNANT; UTERUS PRESENT       | 0    | 0    | 0    | 1  | 0    |
|   | NONE | NONE | NONE | 1  | NONE |
| PANS  |      |      |      |    |      |
| TOTAL NUMBER EXAMINED                           | 5    | 8    | 11   | 13 | 17   |
| EXAMINED, UNREMARKABLE                          | 0    | 0    | 0    | 0  | 0    |
| NOT PRESENT FOR EXAMINATION                     | 1    | 0    | 0    | 0  | 1    |
| -#M FIBROSARCOMA                                | 0    | 0    | 0    | 0  | 1    |
| FATAL   | NA   | NA   | NA   | NA | 1    |
| PITUITARY                                       |      |      |      |    |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65 | 65   |
| EXAMINED, UNREMARKABLE                          | 1    | 2    | 1    | 4  | 19   |
| -#E ADENOMA, FARS DISTALIS                      | 62   | 58   | 58   | 52 | 31   |
| INCIDENTAL                                      | 6    | 8    | 6    | 12 | 9    |
| FATAL   | 39   | 33   | 30   | 25 | 16   |
| SCHEDULED SACRIFICE                             | 17   | 17   | 22   | 16 | 6    |
| -#E ADENOMA, FARS INTERMEDIA                    | 0    | 0    | 0    | 1  | 0    |
| FATAL   | NONE | NONE | NONE | 1  | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

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----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| PITUITARY - CONTINUED                           |      |      |      |      |      |
| -#M CARCINOMA, PARS DISTALIS                    | 1    | 0    | 3    | 2    | 0    |
| FATAL   | 1    | NONE | 3    | 2    | NONE |
| SAL. GLAND MAND                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 64   | 65   |
| EXAMINED, UNREMARKABLE                          | 63   | 63   | 62   | 62   | 63   |
| NOT PRESENT FOR EXAMINATION                     | 0    | 0    | 0    | 1    | 0    |
| -#M ADENOCARCINOMA                              | 0    | 0    | 0    | 0    | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| SKELETAL MUSCLE                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 61   | 56   | 61   | 60   | 59   |
| -#M SARCOMA, UNDIFFERENTIATED                   | 0    | 0    | 0    | 1    | 0    |
| FATAL   | NONE | NONE | NONE | 1    | NONE |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | 0    | 0    | 1    | 0    | 0    |
| PRESENT   | NONE | NONE | 1    | NONE | NONE |
| SKIN  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 63   | 60   | 57   | 56   | 59   |
| -#E ADENOMA, SEBACEOUS CELL                     | 0    | 1    | 0    | 0    | 0    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 16

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| SKIN - CONTINUED                                |      |      |      |      |      |
| -#E FIBROMA                                     | 0    | 0    | 0    | 1    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | 1    | 1    |
| -#M ADENOCARCINOMA, SEBACEOUS CELL              | 0    | 0    | 0    | 0    | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -#M CARCINOMA, SQUAMOUS CELL                    | 0    | 2    | 0    | 0    | 0    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| FATAL   | NONE | 1    | NONE | NONE | NONE |
| -#M FIBROSARCOMA                                | 0    | 0    | 0    | 1    | 0    |
| FATAL   | NONE | NONE | NONE | 1    | NONE |
| -#M MYOSARCOMA                                  | 0    | 0    | 0    | 0    | 1    |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| -#M SARCOMA, MALIGNANT                          | 0    | 1    | 1    | 1    | 2    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | 2    |
| FATAL   | NONE | NONE | 1    | 1    | NONE |
| SOFT TISSUE- AB                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | NA   | NA   | 5    | 1    | 3    |
| EXAMINED, UNREMARKABLE                          | NA   | NA   | 0    | 0    | 0    |
| -#B LIPOMA                                      | NA   | NA   | 2    | 0    | 0    |
| SCHEDULED SACRIFICE                             | NA   | NA   | 2    | NA   | NA   |
| -#S ADENOCARCINOMA, MUCINOUS; COLON             | NA   | NA   | 0    | 0    | 1    |
| PRESENT   | NA   | NA   | NA   | NA   | 1    |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | NA   | NA   | 1    | 0    | 0    |
| PRESENT   | NA   | NA   | 1    | NA   | NA   |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC  
NA = NOT APPLICABLE

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 PROJECT NO. 571007F  
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TABLE S50 (ALL ANIMALS - FEMALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

PAGE 17

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5  |
|---|------|------|------|------|----|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65 |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65 |
| SOFT TISSUE- AB - CONTINUED                     |      |      |      |      |    |
| -#S CARCINOMA, ACINAR CELL; PANCREAS            | NA   | NA   | 0    | 1    | 1  |
| PRESENT   | NA   | NA   | NA   | 1    | 1  |
| -#S SCHWANNOMA, MALIGNANT; CERVIX               | NA   | NA   | 1    | 0    | 0  |
| PRESENT   | NA   | NA   | 1    | NA   | NA |
| SOFT TISSUE- TH                                 |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                           | 1    | NA   | 3    | 2    | 5  |
| EXAMINED, UNREMARKABLE                          | 0    | NA   | 0    | 0    | 0  |
| -#B HIBERNOMA, BENIGN                           | 1    | NA   | 0    | 1    | 2  |
| FATAL   | 1    | NA   | NA   | 1    | 3  |
| -#M HIBERNOMA, MALIGNANT                        | 0    | NA   | 1    | 1    | 2  |
| FATAL   | NA   | NA   | 1    | 1    | 2  |
| -#M SARCOMA, UNDIFFERENTIATED                   | 0    | NA   | 1    | 0    | 0  |
| INCIDENTAL                                      | NA   | NA   | 1    | NA   | NA |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | 0    | NA   | 1    | 0    | 0  |
| PRESENT   | NA   | NA   | 1    | NA   | NA |
| SPINAL CORD                                     |      |      |      |      |    |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65 |
| EXAMINED, UNREMARKABLE                          | 20   | 17   | 21   | 27   | 22 |
| -#M SCHWANNOMA, MALIGNANT                       | 0    | 0    | 0    | 0    | 1  |
| FATAL   | NONE | NONE | NONE | NONE | 1  |

-----

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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TABLE S50 (ALL ANIMALS - FEMALES)  
 A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

PAGE 18

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| SPLEEN  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 16   | 13   | 14   | 8    | 11   |
| -#M OSTEOSARCOMA                                | 1    | 0    | 0    | 0    | 0    |
| SCHEDULED SACRIFICE                             | 1    | NONE | NONE | NONE | NONE |
| -#S SCHWANNOMA, MALIGNANT; UTERUS               | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NONE | NONE | NONE | 1    | NONE |
| STOMACH, GLAN                                   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 64   | 65   |
| EXAMINED, UNREMARKABLE                          | 57   | 53   | 60   | 56   | 54   |
| TOO AUTOLYZED TO EXAMINE                        | 0    | 0    | 0    | 1    | 0    |
| -#B LEIOMYOMA                                   | 1    | 0    | 0    | 0    | 0    |
| INCIDENTAL                                      | 1    | NONE | NONE | NONE | NONE |
| -#S ADENOCARCINOMA, MUCINOUS; DUODENUM          | 0    | 0    | 1    | 0    | 0    |
| PRESENT   | NONE | NONE | 1    | NONE | NONE |
| -#S SCHWANNOMA, MALIGNANT; CERVIX               | 0    | 0    | 1    | 0    | 0    |
| PRESENT   | NONE | NONE | 1    | NONE | NONE |
| -#S SCHWANNOMA, MALIGNANT; UTERUS               | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NONE | NONE | NONE | 1    | NONE |
| SYSTEMIC TUMORS                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 3    | 1    | 3    | 3    | 5    |
| EXAMINED, UNREMARKABLE                          | 0    | 0    | 0    | 0    | 0    |
| -#M FIBROUS HISTIOCYTOMA, MALIGNANT             | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NA   | NA   | NA   | NA   | 1    |

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1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

NA = NOT APPLICABLE

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TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 19

----- FEMALE -----

| GROUP:   | 1  | 2  | 3  | 4  | 5  |
|--|----|----|----|----|----|
| NUMBER OF ANIMALS IN DOSE GROUP  | 65 | 65 | 65 | 65 | 65 |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)  | 65 | 65 | 65 | 65 | 65 |
| SYSTEMIC TUMORS - CONTINUED  |    |    |    |    |    |
| -# HEMANGIOSARCOMA   | 0  | 1  | 1  | 1  | 1  |
| FATAL  | NA | NA | 1  | 1  | 1  |
| SCHEDULED SACRIFICE  | NA | 1  | NA | NA | NA |
| -# LYMPHANGIOSARCOMA   | 0  | 0  | 0  | 1  | 0  |
| FATAL  | NA | NA | NA | 1  | NA |
| -# LYMPHOMA, MALIGNANT   | 1  | 0  | 1  | 1  | 1  |
| FATAL  | 1  | NA | NA | 1  | 1  |
| SCHEDULED SACRIFICE  | NA | NA | 1  | NA | NA |
| -# LYMPHOMA, MALIGNANT (LARGE GRANULAR LYMPHOCYTE)   | 0  | 0  | 1  | 0  | 0  |
| SCHEDULED SACRIFICE  | NA | NA | 1  | NA | NA |
| -# MESOTHELIOOMA, MALIGNANT  | 1  | 1  | 0  | 0  | 0  |
| INCIDENTAL   | 1  | NA | NA | NA | NA |
| SCHEDULED SACRIFICE  | NA | 1  | NA | NA | NA |
| -# MYELOMA, PLASMA CELL  | 1  | 0  | 0  | 0  | 0  |
| SCHEDULED SACRIFICE  | 1  | NA | NA | NA | NA |
| -# SARCOMA, HISTIOCYTIC  | 0  | 0  | 0  | 0  | 2  |
| FATAL  | NA | NA | NA | NA | 2  |
| TAIL   |    |    |    |    |    |
| TOTAL NUMBER EXAMINED  | 19 | 20 | 20 | 17 | 14 |
| EXAMINED, UNREMARKABLE   | 0  | 0  | 0  | 0  | 0  |
| -# NEURAL CREST TUMOR, BENIGN  | 0  | 1  | 0  | 0  | 0  |
| SCHEDULED SACRIFICE  | NA | 1  | NA | NA | NA |
| 1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY |    |    |    |    |    |
| # = NEOPLASM, B = BENIGN, M = MALIGNANT  |    |    |    |    |    |

NA = NOT APPLICABLE

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TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 20

----- FEMALE -----

| GROUP:   | 1    | 2    | 3    | 4    | 5    |
|--|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP  | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED)  | 65   | 65   | 65   | 65   | 65   |
| THYMUS   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED  | 63   | 62   | 64   | 64   | 65   |
| EXAMINED, UNREMARKABLE   | 2    | 1    | 1    | 3    | 6    |
| NOT PRESENT FOR EXAMINATION  | 2    | 3    | 1    | 1    | 0    |
| -# THYMOMA, BENIGN   | 1    | 0    | 0    | 0    | 0    |
| INCIDENTAL   | 1    | NONE | NONE | NONE | NONE |
| -# ADENOCARCINOMA, MUCINUS; DUODENUM   | 0    | 0    | 1    | 0    | 0    |
| PRESENT  | NONE | NONE | 1    | NONE | NONE |
| -# ADENOCARCINOMA, MAMMARY GLAND   | 0    | 0    | 0    | 0    | 1    |
| PRESENT  | NONE | NONE | NONE | NONE | 1    |
| -# ADENOCARCINOMA, UTERUS  | 0    | 0    | 0    | 1    | 0    |
| PRESENT  | NONE | NONE | NONE | 1    | NONE |
| THYROID GLANDS   |      |      |      |      |      |
| TOTAL NUMBER EXAMINED  | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE   | 11   | 8    | 5    | 10   | 7    |
| -# ADENOMA, C-CELL   | 9    | 4    | 8    | 3    | 2    |
| INCIDENTAL   | 3    | 1    | 5    | 2    | 1    |
| SCHEDULED SACRIFICE  | 6    | 3    | 3    | 2    | 1    |
| -# ADENOMA, FOLLICULAR CELL  | 0    | 1    | 1    | 2    | 9    |
| INCIDENTAL   | NONE | 1    | 1    | NONE | 5    |
| SCHEDULED SACRIFICE  | NONE | NONE | NONE | 2    | 4    |
| -# CARCINOMA, C-CELL   | 3    | 0    | 0    | 1    | 0    |
| INCIDENTAL   | 2    | NONE | NONE | 1    | NONE |
| FATAL  | 1    | NONE | NONE | NONE | NONE |
| 1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY |      |      |      |      |      |
| # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC  |      |      |      |      |      |

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TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 21

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| THYROID GLANDS - CONTINUED                      |      |      |      |      |      |
| -#B CARCINOMA, FOLLICULAR CELL                  | 0    | 1    | 2    | 2    | 5    |
| INCIDENTAL                                      | NONE | NONE | 1    | 1    | NONE |
| SCHEDULED SACRIFICE                             | NONE | 1    | 1    | 1    | 5    |
| TONGUE  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 62   | 62   | 65   | 64   | 63   |
| -#B PAPILLOMA, SQUAMOUS CELL                    | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| URINARY BLADDER                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 64   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 61   | 56   | 60   | 55   | 56   |
| NOT PRESENT FOR EXAMINATION                     | 0    | 0    | 1    | 0    | 0    |
| -#B GRANULAR CELL TUMOR, BENIGN                 | 0    | 0    | 0    | 1    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | 1    | NONE |
| -#B PAPILLOMA                                   | 0    | 0    | 0    | 1    | 0    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | 1    | NONE |
| -#S CARCINOMA; ADEENAL CORTEX                   | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NONE | NONE | NONE | 1    | NONE |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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TABLE S50 (ALL ANIMALS - FEMALES)  
A 24-MONTH ORAL STUDY OF HPN-100 IN RATS  
SUMMARY OF NEOPLASTIC FINDINGS

PAGE 22

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| UTERUS  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 44   | 45   | 36   | 35   | 17   |
| -#B ADENOMA, ENDOMETRIAL                        | 0    | 0    | 0    | 0    | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -#B LEIOMYOMA                                   | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#B POLYP, ENDOMETRIAL STROMAL                  | 2    | 1    | 5    | 4    | 12   |
| INCIDENTAL                                      | 1    | 1    | 2    | 1    | 8    |
| SCHEDULED SACRIFICE                             | 1    | NONE | 3    | 3    | 4    |
| -#M ADENOCARCINOMA                              | 1    | 1    | 0    | 1    | 2    |
| FATAL   | NONE | NONE | NONE | 1    | 1    |
| SCHEDULED SACRIFICE                             | 1    | 1    | NONE | NONE | 1    |
| -#M SARCOMA, ENDOMETRIAL STROMAL                | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#M SCHWANNOMA, MALIGNANT                       | 0    | 0    | 0    | 1    | 0    |
| FATAL   | NONE | NONE | NONE | 1    | NONE |
| -#S SCHWANNOMA, MALIGNANT; CERVIX               | 1    | 0    | 0    | 0    | 0    |
| PRESENT   | 1    | NONE | NONE | NONE | NONE |
| VAGINA  |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 65   | 65   | 65   | 65   | 65   |
| EXAMINED, UNREMARKABLE                          | 59   | 59   | 58   | 61   | 56   |
| -#B GRANULAR CELL TUMOR, BENIGN                 | 0    | 0    | 0    | 1    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | 1    | 1    |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
# = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC



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TABLE S50 (ALL ANIMALS - FEMALES)  
 A 24-MONTH OPAL STUDY OF HPN-100 IN RATS  
 SUMMARY OF NEOPLASTIC FINDINGS

PAGE 23

----- FEMALE -----

| GROUP:  | 1    | 2    | 3    | 4    | 5    |
|---|------|------|------|------|------|
| NUMBER OF ANIMALS IN DOSE GROUP                 | 65   | 65   | 65   | 65   | 65   |
| NUMBER OF ANIMALS (ALL TYPES OF DEATH COMBINED) | 65   | 65   | 65   | 65   | 65   |
| VAGINA - CONTINUED                              |      |      |      |      |      |
| -# LEIOMYOMA                                    | 0    | 1    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | 1    | NONE | NONE | NONE |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -# POLYP, VAGINAL                               | 1    | 0    | 1    | 0    | 0    |
| INCIDENTAL                                      | NONE | NONE | 1    | NONE | NONE |
| FATAL   | 1    | NONE | NONE | NONE | NONE |
| -#M CARCINOMA, SQUAMOUS CELL                    | 0    | 0    | 1    | 0    | 0    |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | NONE | NONE |
| -#M LEIOMYOSARCOMA                              | 0    | 0    | 0    | 0    | 1    |
| INCIDENTAL                                      | NONE | NONE | NONE | NONE | 1    |
| -#M SCHWANNOMA, MALIGNANT                       | 0    | 0    | 0    | 0    | 2    |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | NONE | NONE | 1    |
| -#S ADENOCARCINOMA; MAMMARY GLAND               | 0    | 1    | 0    | 0    | 0    |
| PRESENT   | NONE | 1    | NONE | NONE | NONE |
| -#S FIBROSARCOMA; SKIN                          | 0    | 0    | 0    | 1    | 0    |
| PRESENT   | NONE | NONE | NONE | 1    | NONE |
| ZYMBAL'S GLANDS                                 |      |      |      |      |      |
| TOTAL NUMBER EXAMINED                           | 63   | 64   | 64   | 65   | 62   |
| EXAMINED, UNREMARKABLE                          | 63   | 62   | 62   | 60   | 54   |
| NOT PRESENT FOR EXAMINATION                     | 2    | 1    | 1    | 0    | 3    |
| -#N CARCINOMA                                   | 0    | 1    | 1    | 2    | 5    |
| INCIDENTAL                                      | NONE | 1    | NONE | 1    | 2    |
| FATAL   | NONE | NONE | NONE | NONE | 1    |
| SCHEDULED SACRIFICE                             | NONE | NONE | 1    | 1    | 2    |

1- 0 MG/KG/DAY-1    2- 0 MG/KG/DAY-2    3- 100 MG/KG/DAY    4- 300 MG/KG/DAY    5- 900 MG/KG/DAY  
 # = NEOPLASM, B = BENIGN, M = MALIGNANT, S = METASTATIC

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**Toxicokinetics:** HPN-100 is rapidly converted to PBA (4-phenylbutyric acid) in the GI tract. PBA is oxidized to PAA (phenylacetic acid). In rats, PAA conjugates with glycine forming PAG (phenylacetylglutamine). The plasma concentrations of the above mentioned metabolites plus phenylacetylglutamine (PAGN), phenylbutyrylglutamine (PBG), and phenylbutyrylglutamine (PBGN) were analyzed, and the toxicokinetic parameters were summarized in the following tables (taken from the study report). Plasma levels of PBG and PBGN were either at or below the lowest level of quantitation (1 µg/mL).

Text Table 5. Summary of Toxicokinetic Parameters, PBA

| Dosage         | t <sub>1/2</sub><br>(hr) | T <sub>max</sub><br>(hr) | C <sub>max</sub><br>(ug/mL) | C <sub>last</sub><br>(ug/mL) | AUC <sub>last</sub><br>(hr•ug/mL) | AUC <sub>all</sub><br>(hr•ug/mL) | AUC <sub>∞</sub><br>(hr•ug/mL) |
|----------------|--------------------------|--------------------------|-----------------------------|------------------------------|-----------------------------------|----------------------------------|--------------------------------|
| Study day 0    |                          |                          |                             |                              |                                   |                                  |                                |
| <u>Males</u>   |                          |                          |                             |                              |                                   |                                  |                                |
| 70 mg/kg/day   | 0.85                     | 1                        | 16.1                        | 1.25                         | 23.47                             | 24.72                            | 25.01                          |
| 210 mg/kg/day  | 1.77                     | 1                        | 22.4                        | 6.64                         | 47.30                             | 53.94                            | 64.29                          |
| 650 mg/kg/day  | 1.33                     | 1                        | 195.9                       | 0.38                         | 341.76                            | 344.02                           | 342.48                         |
| <u>Females</u> |                          |                          |                             |                              |                                   |                                  |                                |
| 100 mg/kg/day  | 0.94                     | 1                        | 21.0                        | 0.46                         | 38.75                             | 39.21                            | 39.37                          |
| 300 mg/kg/day  | 0.96                     | 1                        | 44.9                        | 0.45                         | 111.57                            | 112.48                           | 112.20                         |
| 900 mg/kg/day  | 3.6                      | 1                        | 223.6                       | 0.44                         | 710.31                            | 710.31                           | 712.57                         |
| Study day 364  |                          |                          |                             |                              |                                   |                                  |                                |
| <u>Males</u>   |                          |                          |                             |                              |                                   |                                  |                                |
| 70 mg/kg/day   | 1.24                     | 1                        | 14.8                        | 2.44                         | 23.91                             | 26.34                            | 28.27                          |
| 210 mg/kg/day  | 2.21                     | 1                        | 37.1                        | 1.31                         | 71.51                             | 74.12                            | 75.68                          |
| 650 mg/kg/day  | 4.54                     | 1                        | 34.1                        | 4.48                         | 111.11                            | 137.98                           | 140.41                         |
| <u>Females</u> |                          |                          |                             |                              |                                   |                                  |                                |
| 100 mg/kg/day  | 1.86                     | 1                        | 41.3                        | 2.47                         | 99.04                             | 103.97                           | 105.65                         |
| 300 mg/kg/day  | 1.41                     | 1                        | 123.9                       | 0.40                         | 230.66                            | 233.06                           | 231.48                         |
| 900 mg/kg/day  | 2.60                     | 1                        | 120.4                       | 3.38                         | 290.78                            | 311.08                           | 303.48                         |

Text Table 6. Summary of Toxicokinetic Parameters, PAA

| Dosage         | t <sub>1/2</sub><br>(hr) | T <sub>max</sub><br>(hr) | C <sub>max</sub><br>(ug/mL) | C <sub>last</sub><br>(ug/mL) | AUC <sub>last</sub><br>(hr•ug/mL) | AUC <sub>all</sub><br>(hr•ug/mL) | AUC <sub>∞</sub><br>(hr•ug/mL) |
|----------------|--------------------------|--------------------------|-----------------------------|------------------------------|-----------------------------------|----------------------------------|--------------------------------|
| Study day 0    |                          |                          |                             |                              |                                   |                                  |                                |
| <u>Males</u>   |                          |                          |                             |                              |                                   |                                  |                                |
| 70 mg/kg/day   | NA                       | 1                        | 36.1                        | 5.19                         | 38.67                             | 43.86                            | NA                             |
| 210 mg/kg/day  | NA                       | 2                        | 113.3                       | 69.84                        | 287.82                            | 357.66                           | NA                             |
| 650 mg/kg/day  | 1.34                     | 2                        | 278.0                       | 12.35                        | 1914.00                           | 1988.07                          | 1937.86                        |
| <u>Females</u> |                          |                          |                             |                              |                                   |                                  |                                |
| 100 mg/kg/day  | 2.90                     | 1                        | 62.3                        | 23.48                        | 105.93                            | 129.41                           | 204.20                         |
| 300 mg/kg/day  | 2.87                     | 4                        | 102.2                       | 38.86                        | 519.88                            | 597.60                           | 680.62                         |
| 900 mg/kg/day  | 6.01                     | 6                        | 318.7                       | 160.26                       | 2892.00                           | 3853.54                          | 4280.94                        |
| Study day 364  |                          |                          |                             |                              |                                   |                                  |                                |
| <u>Males</u>   |                          |                          |                             |                              |                                   |                                  |                                |
| 70 mg/kg/day   | 0.46                     | 1                        | 47.2                        | 0.49                         | 63.15                             | 63.65                            | 63.48                          |
| 210 mg/kg/day  | 0.77                     | 1                        | 129.7                       | 1.07                         | 425.39                            | 426.46                           | 426.58                         |
| 650 mg/kg/day  | 3.35                     | 4                        | 585.8                       | 107.07                       | 3993.13                           | 4635.52                          | 4510.15                        |
| <u>Females</u> |                          |                          |                             |                              |                                   |                                  |                                |
| 100 mg/kg/day  | 1.41                     | 1                        | 66.6                        | 6.30                         | 160.18                            | 166.48                           | 173.01                         |
| 300 mg/kg/day  | 14.77                    | 1                        | 268.2                       | 178.16                       | 1618.01                           | 1974.32                          | 5414.15                        |
| 900 mg/kg/day  | 6.72                     | 4                        | 757.4                       | 328.01                       | 7106.03                           | 9074.09                          | 10284.20                       |

NA = Not Applicable

Text Table 7. Summary of Toxicokinetic Parameters, PAG

| Dosage         | t <sub>1/2</sub><br>(hr) | T <sub>max</sub><br>(hr) | C <sub>max</sub><br>(ug/mL) | C <sub>last</sub><br>(ug/mL) | AUC <sub>last</sub><br>(hr•ug/mL) | AUC <sub>all</sub><br>(hr•ug/mL) | AUC <sub>∞</sub><br>(hr•ug/mL) |
|----------------|--------------------------|--------------------------|-----------------------------|------------------------------|-----------------------------------|----------------------------------|--------------------------------|
| Study day 0    |                          |                          |                             |                              |                                   |                                  |                                |
| <u>Males</u>   |                          |                          |                             |                              |                                   |                                  |                                |
| 70 mg/kg/day   | 1.43                     | 1                        | 11.4                        | 2.75                         | 26.61                             | 29.36                            | 32.27                          |
| 210 mg/kg/day  | 0.86                     | 2                        | 18.5                        | 0.75                         | 75.53                             | 76.28                            | 76.46                          |
| 650 mg/kg/day  | 3.96                     | 2                        | 35.2                        | 5.56                         | 263.32                            | 296.71                           | 295.09                         |
| <u>Females</u> |                          |                          |                             |                              |                                   |                                  |                                |
| 100 mg/kg/day  | 2.14                     | 1                        | 11.9                        | 1.82                         | 35.68                             | 37.50                            | 41.32                          |
| 300 mg/kg/day  | 2.42                     | 2                        | 18.9                        | 5.70                         | 104.98                            | 116.38                           | 124.89                         |
| 900 mg/kg/day  | 4.14                     | 2                        | 39.6                        | 1.48                         | 469.71                            | 469.71                           | 478.58                         |
| Study day 364  |                          |                          |                             |                              |                                   |                                  |                                |
| <u>Males</u>   |                          |                          |                             |                              |                                   |                                  |                                |
| 70 mg/kg/day   | 4.36                     | 1                        | 27.6                        | 0.43                         | 66.27                             | 66.27                            | 68.97                          |
| 210 mg/kg/day  | 1.72                     | 1                        | 35.6                        | 0.94                         | 154.04                            | 159.70                           | 156.38                         |
| 650 mg/kg/day  | 2.22                     | 4                        | 62.3                        | 0.47                         | 810.28                            | 810.28                           | 811.79                         |
| <u>Females</u> |                          |                          |                             |                              |                                   |                                  |                                |
| 100 mg/kg/day  | 1.99                     | 1                        | 19.7                        | 3.59                         | 98.78                             | 105.96                           | 109.08                         |
| 300 mg/kg/day  | 1.40                     | 2                        | 36.5                        | 1.46                         | 269.76                            | 278.50                           | 272.71                         |
| 900 mg/kg/day  | 2.50                     | 2                        | 66.6                        | 0.97                         | 972.53                            | 972.53                           | 976.02                         |

Text Table 8. Summary of Toxicokinetic Parameters, PAGN

| Dosage         | t <sub>1/2</sub><br>(hr) | T <sub>max</sub><br>(hr) | C <sub>max</sub><br>(ug/mL) | C <sub>last</sub><br>(ug/mL) | AUC <sub>last</sub><br>(hr•ug/mL) | AUC <sub>all</sub><br>(hr•ug/mL) | AUC <sub>∞</sub><br>(hr•ug/mL) |
|----------------|--------------------------|--------------------------|-----------------------------|------------------------------|-----------------------------------|----------------------------------|--------------------------------|
| Study day 364  |                          |                          |                             |                              |                                   |                                  |                                |
| <u>Males</u>   |                          |                          |                             |                              |                                   |                                  |                                |
| 650 mg/kg/day  | 22.5                     | 4                        | 1.33                        | 1.17                         | 7.50                              | 9.85                             | 45.64                          |
| <u>Females</u> |                          |                          |                             |                              |                                   |                                  |                                |
| 900 mg/kg/day  | NA                       | 8                        | 1.25                        | 1.25                         | 3.34                              | 5.84                             | NA                             |

NA = Not Applicable

**Dosing Solution Analysis:** The test article was a neat liquid, which was stable throughout the study period.

## 9 Reproductive and Developmental Toxicology

### 9.1 Fertility and Early Embryonic Development

**Study title:** Fertility and general reproduction toxicity study in rats

Study no.: MQY00011

Study report location: N/A

Conducting laboratory and location: (b) (4)

Date of study initiation: September 10, 2007

GLP compliance: YES

QA statement: YES

Drug, lot #, and % purity: HPN-100 / XA179 / 99.8%

### Key Study Findings

#### Methods

Doses: 0.65, 0.9, and 1.2 g/kg/day HPN-100; corn oil was used as the control article

Frequency of dosing: daily

Dose volume: 0.59, 0.82, and 1.09 for low, middle, and high dose, respectively

Route of administration: oral

Formulation/Vehicle: Neat liquid

Species/Strain: Rat/Crl:CD(SD)

Number/Sex/Group: 25/sex/group

Satellite groups: None

Study design: See table below

Deviation from study protocol: There were no deviations that had a significant impact on the study outcome.

The study design is shown in the tables below (taken from the study report).

#### 5.5.1.1. Treated Male Rats

| Dosage Group | Dosage <sup>a</sup> (g/kg/day) | Density (g/mL) | Dosage Volume (mL/kg) | Number of Rats | Assigned Male Rat Numbers |
|--------------|--------------------------------|----------------|-----------------------|----------------|---------------------------|
| I            | 0 (Control Article)            | 0              | 1.09                  | 25             | 4701 - 4725               |
| II           | 0.65                           | 1.1033         | 0.59                  | 25             | 4726 - 4750               |
| III          | 0.9                            | 1.1033         | 0.82                  | 25             | 4751 - 4775               |
| IV           | 1.2                            | 1.1033         | 1.09                  | 25             | 4776 - 4800               |

- a. The test article purity was considered 100% for purposes of dosage calculations. The Certificate of Analysis was provided for the lot: specifications are set > and = 97% potency and purity.

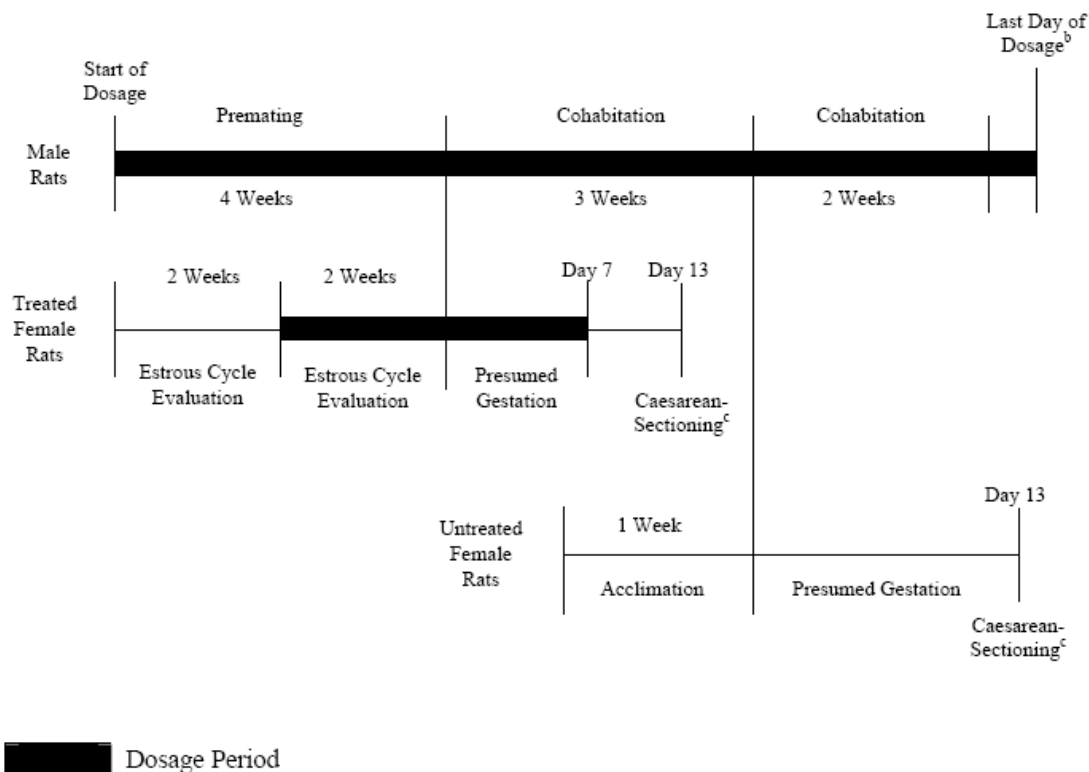
#### 5.5.1.2. Treated Female Rats

| Dosage Group | Dosage <sup>a</sup> (g/kg/day) | Density (g/mL) | Dosage Volume (mL/kg) | Number of Rats | Assigned Female Rat Numbers |
|--------------|--------------------------------|----------------|-----------------------|----------------|-----------------------------|
| I            | 0 (Control Article)            | 0              | 1.09                  | 25             | 4801 - 4825                 |
| II           | 0.65                           | 1.1033         | 0.59                  | 25             | 4826 - 4850                 |
| III          | 0.9                            | 1.1033         | 0.82                  | 25             | 4851 - 4875                 |
| IV           | 1.2                            | 1.1033         | 1.09                  | 25             | 4876 - 4900                 |

- a. The test article purity was considered 100% for purposes of dosage calculations. The Certificate of Analysis was provided for the lot: specifications are set > 97% potency and purity.

Male rats were treated with the HPN-100 or corn oil once daily beginning 28 days before the first cohabitation (with treated females up to 15 days), through the second cohabitation (with untreated females up to 14 days). Treatment of males continued through the day before sacrifice. Treated female rats were given HPN-100 or corn oil once daily beginning 15 days before cohabitation through gestation day (DG) 7. The study design was summarized in the sponsor's table below.

### Schematic of Study Design



- a. For additional details see "[Tests, Analyses and Measurements](#)" section of the protocol.
- b. Male rats sacrificed and sperm evaluations performed.
- c. Female rats Caesarean-sectioned and examined for corpora lutea, implantation sites and viable and nonviable embryos.

The major finding in this study was a small but statistically significant increase in embryo-lethality in the high-dose group. Mating and fertility parameters were unaffected.

### Observations and Results

**Mortality:** There were no treatment-related deaths. One low-dose male was sacrificed due to aspiration of the test drug. All females survived to termination.

**Clinical Signs:** Salivation and chromorrhinorrhea were noted in the treatment groups (males and females). In addition, decreased motor activity, ataxia, and low carriage were noted in the high-dose females.

**Body Weight:** The terminal body weights in males (91 day treatment) were lower in the treatment groups (~96%, 92%, and 88% of the control group value for low, middle, and high dose groups, respectively). The terminal body weights in females (gestation day 13) were lower in the treatment groups (~98%, 94%, and 94% of the control group value for low, middle, and high dose groups, respectively).

**Feed Consumption:** Food consumption was lower in the treatment groups.

**Toxicokinetics:** Not measured.

**Dosing Solution Analysis:** Not applicable.

**Necropsy:**

All mating and fertility parameters including sperm parameters and all estrous cycling were not affected.

Pregnancy occurred in 23 (92.0%), 20 (80.0%), 23 (92%) and 23 (92%) of female rats that mated with treated males in the control, low, middle, and high dose groups, respectively. The number of viable embryos per litter was significantly reduced and the number of non-viable embryos per litter and percentage of non-viable embryos per litter significantly increased in the high-dose group. The results were summarized in the sponsor's table below.

TABLE B13 (PAGE 1): CAESAREAN-SECTIONING AND LITTER OBSERVATIONS - SUMMARY - TREATED FEMALE RATS

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) <sup>a</sup>                     |           | I<br>0 (CONTROL ARTICLE) | II<br>0.65 | III<br>0.9 | IV<br>1.2     |
|--|-----------|--------------------------|------------|------------|---------------|
| RATS TESTED  | N         | 25                       | 25         | 25         | 25            |
| PREGNANT   | N(%)      | 23( 92.0)                | 20( 80.0)  | 23( 92.0)  | 23( 92.0)     |
| RATS PREGNANT AND<br>CAESAREAN-SECTIONED<br>ON DAY 13 OF GESTATION | N         | 23                       | 20         | 23         | 23            |
| CORPORA LUTEA  | MEAN±S.D. | 16.7 ± 2.8               | 16.6 ± 2.3 | 15.4 ± 2.1 | 15.5 ± 2.3    |
| IMPLANTATIONS  | MEAN±S.D. | 15.5 ± 3.4               | 15.6 ± 2.0 | 14.3 ± 2.6 | 14.1 ± 3.0    |
| VIABLE EMBRYOS   | N         | 333                      | 281        | 291        | 267           |
|  | MEAN±S.D. | 14.5 ± 3.8               | 14.0 ± 2.1 | 12.6 ± 2.5 | 11.6 ± 2.8**  |
| NONVIABLE EMBRYOS  | N         | 23                       | 31         | 39         | 57            |
|  | MEAN±S.D. | 1.0 ± 1.3                | 1.6 ± 1.2  | 1.7 ± 1.4  | 2.5 ± 1.4**   |
| DAMS WITH ANY NONVIABLE<br>EMBRYOS                                 | N(%)      | 14( 60.9)                | 17( 85.0)  | 18( 78.3)  | 21( 91.3)     |
| DAMS WITH ALL NONVIABLE<br>EMBRYOS                                 | N(%)      | 0( 0.0)                  | 0( 0.0)    | 0( 0.0)    | 0( 0.0)       |
| DAMS WITH VIABLE EMBRYOS   | N(%)      | 23(100.0)                | 20(100.0)  | 23(100.0)  | 23(100.0)     |
| % NONVIABLE<br>EMBRYOS/LITTER                                      | MEAN±S.D. | 6.7 ± 9.8                | 9.9 ± 7.2  | 11.6 ± 9.3 | 17.4 ± 10.2** |

a. Dosage occurred on day 1 of study through day 7 of gestation.  
\*\* Significantly different from the control group value (p<0.01).

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## 9.2 Embryonic Fetal Development

### Study title: Oral developmental toxicity study in rats

Study no.: MQY00008  
 Study report location: N/A  
 Conducting laboratory and location: (b) (4)  
 Date of study initiation: January 7, 2008  
 GLP compliance: YES  
 QA statement: YES  
 Drug, lot #, and % purity: HPN-100 / XA179 / 100%

### Key Study Findings

#### Methods

Doses: 0.3, 0.65, and 0.9 g/kg/day HPN-100; corn oil was used as the control article  
 Frequency of dosing: daily  
 Dose volume: 0.27, 0.59, 0.82 ml/kg for low, middle, and high dose groups, respectively  
 Route of administration: oral  
 Formulation/Vehicle: Neat liquid  
 Species/Strain: Rat/Crl:CD(SD)  
 Number/Sex/Group: 25  
 Satellite groups: 6/sex/group  
 Study design: See sponsor's table below  
 Deviation from study protocol: There were no deviations that had a significant impact on the study outcome.

The study design is shown in the table below (taken from the study report).

| Dosage Group | Dosage <sup>a</sup><br>(g/kg/day) | Density<br>(g/mL) | Dosage Volume<br>(mL/kg) | Number of Rats      | Assigned Rat Numbers |           |
|--------------|-----------------------------------|-------------------|--------------------------|---------------------|----------------------|-----------|
|              |                                   |                   |                          |                     | Main Study           | TK Study  |
| I            | 0 (Control Article)               | 0                 | 0.82                     | 25 + 3 <sup>b</sup> | 8901 - 8925          | 676 - 678 |
| II           | 0.3                               | 1.1033            | 0.27                     | 25 + 6 <sup>b</sup> | 8926 - 8950          | 679 - 684 |
| III          | 0.65                              | 1.1033            | 0.59                     | 25 + 6 <sup>b</sup> | 8951 - 8975          | 685 - 690 |
| IV           | 0.9                               | 1.1033            | 0.82                     | 25 + 6 <sup>b</sup> | 8976 - 9000          | 691 - 696 |

a. The test article was considered 100% pure for the purpose of dosage calculations.

b. Rats assigned to toxicokinetic sample collection.

Pregnant rats were treated with HPN-100 at oral doses of 0 (corn oil), 0.3, 0.65, and 0.9 g/kg/day during gestation days (DG) 7-17. The dose selection was based on the results of the dose-ranging study (MQY00007). In this study, doses of 0 (control article), 0.65, 0.9, 1.2, and 1.5 g/kg/day of glyceryl tri-(4-phenylbutyrate) (HPN-100) were given to

pregnant rats during gestation days 7-17. Significant clinical signs of maternal toxicity including ataxia, decreased motor activity, muscle rigidity, splayed limbs, low carriage, and cold to touch were observed at doses of 0.9 g/kg/day or higher. Decreases in mean maternal body weights and fetal loss were noted at doses of 1.2 and 1.5 g/kg/day. In the present study, the most common fetal effect was the presence of a cervical rib at the 7<sup>th</sup> cervical vertebra. This effect was dose-dependent. The litter incidence was statistically significant in the middle and high-dose groups, and fetal incidence was significant only in the high-dose group. Malformations were observed in the middle and high groups (3 and 2 fetuses, respectively), but were not statistically significant. Mild maternal toxicity was observed in the middle and high dose groups, based on reduction in weight gain. Reduced motor activity and splayed limbs were also noted in the high dose group.

### **Observations and Results**

**Mortality:** No deaths occurred.

**Clinical Signs:** Decreased motor activity and splayed limbs were observed in the high dose group.

**Body Weight:** Body weight gains during DG 7-18 were reduced by 13% and 21% in the middle and high-dose groups, respectively, as compared to the control.

**Feed Consumption:** Food consumption was lower in the middle and high dose groups.

**Toxicokinetics:** Plasma levels of the major metabolites of HPN-100 were measured. The toxicokinetic results are shown in the table below (taken from the study report).



| Analyte | Study Day | Dose mg/kg | T <sub>max</sub> hr | C <sub>max</sub> ug/ml | T <sub>last</sub> hr | C <sub>last</sub> ug/ml | AUC <sub>all</sub> hr*ug/ml |
|---------|-----------|------------|---------------------|------------------------|----------------------|-------------------------|-----------------------------|
| PBA     | 7         | 300        | 0.5                 | 125.63                 | 8                    | 10.42                   | 418.67                      |
| PBA     | 7         | 650        | 0.5                 | 228.36                 | 8                    | 35.96                   | 873.24                      |
| PBA     | 7         | 900        | 2                   | 316.53                 | 24                   | 0.57                    | 1197.92                     |
| PBA     | 17        | 300        | 0.5                 | 14.42                  | 8                    | 2.21                    | 94.36                       |
| PBA     | 17        | 650        | 2                   | 34.47                  | 8                    | 29.16                   | 414.94                      |
| PBA     | 17        | 900        | 2                   | 45.00                  | 8                    | 7.81                    | 215.65                      |
| PAA     | 7         | 300        | 4                   | 317.65                 | 8                    | 289.71                  | 4244.03                     |
| PAA     | 7         | 650        | 4                   | 386.16                 | 8                    | 363.23                  | 5172.16                     |
| PAA     | 7         | 900        | 4                   | 543.92                 | 8                    | 509.21                  | 7192.33                     |
| PAA     | 17        | 300        | 2                   | 181.15                 | 8                    | 75.48                   | 1557.83                     |
| PAA     | 17        | 650        | 8                   | 348.43                 | 8                    | 348.43                  | 4632.81                     |
| PAA     | 17        | 900        | 8                   | 471.54                 | 8                    | 471.54                  | 6336.22                     |
| PAG     | 7         | 300        | 4                   | 19.74                  | 8                    | 16.25                   | 266.24                      |
| PAG     | 7         | 650        | 2                   | 24.88                  | 8                    | 24.74                   | 357.95                      |
| PAG     | 7         | 900        | 2                   | 25.32                  | 24                   | 1.19                    | 363.94                      |
| PAG     | 17        | 300        | 4                   | 21.72                  | 24                   | 0.46                    | 287.74                      |
| PAG     | 17        | 650        | 8                   | 40.25                  | 24                   | 0.57                    | 570.83                      |
| PAG     | 17        | 900        | 8                   | 39.81                  | 24                   | 2.27                    | 591.47                      |

**Dosing Solution Analysis:** Not applicable.

### Necropsy

#### Cesarean Section Data (Implantation Sites, Pre- and Post-Implantation Loss, etc.)

Fetal body weights were slightly lower in the middle (6%) and high dose groups (10%), as compared to the control. There were no treatment-related effects on corpora lutea, implantations, litter sizes, live fetuses, early and late resorptions, percent resorbed conceptuses, and percent live male fetuses. The results were summarized in the sponsor's tables below.

TABLE 8 (PAGE 1): CAESAREAN-SECTIONING OBSERVATIONS - SUMMARY

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) <sup>a</sup>                     |           | I<br>0 (CONTROL ARTICLE) | II<br>0.3  | III<br>0.65 | IV<br>0.9  |
|--|-----------|--------------------------|------------|-------------|------------|
| RATS TESTED  | N         | 25                       | 25         | 25          | 25         |
| PREGNANT   | N(%)      | 24( 96.0)                | 22( 88.0)  | 24( 96.0)   | 24( 96.0)  |
| RATS PREGNANT AND<br>CAESAREAN-SECTIONED<br>ON DAY 21 OF GESTATION | N         | 24                       | 22         | 24          | 24         |
| CORPORA LUTEA  | MEAN±S.D. | 16.2 ± 2.0               | 16.3 ± 1.5 | 16.1 ± 3.0  | 15.2 ± 2.5 |
| IMPLANTATIONS  | MEAN±S.D. | 15.8 ± 1.9               | 15.3 ± 2.9 | 14.8 ± 4.2  | 14.7 ± 3.0 |
| LITTER SIZES   | MEAN±S.D. | 15.1 ± 1.9               | 14.9 ± 2.9 | 14.1 ± 4.0  | 13.9 ± 3.1 |
| LIVE FETUSES   | N         | 363                      | 327        | 338         | 334        |
|  | MEAN±S.D. | 15.1 ± 1.9               | 14.9 ± 2.9 | 14.1 ± 4.2  | 13.9 ± 3.1 |
| DEAD FETUSES   | N         | 0                        | 0          | 1           | 0          |
|  | MEAN±S.D. | 0.0 ± 0.0                | 0.0 ± 0.0  | 0.0 ± 0.2   | 0.0 ± 0.0  |
| RESORPTIONS  | MEAN±S.D. | 0.6 ± 0.6                | 0.4 ± 0.7  | 0.6 ± 1.0   | 0.8 ± 1.1  |
| EARLY RESORPTIONS  | N         | 15                       | 10         | 14          | 19         |
|  | MEAN±S.D. | 0.6 ± 0.6                | 0.4 ± 0.7  | 0.6 ± 0.9   | 0.8 ± 1.1  |
| LATE RESORPTIONS   | N         | 0                        | 0          | 1           | 0          |
|  | MEAN±S.D. | 0.0 ± 0.0                | 0.0 ± 0.0  | 0.0 ± 0.2   | 0.0 ± 0.0  |
| DAMS WITH ANY RESORPTIONS  | N(%)      | 13( 54.2)                | 7( 31.8)   | 9( 37.5)    | 12( 50.0)  |
| DAMS WITH ALL CONCEPTUSES<br>DEAD OR RESORBED                      | N(%)      | 0( 0.0)                  | 0( 0.0)    | 1( 4.2)     | 0( 0.0)    |
| DAMS WITH VIABLE FETUSES   | N(%)      | 24(100.0)                | 22(100.0)  | 23( 95.8)   | 24(100.0)  |
| PLACENTAE APPEARED NORMAL  | N(%)      | 24(100.0)                | 22(100.0)  | 23( 95.8)   | 24(100.0)  |

a. Dosage occurred on days 7 through 17 of gestation.

PROTOCOL MQY00008: ORAL (GAVAGE) DEVELOPMENTAL TOXICITY STUDY OF GLYCERYL TRI-(4-PHENYLBUTYRATE) [HPN-100] IN GRAVID RATS

TABLE 9 (PAGE 1): LITTER OBSERVATIONS (CAESAREAN-DELIVERED FETUSES) - SUMMARY

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) <sup>a</sup> |           | I<br>0 (CONTROL ARTICLE) | II<br>0.3   | III<br>0.65   | IV<br>0.9               |
|--|-----------|--------------------------|-------------|---------------|-------------------------|
| LITTERS WITH ONE OR<br>MORE LIVE FETUSES       | N         | 24                       | 22          | 23            | 24                      |
| IMPLANTATIONS                                  | MEAN±S.D. | 15.8 ± 1.9               | 15.3 ± 2.9  | 15.3 ± 3.1    | 14.7 ± 3.0              |
| LIVE FETUSES                                   | N         | 363                      | 327         | 338           | 334                     |
|  | MEAN±S.D. | 15.1 ± 1.9               | 14.9 ± 2.9  | 14.7 ± 3.0    | 13.9 ± 3.1              |
| LIVE MALE FETUSES                              | N         | 161                      | 166         | 173           | 174                     |
| % LIVE MALE<br>FETUSES/LITTER                  | MEAN±S.D. | 49.3 ± 14.6              | 50.6 ± 12.2 | 51.2 ± 13.5   | 53.7 ± 18.2             |
| LIVE FETAL BODY WEIGHTS<br>(GRAMS)/LITTER      | MEAN±S.D. | 5.30 ± 0.24              | 5.22 ± 0.26 | 5.01 ± 0.25** | 4.78 ± 0.44**           |
| MALE FETUSES                                   | MEAN±S.D. | 5.47 ± 0.25              | 5.36 ± 0.26 | 5.14 ± 0.26** | 4.93 ± 0.47**           |
| FEMALE FETUSES                                 | MEAN±S.D. | 5.15 ± 0.25              | 5.08 ± 0.26 | 4.87 ± 0.26** | 4.72 ± 0.31**<br>[ 23]b |
| % DEAD OR RESORBED<br>CONCEPTUSES/LITTER       | MEAN±S.D. | 4.0 ± 4.2                | 2.8 ± 4.6   | 4.5 ± 6.6     | 5.3 ± 6.9               |

[ ] = NUMBER OF VALUES AVERAGED

a. Dosage occurred on days 7 through 17 of gestation.

b. Litter 8976 had no female fetuses.

\*\* Significantly different from the control group value (p≤0.01).

## Offspring (Malformations, Variations, etc.)

The number of litters with fetal alterations were 3 (12.5%), 8 (36.4%), 15 (65.2%) and 21 (87.5%) for the control, low, middle, and high dose groups, respectively. The number of fetuses with any alteration were 3 (0.8%), 15 (4.6%), 38 (11.2%) and 82 (24.6%), and the percentages of fetuses with any alteration per litter were 0.8, 4.2, 12.6, and 24.0 in the control, low, middle, and high dose groups, respectively. The results were summarized in the sponsor's table below.

TABLE 10 (PAGE 1): FETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 21 OF GESTATION) - SUMMARY

| DOSAGE GROUP                                      |           | I                   | II        | III           | IV            |
|---|-----------|---------------------|-----------|---------------|---------------|
| DOSAGE (G/KG/DAY) a                               |           | 0 (CONTROL ARTICLE) | 0.3       | 0.65          | 0.9           |
| LITTERS EVALUATED                                 | N         | 24                  | 22        | 23b           | 24            |
| FETUSES EVALUATED                                 | N         | 363                 | 327       | 339           | 334           |
| LIVE  | N         | 363                 | 327       | 338           | 334           |
| DEAD  | N         | 0                   | 0         | 1c            | 0             |
| LITTERS WITH FETUSES WITH ANY ALTERATION OBSERVED | N(%)      | 3( 12.5)            | 8( 36.4)  | 15( 65.2)**   | 21( 87.5)**   |
| FETUSES WITH ANY ALTERATION OBSERVED              | N(%)      | 3( 0.8)             | 15( 4.6)  | 38( 11.2)     | 82( 24.6)**   |
| % FETUSES WITH ANY ALTERATION/LITTER              | MEAN±S.D. | 0.8 ± 2.3           | 4.2 ± 7.2 | 12.6 ± 14.4** | 24.0 ± 16.5** |

a. Dosage occurred on days 7 through 17 of gestation.

b. Excludes values for litter 8964, which consisted of only one dead fetus.

c. Values for dead fetus were excluded from summarization and statistical analyses. Observations for this conceptus are cited on Table 23.

\*\* Significantly different from the control group value ( $p \leq 0.01$ ).

#### External alterations:

Gross fetal alterations occurred in 1, 1, 2 and 5 fetuses from 1, 1, 2 and 4 litters in the control, low, middle, and high dose groups, respectively. The results were summarized in the sponsor's table below.

TABLE 11 (PAGE 1): FETAL GROSS EXTERNAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 21 OF GESTATION) - SUMMARY

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) a |      | I<br>0 (CONTROL ARTICLE) | II<br>0.3 | III<br>0.65 | IV<br>0.9 |
|-------------------------------------|------|--------------------------|-----------|-------------|-----------|
| LITTERS EVALUATED                   | N    | 24                       | 22        | 23b         | 24        |
| FETUSES EVALUATED                   | N    | 363                      | 327       | 339         | 334       |
| LIVE                                | N    | 363                      | 327       | 336         | 334       |
| DEAD                                | N    | 0                        | 0         | 1c          | 0         |
| BODY: UMBILICAL HERNIA              |      |                          |           |             |           |
| LITTER INCIDENCE                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)     | 1( 4.2)   |
| FETAL INCIDENCE                     | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)     | 1( 0.3)   |
| BODY: EDEMA                         |      |                          |           |             |           |
| LITTER INCIDENCE                    | N(%) | 0( 0.0)                  | 1( 4.5)   | 0( 0.0)     | 0( 0.0)   |
| FETAL INCIDENCE                     | N(%) | 0( 0.0)                  | 1( 0.3)   | 0( 0.0)     | 0( 0.0)   |
| TRUNK: SHORT                        |      |                          |           |             |           |
| LITTER INCIDENCE                    | N(%) | 1( 4.2)                  | 0( 0.0)   | 0( 0.0)     | 1( 4.2)   |
| FETAL INCIDENCE                     | N(%) | 1( 0.3)                  | 0( 0.0)   | 0( 0.0)     | 1( 0.3)e  |
| ANUS: NO OPENING PRESENT            |      |                          |           |             |           |
| LITTER INCIDENCE                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)     | 1( 4.2)   |
| FETAL INCIDENCE                     | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.3)d    | 1( 0.3)f  |
| TAIL: ABSENT                        |      |                          |           |             |           |
| LITTER INCIDENCE                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)     | 0( 0.0)   |
| FETAL INCIDENCE                     | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.3)     | 0( 0.0)   |
| TAIL: SHORT                         |      |                          |           |             |           |
| LITTER INCIDENCE                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)     | 2( 8.3)   |
| FETAL INCIDENCE                     | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.3)d    | 2( 0.6)e  |
| TAIL: THREAD-LIKE                   |      |                          |           |             |           |
| LITTER INCIDENCE                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)     | 2( 8.3)   |
| FETAL INCIDENCE                     | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)     | 2( 0.6)f  |

a. Dosage occurred on days 7 through 17 of gestation.

b. Excludes values for litter 8964, which consisted of only one dead fetus.

c. Values for dead fetus were excluded from summarization and statistical analyses. Observations for this conceptus are cited on Table 23.

d. Fetus 8960-6 had other gross external alterations.

e. Fetus 8994-8 had other gross external alterations.

f. Fetus 8994-9 had other gross external alterations.

The increase in the number of fetuses with gross alterations was mainly due to higher incidence of tail anomalies (short, threadlike) in the high dose group.

### Fetal Soft Tissue Alterations:

One middle-dose fetus has interventricular septal defect and folded retina. This fetus also had whole body edema as reported under external alterations. One high dose fetus had undescended testes and other alterations such as short tail as described under external alterations.

The results were summarized in the sponsor's table below.

TABLE 12 (PAGE 1): FETAL SOFT TISSUE ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 21 OF GESTATION) - SUMMARY

| DOSAGE GROUP                          |      | I                   | II                   | III     | IV      |
|---------------------------------------|------|---------------------|----------------------|---------|---------|
| DOSAGE (G/KG/DAY) <sup>a</sup>        |      | 0 (CONTROL ARTICLE) | 0.3                  | 0.65    | 0.9     |
| LITTERS EVALUATED                     | N    | 24                  | 22                   | 23      | 24      |
| FETUSES EVALUATED                     | N    | 176                 | 158                  | 162     | 161     |
| LIVE                                  | N    | 176                 | 158                  | 162     | 161     |
| EYES: RETINA FOLDED                   |      |                     |                      |         |         |
| LITTER INCIDENCE                      | N(%) | 0( 0.0)             | 1( 4.5)              | 0( 0.0) | 0( 0.0) |
| FETAL INCIDENCE                       | N(%) | 0( 0.0)             | 1( 0.6) <sup>b</sup> | 0( 0.0) | 0( 0.0) |
| HEART: INTERVENTRICULAR SEPTAL DEFECT |      |                     |                      |         |         |
| LITTER INCIDENCE                      | N(%) | 0( 0.0)             | 1( 4.5)              | 0( 0.0) | 0( 0.0) |
| FETAL INCIDENCE                       | N(%) | 0( 0.0)             | 1( 0.6) <sup>b</sup> | 0( 0.0) | 0( 0.0) |
| TESTES: UNDESCENDED                   |      |                     |                      |         |         |
| LITTER INCIDENCE                      | N(%) | 0( 0.0)             | 0( 0.0)              | 0( 0.0) | 1( 4.2) |
| FETAL INCIDENCE                       | N(%) | 0( 0.0)             | 0( 0.0)              | 0( 0.0) | 1( 0.6) |

a. Dosage occurred on days 7 through 17 of gestation.

b. Fetus 8939-2 had other soft tissue alterations.

### Fetal Skeletal Alterations:

Malformations were found in three middle-dose fetuses and two high-dose fetuses. The middle-dose fetuses with malformations included one fetus with a fused arch (cervical vertebrae) and a cervical rib present at the 7th cervical vertebrae, one fetus with a hemivertebra and only two caudal vertebrae (this fetus had other alterations such as no tail), and one fetus with a short digit and a cervical rib present at the 7th cervical vertebrae.

The two high-dose fetuses with malformations included one with fused ribs, cervical ribs at the 7th cervical vertebrae, a 6th cervical arch that had the appearance (shape and size) of the 7th arch, unilaterally ossified thoracic vertebral centrum, and a small thoracic vertebral arch. The other high-dose fetus had only two sacral vertebrae, no caudal vertebrae, and other alterations including a thread-like tail.

The most common skeletal alteration was the presence of a cervical rib at the 7<sup>th</sup> cervical vertebra. This effect was dose-dependent. The litter incidence was statistically significant in the middle and high-dose groups, and fetal incidence was significant only in the high-dose group. Thickened ribs and incomplete ossification of the pelvis occurred with low incidence in the high-dose group.

The results were summarized in the sponsor's table below.

TABLE 13 (PAGE 1): FETAL SKELETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 21 OF GESTATION) - SUMMARY  
(See footnotes on the last page of this table.)

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) a                                   |      | I<br>0 (CONTROL ARTICLE) | II<br>0.3 | III<br>0.65 | IV<br>0.9   |
|---|------|--------------------------|-----------|-------------|-------------|
| LITTERS EVALUATED   | N    | 24                       | 22        | 23b         | 24          |
| FETUSES EVALUATED c   | N    | 187                      | 169       | 177         | 173         |
| LIVE  | N    | 187                      | 169       | 176         | 173         |
| DEAD  | N    | 0                        | 0         | 1d          | 0           |
| SKULL: PARIETAL, INCOMPLETELY OSSIFIED                                |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 1( 4.5)   | 2( 8.7)     | 5( 20.8)    |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 2( 1.2)   | 5( 2.8)     | 5( 2.9)     |
| SKULL: SUPRAOCCIPITAL, INCOMPLETELY OSSIFIED                          |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 1( 4.5)   | 0( 0.0)     | 0( 0.0)     |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 1( 0.6)   | 0( 0.0)     | 0( 0.0)     |
| SKULL: FRONTAL, INCOMPLETELY OSSIFIED                                 |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)     | 0( 0.0)     |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 0( 0.0)   | 2( 1.1)     | 0( 0.0)     |
| SKULL: MAXILLA, INCOMPLETELY OSSIFIED                                 |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)     | 0( 0.0)     |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.6)     | 0( 0.0)     |
| SKULL: NASAL-FRONTAL SUTURE, LARGE                                    |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)     | 2( 8.3)     |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)     | 2( 1.2)     |
| CERVICAL VERTEBRAE: CERVICAL RIB PRESENT AT THE 7TH CERVICAL VERTEBRA |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 7( 31.8)  | 14( 60.9)** | 20( 83.3)** |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 6( 4.7)   | 27( 15.3)   | 73( 42.2)** |
| CERVICAL VERTEBRAE: ARCH, FUSED                                       |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)     | 0( 0.0)     |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.6)     | 0( 0.0)     |
| CERVICAL VERTEBRAE: 6TH CERVICAL ARCH HAS THE APPEARANCE OF THE 7TH   |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 1( 4.2)                  | 0( 0.0)   | 0( 0.0)     | 2( 8.3)     |
| FETAL INCIDENCE   | N(%) | 1( 0.5)                  | 0( 0.0)   | 0( 0.0)     | 4( 2.3)**   |
| CERVICAL VERTEBRAE: ARCH, INCOMPLETELY OSSIFIED                       |      |                          |           |             |             |
| LITTER INCIDENCE  | N(%) | 0( 0.0)                  | 1( 4.5)   | 0( 0.0)     | 0( 0.0)     |
| FETAL INCIDENCE   | N(%) | 0( 0.0)                  | 1( 0.6)   | 0( 0.0)     | 0( 0.0)     |

\*\* Significantly different from the control group value (p≤0.01).

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TABLE 13 (PAGE 2): FETAL SKELETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 21 OF GESTATION) - SUMMARY  
(See footnotes on the last page of this table.)

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) <sup>a</sup>     |      | I<br>0 (CONTROL ARTICLE) | II<br>0.3 | III<br>0.65     | IV<br>0.9  |
|--|------|--------------------------|-----------|-----------------|------------|
| LITTERS EVALUATED                                  | N    | 24                       | 22        | 23 <sup>b</sup> | 24         |
| FETUSES EVALUATED <sup>c</sup>                     | N    | 187                      | 169       | 177             | 173        |
| LIVE   | N    | 187                      | 169       | 176             | 173        |
| DEAD   | N    | 0                        | 0         | 1 <sup>d</sup>  | 0          |
| THORACIC VERTEBRAE: CENTRUM, BIFID                 |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 2( 9.1)   | 2( 8.7)         | 3( 12.5)   |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 2( 1.2)   | 2( 1.1)         | 4( 2.3)    |
| THORACIC VERTEBRAE: CENTRUM, UNILATERALLY OSSIFIED |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 4.2)    |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 0.6)    |
| THORACIC VERTEBRAE: ARCH, SMALL                    |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 4.2)    |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 0.6)    |
| LUMBAR VERTEBRAE: ARCH, INCOMPLETELY OSSIFIED      |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 4.2)    |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 0.6)    |
| SACRAL VERTEBRAE: 2 PRESENT                        |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 4.2)    |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 0.6)    |
| CAUDAL VERTEBRAE: HEMIVERTEBRA                     |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)         | 0( 0.0)    |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.6)         | 0( 0.0)    |
| CAUDAL VERTEBRAE: 2 PRESENT                        |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)         | 0( 0.0)    |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.6)         | 0( 0.0)    |
| CAUDAL VERTEBRAE: 0 PRESENT                        |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 4.2)    |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 0( 0.0)         | 1( 0.6)    |
| RIBS: THICKENED                                    |      |                          |           |                 |            |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 4.3)         | 4( 16.7)** |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)                  | 0( 0.0)   | 1( 0.6)         | 4( 2.3)**  |

\*\* Significantly different from the control group value (p<0.01).

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TABLE 13 (PAGE 3): FETAL SKELETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 21 OF GESTATION) - SUMMARY  
(See footnotes on the last page of this table.)

| DOSAGE GROUP   |      | I                   | II      | III       | IV       |
|--|------|---------------------|---------|-----------|----------|
| DOSAGE (G/KG/DAY) a  |      | 0 (CONTROL ARTICLE) | 0.3     | 0.65      | 0.9      |
| LITTERS EVALUATED  | N    | 24                  | 22      | 23b       | 24       |
| FETUSES EVALUATED c  | N    | 187                 | 169     | 177       | 173      |
| LIVE   | N    | 187                 | 169     | 176       | 173      |
| DEAD   | N    | 0                   | 0       | 1d        | 0        |
| RIBS: SHORT  |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 1( 4.2)             | 0( 0.0) | 0( 0.0)   | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 1( 0.5)             | 0( 0.0) | 0( 0.0)   | 0( 0.0)  |
| RIBS: WAVY   |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 2( 8.7)** | 1( 4.2)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 4( 2.3)** | 1( 0.6)  |
| RIBS: INCOMPLETELY OSSIFIED  |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 1( 4.3)   | 1( 4.2)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 3( 1.7)   | 1( 0.6)  |
| RIBS: BENT   |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 1( 4.3)   | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 2( 1.1)   | 0( 0.0)  |
| RIBS: FUSED  |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0)   | 1( 4.2)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0)   | 1( 0.6)  |
| STERNAL CENTRA: SUMMARIZATION<br>(SUMMARIZATION OF ASYMMETRIC, INCOMPLETELY OSSIFIED AND IRREGULARLY SHAPED) |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 1( 4.5) | 1( 4.3)   | 3( 12.5) |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 1( 0.6) | 1( 0.6)   | 3( 1.7)  |
| STERNAL CENTRA: ASYMMETRIC   |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 1( 4.5) | 0( 0.0)   | 2( 8.3)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 1( 0.6) | 0( 0.0)   | 2( 1.2)  |
| STERNAL CENTRA: INCOMPLETELY OSSIFIED  |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 1( 4.3)   | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 1( 0.6)   | 0( 0.0)  |
| STERNAL CENTRA: IRREGULARLY SHAPED   |      |                     |         |           |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0)   | 1( 4.2)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0)   | 1( 0.6)  |

\*\* Significantly different from the control group value (p<0.01).

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TABLE 13 (PAGE 4): FETAL SKELETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 21 OF GESTATION) - SUMMARY

| DOSAGE GROUP  |      | I                   | II      | III     | IV        |
|---|------|---------------------|---------|---------|-----------|
| DOSAGE (G/KG/DAY) a   |      | 0 (CONTROL ARTICLE) | 0.3     | 0.65    | 0.9       |
| LITTERS EVALUATED   | N    | 24                  | 22      | 23b     | 24        |
| FETUSES EVALUATED c   | N    | 187                 | 169     | 177     | 173       |
| LIVE  | N    | 187                 | 169     | 176     | 173       |
| DEAD  | N    | 0                   | 0       | 1d      | 0         |
| FORELIMB: DIGIT, SHORT  |      |                     |         |         |           |
| LITTER INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 1( 4.3) | 0( 0.0)   |
| FETAL INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 1( 0.6) | 0( 0.0)   |
| PELVIS: SUMMARIZATION<br>(SUMMARIZATION OF PUBIS AND ISCHIUM INCOMPLETELY OSSIFIED) |      |                     |         |         |           |
| LITTER INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0) | 2( 8.3)   |
| FETAL INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0) | 5( 2.9)** |
| PELVIS: PUBIS, INCOMPLETELY OSSIFIED  |      |                     |         |         |           |
| LITTER INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0) | 2( 8.3)   |
| FETAL INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0) | 4( 2.3)** |
| PELVIS: ISCHIUM, INCOMPLETELY OSSIFIED  |      |                     |         |         |           |
| LITTER INCIDENCE  | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0) | 1( 4.2)   |
| FETAL INCIDENCE   | N(%) | 0( 0.0)             | 0( 0.0) | 0( 0.0) | 3( 1.7)** |

a. Dosage occurred on days 7 through 17 of gestation.  
 b. Excludes values for litter 8964, which consisted of only one dead fetus.  
 c. See the individual fetal alterations table (Table 23) for fetuses with multiple skeletal alterations.  
 d. Values for dead fetus were excluded from summarization and statistical analyses. Observations for this conceptus are cited on Table 23.  
 \*\* Significantly different from the control group value (p<0.01).



**Study title:** Oral developmental toxicity study in rabbits

Study no.: MQY00010  
 Study report location: N/A  
 Conducting laboratory and location: (b) (4)  
 Date of study initiation: July 31, 2008  
 GLP compliance: YES  
 QA statement: YES  
 Drug, lot #, and % purity: HPN-100 / XA179 / 99.8%

**Key Study Findings****Methods**

Doses: 0.15, 0.25, and 0.35 g/kg/day HPN-100; corn oil was used as the control article  
 Frequency of dosing: daily  
 Dose volume: 0.14, 0.23, 0.32 ml/kg for low, middle, and high dose groups, respectively  
 Route of administration: oral  
 Formulation/Vehicle: Neat liquid  
 Species/Strain: Rabbit/Hra:(NZW)SPF  
 Number/Sex/Group: 20  
 Satellite groups: none  
 Study design: See table below  
 Deviation from study protocol: There were no deviations that had a significant impact on the study outcome.

The study design is shown in the sponsor's table below.

| Dosage Group | Dosage <sup>a</sup> (g/kg/day) | Density (g/mL) | Dosage Volume (mL/kg) | Number of Rabbits <sup>b</sup> | Assigned Rabbit Numbers |
|--------------|--------------------------------|----------------|-----------------------|--------------------------------|-------------------------|
| I            | 0 (Control)                    | 0              | 0.32                  | 20                             | 7001 - 7020             |
| II           | 0.15                           | 1.1033         | 0.14                  | 20                             | 7021 - 7040             |
| III          | 0.25                           | 1.1033         | 0.23                  | 20                             | 7041 - 7060             |
| IV           | 0.35                           | 1.1033         | 0.32                  | 20                             | 7061 - 7080             |

- The test article purity was considered 99.8% for purposes of dosage calculations.
- The last three rabbits in each dosage group had blood samples collected as described in [section 4.5.5](#).

The pregnant rabbits were treated with HPN-100 or corn oil given via stomach tube once daily on gestation days (DG) 7 through 19 at dosages of 0 (corn oil), 0.15, 0.25, and 0.35 g/kg/day. The dose selection was based on the results of a dose range-finding study (b) (4) MYQ00009) in pregnant NZW rabbits. In this study, a dose of 0.6

g/kg/day given to pregnant rabbits during DG 7 through 19 induced marked mortality/morbidity. At lower doses of 0.2 and 0.4 g/kg/day, body weight gains were lower (-44% and -81%, respectively). One animal treated with 0.4 g/kg/day was sacrificed due to clinical signs of toxicity (soft or liquid feces, dehydration, decreased motor activity, ptosis, ataxia, pale, cold to touch, and tachypnea) and body weight loss. Fetal weights were reduced (10%) in the 0.4 g/kg/day group as compared to the control. Therefore, the selection of 0.35 g/kg/day as the high dose is acceptable. In the present study, there were no signs of maternal toxicity and no adverse effects in fetuses.

### Observations and Results

**Mortality:** No deaths occurred.

**Clinical Signs:** There were no treatment-related clinical signs of toxicity.

**Body Weight:** There were no treatment-related effects.

**Feed Consumption:** There were no treatment-related effects.

**Toxicokinetics:** Plasma levels of the major metabolites of HPN-100 were measured. The toxicokinetic results are shown in the table below (taken from the study report).

| Analyte | Study Day | Dose mg/kg | T <sub>max</sub> hr | C <sub>max</sub> ug/ml | AUC <sub>all</sub> hr*ug/ml |
|---------|-----------|------------|---------------------|------------------------|-----------------------------|
| PBA     | 19        | 150        | 1.33                | 13.70                  | 31.52                       |
| PBA     | 19        | 250        | 1.67                | 29.40                  | 62.46                       |
| PBA     | 19        | 350        | 1.50                | 21.33                  | 49.44                       |
|         |           |            |                     |                        |                             |
| PAA     | 19        | 150        | 4.67                | 179.00                 | 872.66                      |
| PAA     | 19        | 250        | 4.00                | 308.73                 | 1555.84                     |
| PAA     | 19        | 350        | 4.67                | 399.57                 | 2298.31                     |
|         |           |            |                     |                        |                             |
| PAG     | 19        | 150        | 5.33                | 7.53                   | 48.36                       |
| PAG     | 19        | 250        | 3.33                | 10.47                  | 67.64                       |
| PAG     | 19        | 350        | 4.00                | 10.00                  | 78.96                       |

**Dosing Solution Analysis:** Not applicable.

### Necropsy

**Cesarean Section Data (Implantation Sites, Pre- and Post-Implantation Loss, etc.)**

There were no treatment-related effects. The results were summarized in the sponsor's tables below.

PROTOCOL MQY00010: ORAL (STOMACH TUBE) DEVELOPMENTAL TOXICITY STUDY OF GLYCERYL TRI-(4-PHENYLBUTYRATE) [HPN-100] IN RABBITS INCLUDING A TOXICOKINETIC EVALUATION

TABLE 8 (PAGE 1): CAESAREAN-SECTIONING OBSERVATIONS - SUMMARY

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) <sup>a</sup>                        |           | I<br>0 (CONTROL) | II<br>0.15 | III<br>0.25 | IV<br>0.35 |
|---|-----------|------------------|------------|-------------|------------|
| RABBITS TESTED  | N         | 20               | 20         | 20          | 20         |
| PREGNANT  | N(%)      | 17( 85.0)        | 18( 90.0)  | 18( 90.0)   | 16( 80.0)  |
| FOUND DEAD  | N(%)      | 0( 0.0)          | 0( 0.0)    | 0( 0.0)     | 1( 6.2)    |
| RABBITS PREGNANT AND<br>CAESAREAN-SECTIONED<br>ON DAY 29 OF GESTATION | N         | 17               | 18         | 18          | 15         |
| CORPORA LUTEA   | MEAN±S.D. | 8.8 ± 1.1        | 8.1 ± 1.2  | 9.0 ± 1.9   | 8.3 ± 1.6  |
| IMPLANTATIONS   | MEAN±S.D. | 8.2 ± 1.1        | 7.2 ± 2.2  | 8.7 ± 2.0   | 7.9 ± 1.3  |
| LITTER SIZES  | MEAN±S.D. | 8.0 ± 1.2        | 7.0 ± 2.1  | 8.3 ± 2.0   | 7.6 ± 1.2  |
| LIVE FETUSES  | N         | 136              | 127        | 150         | 114        |
|   | MEAN±S.D. | 8.0 ± 1.2        | 7.0 ± 2.1  | 8.3 ± 2.0   | 7.6 ± 1.2  |
| DEAD FETUSES  | N         | 1                | 0          | 0           | 0          |
|   | MEAN±S.D. | 0.0 ± 0.2        | 0.0 ± 0.0  | 0.0 ± 0.0   | 0.0 ± 0.0  |
| RESORPTIONS   | MEAN±S.D. | 0.1 ± 0.3        | 0.2 ± 0.4  | 0.4 ± 0.6   | 0.3 ± 0.5  |
| EARLY RESORPTIONS   | N         | 0                | 3          | 3           | 5          |
|   | MEAN±S.D. | 0.0 ± 0.0        | 0.2 ± 0.4  | 0.2 ± 0.4   | 0.3 ± 0.5* |
| LATE RESORPTIONS  | N         | 2                | 0          | 4           | 0          |
|   | MEAN±S.D. | 0.1 ± 0.3        | 0.0 ± 0.0  | 0.2 ± 0.5   | 0.0 ± 0.0  |
| DOES WITH ANY RESORPTIONS   | N(%)      | 2( 11.8)         | 3( 16.7)   | 6( 33.3)    | 5( 33.3)   |
| DOES WITH ALL CONCEPTUSES<br>DEAD OR RESORBED                         | N(%)      | 0( 0.0)          | 0( 0.0)    | 0( 0.0)     | 0( 0.0)    |
| DOES WITH VIABLE FETUSES  | N(%)      | 17(100.0)        | 18(100.0)  | 18(100.0)   | 15(100.0)  |
| PLACENTAE APPEARED NORMAL   | N(%)      | 17(100.0)        | 18(100.0)  | 18(100.0)   | 15(100.0)  |

a. Dosage occurred on days 7 through 19 of gestation.

\* Significantly different from the control group value (p≤0.05).

TABLE 9 (PAGE 1): LITTER OBSERVATIONS (CAESAREAN-DELIVERED FETUSES) - SUMMARY

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) <sup>a</sup> |           | I<br>0 (CONTROL) | II<br>0.15   | III<br>0.25  | IV<br>0.35   |
|--|-----------|------------------|--------------|--------------|--------------|
| LITTERS WITH ONE OR<br>MORE LIVE FETUSES       | N         | 17               | 18           | 18           | 15           |
| IMPLANTATIONS                                  | MEAN±S.D. | 8.2 ± 1.1        | 7.2 ± 2.2    | 8.7 ± 2.0    | 7.9 ± 1.3    |
| LIVE FETUSES                                   | N         | 136              | 127          | 150          | 114          |
|  | MEAN±S.D. | 8.0 ± 1.2        | 7.0 ± 2.1    | 8.3 ± 2.0    | 7.6 ± 1.2    |
| LIVE MALE FETUSES                              | N         | 55               | 49           | 78           | 47           |
| % LIVE MALE<br>FETUSES/LITTER                  | MEAN±S.D. | 39.2 ± 17.6      | 35.8 ± 18.0  | 52.5 ± 20.2* | 41.6 ± 16.6  |
| LIVE FETAL BODY WEIGHTS<br>(GRAMS)/LITTER      | MEAN±S.D. | 43.80 ± 2.75     | 45.16 ± 5.62 | 42.14 ± 4.95 | 44.37 ± 4.97 |
| MALE FETUSES                                   | MEAN±S.D. | 44.64 ± 3.86     | 45.74 ± 5.75 | 42.82 ± 4.91 | 45.21 ± 5.02 |
| FEMALE FETUSES                                 | MEAN±S.D. | 43.31 ± 2.88     | 44.41 ± 5.88 | 41.26 ± 6.00 | 44.46 ± 5.98 |
| % DEAD OR RESORBED<br>CONCEPTUSES/LITTER       | MEAN±S.D. | 2.2 ± 5.0        | 2.1 ± 5.1    | 4.5 ± 7.1    | 4.1 ± 6.3    |

[ ] = NUMBER OF VALUES AVERAGED

a. Dosage occurred on days 7 through 19 of gestation.

b. Litters 7013 and 7023 had no male fetuses.

c. Litter 7043 had no female fetuses.

\* Significantly different from the control group value (p≤0.05).

## Offspring (Malformations, Variations, etc.)

There were no treatment-related effects. The results were summarized in the sponsor's tables below.

TABLE 11 (PAGE 1): FETAL GROSS EXTERNAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 29 OF GESTATION) - SUMMARY

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) a |   | I<br>0 (CONTROL) | II<br>0.15 | III<br>0.25 | IV<br>0.35 |
|-------------------------------------|---|------------------|------------|-------------|------------|
| LITTERS EVALUATED                   | N | 17               | 18         | 18          | 15         |
| FETUSES EVALUATED                   | N | 136              | 127        | 150         | 114        |
| LIVE                                | N | 136              | 127        | 150         | 114        |
| DEAD b                              | N | 1                | 0          | 0           | 0          |

NO FETAL ALTERATIONS WERE IDENTIFIED AT GROSS EXTERNAL EXAMINATION

- a. Dosage occurred on days 7 through 19 of gestation.
- b. Dead fetus was excluded from summarization and statistical analyses. Observations for this conceptus are cited on Table 22.

TABLE 12 (PAGE 1): FETAL SOFT TISSUE ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 29 OF GESTATION) - SUMMARY  
(See footnotes on the last page of this table.)

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) a           |       | I<br>0 (CONTROL) | II<br>0.15 | III<br>0.25 | IV<br>0.35 |
|---|-------|------------------|------------|-------------|------------|
| LITTERS EVALUATED                             | N     | 17               | 18         | 18          | 15         |
| FETUSES EVALUATED                             | N     | 136              | 127        | 150         | 114        |
| LIVE  | N     | 136              | 127        | 150         | 114        |
| <b>EYES: SMALL</b>                            |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 0 ( 0.0)    | 1 ( 6.7)   |
| FETAL INCIDENCE                               | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 0 ( 0.0)    | 1 ( 0.9)   |
| <b>EYES: CIRCUMCORNEAL HEMORRHAGE</b>         |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 0 ( 0.0)    | 1 ( 6.7)   |
| FETAL INCIDENCE                               | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 0 ( 0.0)    | 1 ( 0.9)   |
| <b>HEART: INTERVENTRICULAR SEPTAL DEFECT</b>  |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 2 ( 11.8)        | 0 ( 0.0)   | 1 ( 5.6)    | 0 ( 0.0)   |
| FETAL INCIDENCE                               | N (%) | 2 ( 1.5) b,c     | 0 ( 0.0)   | 1 ( 0.7) d  | 0 ( 0.0)   |
| <b>VESSELS: AORTA DISTENDED</b>               |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 1 ( 5.6)    | 0 ( 0.0)   |
| FETAL INCIDENCE                               | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 1 ( 0.7) d  | 0 ( 0.0)   |
| <b>VESSELS: PERSISTENT TRUNCUS ARTERIOSUS</b> |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 1 ( 5.9)         | 0 ( 0.0)   | 0 ( 0.0)    | 0 ( 0.0)   |
| FETAL INCIDENCE                               | N (%) | 1 ( 0.7) c       | 0 ( 0.0)   | 0 ( 0.0)    | 0 ( 0.0)   |
| <b>VESSELS: PULMONARY ARTERY CONSTRICTED</b>  |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 0 ( 0.0)    | 1 ( 6.7)   |
| FETAL INCIDENCE                               | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 0 ( 0.0)    | 1 ( 0.9)   |
| <b>LUNGS: INTERMEDIATE LOBE ABSENT</b>        |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 1 ( 5.5)         | 1 ( 5.6)   | 1 ( 5.6)    | 2 ( 13.3)  |
| FETAL INCIDENCE                               | N (%) | 1 ( 0.7) b       | 2 ( 1.6)   | 1 ( 0.7) d  | 3 ( 2.6)   |
| <b>KIDNEYS: LOW SET</b>                       |       |                  |            |             |            |
| LITTER INCIDENCE                              | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 1 ( 5.6)    | 0 ( 0.0)   |
| FETAL INCIDENCE                               | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 1 ( 0.7)    | 0 ( 0.0)   |

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TABLE 12 (PAGE 2): FETAL SOFT TISSUE ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 29 OF GESTATION) - SUMMARY

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY) a |       | I<br>0 (CONTROL) | II<br>0.15 | III<br>0.25 | IV<br>0.35  |
|-------------------------------------|-------|------------------|------------|-------------|-------------|
| LITTERS EVALUATED                   | N     | 17               | 18         | 18          | 15          |
| FETUSES EVALUATED                   | N     | 136              | 127        | 150         | 114         |
| LIVE                                | N     | 136              | 127        | 150         | 114         |
| <b>GALLBLADDER: ABSENT</b>          |       |                  |            |             |             |
| LITTER INCIDENCE                    | N (%) | 1 ( 5.9)         | 0 ( 0.0)   | 0 ( 0.0)    | 2 ( 13.3)   |
| FETAL INCIDENCE                     | N (%) | 1 ( 0.7)         | 0 ( 0.0)   | 0 ( 0.0)    | 5 ( 4.4) ** |
| <b>GALLBLADDER: SMALL</b>           |       |                  |            |             |             |
| LITTER INCIDENCE                    | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 1 ( 5.6)    | 0 ( 0.0)    |
| FETAL INCIDENCE                     | N (%) | 0 ( 0.0)         | 0 ( 0.0)   | 1 ( 0.7) d  | 0 ( 0.0)    |

- a. Dosage occurred on days 7 through 19 of gestation.
- b. Fetus 7004-6 had other soft tissue alterations.
- c. Fetus 7016-6 had other soft tissue alterations.
- d. Fetus 7050-3 had other soft tissue alterations.
- \*\* Significantly different from the control group value (p<0.01).

TABLE 13 (PAGE 1): FETAL SKELETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 29 OF GESTATION) - SUMMARY  
(See footnotes on the last page of this table.)

| DOSAGE GROUP   |      | I           | II       | III        | IV       |
|--|------|-------------|----------|------------|----------|
| DOSAGE (G/KG/DAY) <sup>a</sup>   |      | 0 (CONTROL) | 0.15     | 0.25       | 0.35     |
| LITTERS EVALUATED  | N    | 17          | 18       | 18         | 15       |
| FETUSES EVALUATED  | N    | 137         | 127      | 150        | 114      |
| LIVE   | N    | 136         | 127      | 150        | 114      |
| DEAD <sup>b</sup>  | N    | 1           | 0        | 0          | 0        |
| SKULL: IRREGULAR OSSIFICATION <sup>c</sup><br>(SUMMARIZATION OF ALL IRREGULAR OSSIFICATION OF THE SKULL <sup>d</sup> ; INDIVIDUAL SUBCATEGORIES CITED BELOW) |      |             |          |            |          |
| LITTER INCIDENCE   | N(%) | 3( 17.6)    | 2( 11.1) | 4( 22.2)   | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 3( 2.2)     | 2( 1.6)  | 4( 2.7)    | 0( 0.0)  |
| SKULL: FRONTAL, CONTAINS AN INTRAFRONTAL   |      |             |          |            |          |
| LITTER INCIDENCE   | N(%) | 1( 5.9)     | 0( 0.0)  | 0( 0.0)    | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 1( 0.7)     | 0( 0.0)  | 0( 0.0)    | 0( 0.0)  |
| SKULL: NASAL(S), IRREGULAR OSSIFICATION<br>(SUMMARIZATION OF NASALS MIDLINE SUTURE DISPLACED, NASAL - FRONTAL SUTURE IRREGULAR AND NASALS SUTURE IRREGULAR)  |      |             |          |            |          |
| LITTER INCIDENCE   | N(%) | 2( 11.3)    | 2( 11.1) | 4( 22.2)   | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 2( 1.5)     | 2( 1.6)  | 4( 0.7)    | 0( 0.0)  |
| SKULL: NASALS, MIDLINE SUTURE DISPLACED  |      |             |          |            |          |
| LITTER INCIDENCE   | N(%) | 1( 5.9)     | 0( 0.0)  | 4( 22.2)** | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 1( 0.7)     | 0( 0.0)  | 4( 2.7)    | 0( 0.0)  |
| SKULL: NASAL - FRONTAL, SUTURE IRREGULAR   |      |             |          |            |          |
| LITTER INCIDENCE   | N(%) | 0( 0.0)     | 2( 11.1) | 0( 0.0)    | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 0( 0.0)     | 2( 1.6)  | 0( 0.0)    | 0( 0.0)  |
| SKULL: NASALS, SUTURE IRREGULAR  |      |             |          |            |          |
| LITTER INCIDENCE   | N(%) | 1( 5.9)     | 0( 0.0)  | 0( 0.0)    | 0( 0.0)  |
| FETAL INCIDENCE  | N(%) | 1( 0.7)     | 0( 0.0)  | 0( 0.0)    | 0( 0.0)  |
| SKULL - OTHER ALTERATIONS:   |      |             |          |            |          |
| HYOID: ALA, ANGULATED  |      |             |          |            |          |
| LITTER INCIDENCE   | N(%) | 3( 17.6)    | 2( 11.1) | 3( 16.7)   | 3( 20.0) |
| FETAL INCIDENCE  | N(%) | 5( 3.7)     | 2( 1.6)  | 6( 4.0)    | 6( 5.3)  |

\*\* Significantly different from the control group value (p<0.01).

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TABLE 13 (PAGE 2): FETAL SKELETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 29 OF GESTATION) - SUMMARY  
(See footnotes on the last page of this table.)

| DOSAGE GROUP                                       |      | I                    | II                   | III                    | IV       |
|--|------|----------------------|----------------------|------------------------|----------|
| DOSAGE (G/KG/DAY) <sup>a</sup>                     |      | 0 (CONTROL)          | 0.15                 | 0.25                   | 0.35     |
| LITTERS EVALUATED                                  | N    | 17                   | 18                   | 18                     | 15       |
| FETUSES EVALUATED                                  | N    | 137                  | 127                  | 150                    | 114      |
| LIVE   | N    | 136                  | 127                  | 150                    | 114      |
| DEAD <sup>b</sup>                                  | N    | 1                    | 0                    | 0                      | 0        |
| THORACIC VERTEBRAE: HEMIVERTEBRA                   |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)              | 1( 5.6)              | 0( 0.0)                | 0( 0.0)  |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)              | 1( 0.8) <sup>h</sup> | 0( 0.0)                | 0( 0.0)  |
| LUMBAR VERTEBRAE: ARCH, SMALL                      |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 1( 5.9)              | 0( 0.0)              | 0( 0.0)                | 0( 0.0)  |
| FETAL INCIDENCE                                    | N(%) | 1( 0.7) <sup>g</sup> | 0( 0.0)              | 0( 0.0)                | 0( 0.0)  |
| LUMBAR VERTEBRAE: CENTRUM, UNILATERAL OSSIFICATION |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 1( 5.9)              | 0( 0.0)              | 0( 0.0)                | 0( 0.0)  |
| FETAL INCIDENCE                                    | N(%) | 1( 0.7) <sup>g</sup> | 0( 0.0)              | 0( 0.0)                | 0( 0.0)  |
| CAUDAL VERTEBRAE: MISALIGNED                       |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 1( 5.9)              | 1( 5.6)              | 2( 11.1)               | 2( 13.3) |
| FETAL INCIDENCE                                    | N(%) | 1( 0.7)              | 1( 0.8)              | 2( 1.3)                | 2( 1.8)  |
| RIBS: FLAT   |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)              | 0( 0.0)              | 2( 11.1)               | 0( 0.0)  |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)              | 0( 0.0)              | 2( 1.3) <sup>i,j</sup> | 0( 0.0)  |
| RIBS: THICKENED                                    |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)              | 0( 0.0)              | 1( 5.6)                | 1( 6.7)  |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)              | 0( 0.0)              | 1( 0.7)                | 1( 0.9)  |
| RIBS: FUSED  |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)              | 0( 0.0)              | 1( 5.6)                | 0( 0.0)  |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)              | 0( 0.0)              | 1( 0.7)                | 0( 0.0)  |
| RIBS: EXTRA  |      |                      |                      |                        |          |
| LITTER INCIDENCE                                   | N(%) | 0( 0.0)              | 1( 5.6)              | 0( 0.0)                | 0( 0.0)  |
| FETAL INCIDENCE                                    | N(%) | 0( 0.0)              | 1( 0.8) <sup>k</sup> | 0( 0.0)                | 0( 0.0)  |

TABLE 13 (PAGE 3): FETAL SKELETAL ALTERATIONS - CAESAREAN-DELIVERED LIVE FETUSES (DAY 29 OF GESTATION) - SUMMARY

| DOSAGE GROUP                   |      | I                    | II      | III                    | IV      |
|--------------------------------|------|----------------------|---------|------------------------|---------|
| DOSAGE (G/KG/DAY) <sup>a</sup> |      | 0 (CONTROL)          | 0.15    | 0.25                   | 0.35    |
| LITTERS EVALUATED              | N    | 17                   | 18      | 18                     | 15      |
| FETUSES EVALUATED              | N    | 137                  | 127     | 150                    | 114     |
| LIVE                           | N    | 136                  | 127     | 150                    | 114     |
| DEAD <sup>b</sup>              | N    | 1                    | 0       | 0                      | 0       |
| MANUBRIUM: SMALL               |      |                      |         |                        |         |
| LITTER INCIDENCE               | N(%) | 1( 5.9)              | 1( 5.6) | 1( 5.6)                | 0( 0.0) |
| FETAL INCIDENCE                | N(%) | 1( 0.7) <sup>f</sup> | 1( 0.8) | 1( 0.7) <sup>g</sup>   | 0( 0.0) |
| MANUBRIUM: FUSED               |      |                      |         |                        |         |
| LITTER INCIDENCE               | N(%) | 1( 5.9)              | 0( 0.0) | 0( 0.0)                | 0( 0.0) |
| FETAL INCIDENCE                | N(%) | 1( 0.7) <sup>f</sup> | 0( 0.0) | 0( 0.0)                | 0( 0.0) |
| MANUBRIUM: IRREGULARLY SHAPED  |      |                      |         |                        |         |
| LITTER INCIDENCE               | N(%) | 0( 0.0)              | 1( 5.6) | 1( 5.6)                | 0( 0.0) |
| FETAL INCIDENCE                | N(%) | 0( 0.0)              | 1( 0.8) | 1( 0.7) <sup>i</sup>   | 0( 0.0) |
| STERNAL CENTRA: FUSED          |      |                      |         |                        |         |
| LITTER INCIDENCE               | N(%) | 4( 23.5)             | 1( 5.6) | 4( 22.2)               | 1( 6.7) |
| FETAL INCIDENCE                | N(%) | 6( 4.4) <sup>e</sup> | 1( 0.8) | 8( 5.3) <sup>i,j</sup> | 1( 0.9) |
| STERNAL CENTRA: ASYMMETRIC     |      |                      |         |                        |         |
| LITTER INCIDENCE               | N(%) | 1( 5.9)              | 0( 0.0) | 0( 0.0)                | 0( 0.0) |
| FETAL INCIDENCE                | N(%) | 1( 0.7) <sup>e</sup> | 0( 0.0) | 0( 0.0)                | 0( 0.0) |
| XIPHOID: LARGE                 |      |                      |         |                        |         |
| LITTER INCIDENCE               | N(%) | 0( 0.0)              | 1( 5.6) | 0( 0.0)                | 0( 0.0) |
| FETAL INCIDENCE                | N(%) | 0( 0.0)              | 1( 0.8) | 0( 0.0)                | 0( 0.0) |

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- a. Dosage occurred on days 7 through 19 of gestation.
- b. Dead fetus was excluded from summarization and statistical analyses. Observations for this conceptus are cited on Table 22.
- c. Fetuses with alterations of the skull and/or hyoid are not separately identified in this summarization, except when alterations of other ossification sites were also present.
- d. Includes all alterations noted for the skull except hyoid, ala, angulated. This category is excluded because these alterations do not result from irregular ossification.
- e. Fetus 7002-8 had other skeletal alterations.
- f. Fetus 7004-6 had other skeletal alterations.
- g. Fetus 7017-3 had other skeletal alterations.
- h. Fetus 7031-1 had other skeletal alterations.
- i. Fetus 7050-3 had other skeletal alterations.
- j. Fetus 7053-4 had other skeletal alterations.

TABLE 14 (PAGE 1): FETAL OSSIFICATION SITES - CAESAREAN-DELIVERED LIVE FETUSES (DAY 29 OF GESTATION) - SUMMARY

| DOSAGE GROUP                            |           | I            | II           | III          | IV           |
|---|-----------|--------------|--------------|--------------|--------------|
| DOSAGE (G/KG/DAY) <sup>a</sup>          |           | 0 (CONTROL)  | 0.15         | 0.25         | 0.35         |
| LITTERS EXAMINED                        | N         | 17           | 18           | 18           | 15           |
| FETUSES EXAMINED                        | N         | 136          | 127          | 150          | 114          |
| OSSIFICATION SITES PER FETUS PER LITTER |           |              |              |              |              |
| HYOID                                   | MEAN±S.D. | 1.00 ± 0.00  | 1.00 ± 0.00  | 1.00 ± 0.00  | 1.00 ± 0.00  |
| VERTEBRAE                               |           |              |              |              |              |
| CERVICAL                                | MEAN±S.D. | 7.00 ± 0.00  | 7.00 ± 0.00  | 7.00 ± 0.00  | 7.00 ± 0.00  |
| THORACIC                                | MEAN±S.D. | 12.54 ± 0.28 | 12.59 ± 0.30 | 12.53 ± 0.30 | 12.61 ± 0.33 |
| LUMBAR                                  | MEAN±S.D. | 6.45 ± 0.28  | 6.40 ± 0.30  | 6.46 ± 0.31  | 6.40 ± 0.33  |
| SACRAL                                  | MEAN±S.D. | 3.00 ± 0.00  | 3.00 ± 0.00  | 3.00 ± 0.00  | 3.00 ± 0.00  |
| CAUDAL                                  | MEAN±S.D. | 16.69 ± 0.36 | 16.79 ± 0.39 | 16.01 ± 0.34 | 16.07 ± 0.35 |
| RIBS (PAIRS)                            | MEAN±S.D. | 12.47 ± 0.26 | 12.51 ± 0.30 | 12.46 ± 0.27 | 12.52 ± 0.34 |
| STERNUM                                 |           |              |              |              |              |
| MANUBRIUM                               | MEAN±S.D. | 1.00 ± 0.00  | 1.00 ± 0.00  | 1.00 ± 0.00  | 1.00 ± 0.00  |
| STERNAL CENTERS                         | MEAN±S.D. | 3.98 ± 0.07  | 3.86 ± 0.24  | 3.98 ± 0.15  | 3.81 ± 0.24* |
| XIPHOID                                 | MEAN±S.D. | 0.92 ± 0.19  | 0.98 ± 0.05  | 0.90 ± 0.16  | 0.93 ± 0.10  |
| FORELIMB <sup>b</sup>                   |           |              |              |              |              |
| CARPALS                                 | MEAN±S.D. | 0.00 ± 0.00  | 0.00 ± 0.00  | 0.00 ± 0.00  | 0.00 ± 0.00  |
| METACARPALS                             | MEAN±S.D. | 4.92 ± 0.14  | 4.99 ± 0.02  | 4.98 ± 0.03  | 4.97 ± 0.07  |
| DIGITS                                  | MEAN±S.D. | 5.00 ± 0.00  | 5.00 ± 0.00  | 5.00 ± 0.00  | 5.00 ± 0.00  |
| PHALANGES                               | MEAN±S.D. | 13.90 ± 0.26 | 13.87 ± 0.23 | 13.66 ± 0.15 | 13.93 ± 0.12 |
| HINDLIMB <sup>b</sup>                   |           |              |              |              |              |
| TARSALS                                 | MEAN±S.D. | 2.00 ± 0.00  | 2.00 ± 0.00  | 2.00 ± 0.00  | 2.00 ± 0.00  |
| METATARSALS                             | MEAN±S.D. | 4.00 ± 0.00  | 4.00 ± 0.00  | 4.00 ± 0.00  | 4.00 ± 0.00  |
| DIGITS                                  | MEAN±S.D. | 4.00 ± 0.00  | 4.00 ± 0.00  | 4.00 ± 0.00  | 4.00 ± 0.00  |
| PHALANGES                               | MEAN±S.D. | 12.00 ± 0.00 | 12.00 ± 0.00 | 12.00 ± 0.00 | 12.00 ± 0.00 |

- a. Dosage occurred on days 7 through 19 of gestation.
- b. Calculated as average per limb.
- \* Significantly different from the control group value (p<0.05).

### 9.3 Prenatal and Postnatal Development

#### Oral Peri- and Post-natal Reproduction Toxicity Study in Rats

**Study No:** MQY00012

**Conducting Laboratory and Location:**

(b) (4)

**Date of study initiation:** September 2, 2009

**Report date:** August 6, 2010

**GLP compliance:** This study was conducted in accordance with Good Laboratory Practice Regulations of the United States Food and Drug Administration (21 CFR Part 58).

**QA-Report** Yes (x) No ( )

**Animals:** CrI:CD(SD) rats

**Weight:** F0 females: 202-248 g on day 0 of gestation (DG0)

**Drug lot#:** XA171

**Methods:** GT4P was given to pregnant rats (25 females/group) by oral gavage at 0 (corn oil), 300, 600, and 900 mg/kg/day from DG7 through day 20 of lactation (DL20) or DG24 (rats that did not deliver a litter). The test article was undiluted colorless oil (neat). These rats (F0) were sacrificed either on DG25 or after the 21-day postpartum period. The number of rats that delivered litters and were

dosed through DL 20 was 24, 25, 25, and 24 in the 0 (corn oil), 300, 600, and 900 mg/kg/day groups, respectively.

For F0 females, the following parameters were observed: mortality, clinical signs, body weights, food consumption, maternal behavior, litter observation, natural delivery, pup body weights, dam and pup necropsy.

For the F1 generation, the following parameters were observed: mortality, clinical signs, body weights, food consumption, age at sexual maturity, passive avoidance and water-filled M-maze tests, mating, necropsy, testes and epididymides weights, and caesarean-sectioning. The F2 generation parameters included fetal body weights, fetal sex, and gross external alterations.

### **Results:**

#### F0 females:

There were no deaths. At the high dose, the following clinical signs of toxicity were noted: ataxia, decreased motor activity, low carriage, and dehydration.

Reduced body weight gain and food consumption in the 600 and 900 mg/kg/day groups were noted during the treatment period. The body weight change from gestation days 0 to 20 was 146.2, 141.6, 130.8, and 119.6 g for the control, low, middle, and high dose groups, respectively. The absolute feed consumption from gestation days 0 to 20 were 21.2, 21.3, 20.4, and 19.2 g/day for the control, low, middle, and high dose groups, respectively.

#### F1 generation:

One control male was found dead on day 57 postpartum.

One high-dose male was sacrificed on day 92 postpartum due to moribund condition. Necropsy revealed broken incisors and palate.

Two middle-dose females were sacrificed due to moribund condition. These rats had broken palate with misaligned or broken incisors. The broken incisors or palate appear to be due to physical trauma.

One female each in the control, middle, and high dose group was sacrificed after early delivery on either DG 20 or 21.

No clear treatment-related changes were observed in sexual maturation, mating and fertility (evaluated starting on day 28 postpartum), pregnancy, learning ability



(passive avoidance and water maze tests), necropsy, or testes and epididymides weights.

The notable changes in the body weights were observed only on postpartum days 22, 29, and 36 in the high dose males and females. Body weight on postpartum days 22, 29, and 36 in the F1 high dose males (40.8, 82.8, and 139.6 g, respectively) was lower than the control (46.6, 91.5, and 151 g, respectively). Body weights on postpartum day 92 were 492.3, 493.6, 503.2, and 477.3 g for the control, low, middle, and high dose males, respectively.

Body weight on postpartum days 22, 29, and 36 in the F1 high dose females (39.8, 77.5, and 121.5 g) was lower than the control (45.2, 86, and 130.6 g). Body weights on postpartum day 92 were 276.1, 276.8, 294.8, and 276.8 g for the control, low, middle, and high dose females, respectively.

F2 generation: No clear treatment related changes were observed in the F2 fetuses.

In summary, GT4P was given to pregnant rats by oral gavage at 0 (corn oil), 300, 600, and 900 mg/kg/day from DG7 through DG24 or day 20 of lactation. Treatment with 600 and 900 mg/kg/day reduced the body weight gain of pregnant rats (F0 generation). No treatment-related effects were observed in the sexual maturation, mating and fertility, pregnancy, or learning ability of the F1 generation.

**Study title:** Oral (Gavage) Repeated-Dose Toxicity Study of HPN-100 [Glyceryl tri-(4-phenylbutyrate)] in Neonatal Rats

|                                     |   |
|-------------------------------------|---|
| Study no.:                          | QBU00007  |
| Study report location:              | N/A   |
| Conducting laboratory and location: | (b) (4)   |
| Date of study initiation:           | October 6, 2008                                       |
| GLP compliance:                     | YES   |
| QA statement:                       | YES   |
| Drug, lot #, and % purity:          | Glyceryl Tri (4-Phenylbutyrate), Lot no. XA171, 99.7% |

## Key Study Findings

**Methods**

|                                |  |
|--------------------------------|--|
| Doses:                         | 0.65, 0.9, and 1.2 g/kg/day (corn oil was administered to control group)                           |
| Frequency of dosing:           | Daily  |
| Route of administration:       | Oral gavage  |
| Dose volume:                   | 0.59, 0.82, and 1.09 mL/kg for low, middle, and high dose groups, respectively                     |
| Formulation/Vehicle:           | Neat liquid  |
| Species/Strain:                | Rat/Crl:CD(SD)   |
| Number/Sex/Group:              | 25/sex/group   |
| Age:                           | 2 days old at initiation   |
| Weight:                        | 6.7-6.9 g for males or 6.9-7.2 g for males at initiation   |
| Satellite groups:              | Blood samples from the toxicity study animals were collected at termination for TK analysis.       |
| Unique study design:           | Study included 2 parts with different treatment durations (see description of Part A and B, below) |
| Deviation from study protocol: | There were no deviations that had a significant impact on the study outcome.                       |

The study design is shown in the sponsor's table below.

| Dosage Group | Number of F1-Generation Pups |        | Dosage (g/kg/day) | Density (g/mL) | Dosage Volume (mL/kg) |
|--------------|------------------------------|--------|-------------------|----------------|-----------------------|
|              | Male                         | Female |                   |                |                       |
| I            | 24                           | 25     | 0 (Control)       | 0              | 1.09                  |
| II           | 25                           | 25     | 0.65              | 1.1033         | 0.59                  |
| III          | 25                           | 25     | 0.9               | 1.1033         | 0.82                  |
| IV           | 25                           | 25     | 1.2               | 1.1033         | 1.09                  |

Neonatal rats were treated with HPN-100 at 0.65, 0.9, and 1.2 g/kg/day by oral gavage from postnatal day (PND) 2 to 51 in Part A of the study (25/sex/group). The rats in Part B were treated from PND 2 through mating and day 20 of gestation, for a total of 125-127 days of treatment (25/sex/group). Mating of rats was initiated on 96-100 days of age.

No drug-related deaths occurred. In Part A, the major finding was periductal mixed cellular infiltrates in liver in all drug-treated animals, with no incidence in the control group. A reduction in neutrophils and lymphocytes occurred in the high-dose group.

In Part B, terminal body weights were decreased by approximately 10-16% in the middle and high-dose groups. Triglyceride levels were increased by more than 2-fold in females in all treatment groups. Fertility index was decreased to 70-78% in all treatment group females, compared to 96% in the control group. Sperm count and morphology were not evaluated in this study. C-sections showed a dose-dependent increase in post-implantation loss (i.e. resorptions) and a dose-dependent reduction in fetal weight in all treatment groups. The number of live fetuses per litter was significantly reduced in the middle and high dose groups. Preimplantation loss was increased with dose, but this change was not statistically significant.

## Observations and Results

### PART A: Treatment from PND 2 to 51

**Mortality:** Deaths occurred, but these were not dose dependent (see the sponsor's table below). Therefore, the deaths are not considered as treatment related.

**Text Table 1: Mortality - Summary**

|   | 0 (control)<br>g/kg/day |        | 0.65 g/kg/day |        | 0.9 g/kg/day |        | 1.2 g/kg/day |        |
|---|-------------------------|--------|---------------|--------|--------------|--------|--------------|--------|
|   | Male                    | Female | Male          | Female | Male         | Female | Male         | Female |
| Dosage Administered <sup>a</sup>            | 30                      | 30     | 29            | 29     | 30           | 30     | 30           | 29     |
| Assigned to Study <sup>b</sup>              | 24                      | 25     | 25            | 25     | 25           | 25     | 25           | 25     |
| Unscheduled Sacrificed                      | 1                       | -      | -             | -      | 3            | -      | 1            | 1      |
| Found Dead                                  | 8                       | 2      | 1             | 2      | 1            | -      | 3            | 2      |
| Missing / Presumed cannibalized             | 1                       | -      | -             | -      | -            | -      | -            | -      |
| Total Deaths                                | 10                      | 2      | 1             | 2      | 4            | 0      | 4            | 3      |
| Replaced                                    | 2                       | -      | -             | -      | -            | -      | -            | -      |
| Death due to confirmed intubation accidents | 4                       | 1      | -             | 1      | 3            | -      | -            | 2      |

**Clinical Signs:** There were no treatment-related effects.

**Body Weights:** There were no treatment-related changes.

**Feed Consumption:** There were no treatment-related changes.

**Hematology:** White blood cells, neutrophils, and lymphocytes were lower in the high dose group as compared to the control. The results were summarized in the sponsor's table below.

**Text Table 4: Selected Hematological Values**

| Parameter    | 0 (Control)<br>g/kg/day | 0.65 g/kg/day  | 0.9 g/kg/day   | 1.2 g/kg/day          |
|--------------|-------------------------|----------------|----------------|-----------------------|
| <b>WBC</b>   |                         |                |                |                       |
| Male         | 13.905                  | 12.972 (93.3)  | 12.263 (88.2)  | <b>10.567* (76.0)</b> |
| Female       | 11.308                  | 11.082 (98.0)  | 10.021 (88.6)  | <b>9.169 (81.1)</b>   |
| <b>Neut</b>  |                         |                |                |                       |
| Male         | 1.383                   | 1.346 (97.3)   | 1.170 (84.6)   | <b>0.881* (63.7)</b>  |
| Female       | 1.116                   | 1.030 (93.3)   | 0.880 (78.8)   | <b>0.770 (69.0)</b>   |
| <b>Lymph</b> |                         |                |                |                       |
| Male         | 11.744                  | 10.963 (93.3)  | 10.406 (88.6)  | <b>9.179* (78.1)</b>  |
| Female       | 9.679                   | 9.464 (97.8)   | 8.643 (89.3)   | <b>7.953 (82.2)</b>   |
| <b>RBC</b>   |                         |                |                |                       |
| Male         | 6.2220                  | 5.937 (95.4)   | 5.995 (96.3)   | 6.088 (97.8)          |
| Female       | 6.348                   | 6.136 (96.7)   | 6.159 (97.0)   | 6.048 (95.3)          |
| <b>MCV</b>   |                         |                |                |                       |
| Male         | 67.98                   | 70.62 (103.9)  | 71.61 (105.3)  | 71.30 (104.9)         |
| Female       | 65.58                   | 68.19* (104.0) | 69.08* (105.3) | 69.73* (106.3)        |
| <b>MCHC</b>  |                         |                |                |                       |
| Male         | 31.77                   | 31.14* (98.0)  | 30.53* (96.1)  | 29.87* (94.0)         |
| Female       | 32.16                   | 31.52 (98.0)   | 30.62* (95.2)  | 30.27* (94.1)         |
| <b>Baso</b>  |                         |                |                |                       |
| Male         | 0.100                   | 0.101 (100)    | 0.082 (82.0)   | 0.069* (69.0)         |
| Female       | 0.084                   | 0.086 (102)    | 0.067 (79.8)   | 0.075 (89.3)          |
| <b>PT</b>    |                         |                |                |                       |
| Male         | 16.58                   | 17.64* (106.4) | 18.07* (109.0) | 18.27* (110.2)        |
| Female       | 16.43                   | 16.87 (102.7)  | 17.15* (104.4) | 17.44* (106.1)        |

**Bold** - Considered Possibly Related to the Test Article

\* Significantly different from the 0 (Control) at  $p \leq 0.05$ . (Percent of control)

**Clinical Chemistry:** Slightly increased AST and ALT values were noted in the middle and high dose females (ALT = 43-52 U/L or AST 76-86 U/L) as compared to the control (ALT = 36-45.5 U/L or AST = 66-75.4 U/L).

**Gross Pathology:** There were no treatment-related changes.

**Organ Weights:** Decreased weight of the adrenals, thymus, and ovaries were noted mainly in the middle and high-dose groups. The results were summarized in the sponsor's table below.

**Text Table 2: Selected Organ Weights**

| Parameter                | 0 (Control)<br>g/kg/day | 0.65 g/kg/day | 0.9 g/kg/day   | 1.2 g/kg/day          |
|--------------------------|-------------------------|---------------|----------------|-----------------------|
| <b>Liver</b>             |                         |               |                |                       |
| Male                     | 12.26                   | 12.98 (105.9) | 12.86 (104.9)  | 12.92 (105.4)         |
| Female                   | 9.87                    | 9.02 (91.4)   | 9.84 (99.7)    | 9.42 (95.4)           |
| <b>Adrenals (paired)</b> |                         |               |                |                       |
| Male                     | 0.052                   | 0.044* (84.6) | 0.040** (76.9) | <b>0.040** (76.9)</b> |
| % of TBW                 | 0.022                   | 0.018         | 0.017*         | <b>0.016**</b>        |
| % of BrW                 | 2.7                     | 2.4           | 2.2**          | <b>2.2**</b>          |
| Female                   | 0.054                   | 0.054 (100.0) | 0.050 (92.6)   | <b>0.043* (79.6)</b>  |
| <b>Thymus</b>            |                         |               |                |                       |
| Male                     | 0.88                    | 0.82 (93.2)   | 0.72** (81.8)  | 0.65** (73.9)         |
| % of TBW                 | 0.357                   | 0.327         | 0.296**        | 0.272**               |
| % of BrW                 | 45.8                    | 44.0          | 39.8*          | 36.3**                |
| Female                   | 0.67                    | 0.55** (82.1) | 0.59 (88.0)    | 0.58* (86.6)          |
| <b>Heart</b>             |                         |               |                |                       |
| Male                     | 1.10                    | 1.18 (107.3)  | 1.17 (106.4)   | 1.14 (103.6)          |
| % of TBW                 | 0.447                   | 0.470         | 0.482          | 0.472                 |
| % of BrW                 | 57.2                    | 63.2*         | 64.7**         | 63.5*                 |
| Female                   | 0.93                    | 0.92 (98.9)   | 0.92 (98.9)    | 0.91 (97.8)           |
| <b>Ovary (paired)</b>    |                         |               |                |                       |
| Female                   | 0.090                   | 0.088 (97.8)  | 0.075* (83.3)  | <b>0.069** (76.7)</b> |
| % of TBW                 | 0.048                   | 0.050         | 0.042          | <b>0.039*</b>         |
| % of BrW                 | 5.0                     | 5.0           | 4.2            | <b>4.0**</b>          |

**Bold** - Considered Possibly Related to the Test Article

\* Significantly different from the 0 Control at  $p \leq 0.05$ .

\*\* Significantly different from the 0 Control at  $p \leq 0.01$ .

(Percent of control)

TBW – Terminal Body Weight

BrW – Brain Weight

**Histopathology:** Minimal to mild periductal mixed cellular infiltrates in liver were noted in all drug-treated animals, with no incidence in the control group, as shown in the sponsor's table below.

**Text Table 5: Summary of HPN-100 [Glyceryl tri-(4-phenylbutyrate)] - Related Lesions**

| Group  | 0 (Control) |    | 0.65 g/kg/day |    | 0.9 g/kg/day |    | 1.2 g/kg/day |    |
|--|-------------|----|---------------|----|--------------|----|--------------|----|
| Sex  | M           | F  | M             | F  | M            | F  | M            | F  |
| Organ/Findings<br>No. of Animals               | 10          | 10 | 10            | 10 | 10           | 10 | 10           | 10 |
| Liver, periductal mixed<br>cellular infiltrate |             |    |               |    |              |    |              |    |
| Minimal  | 0           | 0  | 3             | 4  | 3            | 8  | 2            | 5  |
| Mild   | 0           | 0  | 7             | 6  | 7            | 2  | 8            | 5  |

M - Male

F - Female

**Toxicokinetics:** TK parameters were summarized in the following sponsor's table.

**Text Table 3: Toxicokinetic Parameters**

| Analyte | Sex | Dose<br>g/kg/day | t <sub>1/2</sub><br>hr | T <sub>max</sub><br>hr | C <sub>max</sub><br>ug/ml | C <sub>last</sub><br>ug/ml | AUC <sub>last</sub><br>hr*ug/ml | AUC <sub>all</sub><br>hr*ug/ml | AUC <sub>∞</sub><br>hr*ug/ml |
|---------|-----|------------------|------------------------|------------------------|---------------------------|----------------------------|---------------------------------|--------------------------------|------------------------------|
| PAA     | F   | 0.65             | Missing                | 4                      | 398.0                     | 131.3                      | 2253.4                          | 3304.1                         | Missing                      |
| PAA     | F   | 0.9              | 13.7                   | 2                      | 497.0                     | 327.0                      | 2295.0                          | 4911.4                         | 8774.9                       |
| PAA     | F   | 1.2              | Missing                | 4                      | 660.9                     | 556.4                      | 4053.1                          | 8504.3                         | Missing                      |
| PAA     | M   | 0.65             | Missing                | 4                      | 376.4                     | 90.0                       | 2017.2                          | 2737.6                         | Missing                      |
| PAA     | M   | 0.9              | Missing                | 4                      | 593.7                     | 84.7                       | 2660.9                          | 3338.6                         | Missing                      |
| PAA     | M   | 1.2              | 10.4                   | 2                      | 673.5                     | 448.2                      | 3805.3                          | 7390.6                         | 10509.2                      |
| PAG     | F   | 0.65             | 4.1                    | 2                      | 46.1                      | 1.1                        | 455.8                           | 455.8                          | 462.7                        |
| PAG     | F   | 0.9              | 5.2                    | 2                      | 52.5                      | 2.3                        | 558.2                           | 558.2                          | 575.8                        |
| PAG     | F   | 1.2              | 5.0                    | 2                      | 68.8                      | 3.1                        | 767.2                           | 767.2                          | 789.3                        |
| PAG     | M   | 0.65             | 4.7                    | 2                      | 44.6                      | 1.7                        | 425.3                           | 425.3                          | 436.6                        |
| PAG     | M   | 0.9              | 5.3                    | 4                      | 44.6                      | 3.0                        | 471.5                           | 471.5                          | 494.5                        |
| PAG     | M   | 1.2              | 4.9                    | 2                      | 56.0                      | 3.6                        | 753.2                           | 753.2                          | 778.8                        |
| PBA     | F   | 0.65             | 1.6                    | 1                      | 124.0                     | 5.2                        | 225.2                           | 266.7                          | 237.0                        |
| PBA     | F   | 0.9              | 2.7                    | 1                      | 55.4                      | 9.6                        | 194.2                           | 271.4                          | 232.3                        |
| PBA     | F   | 1.2              | 3.7                    | 1                      | 135.7                     | 0.5                        | 334.6                           | 334.6                          | 337.2                        |
| PBA     | M   | 0.65             | 4.8                    | 1                      | 74.6                      | 8.4                        | 164.3                           | 231.1                          | 222.7                        |
| PBA     | M   | 0.9              | 3.4                    | 1                      | 122.6                     | 4.7                        | 197.7                           | 235.0                          | 220.9                        |
| PBA     | M   | 1.2              | 2.6                    | 1                      | 57.3                      | 8.0                        | 203.8                           | 267.7                          | 234.2                        |

M - Male

F - Female

**PART B: Treatment from PND 2 through mating and day 20 of gestation (a total treatment duration of 125-127 days)**

**Mortality:** Deaths occurred, but these were not dose dependent (see sponsor's table). The deaths are not considered as treatment related.

**Text Table 6: Mortality - Summary**

|   | 0 (control)<br>g/kg/day |        | 0.65 g/kg/day |        | 0.9 g/kg/day |        | 1.2 g/kg/day |        |
|---|-------------------------|--------|---------------|--------|--------------|--------|--------------|--------|
|   | Male                    | Female | Male          | Female | Male         | Female | Male         | Female |
| Assigned to Study <sup>a</sup>              | 25                      | 25     | 25            | 25     | 25           | 25     | 25           | 25     |
| Unscheduled Sacrificed                      | -                       | -      | -             | -      | 1            | -      | -            | 1      |
| Found Dead                                  | 2                       | 1      | 3             | 2      | 1            | 1      | 1            | 1      |
| Missing / Presumed cannibalized             | -                       | -      | -             | -      | -            | -      | -            | -      |
| Total Deaths                                | 2                       | 1      | 3             | 2      | 2            | 1      | 1            | 2      |
| Replaced                                    | -                       | -      | -             | -      | -            | -      | -            | -      |
| Death due to confirmed intubation accidents | -                       | -      | -             | -      | -            | -      | -            | -      |

a. Number of pups administered HPN-100 on postnatal day 2 until sacrifice.

**Clinical Signs:** High-dose male rats had increased incidence of urine-stained abdominal fur.

**Body Weights:** Terminal body weights in the low, middle, and high dose males were 96.8%, 87.4% and 84.2% of the control group, respectively. Terminal body weights in the low, middle, and high dose females were 96.7%, 89.8% and 87.8% of the control group, respectively.

**Feed Consumption:** The treatment did not have clearly adverse effects on feed consumption.

**Hematology:** Small alterations of the hematological parameters were noted including slightly reduced platelet counts, red blood cells, hemoglobin, and hematocrit in treated males. The clinical significance of these changes are not clear.

**Clinical Chemistry:** Triglyceride levels were higher in the treatment groups (192, 190, and 180 mg/dl in males and 432, 463, 522 mg/dl in females) as compared to the controls (122 mg/dl in males and 206 mg/dl in females).

**Sexual Maturation:** Delayed vaginal patency was noted in the high dose group (PND 37) as compared to the control group (PND 35).

**Motor Activity and Acoustic Startle Habituation:** There were no treatment-related changes.

**Learning and Memory:** There were no treatment-related changes in the learning and memory tests including passive avoidance and water maze tests.

**Mating and Fertility:** The number of animals mating and the time to mating were not adversely affected by the treatment. The fertility index (number of rats that mated and were pregnant) was lower in the low, middle, and high dose groups (78, 75, and 70%) as compared to the control group (96%).

**Caesarean-Sectioning and Litter Observations:** The early and total resorptions per litter, and percent post-implantation loss were significantly increased in all treatment groups. The fetal weights for males and females were significantly decreased in all treatment groups. The number of live fetuses per litter was significantly reduced in the middle and high dose groups. Preimplantation loss was increased with dose, but this change was not statistically significant. The results are summarized in the tables below (taken from the study report).



TABLE B32 (PAGE 1): CAESAREAN-SECTIONING OBSERVATIONS - SUMMARY - F1 GENERATION FEMALE RATS - PART B

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY)                                  |           | I<br>0 (CONTROL) | II<br>0.65   | III<br>0.9    | IV<br>1.2     |
|--|-----------|------------------|--------------|---------------|---------------|
| RATS TESTED  |           | 24a              | 23a          | 24a           | 23a           |
| PREGNANT   |           | 23 ( 95.8)       | 18 ( 78.3)   | 18 ( 75.0)    | 16 ( 69.6)    |
| RATS PREGNANT AND<br>CAESAREAN-SECTIONED<br>ON DAY 21 OF GESTATION |           | 22b              | 17b          | 16            | 16            |
| CORPORA LUTEA  | MEAN±S.D. | 16.2 ± 1.9       | 16.2 ± 2.6   | 14.5 ± 3.0    | 15.5 ± 4.2    |
| IMPLANTATIONS  | MEAN±S.D. | 15.4 ± 1.9       | 15.2 ± 2.2   | 13.7 ± 3.2    | 13.2 ± 3.4    |
| % PREIMPLANTATION LOSS   | MEAN±S.D. | 4.9 ± 6.5        | 5.6 ± 7.3    | 6.0 ± 7.4     | 13.0 ± 15.8   |
| LITTER SIZES   | MEAN±S.D. | 15.0 ± 2.2       | 13.6 ± 2.4   | 12.0 ± 3.2**  | 11.1 ± 3.2**  |
| LIVE FETUSES   | N         | 331              | 231          | 215           | 177           |
|  | MEAN±S.D. | 15.0 ± 2.2       | 13.6 ± 2.4   | 11.9 ± 3.3**  | 11.1 ± 3.2**  |
| DEAD FETUSES   | N         | 0                | 0            | 1             | 0             |
|  | MEAN±S.D. | 0.0 ± 0.0        | 0.0 ± 0.0    | 0.0 ± 0.2     | 0.0 ± 0.0     |
| RESORPTIONS  | MEAN±S.D. | 0.4 ± 0.6        | 1.6 ± 1.5**  | 1.7 ± 1.8**   | 2.2 ± 1.6**   |
| EARLY RESORPTIONS  | N         | 8                | 27           | 31            | 31            |
|  | MEAN±S.D. | 0.4 ± 0.6        | 1.6 ± 1.5**  | 1.7 ± 1.8**   | 1.9 ± 1.4**   |
| LATE RESORPTIONS   | N         | 0                | 0            | 0             | 4             |
|  | MEAN±S.D. | 0.0 ± 0.0        | 0.0 ± 0.0    | 0.0 ± 0.0     | 0.2 ± 0.4*    |
| % POSTIMPLANTATION LOSS  | MEAN±S.D. | 2.5 ± 4.0        | 10.3 ± 9.3*  | 13.6 ± 14.0** | 15.9 ± 11.6** |
| DAMS WITH ANY RESORPTIONS  | N(%)      | 7 ( 31.8)        | 12 ( 70.6)** | 14 ( 77.8)**  | 13 ( 81.2)**  |
| DAMS WITH ALL CONCEPTUSES<br>DEAD OR RESORBED                      | N(%)      | 0 ( 0.0)         | 0 ( 0.0)     | 0 ( 0.0)      | 0 ( 0.0)      |

% PREIMPLANTATION LOSS = [(NUMBER OF CORPORA LUTEA - NUMBER OF IMPLANTATIONS) / NUMBER OF CORPORA LUTEA] x 100

% POSTIMPLANTATION LOSS = [(NUMBER OF IMPLANTATIONS - NUMBER OF LIVE FETUSES) / NUMBER OF IMPLANTATIONS] x 100

a. Excludes values for rats that were found dead or sacrificed due to adverse clinical observations prior to cohabitation.

b. Excludes values for rats that did not have a confirmed mating date.

\* Significantly different from the control group value (p≤0.05).

\*\* Significantly different from the control group value (p≤0.01).

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TABLE B33 (PAGE 1): LITTER OBSERVATIONS (CAESAREAN-DELIVERED FETUSES) - SUMMARY - F2 GENERATION LITTERS - PART B

| DOSAGE GROUP<br>DOSAGE (G/KG/DAY)         |           | I<br>0 (CONTROL) | II<br>0.65    | III<br>0.9    | IV<br>1.2     |
|---|-----------|------------------|---------------|---------------|---------------|
| LITTERS WITH ONE OR<br>MORE LIVE FETUSES  |           | 23               | 18            | 16            | 16            |
| INCLUDED IN ANALYSES                      |           | 22a              | 17a           | 16            | 16            |
| IMPLANTATIONS                             | MEAN±S.D. | 15.4 ± 1.9       | 15.2 ± 2.2    | 13.7 ± 3.2    | 13.2 ± 3.4    |
| LIVE FETUSES                              | N         | 331              | 231           | 215           | 177           |
|   | MEAN±S.D. | 15.0 ± 2.2       | 13.6 ± 2.4    | 11.9 ± 3.3**  | 11.1 ± 3.2**  |
| LIVE MALE FETUSES                         | N         | 158              | 109           | 105           | 87            |
| % LIVE MALE<br>FETUSES/LITTER             | MEAN±S.D. | 47.8 ± 14.7      | 46.7 ± 16.6   | 50.7 ± 16.1   | 48.6 ± 15.8   |
| LIVE FETAL BODY WEIGHTS<br>(GRAMS)/LITTER | MEAN±S.D. | 5.36 ± 0.63      | 4.62 ± 0.46** | 4.17 ± 0.40** | 3.55 ± 0.48** |
| MALE FETUSES                              | MEAN±S.D. | 5.54 ± 0.66      | 4.79 ± 0.49** | 4.28 ± 0.38** | 3.72 ± 0.50** |
| FEMALE FETUSES                            | MEAN±S.D. | 5.19 ± 0.61      | 4.47 ± 0.50** | 4.06 ± 0.43** | 3.40 ± 0.44** |
| % DEAD OR RESORBED<br>CONCEPTUSES/LITTER  | MEAN±S.D. | 2.5 ± 4.0        | 10.3 ± 9.3*   | 13.6 ± 14.0** | 15.9 ± 11.6** |

a. Excludes values for rats that did not have a confirmed mating date.

\* Significantly different from the control group value (p≤0.05).

\*\* Significantly different from the control group value (p≤0.01).

Three fetuses in the middle dose group had gross external alterations. One had an umbilical hernia, one had an absent tail, and a dead fetus had exencephaly, absent pollex of both forepaws, absent fifth digit of the right forepaw, short right hindlimb, absence of all digits of both hindpaws, gastroschisis, and short trunk. In the high dose

group, seven fetuses from 3 litters had gross external alterations. Two of these fetuses had an umbilical hernia, three fetuses had a thread-like tail, one fetus had edema, and one fetus had a thread-like tail and no anal opening. No gross external alterations were observed in the control and low-dose groups.

**Gross Pathology:** There were no treatment-related changes.

**Organ Weights:** Absolute and relative weights of ovaries was reduced in all treatment groups. Decreased weight of the adrenals, brain, thymus, and spleen were noted mainly in the high-dose groups. The liver weight was generally higher in the treatment groups. The results were summarized in the sponsor's table below.

**Text Table 7: Selected Organ Weights**

| Parameter              | 0 (Control)<br>g/kg/day | 0.65 g/kg/day   | 0.9 g/kg/day    | 1.2 g/kg/day    |
|------------------------|-------------------------|-----------------|-----------------|-----------------|
| <b>Brain</b>           |                         |                 |                 |                 |
| Male                   | 2.14                    | 2.13 (99.5)     | 2.02* (94.4)    | 1.98** (92.5)   |
| Female                 | 1.99                    | 1.92 (96.5)     | 1.91 (96.0)     | 1.87 (94.0)     |
| <b>Liver</b>           |                         |                 |                 |                 |
| Male                   | 22.24                   | 24.54 (110.3)   | 22.54 (101.3)   | 21.67 (97.4)    |
| % of TBW               | 3.850                   | 4.243**         | 4.410**         | 4.514**         |
| % of BrW               | 1041.9                  | 1153.3          | 1120.7          | 1093.1          |
| Female                 | 16.21                   | 19.90** (122.8) | 19.13** (118.0) | 19.43** (119.9) |
| % of TBW               | 3.570                   | 4.532**         | 4.700**         | 4.878**         |
| % of BrW               | 813.1                   | 1037.0**        | 1002.1**        | 1042.3**        |
| <b>Kidneys Paired</b>  |                         |                 |                 |                 |
| Male                   | 4.09                    | 4.42 (108.1)    | 4.04 (98.8)     | 3.82 (93.4)     |
| % of TBW               | 0.717                   | 0.768*          | 0.792**         | 0.798**         |
| % of BrW               | 191.8                   | 207.4           | 200.1           | 192.9           |
| Female                 | 2.24                    | 2.50* (111.6)   | 2.48* (110.7)   | 2.49* (111.2)   |
| % of TBW               | 0.494                   | 0.569**         | 0.610**         | 0.626**         |
| % of BrW               | 112.3                   | 130.0**         | 130.0**         | 133.6**         |
| <b>Adrenals Paired</b> |                         |                 |                 |                 |
| Male                   | 0.063                   | 0.058 (92.1)    | 0.049** (77.8)  | 0.044** (69.8)  |
| Female                 | 0.073                   | 0.070 (95.9)    | 0.069 (94.5)    | 0.060 (82.2)    |
| <b>Spleen</b>          |                         |                 |                 |                 |
| Male                   | 1.04                    | 0.96 (92.3)     | 0.85* (81.7)    | 0.80** (76.9)   |
| Female                 | 0.76                    | 0.76 (100.0)    | 0.78 (102.6)    | 0.70 (92.1)     |
| <b>Heart</b>           |                         |                 |                 |                 |
| Male                   | 1.78                    | 1.87 (105.0)    | 1.76 (98.9)     | 1.76 (98.9)     |
| % of TBW               | 0.310                   | 0.327           | 0.345*          | 0.367**         |
| % of BrW               | 83.4                    | 87.9            | 87.5            | 88.5            |
| Female                 | 1.28                    | 1.25 (97.6)     | 1.21 (94.5)     | 1.20 (93.8)     |
| % of TBW               | 0.282                   | 0.284           | 0.298           | 0.299           |
| % of BrW               | 64.2                    | 65.3            | 63.8            | 64.2            |
| <b>Ovaries Paired</b>  |                         |                 |                 |                 |
| Female                 | 0.163                   | 0.129** (79.1)  | 0.127** (77.9)  | 0.116** (71.2)  |
| % of TBW               | 0.036                   | 0.030*          | 0.031*          | 0.029**         |
| % of BrW               | 8.2                     | 6.7**           | 6.6**           | 6.3**           |

\* Significantly different from the 0 (Control) g/kg/day at  $p \leq 0.05$ .

\*\* Significantly different from the 0 (Control) g/kg/day at  $p \leq 0.01$ .

(Percent of control)

TBW – Terminal Body Weight

BrW – Brain Weight

**Histopathology:** Histopathological findings similar to those observed in Part A (minimal to mild periductular mixed cellular infiltrates) were noted in all treatment groups in Part B. These changes were not identified in the control group.

## 10 Special Toxicology Studies

None.

## 11 Integrated Summary and Safety Evaluation

Glycerol phenylbutyrate (GPB) is a triglyceride containing three molecules of 4-phenylbutyric acid (PBA) linked to a glycerol backbone. Glycerol phenylbutyrate is hydrolyzed to glycerol and PBA following oral administration. PBA is then metabolized to phenylacetic acid (PAA), which is then conjugated with glutamine to form phenylacetylglutamine (PAGN). PAGN is utilized as an alternate means for metabolic disposal of nitrogen waste in patients with genetic defects in their urea cycle (urea cycle disorders or UCDs). The sodium salt of PBA (Buphenyl®) is approved for treatment of UCDs.

In the present NDA, the sponsor seeks market approval for Ravicti™ (glycerol phenylbutyrate) as adjunctive therapy for chronic management of adult and pediatric patients  $\geq 6$  years of age with urea cycle disorders involving deficiencies of the following enzymes: carbamyl phosphate synthetase (CPS), ornithine transcarbamylase (OTC), argininosuccinate synthetase (ASS), argininosuccinate lyase (ASL) or arginase (ARG), as well as the mitochondrial transporter ornithine translocase (hyperornithinemia–hyperammonemia–homocitrullinuria [HHH] syndrome, also referred to as ornithine translocase deficiency). In support of this NDA, the following nonclinical studies were submitted: pharmacological studies, pharmacokinetic studies, oral acute toxicity studies in rats and monkeys, 14-day oral toxicity studies in mice, rats, and monkeys, 13-week oral toxicity studies in mice, rats, and monkeys, 26-week oral toxicity study in rats, 52-week oral toxicity study in monkeys, 26-week oral carcinogenicity study in Tg.rasH2 mice with a 28-day dose ranging study in CByB6F1 mice, 2-year oral carcinogenicity study in rats, Segment I mating and fertility study in rats, Segment II embryo-fetal developmental studies in rats and rabbits, Segment III pre- and post-natal developmental study in rats, oral toxicity study in neonatal rats, Ames test, *in vitro* chromosomal aberration test in human lymphocytes, and a rat micronucleus test. In addition, following mutagenicity studies were conducted with metabolites including PBA, PAA, PAGN, and phenylacetylglutamine (PAG): Ames tests and *in vitro* chromosomal aberration tests in Chinese hamster ovary (CHO) cells or human lymphocytes.

In the conscious, unrestrained monkeys with telemetry monitoring, a single oral dose of GT4P significantly prolonged QT<sub>c</sub> interval by ~25 ms at a dose of 4 g/kg but not at 1 g/kg. Slight shortening of the PR interval (8-14 ms) was also observed at 4 g/kg of GT4P in this study. The metabolites PBA at 894  $\mu\text{g/ml}$  and PAA at 988  $\mu\text{g/ml}$  inhibited

hERG current by ~36% and 54%, respectively, as compared to the vehicle control in HEK 293 cells transfected with hERG channels.

Following oral administration of GT4P, no parent compound was detected in the plasma in rats and monkeys. There were measurable levels of PBA and PAA in the plasma and urine in rats and monkeys, suggesting that GT4P is hydrolyzed to glycerol and PBA prior to absorption or distribution into the general circulation. PBA is then hydrolyzed to PAA through  $\beta$ -oxidation. PAA is conjugated with glutamine to form phenylacetylglutamine (PAGN) or with glycine to form phenylacetylglycine (PAG). Both PAGN and PAG are eliminated in the urine.

In the single oral dose toxicity study in rats, GT4P was lethal at doses of 1.2 g/kg or higher. The no effect dose was identified at 0.65 g/kg. In the single oral dose toxicity study in monkeys, the minimal lethal dose was not identified.

In the 14-day oral dose-ranging toxicity study in mice, GT4P was given by oral gavage at 0, 0.65, 0.9, 1.2, and 2.0 g/kg/day for 14 days (5/sex/group). The high dose was lethal (4 males and 4 females died). The central nervous system was the target organ of toxicity based on the clinical signs including hypoactivity, impaired equilibrium, ptosis, and shallow or labored respiration. The no effect dose was not identified. The dose of 0.65 g/kg/day was tolerated.

In the 13-week oral toxicity study in mice, GT4P was given by oral gavage to mice at 0, 0.65, 0.9 and 1.2 g/kg/day for 90 days. The results indicated that there were no treatment-related deaths or clinical signs of toxicity. Treatment increased the liver weight and produced hepatocellular hypertrophy. The high dose of 1.2 g/kg/day was the no-observed-adverse-effect level (NOAEL).

In the 14-day oral toxicity study in rats, GT4P was administered by oral gavage for 14 days to rats at 0, 0.65, 0.9 and 1.2 g/kg/day. There were no deaths. The central nervous system was the target organ of toxicity based on the clinical signs including hypoactivity, impaired equilibrium, impaired muscle coordination and rigid muscle tone. A no effect dose was not identified. The dose of 0.65 g/kg/day was tolerated.

In the 13-week oral toxicity study in rats, GT4P was give by oral gavage at 0, 0.65, 0.9 and 1.2 g/kg/day for 91 days. There were no treatment-related deaths. Rigid muscle tone (all treatment groups) and hypoactivity (middle and high-dose groups) were observed during first few days of treatment. Decreased terminal body weight gain was noted in the low (11%), middle (21%), and high (37%) dose males, as compared to the control males. Body weight gain was not affected in the female groups. There were no treatment-related histopathologic findings. In conclusion, a no effect dose was not identified. The dose of 0.65 g/kg/day was the maximum tolerated dose for males based on the decrease in body weight gain. The dose of 1.2 g/kg/day was tolerated in females. The central nervous system was the target organ of toxicity based on the clinical signs.

In the 26-week oral toxicity study in rats, GT4P was given by oral gavage at 0, 0.65, 0.9 and 1.2 g/kg/day for 6 months. Treatment with GT4P reduced the terminal body weight gain by at least 10% or more in all treatment groups. Therefore, a NOAEL was not established. Central nervous system was a target organ of toxicity based on the clinical signs (hypoactivity and rigid muscle tone in the 0.9 and 1.2 g/kg/day groups). One high-dose male was found dead. This death was possibly treatment-related.

In the 14-day oral toxicity study in cynomolgus monkeys, GT4P was given at 0, 1, 5, and 10 g/kg/day (3/sex/group) via nasogastric intubation in the dose ranging phase. One middle dose male and one high dose female were sacrificed after the first dose due to clinical signs of toxicity including hunched posture, hypoactivity, recumbency, labored respiration, vomitus containing food and red discharge, discharge of bright yellow fluid from the anus, and cold to the touch. On Day 2, the high and middle doses were decreased to 5 g/kg/day and 2.5 g/kg/day, respectively. The two remaining high-dose females were sacrificed due to the clinical signs of toxicity. The dosing was discontinued on Day 3. Based on these results, the sponsor selected doses for GT4P at 0, 1, 2.5, and 3.5 g/kg/day for the main study. Dosing of the middle and high dose groups (2.5 and 3.5 g/kg/day) in the main study was terminated on Day 9 due to clinical signs of toxicity. The dose of 1 g/kg/day was tolerated through the end of the 14-day treatment period. A no effect dose was not identified. The central nervous system was the target organ of toxicity based on the clinical signs.

In the 13-week oral toxicity study in cynomolgus monkeys, GT4P was given by nasogastric intubation at 0, 0.75, 1.25 and 1.75 g/kg/day for 91 days. All animals survived to the scheduled termination. Tremors (continuous or intermittent) were observed in 1 middle-dose female and 2 high-dose females. The tremor was sometimes accompanied by hypoactivity, impaired muscle coordination, twitching, body pallor, and labored respiration. Decreased terminal body weight gain was noted in the middle (19%) and high (24%) dose males, and in the middle (13%) and high dose (20%) females, as compared to the control group. Pathological examination revealed small thymus (high dose males) and minimal to mild lymphoid depletion (all treatment male groups and the high dose females). Histopathologic examination also revealed centrilobular hepatocellular hypertrophy (all treatment groups) and mild fatty infiltration in the sternal bone marrow (all treatment male groups and the middle and high dose female groups). A no effect dose was not identified. The dose of 1.25 g/kg/day was close to or slightly higher than the maximum tolerated dose based on the reduction of body weight gain and clinical signs of toxicity. The central nervous system was the target organ of toxicity based on the clinical signs.

In the 52-week oral toxicity study in monkeys, GT4P was given by nasogastric intubation at 0.7, 1.1, and 1.5 g/kg/day for 52 weeks. Treatment with GT4P induced clinical signs of toxicity including hypoactivity, hunched posture, thinness, pallor and/or cool to touch, impaired equilibrium, and increased respiration rate in the high dose group. The terminal body weights were ~14%, 8%, and 22% lower in the low, middle, and high dose males, respectively, and 10% lower in the high dose females. Liver

weight (absolute and relative) was increased in all treatment groups at weeks 26 and 52, and this change was associated with hepatocellular hypertrophy.

GT4P was not genotoxic in the Ames test, the *in vitro* chromosomal aberration test, and the rat micronucleus test. The metabolite PBA was not genotoxic in the Ames, but significantly increased the proportion of cells with structural aberrations in the presence of S-9 after 4 hours treatment in an *in vitro* chromosome aberration test in Chinese hamster ovary (CHO) cells. However, the result was not reproducible in a repetition of this test in human lymphocytes. Other metabolites including PAA, PAGN, and PAG were not genotoxic in the Ames test or the *in vitro* chromosomal aberration test.

In the 26-week oral dose carcinogenicity study in Tg.rasH2 mice, mice were treated with HPN-100 (neat) at dose levels of 600 and 1000 mg/kg/day via oral gavage for 26 weeks. The dose levels were recommended by the Executive Carcinogenicity Assessment Committee, based on MTD and the minimum feasible dose. Two water control groups were included. The positive control animals received urethane at 1000 mg/kg via intraperitoneal injection on Days 1, 3 and 5. The toxicokinetic evaluation (exposure study) was conducted in hybrid CByB6F1 (nontransgenic) mice (5 mice/sex/group). HPN-100 was well tolerated at all dose levels (600 and 1000 mg/kg/day). The carcinogenicity study was conducted appropriately. The tumor incidences were within the historical control ranges from the testing laboratory. No statistically significant increase in tumors was observed in groups treated with HPN-100. Treatment with urethane (positive control) produced a high incidence of lung tumors and hemangiosarcoma in spleen. The FDA statistical review concluded that HPN-100 did not produce any significant increase in tumor incidence. The Executive Carcinogenicity Assessment Committee concluded that there were no drug-related neoplasms.

In the 24-month oral dose carcinogenicity study in Crl:CD(SD) rats, HPN-100 (neat) was administered via oral gavage at dose levels of 70, 210, and 650 mg/kg/day in males, and 100, 300, and 900 mg/kg/day in females for 2 years. The dose levels were recommended by the Executive Carcinogenicity Assessment Committee, based on MTD and/or lethality. Two control groups (water and corn oil) were included. The study was conducted appropriately. HPN-100 did not produce statistically significant changes in mortality. The terminal body weight was 7% and 11% lower in the high dose males and females, respectively, as compared to the water control group. The terminal body weight gain was 13% and 21% lower in the high dose males and females, respectively, as compared to the water control group. Treatment-related non-neoplastic changes included focal hypertrophy in the adrenal cortex, pancreatic acinar cell hyperplasia, follicular cell hyperplasia in the thyroid gland, cystic endometrial hyperplasia of the uterus, Zymbal's gland hyperplasia, basophilic foci in the liver, and retinal atrophy.

The Executive Carcinogenicity Assessment Committee concluded that HPN-100 increased the incidence of the following neoplasms in the 24-month rat study, as indicated by statistical significance in both the dose-response and pair-wise tests using the water control group, with exception of Zymbal's gland carcinoma in males: in males,

pancreatic acinar cell adenoma, carcinoma and combined adenoma or carcinoma at the high dose and Zymbal's gland carcinoma at the middle and high doses, and in females, pancreatic acinar cell adenoma, carcinoma and combined adenoma or carcinoma at the high dose, thyroid follicular cell adenoma, carcinoma and combined adenoma or carcinoma at the high dose, adrenal cortical combined adenoma or carcinoma at the high dose, uterine endometrial stromal polyp and combined polyp or sarcoma at the high dose, and Zymbal's gland carcinoma at the high dose. The increased incidence of Zymbal's gland carcinoma in males was considered to be drug-related, based on the very low incidence of this neoplasm in historical control data.

Statistically significant dose-response relationships were found for the following tumors, based on comparison to the water control group: acinar cell adenoma, carcinoma and combined adenoma or carcinoma in pancreas in both sexes, follicular cell adenoma in thyroid in both sexes, malignant schwannoma in skin in males, malignant lymphoma in males, adenoma and combined adenoma or carcinoma in adrenal cortex in females, hepatocellular adenoma in females, follicular cell carcinoma and combined adenoma or carcinoma in thyroid in females, polyp and combined polyp or sarcoma in uterus, and carcinoma in Zymbal's glands in females (see statistical review by Dr. Min Min). The FDA statistical review also found significant increases in the following tumors, based on pair-wise comparison to the water control group: acinar cell adenoma, carcinoma and combined adenoma or carcinoma in pancreas in high-dose groups for both sexes, follicular cell adenoma in thyroid in high-dose groups for both sexes, combined adenoma or carcinoma in adrenal cortex in high-dose females, follicular cell carcinoma and combined follicular cell adenoma or carcinoma in thyroid in high-dose females, polyp and combined polyp or sarcoma in uterus in high-dose females, and carcinoma in the Zymbal's glands in high-dose females.

In the fertility and general reproduction toxicity study in rats, male rats were treated with 0 (corn oil), 0.65, 0.9, and 1.2 g/kg/day HPN-100 once daily beginning 28 days before the first cohabitation (with females treated up to 15 days), and through the second cohabitation (with untreated females up to 14 days). Treatment of males continued through the day before sacrifice. Treated female rats were given HPN-100 at 0 (corn oil), 0.65, 0.9, and 1.2 g/kg/day once daily beginning 15 days before cohabitation through gestation day 7. The major finding in this study was a small but statistically significant increase in embryo-lethality in the high-dose group. Mating and fertility parameters were unaffected.

In the oral developmental Segment II toxicity study in rats, pregnant rats were treated with HPN-100 at oral doses of 0 (corn oil), 0.3, 0.65, and 0.9 g/kg/day during gestation days 7-17. The dose selection was justified based on the results of the dose-ranging study in pregnant rats (MQY00007). In the present study, the most common fetal effect was the presence of cervical ribs at the 7<sup>th</sup> cervical vertebra. This effect was dose-dependent. The litter incidence was statistically significant in the middle and high-dose groups, and fetal incidence was significant only in the high-dose group. Malformations were observed in the middle and high groups (3 and 2 fetuses, respectively), but were not statistically significant. Mild maternal toxicity was observed



in the middle and high dose groups, based on reduction in weight gain. Reduced motor activity and splayed limbs were also noted in the high dose group.

In the oral developmental Segment II toxicity study in rabbits, the pregnant rabbits were treated with HPN-100 given via stomach tube once daily on gestation days 7 through 19 at doses of 0 (corn oil), 0.15, 0.25, and 0.35 g/kg/day. The dose selection was justified based on the results of a dose range-finding study ( (b) (4) MYQ00009) in pregnant NZW rabbits. In the present study, there were no signs of maternal toxicity and no adverse effects in fetuses.

In the oral pre- and post-natal reproduction toxicity study in rats, GT4P was given to pregnant rats by oral gavage at 0 (corn oil), 300, 600, and 900 mg/kg/day from DG7 through DG24 or day 20 of lactation. Treatment with 600 and 900 mg/kg/day reduced the body weight gain in pregnant rats (F0 generation). No treatment-related effects were observed in the learning ability, sexual maturation, mating and fertility, or pregnancies of the F1 generation.

In the oral toxicity study in neonatal rats, HPN-100 was given at 0.65, 0.9, and 1.2 g/kg/day (25/sex/group) by oral gavage from postnatal day (PND) 2 to 51 (Part A) or from PND 2 through mating and day 20 of gestation for a total of 125-127 days of treatment (Part B). No drug-related deaths occurred. In Part A, the major finding was periductal mixed cellular infiltrates in liver in all drug-treated animals, with no incidence in the control group. A reduction in neutrophils and lymphocytes occurred in the high-dose group. In Part B, terminal body weights were decreased by approximately 10-16% in the middle and high-dose groups. Triglyceride levels were increased by more than 2-fold in females in all treatment groups. Fertility index was decreased to 70-78% in all treatment group females, compared to 96% in the control group. Sperm count and morphology were not evaluated in this study. C-sections showed a dose-dependent increase in post-implantation loss (i.e. resorptions) and a dose-dependent reduction in fetal weight in all treatment groups. The number of live fetuses per litter was significantly reduced in the middle and high dose groups. Pre-implantation loss was increased with dose, but this change was not statistically significant.

The sponsor seeks market approval for glycerol phenylbutyrate (GPB) as adjunctive therapy for chronic management of adult and pediatric patients  $\geq 6$  years of age with urea cycle disorders (UCD). UCDs are characterized by hyperammonemia, encephalopathy, and respiratory alkalosis. Patients with UCDs are at high risk for neurologic deficits and death due to hyperammonemia. The current approved products in the U.S. include Buphenyl (oral sodium phenylbutyrate) for long-term therapy, and Ammonul (combination of sodium phenylacetate and sodium benzoate, intravenous) for acute hyperammonemia. GPB and sodium phenylbutyrate are metabolized to the same active metabolite (PAA), therefore both drugs share the same mechanism of action. GPB is an odorless and tasteless (b) (4) that will eliminate the sodium burden and relieve the pill burden of sodium phenylbutyrate. The major finding from the nonclinical program is the tumorigenicity in the 2-year carcinogenicity study in rats. GPB was not genotoxic in the standard genotoxicity test battery. The potential tumorigenic risk of

GPB is presumably shared by sodium phenylbutyrate, since the plasma metabolic profile for both drugs is expected to be very similar. Buphenyl was approved in 1996 and no cancer risk has been identified with the use of Buphenyl. UCDs are life threatening diseases, and sodium phenylbutyrate is the only approved drug for long-term therapy. Therefore, from a nonclinical standpoint, we conclude that the benefit from the treatment with glycerol phenylbutyrate outweighs the risk of the potential tumorigenicity, and thus recommend that this application be approved for the proposed indication. The sponsor should be asked to revise the label as recommended.

## **12 Appendix/Attachments**

1. Executive CAC meeting minutes dated August 12, 2008

Executive CAC

Date of Meeting: August 12, 2008

Committee:

David Jacobson-Kram, Ph.D., OND IO, Chair

Abby Jacobs, Ph.D., OND IO, Member

Adebayo Lanijonu, Ph.D., DMIHP, Alternate Member

Sushanta Chakder, Ph.D., DGP, Acting Supervisory Pharmacologist

Ke Zhang, Ph.D., DGP, Presenting Reviewer

Author of Draft: Ke Zhang, Ph.D.

The following information reflects a brief summary of the Committee discussion and its recommendations.

The committee did not address the sponsor's proposed statistical evaluation for the 2-yr carcinogen bioassay, as this does not affect the sponsor's ability to initiate the bioassay. The sponsor may seek guidance on the statistical evaluation of bioassay results from agency staff separately. Data files should be submitted electronically following the CDER/CBER Guidance for Industry, Providing Regulatory Submission in Electronic Format – Human Pharmaceutical Product Applications and Related Submissions Using the eCTD Specifications (April 2006).

IND # 73,480

Drug Name: Glyceryl Tri (4-phenylbutyrate) / GT4P / HPN-100

Sponsor: Hyperion Therapeutics

South San Francisco, CA

Background: GT4P is a triglyceride containing three molecules of 4-phenylbutyric acid (PBA) linked to a triglyceride backbone. GT4P is hydrolyzed to glycerol and PBA following oral administration. PBA is then metabolized to phenylacetate/phenylacetic acid (PAA). PAA is utilized as an alternate means for metabolic disposal of nitrogen waste in patients with genetic defects in their urea cycle. GT4P was not genotoxic in the Ames test, the in vitro chromosomal aberration test, and the rat micronucleus test.

In the current submission, the sponsor submitted study protocols for 2-year carcinogenicity studies with GT4P in mice and rats.

Mouse Carcinogenicity Study Protocol and Dose Selection:

For the 2-year carcinogenicity study in mice, the following dose levels are proposed by the sponsor: 300, 600, 1200 mg/kg/day for males and females. The dose selection was based on the results of a 13-week oral toxicity study and a 14-day dose ranging toxicity study in mice.

In 13-week oral toxicity study in mice ((b)(4)510008), GT4P was given by oral gavage to Crl:CD-1 mice at 0, 0.65, 0.90 and 1.20 g/kg/day in corn oil for 90 days. There were no treatment-related deaths or clinical signs of toxicity. The treatment increased the liver weight and produced hepatocellular hypertrophy. The high dose of 1.2g/kg/day is no-observed-adverse-effect level (NOAEL).

In the 14-day dose ranging toxicity study in mice ((b)(4)510007), GT4P was given to mice (5/sex/group) by oral gavage at 0, 0.65, 0.9, 1.2, and 2.0 g/kg/day for 14 days. The high dose of 2 g/kg/day was lethal (4 males and 4 females died).

#### Rat Carcinogenicity Study Protocol and Dose Selection:

For the 2-year carcinogenicity study in rats, following doses are proposed by the sponsor: 0, 225, 450, 900 mg/kg/day for males or 300, 600, 1200 mg/kg/day for females. The dose selection was based on the results of a 13-week oral toxicity study in rats.

In the 13-week oral toxicity study in rats, GT4P was given by oral gavage to Crl:CD(SD) rats at 0, 0.65, 0.90 and 1.20 g/kg/day in corn oil for 91 days. There were no treatment-related deaths. Rigid muscle tone (all treatment groups) and hypoactivity (mid and high dose groups) were observed during first few days of treatment. Decreased terminal body weight gain was noted in the low (11%), mid (21%), and high (37%) dose males as compared to the control. Body weight gain was not affected in the female groups. There were no treatment-related histopathologic findings. In conclusion, the dose of 0.65 g/kg/day was the maximum tolerated dose (MTD) for males based on the decrease of body weight gain. The dose of 1.20 g/kg/day was tolerated in females.

Doses up to 4.5 g/kg were tested in a single oral dose toxicity study in rats ((b)(4)510001). In this study, one female was found dead at 1.5 g/kg and all females (3/3) were found dead at 2.3 g/kg. In a 14-day oral toxicity study in rats ((b)(4)-510002), there were no deaths at the high dose of 1.2 g/kg/day.

#### Executive CAC Recommendations and Conclusions:

##### Mouse:

The Committee recommended doses of 0 (vehicle), 100, 300, and 1000 mg/kg/day for both males and females based on lethality in the 14-day dose ranging toxicity study in mice. If the sponsor does not have historical data on the effects of the corn oil vehicle over 2 years, a water gavage control group should be included.

##### Rat:

The Committee recommended doses of 0 (vehicle), 70, 210, and 650 mg/kg/day for males based on an MTD (decreased body weight gain).

The Committee recommended doses of 0 (vehicle), 100, 300, and 900 mg/kg/day for females based on lethality in the single oral dose study in rats.

If the sponsor does not have historical data on the effects of the corn oil vehicle over 2 years, a water gavage control group should be included.

David Jacobson-Kram, Ph.D.  
Chair, Executive CAC

cc:\n  
/Division File, DGP  
/Stark, DGP  
/Chakder, DGP  
/Zhang, DGP  
/AJacobs, OND IO

2. Executive CAC meeting minutes dated February 16, 2010

**Executive CAC****Date of Meeting:** February 16, 2010**Committee:** David Jacobson-Kram, Ph.D., OND IO, Chair  
Abby Jacobs, Ph.D., OND IO, Member  
Paul Brown, Ph.D. OND IO, Member  
David Joseph, DGP, Acting Team Leader  
Ke Zhang, Ph.D., DGP, Presenting Reviewer**Author of Draft:** Ke Zhang, Ph.D.

The following information reflects a brief summary of the Committee discussion and its recommendations.

The committee did not address the sponsor's proposed statistical evaluation for the 26-week carcinogenicity bioassay, as this does not affect the sponsor's ability to initiate the bioassay. The sponsor may seek guidance on the statistical evaluation of bioassay results from agency staff separately. Data files should be submitted electronically following the CDER/CBER Guidance for Industry, Providing Regulatory Submission in Electronic Format – Human Pharmaceutical Product Applications and Related Submissions Using the eCTD Specifications (June 2008) and the associated Study Data Specifications document.

**IND # 73,480**

Drug Name: Glyceryl Tri (4-phenylbutyrate) / GT4P / HPN-100

Sponsor: Hyperion Therapeutics

South San Francisco, CA

Background: GT4P is a triglyceride containing three molecules of 4-phenylbutyric acid (PBA) linked to a triglyceride backbone. GT4P is hydrolyzed to glycerol and PBA following oral administration. PBA is then metabolized to phenylacetate/phenylacetic acid (PAA). Conjugation of PAA with glutamine is utilized as an alternate means of metabolic disposal of nitrogen waste in patients with genetic defects in their urea cycle. GT4P was not genotoxic in the Ames test, the in vitro chromosomal aberration test, and the rat micronucleus test. In the current submission, the sponsor submitted a study protocol for a 26-week carcinogenicity study with GT4P in Tg.rasH2 mice.

**Tg.rasH2 Mouse Carcinogenicity Study Protocol and Dose Selection**

For the 26-week carcinogenicity study, the following dose levels are proposed: 600, 750, and 900 mg/kg/day for groups of 25 males and 600, 900, and 1200 mg/kg/day for groups of 25 females. The dose selection was based on the results of 5-day and 28-day dose ranging studies in CByB6F1 mice. The dose of 2000 mg/kg/day was lethal in both males and females in the dose ranging studies. The dose of 1000 mg/kg/day is estimated to be the maximum tolerated dose, since this dose is half of the lethal dose.

The Exec CAC previously recommended doses of 550 and 1000 mg/kg/day for a proposed 2-year carcinogenicity study of GT4P in CD-1 mice. Similarly, the dose of 2000 mg/kg/day was a lethal dose in both males and females in a 14-day dose ranging study in CD1 mice, and the dose of 1000 mg/kg/day was recommended as the high dose by the Exec CAC. The use of only two dose levels in the proposed 2-year study was recommended due to dosing limitations related to the minimum feasible dose and toxicity. Similar dosing limitations also apply to CByB6F1-Tg(HRAS)2Jic(+/-) mice. The dose of 600 mg/kg/day is the minimal feasible dose that can be given to mice over the entire course of the proposed study, based on the expected bodyweight at study initiation (approximately 20 g) and the minimum volume (10-11 µl) that can be dosed accurately.

#### **Executive CAC Recommendations and Conclusions:**

1. The Committee recommended doses of 0 (water), 600 (neat), and 1000 (neat) mg/kg/day, by oral gavage, based on the estimated maximum tolerated dose.
2. The corn oil control is not appropriate.
3. Peer review of the pathology data is preferred but not required.

David Jacobson-Kram, Ph.D.  
Chair, Executive CAC

cc:\n  
/Division File, DGP  
/ Phillip, DGP  
/Joseph, DGP  
/Zhang, DGP  
/ASeifried, OND IO

3. Executive CAC meeting minutes dated July 17, 2012

4 pages have been Withheld in Full immediately following this page as a duplicate copy of the "Executive CAC Meeting Minutes" dated July 17, 2012 which is located in the AdminCorres Section of the package

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**This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.**  
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/s/  
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KE ZHANG  
11/28/2012

DAVID B JOSEPH  
11/28/2012

I concur with Dr. Zhang's recommendations. Additional comments will be included in my Team Leader memo.



## PHARMACOLOGY/TOXICOLOGY FILING CHECKLIST FOR NDA/BLA or Supplement

NDA/BLA Number: 203284

Applicant:

Stamp Date:

Hyperion Therapeutics

December 23, 2011

Drug Name: Ravicti

NDA/BLA Type: 505 (b) 1

On **initial** overview of the NDA/BLA application for filing:

|   | Content Parameter  | Yes | No | Comment |
|---|--|-----|----|---------|
| 1 | Is the pharmacology/toxicology section organized in accord with current regulations and guidelines for format and content in a manner to allow substantive review to begin?  | x   |    |         |
| 2 | Is the pharmacology/toxicology section indexed and paginated in a manner allowing substantive review to begin?   | x   |    |         |
| 3 | Is the pharmacology/toxicology section legible so that substantive review can begin?   | x   |    |         |
| 4 | Are all required (*) and requested IND studies (in accord with 505 b1 and b2 including referenced literature) completed and submitted (carcinogenicity, mutagenicity, teratogenicity, effects on fertility, juvenile studies, acute and repeat dose adult animal studies, animal ADME studies, safety pharmacology, etc)?        | x   |    |         |
| 5 | If the formulation to be marketed is different from the formulation used in the toxicology studies, have studies by the appropriate route been conducted with appropriate formulations? (For other than the oral route, some studies may be by routes different from the clinical route intentionally and by desire of the FDA). |     |    | (b) (4) |
| 6 | Does the route of administration used in the animal studies appear to be the same as the intended human exposure route? If not, has the applicant <u>submitted</u> a rationale to justify the alternative route?   | x   |    |         |
| 7 | Has the applicant <u>submitted</u> a statement(s) that all of the pivotal pharm/tox studies have been performed in accordance with the GLP regulations (21 CFR 58) <u>or</u> an explanation for any significant deviations?  | x   |    |         |
| 8 | Has the applicant submitted all special studies/data requested by the Division during pre-submission discussions?  | x   |    |         |

File name: 5\_Pharmacology\_Toxicology Filing Checklist for NDA\_BLA or Supplement  
010908

**PHARMACOLOGY/TOXICOLOGY FILING CHECKLIST FOR  
NDA/BLA or Supplement**

|    | <b>Content Parameter</b>  | <b>Yes</b> | <b>No</b> | <b>Comment</b> |
|----|---|------------|-----------|----------------|
| 9  | Are the proposed labeling sections relative to pharmacology/toxicology appropriate (including human dose multiples expressed in either mg/m2 or comparative serum/plasma levels) and in accordance with 201.57? | x          |           |                |
| 10 | Have any impurity – etc. issues been addressed? (New toxicity studies may not be needed.)   |            |           | N/A            |
| 11 | Has the applicant addressed any abuse potential issues in the submission?   |            |           | N/A            |
| 12 | If this NDA/BLA is to support a Rx to OTC switch, have all relevant studies been submitted?   |            |           | N/A            |

**IS THE PHARMACOLOGY/TOXICOLOGY SECTION OF THE APPLICATION FILEABLE? \_\_Yes\_\_**

If the NDA/BLA is not fileable from the pharmacology/toxicology perspective, state the reasons and provide comments to be sent to the Applicant.

Please identify and list any potential review issues to be forwarded to the Applicant for the 74-day letter.

None.

|                                   |                  |
|-----------------------------------|------------------|
| Ke Zhang, Ph.D.                   | February 1, 2012 |
| _____<br>Reviewing Pharmacologist | _____<br>Date    |
| David Joseph, Ph.D.               | February 1, 2012 |
| _____<br>Team Leader/Supervisor   | _____<br>Date    |

File name: 5\_Pharmacology\_Toxicology Filing Checklist for NDA\_BLA or Supplement 010908

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**This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signature.**  
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/s/  
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KE ZHANG  
02/02/2012

DAVID B JOSEPH  
02/02/2012